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DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92–313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed $25,000; and notwithstanding section 521 of Public Law 107–188; [$3,788,336,000] *$3,083,408,000*: *Provided*, That, of the amount provided under this heading, [$702,172,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h shall be credited to this account and remain available until expended, and shall not include any fees pursuant to 21 U.S.C. 379h(a)(2) and (a)(3) assessed for fiscal year 2013 but collected in fiscal year 2012; $57,605,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and remain available until expended; $21,768,000] *$30,530,000* shall be derived from animal drug user fees authorized by section 740 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 379j-12), and shall be credited to this account and remain available until expended; [$5,706,000] *$7,595,000* shall be derived from animal generic drug user fees authorized by section 741 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 379j-21), and shall be credited to this account and shall remain available until expended; [$477,000,000] *$505,000,000* shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s and shall be credited to this account and remain available until expended; [$12,364,000] *$12,925,000* shall be derived from food and feed recall fees authorized by section 743 of the Federal Food, Drug, and Cosmetic Act (Public Law 75–717), as amended by the Food Safety Modernization Act (Public Law 111–353), and shall be credited to this account and remain available until expended; [$14,700,000] *$15,367,000* shall be derived from food reinspection fees authorized by section 743 of the Federal Food, Drug, and Cosmetic Act (Public Law 75–717), as amended by the Food Safety Modernization Act (Public Law 111–353), and shall be credited to this account and remain available until expended; and amounts derived from voluntary qualified importer program fees authorized by section 743 of the Federal Food, Drug, and Cosmetic Act (Public Law 75–717), as amended by the Food Safety Modernization Act (Public Law 111–353), and shall be credited to this account and remain available until expended: *Provided further*, That, in addition and notwithstanding any other provision under this heading, amounts collected for [prescription drug] *animal drug user fees and animal generic drug* user fees that exceed the *respective* fiscal year [2012] *2013* limitation*s* are appropriated and shall be credited to this account and remain available until expended: *Provided further*, That fees derived from [prescription drug, medical device,] animal drug [,] *and* animal generic drug[, and tobacco product] assessments for fiscal year [2012] *2013* received during fiscal year [2012] *2013*, including any such fees assessed prior to fiscal year [2012] *2013* but credited for fiscal year [2012] *2013*, shall be subject to the fiscal year [2012] *2013* limitations: *Provided further*, That [none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) $882,747,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) $978,705,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs, of which no less than $52,947,000 shall be available for the Office of Generic Drugs; (3) $329,136,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) $166,365,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) $356,909,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) $60,039,000 shall be for the National Center for Toxicological Research; (7) $454,751,000 shall be for the Center for Tobacco Products and for related field activities in the Office of Regulatory Affairs; (8) not to exceed $131,639,000 shall be for Rent and Related activities, of which $43,981,000 is for White Oak Consolidation, other than the amounts paid to the General Services Administration for rent; (9) not to exceed $205,472,000 shall be for payments to the General Services Administration for rent; and (10) $222,573,000 shall be for other activities, including the Office of the Commissioner of Food and Drugs, the Office of Foods, the Office of Medical and Tobacco Products, the Office of Global and Regulatory Policy, the Office of Operations, the Office of the Chief Scientist, and central services for these offices:] *the Secretary may, prior to the due date for such fees, accept payment of animal drug user fees and animal generic drug user fees authorized for fiscal year 2014, and that amounts of such fees assessed for fiscal year 2014 for which the Secretary accepts payment in fiscal year 2013 shall not be included in amounts provided under this heading: Provided further*, That not to exceed $25,000 of this amount shall be for official reception and representation expenses, not otherwise provided for, as determined by the Commissioner[: *Provided further*, That funds may be transferred from one specified activity to another with the prior approval of the Committees on Appropriations of both Houses of Congress].

In addition, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, and priority review user fees authorized by 21 U.S.C. 360n may be credited to this account, to remain available until expended.

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, [$8,788,000] *$5,320,000*, to remain available until expended. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–9911–0–1–554	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			1
Receipts:			
0220 Cooperative Research and Development Agreements, FDA	1	3	3
0400 Total: Balances and collections	1	3	4
Appropriations:			
0500 Salaries and Expenses	–1	–2	–2
0799 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 75–9911–0–1–554	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Foods	836	1,004	992
0002 Drugs	829	690	683
0003 Devices and radiological products	322	322	319
0004 National Center for Toxicological Research	61	60	60
0005 Other activities	149	154	163
0006 Other rent and rent related activities	99	106	127
0007 Rental payments	151	161	169
0008 Buildings and facilities	13	9	5
0009 CRADAs	2	3	3
0799 Total direct obligations	2,462	2,509	2,521
0801 Reimbursable program	919	1,321	675
0900 Total new obligations	3,381	3,830	3,196
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	587	582	814
1020 Adjustment of unobligated bal brought forward, Oct 1	–293		
1050 Unobligated balance (total)	294	582	814
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,462	2,506	2,517
1130 Appropriations permanently reduced	–5		
1160 Appropriation, discretionary (total)	2,457	2,506	2,517

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 75–9911–0–1–554		2011 actual	2012 est.	2013 est.
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	2	2
1260	Appropriations, mandatory (total)	1	2	2
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1,239	1,554	675
1701	Change in uncollected payments, Federal sources	26	.....	.....
1702	Offsetting collections (previously unavailable)	386	333	333
1725	Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–440	–333	–333
1750	Spending auth from offsetting collections, disc (total)	1,211	1,554	675
1900	Budget authority (total)	3,669	4,062	3,194
1930	Total budgetary resources available	3,963	4,644	4,008
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	582	814	812
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,363	1,460	1,163
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–52	–46	–46
3020	Obligated balance, start of year (net)	1,311	1,414	1,117
3030	Obligations incurred, unexpired accounts	3,381	3,830	3,196
3031	Obligations incurred, expired accounts	9	.....	.....
3040	Outlays (gross)	–3,253	–4,127	–3,173
3050	Change in uncollected pymts, Fed sources, unexpired	–26	.....	.....
3051	Change in uncollected pymts, Fed sources, expired	32	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–40	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1,460	1,163	1,186
3091	Uncollected pymts, Fed sources, end of year	–46	–46	–46
3100	Obligated balance, end of year (net)	1,414	1,117	1,140
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3,668	4,060	3,192
	Outlays, gross:			
4010	Outlays from new discretionary authority	2,398	3,158	2,286
4011	Outlays from discretionary balances	854	967	885
4020	Outlays, gross (total)	3,252	4,125	3,171
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–81	–67	–67
4033	Non-Federal sources	–1,187	–1,487	–608
4040	Offsets against gross budget authority and outlays (total)	–1,268	–1,554	–675
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–26	.....	.....
4052	Offsetting collections credited to expired accounts	29	.....	.....
4060	Additional offsets against budget authority only (total)	3	.....	.....
4070	Budget authority, net (discretionary)	2,403	2,506	2,517
4080	Outlays, net (discretionary)	1,984	2,571	2,496
	Mandatory:			
4090	Budget authority, gross	1	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	2	2
4180	Budget authority, net (total)	2,404	2,508	2,519
4190	Outlays, net (total)	1,985	2,573	2,498
	Memorandum (non-add) entries:			
5090	Unavailable balance, SOY: Offsetting collections	65	119	119
5091	Unavailable balance, EOY: Offsetting collections	119	119	119

[In millions of dollars]

	2011	2012	2013
Distribution of discretionary budget authority by account:			
Salaries and expenses	2447	2497	2511
Buildings and facilities	10	9	5
Distribution of discretionary outlays by account:			
Salaries and expenses	1975	2563	2491
Buildings and facilities	9	8	4

The Food and Drug Administration (FDA) is responsible for protecting the public health by assuring the safety, efficacy, and security of human and veterinary drugs, biological products, medical devices, our Nation's food supply, cosmetics, and products that emit radiation. The FDA is also responsible for advancing the public health by helping to speed innovations that make medicines more effective, safer, and more affordable; and helping to provide the public accurate, science-based information about medicines and foods to improve their health. FDA decisions affect Americans on a daily basis. The Budget includes funding for counterterrorism activities that specifically relate to the protection of products or therapies regulated by the FDA (such as drugs, vaccines, foods, and animal feed), and the availability of medical products for public health preparedness in the event of an attack. Specifically, the Budget requests funding for food protection, drug safety, medical device review and safety, developing medical countermeasures, and headquarters consolidation in White Oak, Maryland.

Object Classification (in millions of dollars)

Identification code 75–9911–0–1–554		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	749	760	764
11.3	Other than full-time permanent	105	106	107
11.5	Other personnel compensation	59	60	60
11.7	Military personnel	58	59	61
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	972	986	993
12.1	Civilian personnel benefits	260	264	265
12.2	Military personnel benefits	29	30	31
13.0	Benefits for former personnel	2	.....	.....
21.0	Travel and transportation of persons	50	51	51
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	151	161	169
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	56	57	57
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	42	43	43
25.2	Other services from non-Federal sources	423	434	430
25.3	Other goods and services from Federal sources	113	114	113
25.4	Operation and maintenance of facilities	106	107	107
25.5	Research and development contracts	34	34	34
25.7	Operation and maintenance of equipment	31	31	31
26.0	Supplies and materials	46	46	46
31.0	Equipment	57	57	57
32.0	Land and structures	6	6	6
41.0	Grants, subsidies, and contributions	75	77	77
42.0	Insurance claims and indemnities	.....	2	2
99.0	Direct obligations	2,462	2,509	2,521
99.0	Reimbursable obligations	919	1,321	675
99.9	Total new obligations	3,381	3,830	3,196

Employment Summary

Identification code 75–9911–0–1–554		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	9,103	9,335	7,584
1101	Direct military average strength employment	692	692	492
2001	Reimbursable civilian full-time equivalent employment	3,191	3,300	2,621
2101	Reimbursable military average strength employment	243	243	172
3001	Allocation account civilian full-time equivalent employment	65	67	54
3101	Allocation account military average strength employment	1	1	1

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing user fees with respect to biosimilar biological products and human generic drugs, such fees shall be credited to this account and remain available until expended: Provided, That, with respect to such fees authorized for fiscal year 2014, the Secretary may, prior to the due date for such fees, accept payment of such fees and such payments shall be credited to this account for fiscal year 2014.

In addition, contingent upon the enactment of legislation authorizing user fees with respect to food inspections and food facility registrations, food contact notification activities, reinspection of medical product facilities, cosmetic activities, and international express courier import activities,

such fees shall be credited to this account and remain available until expended.

In addition, contingent upon the enactment of authorizing legislation, the Secretary shall charge a fee for prescription drug review activities and medical device review activities: Provided, That fees of $712,808,000, for prescription drug reviews, shall be credited to this account and remain available until expended; and $69,700,000, for medical device reviews, shall be credited to this account and remain available until expended: Provided further, That, in addition and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees and medical device user fees that exceed the respective fiscal year 2013 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from prescription drug reviews and medical device reviews for fiscal year 2013 received during fiscal year 2013, including any such fees assessed prior to fiscal year 2013 but credited for fiscal year 2013, shall be subject to the fiscal year 2013 limitations: Provided further, That the Secretary may, prior to the due date for such fees, accept payment of prescription drug user fees and medical device user fees authorized for fiscal year 2014, and that amounts of such fees assessed for fiscal year 2014 for which the Secretary accepts payment in fiscal year 2013 shall not be included in amounts provided under this heading.

Program and Financing (in millions of dollars)

Identification code 75–9911–2–1–554		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	PDUFA			713
0802	MDUFMA			70
0803	Generic Drug User Fee			299
0804	Biosimilar User Fees			20
0805	Reinspection (Drugs)			15
0806	Food Inspection and Registration Fee			220
0807	International Courier User Fee			6
0808	Cosmetic and Food Contact Notification User Fee			5
0810	Cosmetic User Fee			19
0900	Total new obligations			1,367
	Budgetary Resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1700	Collected			1,367
1750	Spending auth from offsetting collections, disc (total)			1,367
1930	Total budgetary resources available			1,367
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			1,367
3040	Outlays (gross)			–1,367
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross			1,367
	Outlays, gross:			
4010	Outlays from new discretionary authority			1,367
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources			–1,367

The 2013 Budget includes a number of new and current user fees. Legislation will be proposed to allow FDA to collect fees to support human generic drug review as well as for the evaluation of biosimilar biological product applications. The additional resources, estimated at $299 million for the human generic drug program, would enable FDA to reduce review times and respond to the growing number of generic drug applications. The fees collected for biosimilars would ensure sound funding for the review of biosimilar applications including the development-phase FDA consultation and review work. The Budget also proposes user fees to support activities related to cosmetics and food contact notification activities. In addition, the Budget reproposes user fees to support inspection-related activities at domestic courier facilities and reinspections at medical product facilities.

Lastly, the Budget includes a total of $712 million in prescription drug user fees and $70 million in medical device user fees. The FDA transmitted proposed legislation to reauthorize PDUFA and establish new generic drug and biosimilar user fees to Congress on January 13, 2012. The proposed legislation authorizes the collection and spending of these fees subject to appropriations.

Object Classification (in millions of dollars)

Identification code 75–9911–2–1–554		2011 actual	2012 est.	2013 est.
99.9	Total new obligations			1,367

Employment Summary

Identification code 75–9911–2–1–554		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment			2,648
1101	Direct military average strength employment			200
2001	Reimbursable civilian full-time equivalent employment			928
2101	Reimbursable military average strength employment			71
3001	Allocation account civilian full-time equivalent employment			19
3101	Allocation account military average strength employment			

Revolving Fund for Certification and Other Services

Program and Financing (in millions of dollars)

Identification code 75–4309–0–3–554		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	Reimbursable program	8	8	8
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	2	2
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	8	8	8
1850	Spending auth from offsetting collections, mand (total)	8	8	8
1930	Total budgetary resources available	10	10	10
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2	2
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3	3	2
3030	Obligations incurred, unexpired accounts	8	8	8
3040	Outlays (gross)	–8	–9	–9
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	3	2	1
3100	Obligated balance, end of year (net)	3	2	1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	8	8	8
	Outlays, gross:			
4100	Outlays from new mandatory authority	8	8	8
4101	Outlays from mandatory balances		1	1
4110	Outlays, gross (total)	8	9	9
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–8	–8	–8
4190	Outlays, net (total)		1	1

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES—Continued

Object Classification (in millions of dollars)

Identification code 75–4309–0–3–554		2011 actual	2012 est.	2013 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	1	1	1
26.0	Supplies and materials	1	1	1
99.9	Total new obligations	8	8	8

Employment Summary

Identification code 75–4309–0–3–554		2011 actual	2012 est.	2013 est.
2001	Reimbursable civilian full-time equivalent employment	37	37	38

HEALTH RESOURCES AND SERVICES ADMINISTRATION

Federal Funds

HEALTH RESOURCES AND SERVICES

PRIMARY HEALTH CARE

For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, [$1,598,957,000] *$1,579,975,000*, of which [$129,000] *$127,000* shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided*, That no more than $40,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act, including associated administrative expenses and relevant evaluations: *Provided further*, That no more than [$95,073,000] *$94,893,000* shall be available until expended for carrying out the provisions of Public Law 104–73 and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law.

HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, section 1128E *and 1921(b)* of the Social Security Act, and the Health Care Quality Improvement Act of 1986, [$734,402,000] *$522,187,000*: *Provided*, That sections 747(c)(2), [751(j)(2)] *340G-1(b) and (d)*, and the proportional funding amounts in paragraphs (1) through (4) of section 756(e) of the PHS Act shall not apply to funds made available under this heading: [*Provided further*, That for any program operating under section 751 of the PHS Act on or before January 1, 2009, the Secretary of Health and Human Services (referred to in this title as "Secretary") may waive any of the requirements contained in sections 751(d)(2)(A) and 751(d)(2)(B) of such Act for the full project period of a grant under such section: *Provided further*, That no funds shall be available for section 340G-1 of the PHS Act:] *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under such Act sufficient to recover the full costs of operating the National Practitioner Data Bank and shall remain available until expended to carry out that Act: *Provided further*, That fees collected for the full disclosure of information under the "Health Care Fraud and Abuse Data Collection Program", authorized by section 1128E(d)(2) of the Social Security Act, shall be sufficient to recover the full costs of operating the program, and shall remain available until expended to carry out that Act: *Provided further, That fees collected for the disclosure of information under the information reporting requirement program authorized by section 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the program and shall remain available until expended to carry out that Act: Provided further*, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title III of the PHS Act may be used to make prior year adjustments to awards made under such sections*: Provided further, That, in addition to amounts appropriated under this heading, $35,000,000 shall be available under section 241 of the PHS Act to carry out titles VII and VIII of the PHS Act: Provided further, That, of the amount appropriated under this heading, $88,000,000 shall be for payments to children's hospitals pursuant to section 340E of the PHS Act, all of which shall be for payments for direct graduate medical education as described in section 340E(c)*.

MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health, title V of the Social Security Act, and section 712 of the American Jobs Creation Act of 2004, [$863,607,000] *$854,807,000*: *Provided*, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than [$79,586,000] *$78,641,000* shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and [$10,400,000] *$10,276,000* shall be available for projects described in paragraphs (A) through (F) of section 501(a)(3) of such Act.

RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, [$2,326,665,000] *$2,446,772,000*, of which [$1,995,670,000] *$2,093,599,000* shall remain available to the Secretary [of Health and Human Services] through September 30, 2014, for parts A and B of title XXVI of the PHS Act[, and of which] *: Provided, That of the funds available for parts A and B of title XXVI of the PHS Act,* not less than [$900,000,000] *$1,000,000,000* shall be for State AIDS Drug Assistance Programs [under the authority of] *pursuant to* section 2616 or 311(c) of such Act: *Provided further*, That in addition to amounts provided herein, $25,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out parts A, B, C, and D of title XXVI of the PHS Act to fund Special Projects of National Significance under section 2691.

HEALTH CARE SYSTEMS

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, [$83,526,000] *$82,534,000: Provided, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: Provided further, That fees pursuant to the 340B Drug Pricing Program shall be collected by manufacturers at the time of sale, and shall be credited to this account, to remain available until expended*.

RURAL HEALTH

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act, the Cardiac Arrest Survival Act of 2000, and sections 711 and 1820 of the Social Security Act, [$139,832,000] *$122,232,000*, of which [$41,118,000] *$26,200,000* from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: *Provided*, That, of the funds made available under this heading for Medicare rural hospital flexibility grants, [$15,000,000 shall be available for the Small Rural Hospital Improvement Grant Program for quality improvement and adoption of health information technology and] $1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: *Provided further*, That notwithstanding section 338J(k) of the PHS Act, [$10,055,000] *$10,036,000* shall be available for State Offices of Rural Health.

FAMILY PLANNING

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, [$297,400,000] *$296,838,000*: *Provided*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in

any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, [$161,815,000] *$162,517,000*: *Provided*, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health"*: Provided further, That the Administrator may transfer funds between any of the accounts of HRSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer. (Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0350–0–1–550	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0010 Health Centers	1,481	1,467	1,467
0012 National Health Service Corps Recruitment	25	.....	.....
0013 Hansen's Disease Center	16	16	16
0014 Payment to Hawaii for the Treatment of Hansen's Disease	2	2	2
0015 Black Lung Clinics	7	7	7
0016 Nursing Education Loan Repayment and Scholarships	93	83	83
0017 Health Professions	405	376	345
0018 Maternal and Child Health Block Grant	656	639	640
0019 Healthy Start	104	103	103
0020 Poison Control Centers	22	19	19
0021 EMS for Children	21	21	21
0022 Universal Newborn Hearing Screening	19	19	19
0023 HIV/AIDS	2,310	2,375	2,447
0024 Organ Transplantation	25	24	24
0025 Bone Marrow Donor Registry	23	23	23
0026 Rural Health Policy Development	10	10	10
0027 Rural Health Outreach Grants	56	56	56
0028 Rural Health Flexibility Grants	41	41	26
0030 Telehealth	12	12	12
0031 Program Management	162	160	162
0032 Family Planning	298	294	297
0033 Loan Repayment/Faculty Fellowship	1	1	1
0035 Health Centers Tort Claim Fund	89	113	95
0036 Heritable Disorders	10	10	10
0038 Childrens' GME	268	265	88
0041 State Offices of Rural Health	10	10	10
0043 Radiogenic Diseases	2	2	2
0044 Traumatic Brain Injury	10	10	10
0045 Autism and Other Developmental Disorders	48	47	47
0046 Cord Blood Stem Cell Bank	12	12	12
0047 Free Clinics Medical Malpractice	1	2	.....
0048 Sickle Cell	5	5	5
0049 Drug Pricing Program	4	4	4
0050 Family to Family Health Information Centers ACA	5	5	.....
0052 Health Center Loan Subsidy	1	.....	.....
0067 Prevention Fund	20	75	10
0071 ARRA	74	.....	.....
0072 Infrastructure to Expand Access to Care ACA	100	.....	.....
0073 Health Centers ACA	998	1,150	1,200
0074 Health Centers Construction ACA	742	758	.....
0075 National Health Service Corps ACA	289	296	300
0076 School Based Health Centers ACA	98	15	87
0077 GME Payments THC ACA	4	18	43
0079 Rural Health AED	.....	1	.....
0080 Pediatric Loan Repayment	.....	.....	5
0300 Total direct programs	8,579	8,546	7,708
0799 Total direct obligations	8,579	8,546	7,708
0801 Reimbursable program	62	66	72
0802 Reimbursable program: PHS evaluation	22	25	60
0899 Total reimbursable obligations	84	91	132
0900 Total new obligations	8,663	8,637	7,840
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	272	1,060	345
1021 Recoveries of prior year unpaid obligations	3	.....	.....
1050 Unobligated balance (total)	275	1,060	345
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,275	6,207	6,068
1121 Appropriations transferred from other accts [75–9915]	.....	9	.....
1121 Appropriations transferred from other accts [75–1362]	.....	1	.....
1121 Appropriations transferred from other accts [75–0943]	.....	1	.....
1130 Appropriations permanently reduced	–13	.....	.....
1143 Approp permanently reduced (Sec 527, HR 2055)	.....	–12	.....
1160 Appropriation, discretionary (total)	6,262	6,206	6,068
Appropriations, mandatory:			
1200 Appropriation	3,075	1,550	1,850
1221 Appropriations transferred from other accts [75–0116]	20	75	10
1260 Appropriations, mandatory (total)	3,095	1,625	1,860
Spending authority from offsetting collections, discretionary:			
1700 Collected	54	75	116
1701 Change in uncollected payments, Federal sources	24	.....	.....
1750 Spending auth from offsetting collections, disc (total)	78	75	116
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash)(HPSL&NSL)	16	16	16
1850 Spending auth from offsetting collections, mand (total)	16	16	16
1900 Budget authority (total)	9,451	7,922	8,060
1930 Total budgetary resources available	9,726	8,982	8,405
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3	.....	.....
1941 Unexpired unobligated balance, end of year	1,060	345	565
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	6,864	6,837	6,598
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–50	–42	–42
3020 Obligated balance, start of year (net)	6,814	6,795	6,556
3030 Obligations incurred, unexpired accounts	8,663	8,637	7,840
3031 Obligations incurred, expired accounts	1	.....	.....
3040 Outlays (gross)	–8,582	–8,876	–8,168
3050 Change in uncollected pymts, Fed sources, unexpired	–24	.....	.....
3051 Change in uncollected pymts, Fed sources, expired	32	.....	.....
3080 Recoveries of prior year unpaid obligations, unexpired	–3	.....	.....
3081 Recoveries of prior year unpaid obligations, expired	–106	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	6,837	6,598	6,270
3091 Uncollected pymts, Fed sources, end of year	–42	–42	–42
3100 Obligated balance, end of year (net)	6,795	6,556	6,228
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,340	6,281	6,184
Outlays, gross:			
4010 Outlays from new discretionary authority	2,589	2,521	2,508
4011 Outlays from discretionary balances	5,120	4,139	3,821
4020 Outlays, gross (total)	7,709	6,660	6,329
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–52	–52	–82
4033 Non-Federal sources	–28	–23	–34
4040 Offsets against gross budget authority and outlays (total)	–80	–75	–116
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–24	.....	.....
4052 Offsetting collections credited to expired accounts	26	.....	.....
4060 Additional offsets against budget authority only (total)	2	.....	.....
4070 Budget authority, net (discretionary)	6,262	6,206	6,068
4080 Outlays, net (discretionary)	7,629	6,585	6,213
Mandatory:			
4090 Budget authority, gross	3,111	1,641	1,876
Outlays, gross:			
4100 Outlays from new mandatory authority	818	863	870
4101 Outlays from mandatory balances	55	1,353	969
4110 Outlays, gross (total)	873	2,216	1,839
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–16	–16	–16
4180 Budget authority, net (total)	9,357	7,831	7,928
4190 Outlays, net (total)	8,486	8,785	8,036

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75–0350–0–1–550	2011 actual	2012 est.	2013 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels	32	8	8
215002 Health centers: Managed care network development loan guarantee	.....	2	1

HEALTH RESOURCES AND SERVICES—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 75–0350–0–1–550	2011 actual	2012 est.	2013 est.
215003 Health centers: Managed care plan loan guarantee levels		5	3
215999 Total loan guarantee levels	32	15	12
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels	2.72	2.67	2.16
232002 Health centers: Managed care network development loan guarantee		9.62	9.73
232003 Health centers: Managed care plan loan guarantee levels		5.76	5.79
232999 Weighted average subsidy rate	2.72	4.63	3.70
Guaranteed loan subsidy budget authority:			
233001 Health centers: Facilities renovation loan guarantee levels	1		
233999 Total subsidy budget authority	1		
Guaranteed loan subsidy outlays:			
234001 Health centers: Facilities renovation loan guarantee levels	1		
234999 Total subsidy outlays	1		

Resources displayed here support categorical grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Federally Qualified Health Centers, treatment and care for those living with HIV/AIDS, health professions training, maternal and child health care services, promotion of organ and bone marrow donation, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program. The FY 2013 Budget proposes a new user fee for this program to improve administration and oversight of this activity.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans the programs revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the programs revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2010–2011 data reported in the Annual Operating Report.

Health Professions Revolving Loan Programs

Federal Capital Contribution

Program	Account Balance
HPSL	$370,561,960
NSL	$168,761,018
PCL	$249,867,755
LDS	$129,792,459
Total	$918,984,192

Object Classification (in millions of dollars)

Identification code 75–0350–0–1–550	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	148	147	151
11.3 Other than full-time permanent	5	6	6
11.5 Other personnel compensation	4	4	4
11.7 Military personnel	19	20	20
11.8 Special personal services payments	1		
11.9 Total personnel compensation	177	177	181
12.1 Civilian personnel benefits	45	42	44
12.2 Military personnel benefits	8	11	11
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	25	25	25
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	2	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	38	38	38
25.2 Other services from non-Federal sources	180	156	156
25.3 Other goods and services from Federal sources	182	197	197
25.4 Operation and maintenance of facilities	2	1	1
25.6 Medical care	3	3	3
25.7 Operation and maintenance of equipment	13	14	14
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	4	4
41.0 Grants, subsidies, and contributions	7,810	7,780	6,936
42.0 Insurance claims and indemnities	83	89	89
99.0 Direct obligations	8,579	8,546	7,708
99.0 Reimbursable obligations	84	91	132
99.9 Total new obligations	8,663	8,637	7,840

Employment Summary

Identification code 75–0350–0–1–550	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	1,539	1,528	1,505
1101 Direct military average strength employment	202	202	202
2001 Reimbursable civilian full-time equivalent employment	76	76	82
2101 Reimbursable military average strength employment	6	6	6

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 75–0320–0–1–551	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	12	12
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	12	12

The Vaccine Injury Compensation Program was established pursuant to Public Law 99–660 and Public Law 100–203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested in 2012 to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine Injury Compensation Program trust fund account.

COVERED COUNTERMEASURE PROCESS FUND

Program and Financing (in millions of dollars)

Identification code 75–0343–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Claims		2	1
0103 Admin Expense	3	3	4
0900 Total new obligations	3	5	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	

1011	Unobligated balance transfer from other accts [75–0140]	5	3	5
1050	Unobligated balance (total)	5	5	5
1930	Total budgetary resources available	5	5	5
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)		2	7
3030	Obligations incurred, unexpired accounts	3	5	5
3040	Outlays (gross)	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2	7	12
3100	Obligated balance, end of year (net)	2	7	12
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	1		
4190	Outlays, net (total)	1		

The Covered Countermeasure Process Fund is established pursuant to the PHS Act, as amended by Division C of Public Law 109–148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the Public Health Service Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personal Protection Act of 2003.

Object Classification (in millions of dollars)

Identification code 75–0343–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
42.0 Insurance claims and indemnities		2	2
99.9 Total new obligations	3	5	5

Employment Summary

Identification code 75–0343–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	4	4	4
1101 Direct military average strength employment	1	1	1

Maternal, Infant, and Early Childhood Home Visiting Programs

Program and Financing (in millions of dollars)

Identification code 75–0321–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs	250	350	400
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	250	350	400
1260 Appropriations, mandatory (total)	250	350	400
1930 Total budgetary resources available	250	350	400
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	99	313	577
3030 Obligations incurred, unexpired accounts	250	350	400
3040 Outlays (gross)	–36	–86	–359
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	313	577	618
3100 Obligated balance, end of year (net)	313	577	618
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	250	350	400
Outlays, gross:			
4100 Outlays from new mandatory authority	17	25	28
4101 Outlays from mandatory balances	19	61	331
4110 Outlays, gross (total)	36	86	359
4180 Budget authority, net (total)	250	350	400
4190 Outlays, net (total)	36	86	359

P.L. 111–148 provides resources to Maternal, Infant and Early Childhood Home Visiting Program through FY 2014 to provide comprehensive services for at risk communities. These activities are administered by HRSA.

Object Classification (in millions of dollars)

Identification code 75–0321–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	15	15	15
41.0 Grants, subsidies, and contributions	232	332	382
99.9 Total new obligations	250	350	400

Employment Summary

Identification code 75–0321–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	16	16	16
1101 Direct military average strength employment	3	3	3

Health Center Guaranteed Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 75–4442–0–3–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal		1	1
0900 Total new obligations		1	1
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	2
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1850 Spending auth from offsetting collections, mand (total)	1		
1930 Total budgetary resources available	3	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	2	1
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			1
3030 Obligations incurred, unexpired accounts		1	1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		1	2
3100 Obligated balance, end of year (net)		1	2
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	1		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	–1		
4190 Financing disbursements, net (total)	–1		

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT—Continued

Status of Guaranteed Loans (in millions of dollars)

Identification code 75–4442–0–3–551	2011 actual	2012 est.	2013 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	60	28	13
2143 Uncommitted limitation carried forward	–28	–13	.....
2150 Total guaranteed loan commitments	32	15	13
2199 Guaranteed amount of guaranteed loan commitments	26	13	10
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	65	80	82
2231 Disbursements of new guaranteed loans	25	13	10
2251 Repayments and prepayments	–10	–10	–10
2263 Adjustments: Terminations for default that result in claim payments	.....	–1	–1
2290 Outstanding, end of year	80	82	81
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	72	69	68

P.L. 104–299 and P.L. 104–208 authorize Health Resources and Services Administration (HRSA) to guarantee up to $160 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health Center Loan Guarantee program. The program account for this activity is displayed in the Health Resources and Services account (75–0350) as a line in the program and financing schedule.

Balance Sheet (in millions of dollars)

Identification code 75–4442–0–3–551	2010 actual	2011 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	4	3
1999 Total assets	4	3
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	4	3
4999 Total liabilities and net position	4	3

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the PHS Act.

In addition, [For] *for* administrative expenses to carry out the guaranteed loan program, including section 709 of the PHS Act, [$2,841,000] *$2,807,000. (Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0340–0–1–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy	13	.....	.....
0708 Interest on reestimates of loan guarantee subsidy	14	.....	.....
0709 Administrative expenses	2	3	.....
0900 Total new obligations	29	3	.....
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1120 Appropriations transferred to other accts [91–0202]	.....	.....	–3
1160 Appropriation, discretionary (total)	3	3	.....
Appropriations, mandatory:			
1200 Appropriation	26	.....	.....
1260 Appropriations, mandatory (total)	26	.....	.....
1900 Budget authority (total)	29	3	.....
1930 Total budgetary resources available	29	3	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2	2	2
3030 Obligations incurred, unexpired accounts	29	3	.....
3040 Outlays (gross)	–29	–3	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2	2	2
3100 Obligated balance, end of year (net)	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	2	3	.....
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	3	3	.....
Mandatory:			
4090 Budget authority, gross	26	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority	26	.....	.....
4180 Budget authority, net (total)	29	3	.....
4190 Outlays, net (total)	29	3	.....

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75–0340–0–1–552	2011 actual	2012 est.	2013 est.
Guaranteed loan upward reestimates:			
235001 HEAL Loan guarantee	26	.....	.....
235999 Total upward reestimate budget authority	26	.....	.....
Guaranteed loan downward reestimates:			
237001 HEAL Loan guarantee	.....	–12	.....
237999 Total downward reestimate subsidy budget authority	.....	–12	.....
Administrative expense data:			
3510 Budget authority	3	3	.....
3590 Outlays from new authority	3	.....	.....

In FY 2013 the Department of Education will assume responsibility for the program. Legislative language that would effect this transfer is included under General Provisions. The authority to administer, service, collect, and enforce the program as well as the functions, assets, and liabilities of the Secretary of Health and Human Services will be permanently transferred to the Secretary of Education.

Object Classification (in millions of dollars)

Identification code 75–0340–0–1–552	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	3	.....
25.3 Other goods and services from Federal sources	1	.....	.....
41.0 Grants, subsidies, and contributions	26	.....	.....
99.9 Total new obligations	29	3	.....

Employment Summary

Identification code 75–0340–0–1–552	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	13	13	.....

Health Education Assistance Loans Financing Account

Program and Financing (in millions of dollars)

Identification code 75–4304–0–3–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal	15	14	
0742 Downward reestimate paid to receipt account		5	
0743 Interest on downward reestimates		7	
0900 Total new obligations	15	26	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	70	52
1010 Unobligated balance transfer to other accts [91–4300]			–52
1050 Unobligated balance (total)	49	70	
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	36	8	
1850 Spending auth from offsetting collections, mand (total)	36	8	
1900 Financing authority(total)	36	8	
1930 Total budgetary resources available	85	78	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	70	52	
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	15	26	
3040 Financing disbursements (gross)	–15	–26	
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	36	8	
Financing disbursements:			
4110 Financing disbursements, gross	15	26	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - upward reestimates and interest	–26		
4122 Interest on uninvested funds	–4	–4	
4123 Recoveries of defaulted loans	–6	–4	
4130 Offsets against gross financing auth and disbursements (total)	–36	–8	
4170 Financing disbursements, net (mandatory)	–21	18	
4190 Financing disbursements, net (total)	–21	18	

Status of Guaranteed Loans (in millions of dollars)

Identification code 75–4304–0–3–552	2011 actual	2012 est.	2013 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	627	526	499
2251 Repayments and prepayments	–86	–13	
Adjustments:			
2261 Terminations for default that result in loans receivable	–14	–10	
2263 Terminations for default that result in claim payments	–1	–4	
2264 Other adjustments, net			–499
2290 Outstanding, end of year	526	499	
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	526	499	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	171	175	181
2331 Disbursements for guaranteed loan claims	14	10	
2351 Repayments of loans receivable	–6	–4	
2361 Write-offs of loans receivable	–1		
2364 Other adjustments, net	–3		–181
2390 Outstanding, end of year	175	181	

Balance Sheet (in millions of dollars)

Identification code 75–4304–0–3–552	2010 actual	2011 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	49	70
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	171	175
1505 Allowance for subsidy cost (-)	–126	–126
1599 Net present value of assets related to defaulted guaranteed loans	45	49
1999 Total assets	94	119
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	94	119
4999 Total liabilities and net position	94	119

Health Education Assistance Loans Liquidating Account

Program and Financing (in millions of dollars)

Identification code 75–4305–0–3–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal	3	2	
0900 Total new obligations (object class 33.0)	3	2	
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1	1	
1260 Appropriations, mandatory (total)	1	1	
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	10	
1820 Capital transfer of spending authority from offsetting collections to general fund	–7	–9	
1850 Spending auth from offsetting collections, mand (total)	2	1	
1900 Budget authority (total)	3	2	
1930 Total budgetary resources available	3	2	
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	3	2	
3040 Outlays (gross)	–3	–2	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	2	
Outlays, gross:			
4100 Outlays from new mandatory authority	3	2	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–9	–10	
4180 Budget authority, net (total)	–6	–8	
4190 Outlays, net (total)	–6	–8	

Status of Guaranteed Loans (in millions of dollars)

Identification code 75–4305–0–3–552	2011 actual	2012 est.	2013 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	103	83	69
2251 Repayments and prepayments	–17	–12	
Adjustments:			
2261 Terminations for default that result in loans receivable	–3	–2	
2264 Other adjustments, net			–69
2290 Outstanding, end of year	83	69	
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	83	68	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	379	362	346
2331 Disbursements for guaranteed loan claims	3	3	
2351 Repayments of loans receivable	–9	–8	
2361 Write-offs of loans receivable	–21	–21	
2364 Other adjustments, net	10	10	–346
2390 Outstanding, end of year	362	346	

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 75–4305–0–3–552		2010 actual	2011 actual
	ASSETS:		
1101	Federal assets: Fund balances with Treasury	1	1
1701	Defaulted guaranteed loans, gross	379	362
1703	Allowance for estimated uncollectible loans and interest (-)	–250	–238
1799	Value of assets related to loan guarantees	129	124
1999	Total assets	130	125
	LIABILITIES:		
2104	Federal liabilities: Resources payable to Treasury	122	119
2204	Non-Federal liabilities: Liabilities for loan guarantees	8	6
2999	Total liabilities	130	125
4999	Total liabilities and net position	130	125

MEDICAL FACILITIES GUARANTEE AND LOAN FUND

Program and Financing (in millions of dollars)

Identification code 75–9931–0–3–551		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	1	1
3100	Obligated balance, end of year (net)	1	1	1

Status of Direct Loans (in millions of dollars)

Identification code 75–9931–0–3–551		2011 actual	2012 est.	2013 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	7	7	7
1251	Repayments: Repayments and prepayments	.....	.....	.....
1290	Outstanding, end of year	7	7	7

Titles VI and XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of $50 million for use in fulfilling guarantees in event of default, $30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans.

Balance Sheet (in millions of dollars)

Identification code 75–9931–0–3–551		2010 actual	2011 actual
	ASSETS:		
1601	Direct loans, gross	7	7
1999	Total assets	7	7
	LIABILITIES:		
2201	Non-Federal liabilities: Accounts payable	7	7
4999	Total liabilities and net position	7	7

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund ("Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed [$6,489,000] *$6,477,000* shall be available from the Trust Fund to the Secretary. *(Department of Health and Human Services Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–8175–0–7–551		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	2,960	3,115	3,205
	Adjustments:			
0190	Adjustment - rounding	–1	.....	.....
0199	Balance, start of year	2,959	3,115	3,205
	Receipts:			
0200	Deposits, Vaccine Injury Compensation Trust Fund	278	274	283
0240	Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund	126	70	74
0299	Total receipts and collections	404	344	357
0400	Total: Balances and collections	3,363	3,459	3,562
	Appropriations:			
0500	Vaccine Injury Compensation Program Trust Fund	–19	–19	–19
0501	Vaccine Injury Compensation Program Trust Fund	–229	–235	–242
0599	Total appropriations	–248	–254	–261
0799	Balance, end of year	3,115	3,205	3,301

Program and Financing (in millions of dollars)

Identification code 75–8175–0–7–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Compensation: Claims for post - FY 1989 injuries	231	235	242
0103	Claims processing (Claims Court)	5	5	5
0104	Claims processing (HRSA)	6	6	6
0105	Claims processing (Dept. of Justice)	6	8	8
0191	Total, administrative expenses	17	19	19
0799	Total direct obligations	248	254	261
0801	Reimbursable program activity (claims)	3	.....	.....
0900	Total new obligations	251	254	261
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	19	19	19
1160	Appropriation, discretionary (total)	19	19	19
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	229	235	242
1260	Appropriations, mandatory (total)	229	235	242
	Spending authority from offsetting collections, mandatory:			
1800	Collected	3	.....	.....
1850	Spending auth from offsetting collections, mand (total)	3	.....	.....
1900	Budget authority (total)	251	254	261
1930	Total budgetary resources available	251	254	261
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	16	19	9
3030	Obligations incurred, unexpired accounts	251	254	261
3040	Outlays (gross)	–248	–264	–269
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	19	9	1
3100	Obligated balance, end of year (net)	19	9	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	19	19	19
	Outlays, gross:			
4010	Outlays from new discretionary authority	.....	19	19
4011	Outlays from discretionary balances	13	10	8
4020	Outlays, gross (total)	13	29	27
	Mandatory:			
4090	Budget authority, gross	232	235	242
	Outlays, gross:			
4100	Outlays from new mandatory authority	232	235	242
4101	Outlays from mandatory balances	3	.....	.....
4110	Outlays, gross (total)	235	235	242

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–3		
4180	Budget authority, net (total)	248	254	261
4190	Outlays, net (total)	245	264	269
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	2,939	3,108	3,452
5001	Total investments, EOY: Federal securities: Par value	3,108	3,452	3,809

The Vaccine Injury Compensation Program was established pursuant to P.L. 99–660 and P.L. 100–203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 75–8175–0–7–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.3	Other goods and services from Federal sources	17	19	19
42.0	Insurance claims and indemnities	231	235	242
99.0	Direct obligations	248	254	261
99.0	Reimbursable obligations	3		
99.9	Total new obligations	251	254	261

INDIAN HEALTH SERVICE

Federal Funds

INDIAN HEALTH SERVICE

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, [$3,872,377,000,] *$3,978,974,000,* together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) and 238b for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That [$844,927,000] *$897,562,000* for contract medical care, including $51,500,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: *Provided further*, That of the funding provided for information technology activities and, notwithstanding any other provision of law, $4,000,000 shall be allocated at the discretion of the Director of the Indian Health Service: *Provided further*, That of the funds provided, up to $36,000,000 shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited to the Fund authorized by section 108A of the Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of the Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of the Act (25 U.S.C. 1613a and 1616a): *Provided further*, That notwithstanding any other provision of law, the amounts made available within this account for the methamphetamine and suicide prevention and treatment initiative and for the domestic violence prevention initiative shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: *Provided further*, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for those related to the planning, design, or construction of new facilities: *Provided further*, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That, notwithstanding any other provision of law, of the amounts provided herein, not to exceed [$472,193,000] *$476,446,000* shall be for payments to tribes and tribal organizations for contract or grant support costs associated with contracts, grants, self-governance compacts, or annual funding agreements between the Indian Health Service and a tribe or tribal organization pursuant to the Indian Self-Determination Act of 1975, as amended, prior to or during fiscal year 2012, of which not to exceed $10,000,000 may be used for contract support costs associated with new or expanded self-determination contracts, grants, self-governance compacts, or annual funding agreements: *Provided further*, That the Bureau of Indian Affairs may collect from the Indian Health Service, tribes and tribal organizations operating health facilities pursuant to Public Law 93–638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400, et seq.): *Provided further*, That the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0390–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Clinical services	2,987	3,084	3,187
0002	Preventive health	144	147	151
0003	Urban health	43	43	43
0004	Indian health professions	41	41	41
0005	Tribal management	3	3	3
0006	Direct operations	69	72	73
0007	Self-governance	6	6	6
0008	Contract support costs	398	471	476
0009	Diabetes funds	121	150	150
0799	Total direct obligations	3,812	4,017	4,130
0801	Reimbursable program	1,125	1,132	1,132
0900	Total new obligations	4,937	5,149	5,262
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	434	744	776
1021	Recoveries of prior year unpaid obligations	179		
1050	Unobligated balance (total)	613	744	776
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3,673	3,872	3,979
1120	Transferred to other accounts [75–0390]	–43		
1121	Transferred from other accounts [75–0390]	43		
1130	Appropriations permanently reduced	–7		
1141	Approp permanently reduced (Sec 436, HR 2055)		–6	
1160	Appropriation, discretionary (total)	3,666	3,866	3,979
	Appropriations, mandatory:			
1200	Appropriation	150	150	150
1260	Appropriations, mandatory (total)	150	150	150
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1,155	1,165	1,165
1701	Change in uncollected payments, Federal sources	103		
1750	Spending auth from offsetting collections, disc (total)	1,258	1,165	1,165
1900	Budget authority (total)	5,074	5,181	5,294
1930	Total budgetary resources available	5,687	5,925	6,070
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–6		
1941	Unexpired unobligated balance, end of year	744	776	808

INDIAN HEALTH SERVICE—Continued

Program and Financing—Continued

Identification code 75–0390–0–1–551		2011 actual	2012 est.	2013 est.
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,076	866	459
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–233	–331	–331
3020	Obligated balance, start of year (net)	843	535	128
3030	Obligations incurred, unexpired accounts	4,937	5,149	5,262
3031	Obligations incurred, expired accounts	22		
3040	Outlays (gross)	–4,973	–5,556	–5,482
3050	Change in uncollected pymts, Fed sources, unexpired	–103		
3051	Change in uncollected pymts, Fed sources, expired	5		
3080	Recoveries of prior year unpaid obligations, unexpired	–179		
3081	Recoveries of prior year unpaid obligations, expired	–17		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	866	459	239
3091	Uncollected pymts, Fed sources, end of year	–331	–331	–331
3100	Obligated balance, end of year (net)	535	128	–92
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4,924	5,031	5,144
	Outlays, gross:			
4010	Outlays from new discretionary authority	4,078	4,335	4,428
4011	Outlays from discretionary balances	839	1,068	898
4020	Outlays, gross (total)	4,917	5,403	5,326
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–366	–368	–368
4033	Non-Federal sources	–792	–797	–797
4040	Offsets against gross budget authority and outlays (total)	–1,158	–1,165	–1,165
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–103		
4052	Offsetting collections credited to expired accounts	3		
4060	Additional offsets against budget authority only (total)	–100		
4070	Budget authority, net (discretionary)	3,666	3,866	3,979
4080	Outlays, net (discretionary)	3,759	4,238	4,161
	Mandatory:			
4090	Budget authority, gross	150	150	150
	Outlays, gross:			
4100	Outlays from new mandatory authority	56	144	144
4101	Outlays from mandatory balances		9	12
4110	Outlays, gross (total)	56	153	156
4180	Budget authority, net (total)	3,816	4,016	4,129
4190	Outlays, net (total)	3,815	4,391	4,317

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated $2.653 billion primarily through self determination contracts and compacts, will be administered by tribal governments in 2012.

Object Classification (in millions of dollars)

Identification code 75–0390–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	388	390	396
11.3	Other than full-time permanent	21	21	21
11.5	Other personnel compensation	57	58	58
11.7	Military personnel	78	79	82
11.9	Total personnel compensation	544	548	557
12.1	Civilian personnel benefits	136	137	139
12.2	Military personnel benefits	34	34	36
13.0	Benefits for former personnel	10	10	10
21.0	Travel and transportation of persons	12	11	13
21.0	Patient travel	30	30	30
22.0	Transportation of things	7	7	7
23.1	Rental payments to GSA	12	12	13
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	11	11	12
24.0	Printing and reproduction	1		
25.1	Advisory and assistance services	13	10	10
25.2	Other services from non-Federal sources	80	102	114
25.3	Other goods and services from Federal sources	62	62	63
25.4	Operation and maintenance of facilities		4	4
25.6	Medical care	342	459	499
25.7	Operation and maintenance of equipment	12	12	12
25.8	Subsistence and support of persons	3	3	3
26.0	Supplies and materials	136	142	146
31.0	Equipment	14	14	14
32.0	Land and structures		1	1
41.0	Grants, subsidies, and contributions	2,351	2,406	2,446
42.0	Insurance claims and indemnities	1	1	
99.0	Direct obligations	3,812	4,017	4,130
99.0	Reimbursable obligations	1,125	1,132	1,132
99.9	Total new obligations	4,937	5,149	5,262

Employment Summary

Identification code 75–0390–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	6,982	7,127	7,249
1101	Direct military average strength employment	1,090	1,103	1,112
2001	Reimbursable civilian full-time equivalent employment	5,349	5,356	5,362
2101	Reimbursable military average strength employment	835	828	822

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, [$441,052,000] *$443,502,000*, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: *Provided further*, That not to exceed $500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: *Provided further*, That not to exceed $2,700,000 from this account and the "Indian Health Services" account shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed $500,000 shall be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–0391–0–1–551		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0220	Rent and Charges for Quarters, Indian Health Service	8	8	8
0400	Total: Balances and collections	8	8	8
	Appropriations:			
0500	Indian Health Facilities	–8	–8	–8
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–0391–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Sanitation and health facilities	154	165	161
0002	Maintenance	54	54	55
0003	Facilities and environmental health	193	199	205
0004	Equipment	23	23	23
0100	Total direct program	424	441	444
0799	Total direct obligations	424	441	444
0801	Reimbursable program	183	183	183
0900	Total new obligations	607	624	627
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	181	215	243
1021	Recoveries of prior year unpaid obligations	25	.....	.....
1050	Unobligated balance (total)	206	215	243
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	405	441	444
1130	Appropriations permanently reduced	–1	.....	.....
1141	Approp permanently reduced (Sec 436, HR 2055)	.....	–1	.....
1160	Appropriation, discretionary (total)	404	440	444
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	8	8	8
1260	Appropriations, mandatory (total)	8	8	8
	Spending authority from offsetting collections, discretionary:			
1700	Collected	191	191	6
1701	Change in uncollected payments, Federal sources	13	13	.....
1750	Spending auth from offsetting collections, disc (total)	204	204	6
1900	Budget authority (total)	616	652	458
1930	Total budgetary resources available	822	867	701
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	215	243	74
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	550	580	432
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–8	–21	–34
3020	Obligated balance, start of year (net)	542	559	398
3030	Obligations incurred, unexpired accounts	607	624	627
3040	Outlays (gross)	–552	–772	–411
3050	Change in uncollected pymts, Fed sources, unexpired	–13	–13	.....
3080	Recoveries of prior year unpaid obligations, unexpired	–25	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	580	432	648
3091	Uncollected pymts, Fed sources, end of year	–21	–34	–34
3100	Obligated balance, end of year (net)	559	398	614
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	608	644	450
	Outlays, gross:			
4010	Outlays from new discretionary authority	260	336	139
4011	Outlays from discretionary balances	287	428	264
4020	Outlays, gross (total)	547	764	403
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–190	–190	–6
4033	Non-Federal sources	–1	–1	.....
4040	Offsets against gross budget authority and outlays (total)	–191	–191	–6
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–13	–13	.....
4070	Budget authority, net (discretionary)	404	440	444
4080	Outlays, net (discretionary)	356	573	397
	Mandatory:			
4090	Budget authority, gross	8	8	8
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	8	8
4101	Outlays from mandatory balances	2	.....	.....
4110	Outlays, gross (total)	5	8	8
4180	Budget authority, net (total)	412	448	452
4190	Outlays, net (total)	361	581	405

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service.

Object Classification (in millions of dollars)

Identification code 75–0391–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	47	49	51
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	3	3	3
11.7	Military personnel	22	23	24
11.9	Total personnel compensation	76	79	82
12.1	Civilian personnel benefits	15	16	16
12.2	Military personnel benefits	8	8	8
21.0	Travel and transportation of persons	3	3	3
22.0	Transportation of things	3	3	3
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	14	16	16
25.1	Advisory and assistance services	2	2	2
25.2	Other services from non-Federal sources	64	55	55
25.3	Other goods and services from Federal sources	1	1	2
25.4	Operation and maintenance of facilities	6	7	8
25.7	Operation and maintenance of equipment	3	3	4
25.8	Subsistence and support of persons	11	11	11
26.0	Supplies and materials	7	8	8
31.0	Equipment	8	9	9
32.0	Land and structures	23	17	17
41.0	Grants, subsidies, and contributions	178	201	198
99.0	Direct obligations	424	441	444
99.0	Reimbursable obligations	183	183	183
99.9	Total new obligations	607	624	627

Employment Summary

Identification code 75–0391–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,036	1,008	1,011
1101	Direct military average strength employment	168	167	169
2001	Reimbursable civilian full-time equivalent employment	25	25	25

ADMINISTRATIVE PROVISIONS—INDIAN HEALTH SERVICE

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; uniforms or allowances therefor as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121, the Indian Sanitation Facilities Act and Public Law 93–638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or approved by the House and Senate Commit-

tees on Appropriations through the reprogramming process: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

CENTERS FOR DISEASE CONTROL AND PREVENTION

Federal Funds

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, VII, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, [$579,375,000] *$583,855,000*: *Provided*, That in addition to amounts provided herein, [$12,864,000] *$13,765,000* shall be available from amounts available under section 241 of the PHS Act to carry out the National Immunization Surveys.

HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, VII, XVII, XXIII, and XXVI of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, [$1,105,995,000] *$1,145,678,000: Provided, That Centers for Disease Control and Prevention and State grant recipients may transfer up to ten percent of funds appropriated for Centers for Disease Control and Prevention HIV/AIDS, sexually transmitted disease, hepatitis, and tuberculosis activities to address the overlapping epidemics of these diseases by improving program collaboration and providing integrated services in accordance with priorities identified by the Centers for Disease Control and Prevention: Provided further, That with respect to the previous proviso, grantees shall submit a plan in writing to the Centers for Disease Control and Prevention and obtain the approval of the Centers for Disease Control and Prevention to transfer such funds*.

EMERGING AND ZOONOTIC INFECTIOUS DISEASES

For carrying out titles II, III, VII, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, [$253,919,000] *$279,477,000, of which $1,000,000 shall remain available until expended to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine laws*.

CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, VII, XI, XV, XVII, and XIX of the PHS Act *and section 4201 of the Patient Protection and Affordable Care Act,* with respect to chronic disease prevention and health promotion, [$760,700,000] *$608,019,000*: *Provided, That, in addition to amounts provided herein, $25,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out the Prevention Research Centers: Provided further*, That funds appropriated under this account may be available for making grants under section 1509 of the PHS Act for [not less than] *up to* 21 States, tribes, or tribal organizations.

BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, VII, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, [$138,072,000] *$18,476,000*.

PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II and III of the PHS Act with respect to health statistics, surveillance, informatics, and workforce development, [$144,795,000] *$35,695,000*: *Provided*, That in addition to amounts provided herein, [$247,769,000] *$379,374,000* shall be available from amounts available under section 241 of the PHS Act to carry out Public Health Scientific Services.

ENVIRONMENTAL HEALTH

For carrying out titles II, III, VII, and XVII of the PHS Act with respect to environmental health, [$105,598,000] *$103,672,000*.

INJURY PREVENTION AND CONTROL

For carrying out titles II, III, VII, and XVII of the PHS Act with respect to injury prevention and control, [$138,480,000] *$137,754,000: Provided, That funds appropriated under this heading may be used to fund evaluation, research, and pilot programs for sexual violence prevention programs*.

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, VII, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, 501, and 514 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, [$182,903,000: *Provided*, That in addition to amounts provided herein, $110,724,000] *$249,364,000* shall be available from amounts available under section 241 of the PHS Act.

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, $55,358,000, to remain available until expended[, of which $4,500,000 shall be for use by or in support of the Advisory Board on Radiation and Worker Health ("Board") to carry out its statutory responsibilities, including obtaining audits, technical assistance, and other support from the Board's audit contractor with regard to radiation dose estimation and reconstruction efforts, site profiles, procedures, and review of Special Exposure Cohort petitions and evaluation reports]: *Provided*, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106–554.

GLOBAL HEALTH

For carrying out titles II, III, VII and XVII of the PHS Act with respect to global health, [$349,547,000] *$362,889,000*, of which [$118,023,000] *$117,156,000* for international HIV/AIDS shall remain available through September 30, [2013] *2014*: *Provided*, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.

PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, VII, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, [$1,306,906,000] *$1,228,360,000*, of which [$509,486,000] *$439,444,000* shall remain available until expended for the Strategic National Stockpile [under] *pursuant to* section 319F-2 of the PHS Act.

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

For carrying out titles II, III, VII, XVII and XIX, and section 2821 of the PHS Act and for cross-cutting activities and program support that supplement activities funded under the headings "Immunization and Respiratory Diseases", "HIV/AIDS, Viral Hepatitis, Sexually Transmitted Diseases, and Tuberculosis Prevention", "Emerging and Zoonotic Infectious Diseases", "Chronic Disease Prevention and Health Promotion", "Birth Defects, Developmental Disabilities, Disabilities and Health", "Environmental Health", "Injury Prevention and Control", "National Institute for Occupational Safety and Health", "Employees Occupational Illness Compensation Program Act", "Global Health", "Public Health Preparedness and Response", and "Public Health Scientific Services", *and for carrying out section 4001 of the Patient Protection and Affordable Care Act,* ⟦$621,445,000⟧ *$487,648,000* of which ⟦$30,000,000⟧ *$368,529,000* shall be available until September 30, ⟦2013⟧ *2014*, for business services⟦, of which $25,000,000 shall be available until September 30, 2016, for equipment, construction and renovation of facilities, and of which $80,000,000 shall be for the Preventive Health and Health Services Block Grant Program⟧: *Provided*, That paragraphs (1) through (3) of subsection (b) of section 2821 of the PHS Act shall not apply to funds appropriated under this heading and in all other accounts of the Centers for Disease Control and Prevention (referred to in this title as "CDC"): *Provided further*, That funds appropriated under this heading and in all other accounts of CDC may be used to support the purchase, hire, maintenance, and operation of aircraft for use and support of the activities of CDC: *Provided further*, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: *Provided further*, That CDC may use up to $10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: *Provided further*, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: *Provided further*, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program shall be available through September 30, ⟦2013⟧ *2014*: *Provided further*, That of the funds made available under this heading, up to $1,000 per eligible employee of CDC shall be made available until expended for Individual Learning Accounts: ⟦*Provided further*, That CDC may establish a Working Capital Fund, with the authorities equivalent to those provided in 42 U.S.C. 231, to improve the provision of supplies and service⟧ *Provided further, That the Director may transfer funds between any of the accounts of CDC with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer. (Department of Health and Human Services Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–0943–0–1–999	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0220 Cooperative Research and Development Agreements, Centers for Disease Control	2	2	2
0400 Total: Balances and collections	2	2	2
Appropriations:			
0500 CDC-Wide Activities and Program Support	–2	–2	–2
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–0943–0–1–999	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Birth Defects, Developmental Disabilities, Disability and Health (BA & Prevention Fund)	136	137	125
0002 Buildings and Facilities	58	25	
0003 Business Services Support	361	395	369
0004 Chronic Disease Prevention and Health Promotion	813	756	608
0005 Emerging and Zoonotic Infectious Diseases	252	252	280
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA)	57	55	55
0007 Environmental Health	134	105	104
0008 Global Health	365	348	363
0009 Pandemic Influenza Supplemental (H1N1)	18		
0010 Healthcare Reform Prevention and Public Health Fund, Other Activities	611	805	796
0011 Health Reform - Chronic Disease Prevention and Health Promotion	7		
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention	1,075	1,100	1,146
0013 Immunization and Respiratory Diseases	478	576	584
0014 Individual Learning Accounts (ILAs)	7		
0015 Injury Prevention and Control	143	138	138
0016 Occupational Safety and Health	230	182	
0017 Preventive Health & Health Services Block Grants	80	79	
0018 Public Health Leadership & Support	120	119	119
0019 Public Health Preparedness & Response	1,321	1,300	1,228
0020 Public Health Scientific Services	147	144	35
0799 Total direct obligations	6,413	6,516	5,950
0802 Other Reimbursable Programs	622	749	749
0809 Reimbursable program activities, subtotal	622	749	749
0900 Total new obligations	7,035	7,265	6,699
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	283	192	161
1021 Recoveries of prior year unpaid obligations	20	4	
1050 Unobligated balance (total)	303	196	161
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,660	5,668	4,992
1120 Appropriations transferred to other accts [75–0350]		–1	
1130 Appropriations permanently reduced	–11		
1143 Approp permanently reduced (Sec 527, HR 2055)		–11	
1160 Appropriation, discretionary (total)	5,649	5,656	4,992
Appropriations, mandatory:			
1200 Appropriation	55	55	55
1201 Appropriation (special or trust fund)	2	2	2
1221 Appropriations transferred from other accts [75–0116]	611	805	903
1260 Appropriations, mandatory (total)	668	862	960
Spending authority from offsetting collections, discretionary:			
1700 Collected	310	712	712
1701 Change in uncollected payments, Federal sources	300		
1750 Spending auth from offsetting collections, disc (total)	610	712	712
1900 Budget authority (total)	6,927	7,230	6,664
1930 Total budgetary resources available	7,230	7,426	6,825
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
1941 Unexpired unobligated balance, end of year	192	161	126
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	6,850	6,451	6,487
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–486	–468	–468
3020 Obligated balance, start of year (net)	6,364	5,983	6,019
3030 Obligations incurred, unexpired accounts	7,035	7,265	6,699
3031 Obligations incurred, expired accounts	31		
3040 Outlays (gross)	–7,277	–7,225	–6,866
3050 Change in uncollected pymts, Fed sources, unexpired	–300		
3051 Change in uncollected pymts, Fed sources, expired	318		
3080 Recoveries of prior year unpaid obligations, unexpired	–20	–4	
3081 Recoveries of prior year unpaid obligations, expired	–168		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	6,451	6,487	6,320
3091 Uncollected pymts, Fed sources, end of year	–468	–468	–468
3100 Obligated balance, end of year (net)	5,983	6,019	5,852
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,259	6,368	5,704
Outlays, gross:			
4010 Outlays from new discretionary authority	2,550	2,453	2,124
4011 Outlays from discretionary balances	4,519	4,441	3,975
4020 Outlays, gross (total)	7,069	6,894	6,099
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–606	–703	–703
4033 Non-Federal sources	–13	–9	–9

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued

Program and Financing—Continued

Identification code 75–0943–0–1–999		2011 actual	2012 est.	2013 est.
4040	Offsets against gross budget authority and outlays (total)	–619	–712	–712
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–300		
4052	Offsetting collections credited to expired accounts	309		
4060	Additional offsets against budget authority only (total)	9		
4070	Budget authority, net (discretionary)	5,649	5,656	4,992
4080	Outlays, net (discretionary)	6,450	6,182	5,387
	Mandatory:			
4090	Budget authority, gross	668	862	960
	Outlays, gross:			
4100	Outlays from new mandatory authority	120	320	353
4101	Outlays from mandatory balances	88	11	414
4110	Outlays, gross (total)	208	331	767
4180	Budget authority, net (total)	6,317	6,518	5,952
4190	Outlays, net (total)	6,658	6,513	6,154

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization, HIV prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, occupational safety and health, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, and CDC-Wide Activities and Program Support. CDC also supports bioterrorism, emergency response, and pandemic influenza preparedness activities. The CDC Stockpile activities include resources directed at enhancing preparedness. The Budget will finance a portion of these ongoing activities through unobligated balances available from P.L. 111–32, the Supplemental Appropriations Act of 2009. P.L. 111–32 appropriated $7.65 billion to the Public Health and Social Services Emergency Fund for pandemic influenza preparedness and response activities.

Object Classification (in millions of dollars)

Identification code 75–0943–0–1–999		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	674	801	723
11.3	Other than full-time permanent	81	100	90
11.5	Other personnel compensation	38	43	38
11.7	Military personnel	62	71	66
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	856	1,016	918
12.1	Civilian personnel benefits	231	273	247
12.2	Military personnel benefits	44	50	47
21.0	Travel and transportation of persons	49	49	40
22.0	Transportation of things	17	16	15
23.1	Rental payments to GSA	10	52	51
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	38	36	33
24.0	Printing and reproduction	5	6	5
25.1	Advisory and assistance services	581	498	439
25.2	Other services from non-Federal sources	237	211	189
25.3	Other goods and services from Federal sources	396	390	364
25.4	Operation and maintenance of facilities	74	50	46
25.5	Research and development contracts	51	68	62
25.6	Medical care	3	29	27
25.7	Operation and maintenance of equipment	33	35	32
25.8	Subsistence and support of persons (Includes 1259)	31	33	30
26.0	Supplies and materials	560	518	518
31.0	Equipment	58	55	55
32.0	Land and structures	41	9	8
41.0	Grants, subsidies, and contributions	3,097	3,121	2,823
99.0	Direct obligations	6,413	6,516	5,950
99.0	Reimbursable obligations	622	749	749
99.9	Total new obligations	7,035	7,265	6,699

Employment Summary

Identification code 75–0943–0–1–999		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	8,432	8,379	8,370
1101	Direct military average strength employment	814	771	771
2001	Reimbursable civilian full-time equivalent employment	1,077	1,082	1,081
2101	Reimbursable military average strength employment	38	81	81

CDC WORKING CAPITAL FUND

The Consolidated Appropriations Bill, 2012 (P.L. 112–74, Division F) provided authorities equivalent to those provided in 42 U.S.C 231 for CDC to begin creating a Working Capital Fund. The Working Capital Fund will be used by CDC to achieve greater cost efficiencies across the administrative operations of the agency.

AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended; section 118(f) of the Superfund Amendments and Reauthorization Act of 1986 (SARA), as amended; and section 3019 of the Solid Waste Disposal Act, as amended, [$76,337,000] *$76,300,000*, of which up to $1,000 per eligible employee of the Agency for Toxic Substances and Disease Registry shall remain available until expended for Individual Learning Accounts: *Provided*, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(I) of CERCLA during fiscal year [2012] *2013*, and existing profiles may be updated as necessary. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0944–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program	76	76	76
0002	Direct program - health reform	3		
0799	Total direct obligations	79	76	76
0801	Reimbursable program	10	10	10
0900	Total new obligations	89	86	86
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	23	21	21
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	77	76	76
1160	Appropriation, discretionary (total)	77	76	76
	Spending authority from offsetting collections, discretionary:			
1700	Collected	3	3	10
1701	Change in uncollected payments, Federal sources	7	7	
1750	Spending auth from offsetting collections, disc (total)	10	10	10
1900	Budget authority (total)	87	86	86
1930	Total budgetary resources available	110	107	107
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	21	21

	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	43	47	14
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–13	–13	–20
3020	Obligated balance, start of year (net)	30	34	–6
3030	Obligations incurred, unexpired accounts	89	86	86
3040	Outlays (gross)	–81	–119	–95
3050	Change in uncollected pymts, Fed sources, unexpired	–7	–7	
3051	Change in uncollected pymts, Fed sources, expired	7		
3081	Recoveries of prior year unpaid obligations, expired	–4		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	47	14	5
3091	Uncollected pymts, Fed sources, end of year	–13	–20	–20
3100	Obligated balance, end of year (net)	34	–6	–15
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	87	86	86
	Outlays, gross:			
4010	Outlays from new discretionary authority	55	84	84
4011	Outlays from discretionary balances	26	26	3
4020	Outlays, gross (total)	81	110	87
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–10	–3	–10
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–7	–7	
4052	Offsetting collections credited to expired accounts	7		
4060	Additional offsets against budget authority only (total)		–7	
4070	Budget authority, net (discretionary)	77	76	76
4080	Outlays, net (discretionary)	71	107	77
	Mandatory:			
	Outlays, gross:			
4101	Outlays from mandatory balances		9	8
4180	Budget authority, net (total)	77	76	76
4190	Outlays, net (total)	71	116	85

The Agency for Toxic Substances and Disease Registry (ATSDR) is authorized under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA). ATSDR assesses health hazards at specific hazardous waste sites helping to prevent or reduce exposure and illnesses that result, and increasing knowledge and understanding of the health effects that may result from exposure to hazardous substances.

Object Classification (in millions of dollars)

Identification code 75–0944–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	23	22	22
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.7 Military personnel	4	4	4
11.9 Total personnel compensation	29	28	28
12.1 Civilian personnel benefits	7	7	7
12.2 Military personnel benefits	2	2	2
25.1 Advisory and assistance services	5	4	4
25.2 Other services from non-Federal sources	7	7	7
25.3 Other goods and services from Federal sources	11	11	11
26.0 Supplies and materials		1	1
31.0 Equipment		1	1
41.0 Grants, subsidies, and contributions	18	15	15
99.0 Direct obligations	79	76	76
99.0 Reimbursable obligations	10	10	10
99.9 Total new obligations	89	86	86

Employment Summary

Identification code 75–0944–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	259	258	258
1101 Direct military average strength employment	39	39	39
2001 Reimbursable civilian full-time equivalent employment	13	13	13

World Trade Center Health Program Fund

Program and Financing (in millions of dollars)

Identification code 75–0946–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Federal Share	71	174	171
0002 NYC	8	19	19
0900 Total new obligations	79	193	190
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (WTC (CDC Direct))	79	174	171
1200 Appropriation (WTC—NYC DHSS—CDC)		19	19
1260 Appropriations, mandatory (total)	79	193	190
1930 Total budgetary resources available	79	193	190
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		68	131
3030 Obligations incurred, unexpired accounts	79	193	190
3040 Outlays (gross)	–11	–130	–161
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	68	131	160
3100 Obligated balance, end of year (net)	68	131	160
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	79	193	190
Outlays, gross:			
4100 Outlays from new mandatory authority	11	65	64
4101 Outlays from mandatory balances		65	97
4110 Outlays, gross (total)	11	130	161
4180 Budget authority, net (total)	79	193	190
4190 Outlays, net (total)	11	130	161

HHS along with CDC began implementing provisions of The James Zadroga 9/11 Health and Compensation Act of 2010 (P.L.111–347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC/NIOSH serves as the Program Administrator for the WTC Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The amounts included for FY 2012 and FY 2013 Budget reflect estimated Federal obligations for the WTC Health Program.

Object Classification (in millions of dollars)

Identification code 75–0946–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
25.1 Advisory and assistance services	8	20	19
25.2 Other services from non-Federal sources	33	80	79
25.3 Other goods and services from Federal sources	2	10	10
25.6 Medical care	34	79	78
41.0 Grants, subsidies, and contributions	2	4	4
99.9 Total new obligations	79	193	190

NATIONAL INSTITUTES OF HEALTH

Federal Funds

National Cancer Institute

For carrying out section 301 and title IV of the PHS Act with respect to cancer, [$5,081,788,000] *$5,068,864,000*, of which up to $8,000,000

NATIONAL INSTITUTES OF HEALTH—Continued

may be used for facilities repairs and improvements at the National Cancer Institute—Frederick Federally Funded Research and Development Center in Frederick, Maryland. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, [$3,084,851,000] *$3,076,067,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental *and craniofacial* diseases, [$411,488,000] *$408,212,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, [$1,800,447,000] *$1,792,107,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, [$1,629,445,000] *$1,624,707,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, [$4,499,215,000] *$4,495,307,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, [$2,434,637,000: *Provided*, That not less than $276,480,000 is provided for the Institutional Development Awards program] *$2,378,835,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, [$1,323,900,000] *$1,320,600,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, [$704,043,000] *$693,015,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, [$686,869,000] *$684,030,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, [$79,054,000] *$78,928,000*. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012.)*

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, [$1,105,530,000] *$1,102,650,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, [$536,801,000] *$535,610,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, [$417,061,000] *$417,297,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, [$145,043,000] *$144,153,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, [$460,389,000] *$457,104,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, [$1,055,362,000] *$1,054,001,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, [$1,483,068,000] *$1,479,204,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, [$513,844,000] *$511,370,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, [$338,998,000] *$336,896,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to complementary and alternative medicine, [$128,299,000] *$127,930,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, [$276,963,000] *$279,389,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), [$69,754,000] *$69,758,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, [$338,278,000] *$372,651,000*, of which $4,000,000 shall be available until September 30, [2013] *2014*, for improvement of information systems: *Provided*, That in fiscal year [2012] *2013*, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH"): *Provided further*, That in addition to amounts provided herein, $8,200,000 shall be available from amounts available under section 241 of the PHS Act to carry out the purposes of the National Information Center on Health Services Research and Health Care Technology established under section 478A of the PHS Act and related health services. *(Department of Health and Human Services Appropriations Act, 2012.)*

NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, [$576,456,000] *$639,033,000*: *Provided*, That up to [$10,000,000] *$50,000,000* shall be available to implement section 402C of the PHS Act, relating to the Cures Acceleration Network[:

Provided further, That funds appropriated may be used to support the reorganization and activities required to eliminate the National Center for Research Resources: *Provided further*, That the Director of the NIH shall ensure that, of all funds made available to Institute, Center, and Office of the Director accounts within "Department of Health and Human Services, National Institutes of Health", at least $487,767,000 is provided to the Clinical and Translational Sciences Awards program⟧. *(Department of Health and Human Services Appropriations Act, 2012.)*

OFFICE OF THE DIRECTOR

For carrying out the responsibilities of the Office of the Director, NIH, ⟦$1,461,880,000⟧ *$1,429,161,000*, of which up to $25,000,000 shall be used to carry out section 213 of this Act: *Provided*, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: *Provided further*, That NIH is authorized to collect third-party payments for the cost of clinical services that are incurred in NIH research facilities and that such payments shall be credited to the NIH Management Fund: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: ⟦*Provided further*, That $193,880,000 shall be available for continuation of the National Children's Study:⟧ *Provided further*, That ⟦$545,962,000⟧ *$544,930,000* shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: *Provided further*, That of the funds provided $10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: *Provided further*, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to $8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act*: Provided further, That the Director may direct up to 1 percent of the total made available in this or any other Act to all National Institutes of Health appropriations to activities that the Director may so designate: Provided further, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer*. *(Department of Health and Human Services Appropriations Act, 2012.)*

BUILDINGS AND FACILITIES

For the study of, construction of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, ⟦$125,581,000⟧ *$125,308,000*, to remain available until ⟦September 30, 2016⟧ *expended*. *(Department of Health and Human Services Appropriations Act, 2012.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–9915–0–1–552	2011 actual	2012 est.	2013 est.
0100 Balance, start of year			
Receipts:			
0220 Cooperative Research and Development Agreements, NIH	17	17	17
0400 Total: Balances and collections	17	17	17
Appropriations:			
0500 National Institutes of Health	–17	–17	–17
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–9915–0–1–552	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 National Cancer Institute (0849)	5,059	5,071	5,069
0002 National Heart, Lung, and Blood Institute (0872)	3,070	3,078	3,076
0003 National Institute of Dental and Craniofacial Research (0873)	409	411	408
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884)	1,792	1,797	1,792
0005 National Institute of Neurological Disorders and Stroke (0886)	1,622	1,626	1,625
0006 National Institute of Allergy and Infectious Diseases (0885)	4,478	4,489	4,495
0007 National Institute of General Medical Sciences (0851)	2,034	2,429	2,379
0008 National Institute of Child Health and Human Development (0844)	1,318	1,321	1,321
0009 National Eye Institute (0887)	701	703	693
0010 National Institute of Environmental Health Sciences (0862)	763	764	763
0011 National Institute on Aging (0843)	1,100	1,103	1,103
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888)	534	536	536
0013 National Institute on Deafness and Other Communication Disorder (0890)	415	416	417
0014 National Institute of Mental Health (0892)	1,477	1,480	1,479
0015 National Institute on Drug Abuse (0893)	1,050	1,053	1,054
0016 National Institute on Alcohol Abuse and Alcoholism (0894)	458	459	457
0017 National Institute of Nursing Research (0889)	144	145	144
0018 National Human Genome Research Institute (0891)	511	513	511
0019 National Institute of Biomedical Imaging and Bioengineering (0898)	314	338	337
0020 National Center for Research Resources (0848)	1,258		
0021 National Center for Complementary and Alternative Medicine (0896)	128	128	128
0022 National Institute on Minority Health and Health Disparities (0897)	210	276	279
0023 John E. Fogarty International Center (0819)	69	70	70
0024 National Library of Medicine (0807)	337	338	373
0025 Office of the Director (0846)	1,167	1,459	1,429
0026 Buildings and facilities (0838)	62	125	125
0027 Cooperative Research and Development Agreements	12	17	17
0028 National Center for Advancing Translational Sciences (0875)		575	639
0031 Type 1 Diabetes	150	150	150
0799 Total direct obligations	30,642	30,870	30,869
0801 Reimbursable program activity	3,190	3,201	3,294
0802 Royalties	88	88	88
0809 Reimbursable program activities, subtotal	3,278	3,289	3,382
0899 Total reimbursable obligations	3,278	3,289	3,382
0900 Total new obligations	33,920	34,159	34,251
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	345	376	375
1020 Adjustment of unobligated bal brought forward, Oct 1	–6		
1021 Recoveries of prior year unpaid obligations	46		
1050 Unobligated balance (total)	385	376	375
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30,828	30,769	30,702
1120 Transferred to other accounts [72–1028]	–297		
1120 Appropriations transferred to other accts [75–0350]		–9	
1121 Transferred from other accounts [75–9912]	1		
1130 Appropriations permanently reduced	–62		
1143 Approp permanently reduced (Sec 527, HR 2055)		–58	
1160 Appropriation, discretionary (total)	30,470	30,702	30,702
Appropriations, mandatory:			
1200 Appropriation	150	150	150
1201 Appropriation (special or trust fund)	17	17	17
1260 Appropriations, mandatory (total)	167	167	167
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,734	3,289	3,382
1701 Change in uncollected payments, Federal sources	544		
1750 Spending auth from offsetting collections, disc (total)	3,278	3,289	3,382
1900 Budget authority (total)	33,915	34,158	34,251
1930 Total budgetary resources available	34,300	34,534	34,626
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4		
1941 Unexpired unobligated balance, end of year	376	375	375
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	39,712	35,622	34,908
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–1,247	–1,151	–1,151
3011 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	6		
3020 Obligated balance, start of year (net)	38,471	34,471	33,757
3030 Obligations incurred, unexpired accounts	33,920	34,159	34,251
3031 Obligations incurred, expired accounts	857		
3040 Outlays (gross)	–37,715	–34,873	–33,863
3050 Change in uncollected pymts, Fed sources, unexpired	–544		
3051 Change in uncollected pymts, Fed sources, expired	634		
3080 Recoveries of prior year unpaid obligations, unexpired	–46		
3081 Recoveries of prior year unpaid obligations, expired	–1,106		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	35,622	34,908	35,296
3091 Uncollected pymts, Fed sources, end of year	–1,151	–1,151	–1,151
3100 Obligated balance, end of year (net)	34,471	33,757	34,145
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	33,748	33,991	34,084

NATIONAL INSTITUTES OF HEALTH—Continued

Program and Financing—Continued

Identification code 75–9915–0–1–552		2011 actual	2012 est.	2013 est.
	Outlays, gross:			
4010	Outlays from new discretionary authority	10,751	11,150	11,243
4011	Outlays from discretionary balances	26,695	23,556	22,453
4020	Outlays, gross (total)	37,446	34,706	33,696
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3,246	–3,289	–3,382
4033	Non-Federal sources	–99	.....	.....
4040	Offsets against gross budget authority and outlays (total)	–3,345	–3,289	–3,382
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–544	.....	.....
4052	Offsetting collections credited to expired accounts	611	.....	.....
4060	Additional offsets against budget authority only (total)	67	.....	.....
4070	Budget authority, net (discretionary)	30,470	30,702	30,702
4080	Outlays, net (discretionary)	34,101	31,417	30,314
	Mandatory:			
4090	Budget authority, gross	167	167	167
	Outlays, gross:			
4100	Outlays from new mandatory authority	1	53	53
4101	Outlays from mandatory balances	268	114	114
4110	Outlays, gross (total)	269	167	167
4180	Budget authority, net (total)	30,637	30,869	30,869
4190	Outlays, net (total)	34,370	31,584	30,481

DISTRIBUTION OF BUDGET AUTHORITY AND OUTLAYS BY ACCOUNT

(in millions of dollars)

	2011	2012[1]	2013
Distribution of budget authority by account:			
National Cancer Institute	5,059	5,071	5,069
National Heart, Lung, and Blood Institute	3,070	3,078	3,076
National Institute of Dental and Craniofacial Research	409	411	408
National Institute of Diabetes and Digestive and Kidney Diseases	1,942	1,947	1,942
National Institute of Neurological Disorder and Stroke	1,622	1,626	1,625
National Institute of Allergy and Infectious Diseases	4,776[2]	4,489[2]	4,495
National Institute of General Medical Sciences	2,034	2,429	2,379
Eunice Kennedy Shriver National Institute of Child Health and Human Development	1,318	1,321	1,321
National Eye Institute	701	703	693
National Institute of Environmental Health Sciences	763	764	763
National Institute on Aging	1,100	1,103	1,103
National Institute of Arthritis and Musculoskeletal and Skin Diseases	534	536	536
National Institute on Deafness and Other Communication Disorders	415	416	417
National Institute of Mental Health	1,477	1,480	1,479
National Institute on Drug Abuse	1,050	1,053	1,054
National Institute on Alcohol Abuse and Alcoholism	458	459	457
National Institute of Nursing Research	144	145	144
National Center for Research Resources	1,265	0	0
National Human Genome Research Institute	511	513	511
National Institute of Biomedical Imaging and Bioengineering	314	338	337
National Institute on Minority Health and Health Disparities	210	276	279
National Center for Complementary and Alternative Medicine	119	128	128
National Center for Advancing Translational Sciences	0	575	639
John E. Fogarty International Center	69	70	70
National Library of Medicine	337	338	373
Office of the Director	1,167	1,459	1,429
Buildings and Facilities	50	125	125
ARRA Funds	0	0	0
Subtotal	30,914	30,852	30,852
Cooperative Research and Development Agreements	12	17	17
Total Budget Authority, NIH	30,926	30,869	30,869

[1]Funding provided in the Consolidated Appropriations Act, 2012, reflects a reorganization of the National Institutes of Health that created the new National Center for Advancing Translational Sciences (NCATS) and abolished the National Center for Research Resources (NCRR). The translational functions of NCRR were moved to NCATS, and the remaining non-translational functions were moved to the National Institute of General Medical Sciences, the National Institute of Biomedical Imaging and Bioengineering, the National Institute on Minority Health and Health Disparities, the National Heart, Lung, and Blood Institute, and the Office of the Director.

[2]The Department of Defense and Full-Year Continuing Appropriations Act, 2011, included $297,300,000 in the National Institute of Allergy and Infectious Diseases for transfer to the Global Fund to Fight HIV/AIDS, Malaria, and Tuberculosis. The Consolidated Appropriations Act, 2012, did not include such a transfer.

(in millions of dollars)

	2011	2012	2013
Distribution of outlays by account:			
National Cancer Institute	5,096	4,704	5,018
National Heart, Lung, and Blood Institute	3,088	3,034	3,045
National Institute of Dental and Craniofacial Research	410	425	415
National Institute of Diabetes and Digestive and Kidney Diseases	1,905	1,893	1,960
National Institute of Neurological Disorders and Stroke	1,580	1,671	1,641
National Institute of Allergy and Infectious Diseases	4,467	4,135	4,526
National Institute of General Medical Sciences	1,985	2,136	2,060
Eunice Kennedy Shriver National Institute of Child Health and Human Development	1,274	1,347	1,335
National Eye Institute	693	680	709
National Institute of Environmental Health Sciences	769	770	773
National Institute on Aging	1,099	1,053	1,135
National Institute of Arthritis and Musculoskeletal and Skin Diseases	532	512	540
National Institute on Deafness and Other Communication Disorders	407	422	420
National Institute of Mental Health	1,492	1,452	1,496
National Institute on Drug Abuse	1,069	976	1,054
National Institute on Alcohol Abuse and Alcoholism	457	463	463
National Institute of Nursing Research	145	131	146
National Center for Research Resources	1,217	788	1,268
National Human Genome Research Institute	506	495	520
National Institute of Biomedical Imaging and Bioengineering	309	307	320
National Institute on Minority Health and Health Disparities	211	202	216
National Center for Complementary and Alternative Medicine	131	106	133
National Center for Advancing Translational Sciences	0	92	453
John E. Fogarty International Center	71	68	71
National Library of Medicine	334	315	363
Office of the Director	1,196	1,423	1,193
Buildings and Facilities	115	146	113
ARRA Funds	3,844	1,864	1,095
Subtotal Outlays	34,402	31,610	32,481
Cooperative Research and Development Agreements	17	17	17
Total Outlays, NIH	34,419	31,627	32,498

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 75–9915–0–1–552		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	878	885	889
11.3	Other than full-time permanent	477	483	494
11.5	Other personnel compensation	44	43	43
11.7	Military personnel	22	22	23
11.8	Special personal services payments	166	170	169
11.9	Total personnel compensation	1,587	1,603	1,618
12.1	Civilian personnel benefits	403	409	412
12.2	Military personnel benefits	16	16	16
21.0	Travel and transportation of persons	56	55	54
22.0	Transportation of things	5	6	5
23.1	Rental payments to GSA	1	1	1
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	26	27	26
24.0	Printing and reproduction	8	4	7
25.1	Advisory and assistance services	112	117	132
25.2	Other services from non-Federal sources	714	718	725
25.3	Other goods and services from Federal sources	3,054	3,056	3,246
25.4	Operation and maintenance of facilities	126	83	77
25.5	Research and development contracts	2,117	2,157	1,968
25.6	Medical care	18	18	17
25.7	Operation and maintenance of equipment	83	85	82
25.8	Subsistence and support of persons	2	.....	.....
26.0	Supplies and materials	194	204	196
31.0	Equipment	120	125	119
32.0	Land and structures	.....	126	125
41.0	Grants, subsidies, and contributions	21,999	22,059	22,042
99.0	Direct obligations	30,642	30,870	30,869
99.0	Reimbursable obligations	3,278	3,289	3,382
99.9	Total new obligations	33,920	34,159	34,251

Employment Summary

Identification code 75–9915–0–1–552	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	13,292	13,292	13,157
1101 Direct military average strength employment	238	238	238
2001 Reimbursable civilian full-time equivalent employment	4,950	4,950	4,899
2101 Reimbursable military average strength employment	89	89	89

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION

Federal Funds

MENTAL HEALTH

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, [$934,853,000] *$902,856,000*: *Provided*, That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: *Provided further*, That in addition to amounts provided herein, [$21,039,000] *$21,039,000* shall be available under section 241 of the PHS Act to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: *Provided further*, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated under this Act for fiscal year [2012: *Provided further*, That of the amount appropriated under this heading, $45,800,000 shall be for the National Child Traumatic Stress Initiative as described in section 582 of the PHS Act] *2013*.

SUBSTANCE ABUSE TREATMENT

For carrying out titles III, V, and XIX of the PHS Act with respect to substance abuse treatment [and section 1922(a) of the PHS Act with respect to substance abuse prevention], [$2,123,993,000] *$1,711,045,000*: *Provided*, That in addition to amounts provided herein, [the following amounts shall be available under section 241 of the PHS Act: (1) $79,200,000] *$71,724,000 shall be available under section 241 of the PHS Act* to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX[; and (2) $2,000,000 to evaluate substance abuse treatment programs]: *Provided further*, That [no funds shall be available for the National All Schedules Prescription Reporting system] *section 1922(a)(1) of the PHS Act shall not apply to amounts provided herein*.

SUBSTANCE ABUSE PREVENTION

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, [$186,361,000] *$463,378,000*.

HEALTH SURVEILLANCE AND PROGRAM SUPPORT

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, [$109,106,000] *$74,229,000*: *Provided*, That in addition to amounts provided herein, [$27,428,000] *$71,995,000* shall be available under section 241 of the PHS Act to supplement funds available to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: *Provided further, That, in addition, fees may be collected for the costs associated with additional publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: Provided further*, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention": *Provided further, That the Administrator may transfer funds between any of the accounts of SAMHSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer. (Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1362–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0002 Mental Health Block Grant	420		
0003 Substance Abuse Block Grant	1,442		
0004 State Prevention Grants	475		
0005 Program Management	120		
0006 Mental Health		933	903
0007 Substance Abuse Treatment		1,775	1,711
0008 Substance Abuse Prevention		530	464
0009 Health Surveillance and Program Support		109	74
0010 Children's Mental Health	118		
0011 PATH Homeless State Grants	65		
0012 Protection and Advocacy	36		
0013 Innovation and Emerging Issues	680		
0014 Public Education and Support	14		
0022 Performance and Quality Information Systems	38		
0023 Regulatory and Oversight Functions	53		
0100 Total, direct program	3,461	3,347	3,152
0799 Total direct obligations	3,461	3,347	3,152
0802 Reimbursable program: PHS Evaluation	256	255	292
0900 Total new obligations	3,717	3,602	3,444
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			88
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,386	3,354	3,152
1120 Appropriations transferred to other accts [75–0350]		–1	
1130 Appropriations permanently reduced	–7		
1143 Approp permanently reduced (Sec 527, HR 2055)		–6	
1160 Appropriation, discretionary (total)	3,379	3,347	3,152
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [75–0116]	88	88	105
1260 Appropriations, mandatory (total)	88	88	105
Spending authority from offsetting collections, discretionary:			
1700 Collected	144	255	292
1700 Collected			2
1701 Change in uncollected payments, Federal sources	106		
1750 Spending auth from offsetting collections, disc (total)	250	255	294
1900 Budget authority (total)	3,717	3,690	3,551
1930 Total budgetary resources available	3,717	3,690	3,639
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		88	195
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2,828	2,964	2,877
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–151	–239	–239
3020 Obligated balance, start of year (net)	2,677	2,725	2,638
3030 Obligations incurred, unexpired accounts	3,717	3,602	3,444
3040 Outlays (gross)	–3,557	–3,689	–3,699
3050 Change in uncollected pymts, Fed sources, unexpired	–106		
3051 Change in uncollected pymts, Fed sources, expired	18		
3081 Recoveries of prior year unpaid obligations, expired	–24		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	2,964	2,877	2,622
3091 Uncollected pymts, Fed sources, end of year	–239	–239	–239
3100 Obligated balance, end of year (net)	2,725	2,638	2,383
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,629	3,602	3,446
Outlays, gross:			
4010 Outlays from new discretionary authority	1,465	1,594	1,555
4011 Outlays from discretionary balances	2,083	2,042	2,047
4020 Outlays, gross (total)	3,548	3,636	3,602

SUSBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION—Continued

Program and Financing—Continued

Identification code 75–1362–0–1–551		2011 actual	2012 est.	2013 est.
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–144	–255	–292
4033	Non-Federal sources			–2
4040	Offsets against gross budget authority and outlays (total)	–144	–255	–294
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–106		
4070	Budget authority, net (discretionary)	3,379	3,347	3,152
4080	Outlays, net (discretionary)	3,404	3,381	3,308
	Mandatory:			
4090	Budget authority, gross	88	88	105
	Outlays, gross:			
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	9	52	96
4110	Outlays, gross (total)	9	53	97
4180	Budget authority, net (total)	3,467	3,435	3,257
4190	Outlays, net (total)	3,413	3,434	3,405

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with states, communities, tribal and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities. The Consolidated Appropriations Act of 2012 (P.L. 112–74) restructured the appropriation accounts for SAMHSA, which is continued in FY 2013.

Object Classification (in millions of dollars)

Identification code 75–1362–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	47	46	46
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	1	1	1
11.7	Military personnel	4	4	4
11.9	Total personnel compensation	54	53	53
12.1	Civilian personnel benefits	12	12	12
12.2	Military personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	2	2
23.1	Rental payments to GSA	7	7	7
23.3	Communications, utilities, and miscellaneous charges	1	1	1
24.0	Printing and reproduction	3	3	3
25.1	Advisory and assistance services	29	26	17
25.2	Other services from non-Federal sources	229	201	130
25.3	Other goods and services from Federal sources	87	90	58
25.7	Operation and maintenance of equipment	1	1	1
41.0	Grants, subsidies, and contributions	3,034	2,948	2,865
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	3,461	3,347	3,152
99.0	Reimbursable obligations	256	255	292
99.9	Total new obligations	3,717	3,602	3,444

Employment Summary

Identification code 75–1362–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	472	496	496
1101	Direct military average strength employment	52	54	54
2001	Reimbursable civilian full-time equivalent employment	19	20	20
2101	Reimbursable military average strength employment	4	4	4

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

Federal Funds

HEALTHCARE RESEARCH AND QUALITY

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, [$369,053,000] *$334,357,000* shall be available from amounts available under section 241 of the PHS Act, notwithstanding subsection 947(c) of such Act: *Provided*, That in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data shall be credited to this appropriation and shall remain available until [September 30, 2013] *expended*. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1700–0–1–552		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0003	Prevention and Public Health Fund	12	12	12
0801	Reimbursable program	17	17	17
0802	Reimbursable program: PHS evaluation	371	369	334
0899	Total reimbursable obligations	388	386	351
0900	Total new obligations	400	398	363
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	6	4	4
	Budget authority:			
	Appropriations, mandatory:			
1221	Appropriations transferred from other accts [75–0116]	12	12	12
1260	Appropriations, mandatory (total)	12	12	12
	Spending authority from offsetting collections, discretionary:			
1700	Collected	159	386	351
1701	Change in uncollected payments, Federal sources	227		
1750	Spending auth from offsetting collections, disc (total)	386	386	351
1900	Budget authority (total)	398	398	363
1930	Total budgetary resources available	404	402	367
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	4	4
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,165	988	324
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–451	–382	–382
3020	Obligated balance, start of year (net)	714	606	–58
3030	Obligations incurred, unexpired accounts	400	398	363
3031	Obligations incurred, expired accounts	2		
3040	Outlays (gross)	–566	–1,062	–533
3050	Change in uncollected pymts, Fed sources, unexpired	–227		
3051	Change in uncollected pymts, Fed sources, expired	296		
3081	Recoveries of prior year unpaid obligations, expired	–13		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	988	324	154
3091	Uncollected pymts, Fed sources, end of year	–382	–382	–382
3100	Obligated balance, end of year (net)	606	–58	–228
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	386	386	351
	Outlays, gross:			
4010	Outlays from new discretionary authority	126	386	351
4011	Outlays from discretionary balances	435	673	175
4020	Outlays, gross (total)	561	1,059	526
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–451	–768	–388
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–227		
4052	Offsetting collections credited to expired accounts	292	382	37
4060	Additional offsets against budget authority only (total)	65	382	37
4080	Outlays, net (discretionary)	110	291	138
	Mandatory:			
4090	Budget authority, gross	12	12	12

	Outlays, gross:			
4100	Outlays from new mandatory authority	1	.....	.....
4101	Outlays from mandatory balances	4	3	7
4110	Outlays, gross (total)	5	3	7
4180	Budget authority, net (total)	12	12	12
4190	Outlays, net (total)	115	294	145

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

Object Classification (in millions of dollars)

Identification code 75–1700–0–1–552		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.5	Research and development contracts	8	8	8
41.0	Grants, subsidies, and contributions	4	4	4
99.0	Direct obligations	12	12	12
99.0	Reimbursable obligations	388	386	351
99.9	Total new obligations	400	398	363

Employment Summary

Identification code 75–1700–0–1–552		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	3	3	3
2001	Reimbursable civilian full-time equivalent employment	300	300	300
2101	Reimbursable military average strength employment	10	10	10

CENTERS FOR MEDICARE AND MEDICAID SERVICES

Federal Funds

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, [$184,279,110,000] *$178,791,197,000*, to remain available until expended.

For making, after May 31, [2012] *2013*, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the last quarter of fiscal year [2012] *2013* for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year [2013, $90,614,082,000] *2014, $106,335,631,000*, to remain available until expended.

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0512–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Medicaid vendor payments	276,980	245,689	263,693
0002	State and local administration	14,083	14,885	14,735
0003	Vaccines for Children	3,953	4,009	4,271
0004	Incurred by providers but not yet reported	117	1,360	1,360
0799	Total direct obligations	295,133	265,943	284,059
0801	Medicare Part B premiums	703	320	.....
0900	Total new obligations	295,836	266,263	284,059
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	17,008	408	14,654
1021	Recoveries of prior year unpaid obligations	20,039	9,465	.....
1050	Unobligated balance (total)	37,047	9,873	14,654
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation Medicaid	.....	184,279	178,791
1200	Appropriation Medicaid, Indefinite	171,576	.....	.....
1260	Appropriations, mandatory (total)	171,576	184,279	178,791
	Advance appropriations, mandatory:			
1270	Advance appropriation	86,789	86,445	90,614
1280	Advanced appropriation, mandatory (total)	86,789	86,445	90,614
	Spending authority from offsetting collections, mandatory:			
1800	Collected	832	320	.....
1850	Spending auth from offsetting collections, mand (total)	832	320	.....
1900	Budget authority (total)	259,197	271,044	269,405
1930	Total budgetary resources available	296,244	280,917	284,059
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	408	14,654	.....
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	28,391	28,392	29,751
3030	Obligations incurred, unexpired accounts	295,836	266,263	284,059
3040	Outlays (gross)	–275,796	–255,439	–282,699
3080	Recoveries of prior year unpaid obligations, unexpired	–20,039	–9,465	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	28,392	29,751	31,111
3100	Obligated balance, end of year (net)	28,392	29,751	31,111
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	259,197	271,044	269,405
	Outlays, gross:			
4100	Outlays from new mandatory authority	259,197	237,457	267,961
4101	Outlays from mandatory balances	16,599	17,982	14,738
4110	Outlays, gross (total)	275,796	255,439	282,699
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–703	–320	.....
4123	Non-Federal sources	–129	.....	.....
4130	Offsets against gross budget authority and outlays (total)	–832	–320	.....
4160	Budget authority, net (mandatory)	258,365	270,724	269,405
4170	Outlays, net (mandatory)	274,964	255,119	282,699
4180	Budget authority, net (total)	258,365	270,724	269,405
4190	Outlays, net (total)	274,964	255,119	282,699

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	258,365	270,724	269,405
Outlays	274,964	255,119	282,699
Amounts included in the adjusted baseline:			
Budget Authority	.....	–11	–70
Outlays	.....	–11	–70
Legislative proposal, not subject to PAYGO:			
Budget Authority	.....	–215	–695
Outlays	.....	–215	–695
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	370	885
Outlays	.....	370	885
Total:			
Budget Authority	258,365	270,868	269,525
Outlays	274,964	255,263	282,819

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of Title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

GRANTS TO STATES FOR MEDICAID—Continued

Vaccines for Children

(in millions of dollars)

Obligations	2011	2012	2013
Vaccine Purchase	3,663	3,703	3,972
Vaccine Stockpile	138	137	137
Ordering, Distribution, and Operations	108	126	119
Vaccine Management Contract Support	4	2	1
Evaluation Activities	40	41	42
Total Obligations	3,953	4,009	4,271

Object Classification (in millions of dollars)

Identification code 75–0512–0–1–551		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	295,133	265,943	284,059
99.0	Reimbursable obligations	703	320	
99.9	Total new obligations	295,836	266,263	284,059

GRANTS TO STATES FOR MEDICAID

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75–0512–7–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Medicaid vendor payments		–11	–70
0900	Total new obligations (object class 41.0)		–11	–70
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation		–11	–70
1260	Appropriations, mandatory (total)		–11	–70
1930	Total budgetary resources available		–11	–70
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		–11	–70
3040	Outlays (gross)		11	70
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		–11	–70
	Outlays, gross:			
4100	Outlays from new mandatory authority		–11	–70
4180	Budget authority, net (total)		–11	–70
4190	Outlays, net (total)		–11	–70

Please see the narratives in the *Limitation on Administrative Expenses* and *Health Care Fraud and Abuse Control* accounts for a description of the program integrity estimates reflected here.

GRANTS TO STATES FOR MEDICAID

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0512–2–1–551		2011 actual	2012 est.	2013 est.
	Budget authority and outlays, net:			
	Mandatory:			
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources		–215	–695
4180	Budget authority, net (total)		–215	–695
4190	Outlays, net (total)		–215	–695

This schedule reflects the offsetting collections from Medicare Part B for the extension of the Qualified Individual (QI) program.

GRANTS TO STATES FOR MEDICAID

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0512–4–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Program Integrity			–151
0003	Other Proposals		155	341
0799	Total direct obligations		155	190
0801	Medicare Part B premiums		215	695
0900	Total new obligations		370	885
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation Medicaid		155	190
1260	Appropriations, mandatory (total)		155	190
	Spending authority from offsetting collections, mandatory:			
1800	Collected		215	695
1850	Spending auth from offsetting collections, mand (total)		215	695
1900	Budget authority (total)		370	885
1930	Total budgetary resources available		370	885
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		370	885
3040	Outlays (gross)		–370	–885
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		370	885
	Outlays, gross:			
4100	Outlays from new mandatory authority		370	885
4180	Budget authority, net (total)		370	885
4190	Outlays, net (total)		370	885

This schedule reflects the Administration's Medicaid proposals.

Object Classification (in millions of dollars)

Identification code 75–0512–4–1–551		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions		155	190
99.0	Reimbursable obligations		215	695
99.9	Total new obligations		370	885

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

Identification code 75–0516–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0010	Ticket to Work grants	75		
0011	Emergency health services for undocumented aliens	88	22	18
0012	Medicaid integrity program	77	96	80
0015	Partnerships for long-term care	3		
0017	Psychiatric residential treatment demonstration	148		
0018	Money follows the person (MFP) demonstration	298	410	403
0019	MFP evaluations and technical support	1	1	1
0023	Grants to improve outreach and enrollment	43	7	34
0024	Application of Prospective Payment System		3	
0025	Medicaid emergency psychiatric demonstration	1	23	24
0026	Incentives for prevention of chronic diseases in Medicaid	18	29	22
0799	Total direct obligations	752	591	582
0801	Reimbursable program activity	6		
0900	Total new obligations	758	591	582
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1,206	1,304	1,241

1021	Recoveries of prior year unpaid obligations	47	.....	.....
1050	Unobligated balance (total)	1,253	1,304	1,241
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation (Ticket to Work)	47	.....	.....
1200	Appropriation (Expansion of long-term care partnerships)	3	.....	.....
1200	Appropriation (Psychiatric residential treatment demonstration)	57	.....	.....
1200	Appropriation (Money follows the person (MFP) demonstration)	449	449	449
1200	Appropriation (MFP research & evaluation)	1	1	1
1200	Appropriation (Medicaid integrity program)	76	78	80
1200	Appropriation (Medicaid Emergency Psychiatric Demo)	75	.....	.....
1200	Appropriation (Incentives for Prevention of Chronic Diseases in Medicaid)	100	.....	.....
1260	Appropriations, mandatory (total)	808	528	530
	Spending authority from offsetting collections, mandatory:			
1800	Collected	6	.....	.....
1850	Spending auth from offsetting collections, mand (total)	6	.....	.....
1900	Budget authority (total)	814	528	530
1930	Total budgetary resources available	2,067	1,832	1,771
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–5	.....	.....
1941	Unexpired unobligated balance, end of year	1,304	1,241	1,189
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	738	881	868
3030	Obligations incurred, unexpired accounts	758	591	582
3040	Outlays (gross)	–568	–604	–474
3080	Recoveries of prior year unpaid obligations, unexpired	–47	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	881	868	976
3100	Obligated balance, end of year (net)	881	868	976
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	814	528	530
	Outlays, gross:			
4100	Outlays from new mandatory authority	57	79	80
4101	Outlays from mandatory balances	511	525	394
4110	Outlays, gross (total)	568	604	474
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–6	.....	.....
4180	Budget authority, net (total)	808	528	530
4190	Outlays, net (total)	562	604	474

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106–170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108–173), the Deficit Reduction Act of 2005 (P.L. 109–171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3), the Patient Protection and Affordable Care Act (P.L. 111–148), and the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152).

The Budget includes an unobligated balance of $125 million for activities authorized by Section 204 of the Ticket to Work and Work Incentives Improvement Act. Thus, pursuant to 31 U.S.C. 1555, any remaining balance (whether obligated or unobligated) will be withdrawn and returned to the Treasury two years after there has been no disbursement made against the appropriation.

Object Classification (in millions of dollars)

Identification code 75–0516–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent - Medicaid Integrity Program	9	9	9
12.1	Civilian personnel benefits - Medicaid Integrity Program	6	6	6
41.0	Grants, subsidies, and contributions - Ticket to Work	75	.....	.....
41.0	Grants, subsidies, and contributions - Emergency services for undocumented aliens	88	22	18
41.0	Grants, subsidies, and contributions - Medicaid Integrity Program	62	81	65
41.0	Grants, subsidies, and contributions - Partnership for long-term care	3	.....	.....
41.0	Grants, subsidies, and contributions - Psychiatric residential treatment demonstration	148	.....	.....
41.0	Grants, subsidies, and contributions - Money follows the person (MFP) demonstration	298	410	403
41.0	Grants, subsidies, and contributions - MFP evaluations and technical support	1	1	1
41.0	Grants, subsidies, and contributions - Grants to improve outreach and enrollment	43	7	34
41.0	Grants, subsidies, and contributions - Application of Prospective Payment System	1	3	.....
41.0	Grants, subsidies, and contributions - Medicaid emergency psychiatric demonstration	18	23	24
41.0	Grants, subsidies, and contributions - Incentives for prevention of chronic diseases in Medicaid	.....	29	22
99.0	Direct obligations	752	591	582
99.0	Reimbursable obligations	6	.....	.....
99.9	Total new obligations	758	591	582

Employment Summary

Identification code 75–0516–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	81	100	102

Payments to Health Care Trust Funds

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97–248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, [$230,741,378,000] *$251,359,000,000*.

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0580–0–1–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Federal contribution to match premiums (SMI)	168,849	167,184	189,520
0002	Part D benefits (Rx Drug)	55,929	47,282	60,744
0003	Part D Federal administration (Rx Drug)	373	405	424
0004	General Fund Transfers to HI	489	603	671
0006	Federal Bureau of Investigation (HCFAC)	128	132	135
0007	Federal payments from taxation of OASDI benefits (HI)	15,143	18,443	20,811
0008	Criminal fines (HCFAC)	1,196	1,045	1,127
0009	Civil monetary penalties and damages (HCFAC - DOJ and CMS administration)	18	20	20
0010	Asset Forfeiture	22	.....	.....
0900	Total new obligations	242,147	235,114	273,452
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation (definite, annual)	229,464	230,741	251,359
1200	Appropriation (indefinite, permanent)	15,143	18,443	20,811
1200	Appropriation (HCFAC for FBI)	128	132	135
1200	Appropriation (indefinite for HCFAC)	1,236	1,065	1,147
1260	Appropriations, mandatory (total)	245,971	250,381	273,452
1930	Total budgetary resources available	245,971	250,381	273,452
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–3,824	–15,267	.....
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	242,147	235,114	273,452
3031	Obligations incurred, expired accounts	46	.....	.....
3040	Outlays (gross)	–242,193	–235,114	–273,452

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued

Program and Financing—Continued

Identification code 75–0580–0–1–571		2011 actual	2012 est.	2013 est.
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	245,971	250,381	273,452
	Outlays, gross:			
4100	Outlays from new mandatory authority	242,147	235,114	273,452
4101	Outlays from mandatory balances	46	.....	.....
4110	Outlays, gross (total)	242,193	235,114	273,452
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–19	.....	.....
	Additional offsets against gross budget authority only:			
4142	Offsetting collections credited to expired accounts	19	.....	.....
4160	Budget authority, net (mandatory)	245,971	250,381	273,452
4170	Outlays, net (mandatory)	242,174	235,114	273,452
4180	Budget authority, net (total)	245,971	250,381	273,452
4190	Outlays, net (total)	242,174	235,114	273,452

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	245,971	250,381	273,452
Outlays	242,174	235,114	273,452
Amounts included in the adjusted baseline:			
Budget Authority	.....	.....	4,514
Outlays	.....	.....	4,514
Legislative proposal, not subject to PAYGO:			
Budget Authority	.....	.....	–4,565
Outlays	.....	.....	–4,565
Increased funding for BBEDCA program integrity adjustments:			
Outlays	.....	114	.....
Total:			
Budget Authority	245,971	250,381	273,401
Outlays	242,174	235,228	273,401

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and certain other qualified individuals.

Object Classification (in millions of dollars)

Identification code 75–0580–0–1–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
41.0	Grants, subsidies, and contributions	241,139	234,106	272,357
42.0	Insurance claims and indemnities	275	262	228
94.0	Financial transfers (Federal admin)	733	746	867
99.9	Total new obligations	242,147	235,114	273,452

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75–0580–7–1–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Federal contribution to match premiums (SMI)	.....	.....	4,514
0900	Total new obligations (object class 41.0)	.....	.....	4,514
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation (definite annuall)	.....	.....	4,514
1260	Appropriations, mandatory (total)	.....	.....	4,514
1930	Total budgetary resources available	.....	.....	4,514
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	4,514
3040	Outlays (gross)	.....	.....	–4,514
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	.....	4,514
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	.....	4,514
4180	Budget authority, net (total)	.....	.....	4,514
4190	Outlays, net (total)	.....	.....	4,514

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0580–2–1–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Federal contribution to match premiums (SMI)	.....	.....	–15
0002	Part D benefits (Rx Drug)	.....	.....	–4,550
0900	Total new obligations (object class 41.0)	.....	.....	–4,565
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation (definite, annual)	.....	.....	–4,565
1260	Appropriations, mandatory (total)	.....	.....	–4,565
1930	Total budgetary resources available	.....	.....	–4,565
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	–4,565
3040	Outlays (gross)	.....	.....	4,565
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	.....	–4,565
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	.....	–4,565
4180	Budget authority, net (total)	.....	.....	–4,565
4190	Outlays, net (total)	.....	.....	–4,565

QUALITY IMPROVEMENTS ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 75–0519–0–1–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	QIO contracts	612	315	270
0002	QIO support contracts	244	125	108
0900	Total new obligations	856	440	378
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	.....	387
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	20	827	528
1801	Change in uncollected payments, Federal sources	999	.....	.....
1850	Spending auth from offsetting collections, mand (total)	1,019	827	528
1930	Total budgetary resources available	1,019	827	915
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–163	.....	.....
1941	Unexpired unobligated balance, end of year	.....	387	537
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	.....	824	437
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–999	–999
3020	Obligated balance, start of year (net)	.....	–175	–562
3030	Obligations incurred, unexpired accounts	856	440	378
3040	Outlays (gross)	–32	–827	–528
3050	Change in uncollected pymts, Fed sources, unexpired	–999	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	824	437	287
3091	Uncollected pymts, Fed sources, end of year	–999	–999	–999

3100	Obligated balance, end of year (net)	–175	–562	–712
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1,019	827	528
	Outlays, gross:			
4100	Outlays from new mandatory authority	32	66	56
4101	Outlays from mandatory balances		761	472
4110	Outlays, gross (total)	32	827	528
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–20	–827	–528
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–999		
4170	Outlays, net (mandatory)	12		
4190	Outlays, net (total)	12		

Object Classification (in millions of dollars)

Identification code 75–0519–0–1–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		28	28
25.2	Other services from non-Federal sources	848	404	342
25.3	Other goods and services from Federal sources	8	8	8
99.9	Total new obligations	856	440	378

Employment Summary

Identification code 75–0519–0–1–571		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment		164	164

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare and Medicaid Services, not to exceed [$3,879,476,000,] *$4,820,808,000,* to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 302 of the Tax Relief and Health Care Act of 2006; and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until [September 30, 2017] *expended*: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That [$34,000,000,] *$11,150,000,* to remain available through September 30, [2013] *2014*, shall be for contract costs for the Healthcare Integrated General Ledger Accounting System: *Provided further*, That the Secretary is directed to collect fees in fiscal year [2012] *2013* from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act[: *Provided further*, That $44,000,000 shall be available for the State high-risk health insurance pool program as authorized by the State High Risk Pool Funding Extension Act of 2006]. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0511–0–1–550		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Program operations	2,592	2,922	3,641
0002	Federal administration	687	960	870
0003	State survey and certification	390	444	408
0004	Research, demonstrations, and evaluation projects	48	632	59
0006	High-risk pool grants	55	44	22
0007	ARRA Medicare/Medicaid HIT	160	195	193
0008	Consumer Assistance Grants	27	2	
0100	Total direct program	3,959	5,199	5,193
0799	Total direct obligations	3,959	5,199	5,193
0801	Clinical laboratory improvement amendments	53	43	43
0802	Sale of data	12	7	7
0803	Coordination of benefits	34	48	35
0804	Medicare advantage/Prescription drug plan	34	70	70
0805	Provider enrollment		72	72
0806	Recovery audit contractors	130	310	310
0807	Other reimbursable program activity	18		
0809	Reimbursable program activities, subtotal	281	550	537
0899	Total reimbursable obligations	281	550	537
0900	Total new obligations	4,240	5,749	5,730
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	850	1,349	339
1021	Recoveries of prior year unpaid obligations	11		
1050	Unobligated balance (total)	861	1,349	339
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	403	253	253
1260	Appropriations, mandatory (total)	403	253	253
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1,965	4,306	5,286
1701	Change in uncollected payments, Federal sources	1,805		
1750	Spending auth from offsetting collections, disc (total)	3,770	4,306	5,286
	Spending authority from offsetting collections, mandatory:			
1800	Collected	71	180	101
1801	Change in uncollected payments, Federal sources	547		
1850	Spending auth from offsetting collections, mand (total)	618	180	101
1900	Budget authority (total)	4,791	4,739	5,640
1930	Total budgetary resources available	5,652	6,088	5,979
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–63		
1941	Unexpired unobligated balance, end of year	1,349	339	249
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2,480	2,825	2,587
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–2,867	–3,623	–3,623
3020	Obligated balance, start of year (net)	–387	–798	–1,036
3030	Obligations incurred, unexpired accounts	4,240	5,749	5,730
3031	Obligations incurred, expired accounts	90		
3040	Outlays (gross)	–3,786	–5,987	–5,755
3050	Change in uncollected pymts, Fed sources, unexpired	–2,352		
3051	Change in uncollected pymts, Fed sources, expired	1,596		
3080	Recoveries of prior year unpaid obligations, unexpired	–11		
3081	Recoveries of prior year unpaid obligations, expired	–188		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	2,825	2,587	2,562
3091	Uncollected pymts, Fed sources, end of year	–3,623	–3,623	–3,623
3100	Obligated balance, end of year (net)	–798	–1,036	–1,061
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3,770	4,306	5,286
	Outlays, gross:			
4010	Outlays from new discretionary authority	1,813	4,306	5,286
4011	Outlays from discretionary balances	1,605		
4020	Outlays, gross (total)	3,418	4,306	5,286
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3,154	–3,828	–4,821
4033	Non-Federal sources	–313	–478	–465
4040	Offsets against gross budget authority and outlays (total)	–3,467	–4,306	–5,286
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–1,805		
4052	Offsetting collections credited to expired accounts	1,502		
4060	Additional offsets against budget authority only (total)	–303		
4080	Outlays, net (discretionary)	–49		
	Mandatory:			
4090	Budget authority, gross	1,021	433	354
	Outlays, gross:			
4100	Outlays from new mandatory authority	73	275	213
4101	Outlays from mandatory balances	295	1,406	256

PROGRAM MANAGEMENT—Continued

Program and Financing—Continued

Identification code 75–0511–0–1–550		2011 actual	2012 est.	2013 est.
4110	Outlays, gross (total)	368	1,681	469
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–83	–108	–29
4123	Non-Federal sources	–5	–72	–72
4130	Offsets against gross budget authority and outlays (total)	–88	–180	–101
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–547	.....	.....
4142	Offsetting collections credited to expired accounts	17	.....	.....
4150	Additional offsets against budget authority only (total)	–530	.....	.....
4160	Budget authority, net (mandatory)	403	253	253
4170	Outlays, net (mandatory)	280	1,501	368
4180	Budget authority, net (total)	403	253	253
4190	Outlays, net (total)	231	1,501	368

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	403	253	253
Outlays	231	1,501	368
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	400
Outlays	.....	.....	100
Total:			
Budget Authority	403	253	653
Outlays	231	1,501	468

Program management activities include funding for program operations, survey and certification, State high-risk pools, Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts and other administrative costs.

Object Classification (in millions of dollars)

Identification code 75–0511–0–1–550		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	418	474	493
11.3	Other than full-time permanent	14	11	11
11.5	Other personnel compensation	9	8	8
11.7	Military personnel	9	10	10
11.9	Total personnel compensation	450	503	522
12.1	Civilian personnel benefits	120	122	131
12.2	Military personnel benefits	4	5	5
21.0	Travel and transportation of persons	9	16	12
22.0	Transportation of things	1	.....	.....
23.1	Rental payments to GSA	15	24	25
23.3	Communications, utilities, and miscellaneous charges	55	.....	.....
24.0	Printing and reproduction	35	4	4
25.1	Advisory and assistance services	16	.....	.....
25.2	Other services from non-Federal sources	1,607	2,271	2,904
25.3	Other goods and services from Federal sources	77	11	7
25.5	Research and development contracts	32	669	82
25.6	Medical care	1,228	1,306	1,387
25.7	Operation and maintenance of equipment	132	.....	.....
26.0	Supplies and materials	13	1	1
31.0	Equipment	8	.....	.....
32.0	Land and structures	.....	11	11
41.0	Grants, subsidies, and contributions	157	256	102
99.0	Direct obligations	3,959	5,199	5,193
99.0	Reimbursable obligations	281	550	537
99.9	Total new obligations	4,240	5,749	5,730

Employment Summary

Identification code 75–0511–0–1–550		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	4,086	4,591	4,726
1001	Direct civilian full-time equivalent employment	14	35	35
1101	Direct military average strength employment	107	107	107
2001	Reimbursable civilian full-time equivalent employment	105	123	124

PROGRAM MANAGEMENT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0511–4–1–550		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Program operations	.....	.....	400
0100	Total direct program	.....	.....	400
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	.....	.....	400
1260	Appropriations, mandatory (total)	.....	.....	400
1930	Total budgetary resources available	.....	.....	400
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	400
3040	Outlays (gross)	.....	.....	–100
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	.....	.....	300
3100	Obligated balance, end of year (net)	.....	.....	300
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	.....	400
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	.....	100
4180	Budget authority, net (total)	.....	.....	400
4190	Outlays, net (total)	.....	.....	100

The budget includes a package of proposals that improve Medicaid's finances, Medicare's sustainability, and slow the growth of Part D net expenditures. To achieve the reforms proposed, HHS will need to implement significant administrative changes to its systems and processes.

Object Classification (in millions of dollars)

Identification code 75–0511–4–1–550		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	.....	.....	2
12.1	Civilian personnel benefits	.....	.....	1
25.2	Other services from non-Federal sources	.....	.....	397
99.9	Total new obligations	.....	.....	400

Employment Summary

Identification code 75–0511–4–1–550		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	.....	.....	20

CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 75–0515–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Grants to States and U.S. territories	8,479	8,914	9,700
0002	Performance bonus payments	217	296	380
0003	Child health quality improvement	44	51	47
0900	Total new obligations (object class 41.0)	8,740	9,261	10,127
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4,330	7,527	6,925
1012	Unobligated balance transfers between expired and unexpired accounts	1,917	.....	.....

1021	Recoveries of prior year unpaid obligations	16	.....	.....
1050	Unobligated balance (total)	6,263	7,527	6,925
	Budget authority:			
	Appropriations, discretionary:			
1131	Unobligated balance of appropriations permanently reduced	.....	.....	–6,706
1160	Appropriation, discretionary (total)	.....	.....	–6,706
	Appropriations, mandatory:			
1200	Appropriation	13,504	14,982	17,406
1200	Appropriation	.....	45	45
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	–3,500	–6,368	.....
1260	Appropriations, mandatory (total)	10,004	8,659	17,451
1900	Budget authority (total)	10,004	8,659	10,745
1930	Total budgetary resources available	16,267	16,186	17,670
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	7,527	6,925	7,543
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	8,195	7,107	6,590
3030	Obligations incurred, unexpired accounts	8,740	9,261	10,127
3031	Obligations incurred, expired accounts	46	.....	.....
3040	Outlays (gross)	–8,629	–9,778	–10,027
3080	Recoveries of prior year unpaid obligations, unexpired	–16	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–1,229	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	7,107	6,590	6,690
3100	Obligated balance, end of year (net)	7,107	6,590	6,690
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	.....	.....	–6,706
	Mandatory:			
4090	Budget authority, gross	10,004	8,659	17,451
	Outlays, gross:			
4100	Outlays from new mandatory authority	2,718	4,445	4,045
4101	Outlays from mandatory balances	5,911	5,333	5,982
4110	Outlays, gross (total)	8,629	9,778	10,027
4180	Budget authority, net (total)	10,004	8,659	10,745
4190	Outlays, net (total)	8,629	9,778	10,027

The Balanced Budget Act of 1997 established the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3) reauthorized the CHIP program through FY 2013 and made some modifications to the program including increased funding for States and territories, bonus payments for States that exceed Medicaid child enrollment targets, and support for child health quality and outreach activities. A contingency fund to assist States who project spending above their available allocated CHIP funds was also created by CHIPRA and funding is included in a separate account. The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) extended funding for CHIP, providing appropriations through FY 2015.

Center for Medicare and Medicaid Innovation

Program and Financing (in millions of dollars)

Identification code 75–0522–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Administration	43	112	155
0002 Innovation Activities	52	1,581	1,207
0900 Total new obligations	95	1,693	1,362
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	9,910	8,217
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	10,000	.....	.....
1260 Appropriations, mandatory (total)	10,000	.....	.....
1930 Total budgetary resources available	10,005	9,910	8,217
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9,910	8,217	6,855
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	.....	84	1,044
3030 Obligations incurred, unexpired accounts	95	1,693	1,362
3040 Outlays (gross)	–11	–733	–1,090
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	84	1,044	1,316
3100 Obligated balance, end of year (net)	84	1,044	1,316
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	10,000	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority	11	.....	.....
4101 Outlays from mandatory balances	.....	733	1,090
4110 Outlays, gross (total)	11	733	1,090
4180 Budget authority, net (total)	10,000	.....	.....
4190 Outlays, net (total)	11	733	1,090

Object Classification (in millions of dollars)

Identification code 75–0522–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	11	11
12.1 Civilian personnel benefits	1	3	3
25.2 Other services from non-Federal sources	77	1,581	1,207
25.3 Other goods and services from Federal sources	8	98	141
31.0 Equipment	4	.....	.....
99.9 Total new obligations	95	1,693	1,362

Employment Summary

Identification code 75–0522–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	68	204	265

Child Enrollment Contingency Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–5551–0–2–551	2011 actual	2012 est.	2013 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0240 Interest, Child Enrollment Contingency Fund	8	7	13
0400 Total: Balances and collections	8	7	13
Appropriations:			
0500 Child Enrollment Contingency Fund	–8	–7	–13
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 75–5551–0–2–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Grants to States and US Territories	29	100	200
0900 Total new obligations (object class 41.0)	29	100	200
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,115	2,094	2,001

CHILD ENROLLMENT CONTINGENCY FUND—Continued

Program and Financing—Continued

Identification code 75–5551–0–2–551	2011 actual	2012 est.	2013 est.
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	7	13
1260 Appropriations, mandatory (total)	8	7	13
1930 Total budgetary resources available	2,123	2,101	2,014
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,094	2,001	1,814
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		25	
3030 Obligations incurred, unexpired accounts	29	100	200
3040 Outlays (gross)	–4	–125	–200
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	25		
3100 Obligated balance, end of year (net)	25		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	8	7	13
Outlays, gross:			
4100 Outlays from new mandatory authority	4		
4101 Outlays from mandatory balances		125	200
4110 Outlays, gross (total)	4	125	200
4180 Budget authority, net (total)	8	7	13
4190 Outlays, net (total)	4	125	200
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	2,119	2,093	2,001
5001 Total investments, EOY: Federal securities: Par value	2,093	2,001	1,814

The Children's Health Insurance Program Reauthorization Act (CHIPRA) of 2009 established the Child Enrollment Contingency Fund under Title XXI. Beginning in FY 2009, a State may qualify for a contingency fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 extended the contingency fund through FY 2015.

The fund received an initial appropriation equal to 20 percent of the FY 2009 national allotment ($2.1 billion). In fiscal years 2010 through 2015, the statute appropriates the amount necessary to make payments to eligible States, but not to exceed 20 percent of the total annual appropriation for CHIP allotments to States. Any amounts in excess of this aggregate cap will be made available for CHIP performance bonus payments. The contingency fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 75–0508–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Incentive payments to hospitals	356	950	860
0802 Incentive payments to eligible professionals	97	690	930
0900 Total new obligations (object class 42.0)	453	1,640	1,790
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1,658	1,658
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected from the HI Trust Fund	227	950	860
1800 Collected from the SMI Trust Fund	45	690	930
1801 Change in uncollected payments, Federal sources	1,839		
1850 Spending auth from offsetting collections, mand (total)	2,111	1,640	1,790
1930 Total budgetary resources available	2,111	3,298	3,448
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,658	1,658	1,658
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)		91	91
3010 Uncollected pymts, Fed sources, brought forward, Oct 1		–1,839	–1,839
3020 Obligated balance, start of year (net)		–1,748	–1,748
3030 Obligations incurred, unexpired accounts	453	1,640	1,790
3040 Outlays (gross)	–362	–1,640	–1,790
3050 Change in uncollected pymts, Fed sources, unexpired	–1,839		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	91	91	91
3091 Uncollected pymts, Fed sources, end of year	–1,839	–1,839	–1,839
3100 Obligated balance, end of year (net)	–1,748	–1,748	–1,748
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,111	1,640	1,790
Outlays, gross:			
4100 Outlays from new mandatory authority	362	1,640	1,790
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–272	–1,640	–1,790
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–1,839		
4170 Outlays, net (mandatory)	90		
4190 Outlays, net (total)	90		

RATE REVIEW GRANTS

Program and Financing (in millions of dollars)

Identification code 75–0112–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Premium rate review grants	114	63	28
0900 Total new obligations (object class 41.0)	114	63	28
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	204	91	30
1021 Recoveries of prior year unpaid obligations	1	2	
1050 Unobligated balance (total)	205	93	30
1930 Total budgetary resources available	205	93	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	91	30	2
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	46	147	128
3030 Obligations incurred, unexpired accounts	114	63	28
3040 Outlays (gross)	–12	–80	–80
3080 Recoveries of prior year unpaid obligations, unexpired	–1	–2	
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	147	128	76
3100 Obligated balance, end of year (net)	147	128	76
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	12	80	80
4190 Outlays, net (total)	12	80	80

The Affordable Care Act (P.L. 111–148) amends Section 2794 of the Public Health Service Act and provides that the Secretary shall carry out a program to award grants to States for a five year period beginning in fiscal year 2010. The Grants to States for Health Insurance Premium Review program provides funding to help States develop or enhance their current rate review activities. The program provides $250,000,000 over the five year

period beginning with fiscal year 2010. States may receive no less than $1,000,000 and no more than $5,000,000 in any one grant year. Grant recipients are required to submit data to the Secretary on health insurance rate trends.

Pre-Existing Condition Insurance Plan Program

Program and Financing (in millions of dollars)

Identification code 75–0113–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	817	1,463	1,525
0801	Premiums from Fallback States	27	72	91
0900	Total new obligations	844	1,535	1,616
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4,778	3,961	2,498
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	27	72	91
1850	Spending auth from offsetting collections, mand (total)	27	72	91
1900	Budget authority (total)	27	72	91
1930	Total budgetary resources available	4,805	4,033	2,589
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3,961	2,498	973
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	218	633	531
3030	Obligations incurred, unexpired accounts	844	1,535	1,616
3040	Outlays (gross)	–429	–1,637	–2,146
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	633	531	1
3100	Obligated balance, end of year (net)	633	531	1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	27	72	91
	Outlays, gross:			
4100	Outlays from new mandatory authority	27	72	91
4101	Outlays from mandatory balances	402	1,565	2,055
4110	Outlays, gross (total)	429	1,637	2,146
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–27	–72	–91
4190	Outlays, net (total)	402	1,565	2,055

This account funds the Pre-Existing Condition Insurance Plan Program (PCIP), which makes health insurance available to people who have been unable to get insurance due to a pre-existing condition. Enrollees pay monthly premiums similar to those charged in the commercial individual market, and the Federal government pays for remaining costs that exceed enrollee contributions. The funding for this program, including operating costs, was provided in the FY 2010 appropriation by the Affordable Care Act (P.L. 111–148).

Object Classification (in millions of dollars)

Identification code 75–0113–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.2	Other services from non-Federal sources	5	29	31
41.0	Grants, subsidies, and contributions	810	1,432	1,492
99.0	Direct obligations	817	1,463	1,525
99.0	Reimbursable obligations	27	72	91
99.9	Total new obligations	844	1,535	1,616

Employment Summary

Identification code 75–0113–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	13	14	16

Early Retiree Reinsurance Program

Program and Financing (in millions of dollars)

Identification code 75–0114–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Subsidies for early retirees	2,944	1,936	.....
0002	Administration	22	29	25
0900	Total new obligations	2,966	1,965	25
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4,374	1,998	33
1021	Recoveries of prior year unpaid obligations	590	.....	.....
1050	Unobligated balance (total)	4,964	1,998	33
1930	Total budgetary resources available	4,964	1,998	33
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,998	33	8
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	625	26	18
3030	Obligations incurred, unexpired accounts	2,966	1,965	25
3040	Outlays (gross)	–2,975	–1,973	–28
3080	Recoveries of prior year unpaid obligations, unexpired	–590	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	26	18	15
3100	Obligated balance, end of year (net)	26	18	15
	Budget authority and outlays, net:			
	Mandatory:			
	Outlays, gross:			
4101	Outlays from mandatory balances	2,975	1,973	28
4190	Outlays, net (total)	2,975	1,973	28

The Affordable Care Act (P.L. 111–148) authorized and appropriated $5 billion for the Early Retiree Reinsurance Program (ERRP). ERRP provides reimbursement to participating sponsors of certified plans that provide health benefits to early retirees (age 55 and older and not eligible for Medicare), their spouses, and surviving spouses and dependents. Reimbursement is equal to 80 percent of the actual cost of health expenses paid for an individual (net of price concessions) between a cost threshold of $15,000 and cost limit of $90,000 beginning in 2010. The cost threshold and cost limit are adjusted for inflation each year based on the Medical Care Component of the consumer price index for all urban consumers. Reimbursements to sponsors shall be used to reduce the sponsor's health benefit or premium costs, provide premium and cost sharing relief to plan participants, or both.

Object Classification (in millions of dollars)

Identification code 75–0114–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	.....	1	1
25.2	Other services from non-Federal sources	22	27	23
42.0	Insurance claims and indemnities	2,944	1,936	.....
99.0	Direct obligations	2,966	1,964	24
99.5	Below reporting threshold	.....	1	1
99.9	Total new obligations	2,966	1,965	25

EARLY RETIREE REINSURANCE PROGRAM—Continued

Employment Summary

Identification code 75–0114–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	2	10	10

AFFORDABLE INSURANCE EXCHANGE GRANTS

Program and Financing (in millions of dollars)

Identification code 75–0115–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Planning and establishment grants	467	1,092	820
0002 Administration	11	48	48
0900 Total new obligations	478	1,140	868
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	478	1,140	868
1260 Appropriations, mandatory (total)	478	1,140	868
1930 Total budgetary resources available	478	1,140	868
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	49	503	737
3030 Obligations incurred, unexpired accounts	478	1,140	868
3040 Outlays (gross)	–24	–906	–1,087
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	503	737	518
3100 Obligated balance, end of year (net)	503	737	518
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	478	1,140	868
Outlays, gross:			
4100 Outlays from new mandatory authority	9	580	444
4101 Outlays from mandatory balances	15	326	643
4110 Outlays, gross (total)	24	906	1,087
4180 Budget authority, net (total)	478	1,140	868
4190 Outlays, net (total)	24	906	1,087

The American Health Benefit Exchange Program, commonly known as the Affordable Insurance Exchanges, provides funding for Planning and Establishment Grants to States to for their activities to implement Exchanges. The Exchanges will facilitate the purchase of qualified health plans in the individual market and provide for the establishment of a Small Business Health Options Program to allow small businesses to offer qualified health plans to their employees. Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111–148) provides amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allows for renewal grants through January 1, 2015.

Object Classification (in millions of dollars)

Identification code 75–0115–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	6	6
12.1 Civilian personnel benefits	1	2	2
25.2 Other services from non-Federal sources	5	40	40
25.3 Other goods and services from Federal sources	1	.....	.....
41.0 Grants, subsidies, and contributions	467	1,092	820
99.9 Total new obligations	478	1,140	868

Employment Summary

Identification code 75–0115–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	28	63	63

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75–0118–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	.....	2,431	699
0709 Administrative expenses	3	15	15
0900 Total new obligations	3	2,446	714
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,000	3,797	951
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–2,200	–400	.....
1260 Appropriations, mandatory (total)	–2,200	–400	.....
1930 Total budgetary resources available	3,800	3,397	951
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,797	951	237
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	.....	3	2,356
3030 Obligations incurred, unexpired accounts	3	2,446	714
3040 Outlays (gross)	.....	–93	–803
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	3	2,356	2,267
3100 Obligated balance, end of year (net)	3	2,356	2,267
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	–2,200	–400	.....
Outlays, gross:			
4101 Outlays from mandatory balances	.....	93	803
4180 Budget authority, net (total)	–2,200	–400	.....
4190 Outlays, net (total)	.....	93	803

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75–0118–0–1–551	2011 actual	2012 est.	2013 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Startup Loans	.....	675	195
115003 Solvency	.....	4,950	1,430
115999 Total direct loan levels	.....	5,625	1,625
Direct loan subsidy (in percent):			
132002 Startup Loans	.....	37.51	37.66
132003 Solvency	.....	43.99	43.78
132999 Weighted average subsidy rate	.....	43.21	43.05
Direct loan subsidy budget authority:			
133002 Startup Loans	.....	253	73
133003 Solvency	.....	2,178	626
133999 Total subsidy budget authority	.....	2,431	699
Direct loan subsidy outlays:			
134002 Startup Loans	.....	84	168
134003 Solvency	.....	.....	614
134999 Total subsidy outlays	.....	84	782
Direct loan downward reestimates:			
Administrative expense data:			
3510 Budget authority	3	15	15
3580 Outlays from balances	.....	9	21

The Consumer Operated and Oriented Plan (CO-OP) Program was authorized in Section 1322 of the Affordable Care Act (P.L. 111–148). The CO-OP Program fosters the creation of qualified

nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary shall award loans to qualified nonprofit issuers to fund start-up costs and reserves which enable qualified issuers to meet state solvency requirements. The Secretary may also award loans for the purposes of encouraging the establishment of CO-OPs in states where no issuer applies to be a qualified nonprofit issuer under Section 1322. $3.4 billion has been appropriated to carry out Section 1322 of the Affordable Care Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with CO-OP Program grants and loans, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 75–0118–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		2	2
12.1	Civilian personnel benefits		1	1
25.2	Other services from non-Federal sources	3	12	12
41.0	Grants, subsidies, and contributions		2,431	699
99.9	Total new obligations	3	2,446	714

Employment Summary

Identification code 75–0118–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1	19	19

Consumer Operated and Oriented Plan Financing Account

Program and Financing (in millions of dollars)

Identification code 75–4418–0–3–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
	Credit program obligations:			
0710	Direct loan obligations		5,625	1,625
0713	Payment of interest to Treasury		2	22
0900	Total new obligations		5,627	1,647
	Budgetary Resources:			
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority		3,196	948
1440	Borrowing authority, mandatory (total)		3,196	948
	Spending authority from offsetting collections, mandatory:			
1800	Collected		84	782
1801	Change in uncollected payments, Federal sources		2,347	–83
1850	Spending auth from offsetting collections, mand (total)		2,431	699
1900	Financing authority(total)		5,627	1,647
1930	Total budgetary resources available		5,627	1,647
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)			5,400
3010	Uncollected pymts, Fed sources, brought forward, Oct 1			–2,347
3020	Obligated balance, start of year (net)			3,053
3030	Obligations incurred, unexpired accounts		5,627	1,647
3040	Financing disbursements (gross)		–227	–1,866
3050	Change in uncollected pymts, Fed sources, unexpired		–2,347	83
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		5,400	5,181
3091	Uncollected pymts, Fed sources, end of year		–2,347	–2,264
3100	Obligated balance, end of year (net)		3,053	2,917
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross		5,627	1,647
	Financing disbursements:			
4110	Financing disbursements, gross		227	1,866
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources		–84	–782
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired		–2,347	83
4160	Financing authority, net (mandatory)		3,196	948
4170	Financing disbursements, net (mandatory)		143	1,084
4180	Financing authority, net (total)		3,196	948
4190	Financing disbursements, net (total)		143	1,084

Status of Direct Loans (in millions of dollars)

Identification code 75–4418–0–3–551		2011 actual	2012 est.	2013 est.
	Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation		5,625	1,625
1150	Total direct loan obligations		5,625	1,625
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year			226
1231	Disbursements: Direct loan disbursements		225	1,844
1251	Repayments: Repayments and prepayments			
1261	Adjustments: Capitalized interest		1	4
1263	Write-offs for default: Direct loans			
1290	Outstanding, end of year		226	2,074

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Trust Funds

Federal Hospital Insurance Trust Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–8005–0–7–571		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	255,722	212,203	193,121
	Adjustments:			
0191	Adjustment - to correct for prior budget entry	–1,254		
0199	Balance, start of year	254,468	212,203	193,121
	Receipts:			
0200	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	175,094	188,041	198,016
0201	FHI Trust Fund, Transfers from General Fund (FICA Taxes)		18	86
0202	FHI Trust Fund, Transfers from General Fund (FICA Taxes)			–72
0203	FHI Trust Fund, Receipts from Railroad Retirement Board	452	499	519
0204	FHI Trust Fund, Transfers from General Fund (SECA Taxes)	12,944	13,989	15,394
0205	FHI Trust Fund, Civil Penalties and Damages	578	630	675
0220	FHI Trust Fund, Other Proprietary Interest from the Public		2	2
0221	FHI Trust Fund, Basic Premium, Medicare Advantage	222	222	225
0222	FHI Trust Fund, Medicare Refunds	5,176	5,700	5,800
0223	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,273	3,446	3,550
0224	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible			2
0240	FHI Trust Fund, Federal Employer Contributions (FICA)	3,384	3,516	3,649
0241	FHI Trust Fund, Postal Service Employer Contributions (FICA)	641	583	590
0242	FHI Trust Fund, Interest Received by Trust Funds	12,877	11,304	10,019
0243	FHI Trust Fund, Interest Received by Trust Funds		2	23
0244	FHI Trust Fund, Taxation on OASDI Benefits	15,143	18,443	20,811
0245	FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	128	131	134
0246	FHI Trust Fund, Transfers from General Fund (criminal Fines)	1,196	1,045	1,126
0247	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	16	21	2
0248	FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	22		
0249	FHI Trust Fund, Interest Payments by Railroad Retirement Board	25	26	26

Federal Hospital Insurance Trust Fund—Continued

Special and Trust Fund Receipts—Continued

Identification code 75–8005–0–7–571	2011 actual	2012 est.	2013 est.
0250 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	490	604	672
0251 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	.....	114	.....
0299 Total receipts and collections	231,661	248,336	261,249
0400 Total: Balances and collections	486,129	460,539	454,370
Appropriations:			
0500 Federal Hospital Insurance Trust Fund	–2,299	–2,185	–2,327
0501 Federal Hospital Insurance Trust Fund	27	.....	.....
0502 Federal Hospital Insurance Trust Fund	.....	5	.....
0503 Federal Hospital Insurance Trust Fund	–227,694	–244,229	–256,999
0504 Federal Hospital Insurance Trust Fund	–42,424	–19,619	–24,346
0505 Federal Hospital Insurance Trust Fund	5	.....	.....
0506 Federal Hospital Insurance Trust Fund	.....	–2	–23
0507 Federal Hospital Insurance Trust Fund	.....	2	23
0508 Federal Hospital Insurance Trust Fund	.....	–18	–88
0509 Federal Hospital Insurance Trust Fund	.....	18	1,108
0510 Federal Hospital Insurance Trust Fund	.....	1	.....
0511 Federal Hospital Insurance Trust Fund	.....	.....	72
0512 Federal Hospital Insurance Trust Fund	.....	.....	–972
0513 Health Care Fraud and Abuse Control Account	–310	–311	–610
0514 Health Care Fraud and Abuse Control Account	.....	1	.....
0515 Health Care Fraud and Abuse Control Account	–1,422	–1,224	–1,167
0516 Health Care Fraud and Abuse Control Account	.....	–272	.....
0517 Health Care Fraud and Abuse Control Account	.....	1	.....
0518 Health Care Fraud and Abuse Control Account	.....	414	551
0599 Total appropriations	–274,117	–267,418	–284,778
0610 Federal Hospital Insurance Trust Fund	2	.....	.....
0611 Health Care Fraud and Abuse Control Account	23	.....	.....
0620 Federal Hospital Insurance Trust Fund	142	.....	.....
0795 Adjustment - for expired accounts, trust fund receipts returned	24	.....	.....
0799 Balance, end of year	212,203	193,121	169,592

Program and Financing (in millions of dollars)

Identification code 75–8005–0–7–571	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Benefit payments, HI	267,607	262,334	279,982
0002 HIT Incentive Payments	1,621	950	860
0003 Administration, HI	2,681	2,392	2,528
0004 Quality improvement organizations, HI	833	352	302
0799 Total direct obligations	272,742	266,028	283,672
0812 Reimbursable program activity	1,811	.....	.....
0900 Total new obligations	274,553	266,028	283,672
Budgetary Resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	501	.....	.....
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	–142	.....	.....
1029 Other balances withdrawn	–2	.....	.....
1050 Unobligated balance (total)	357	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	2,299	2,185	2,327
1132 Appropriations temporarily reduced	–27	.....	.....
1144 Approp temporarily reduced (Sec 527, HR 2055)	.....	–5	.....
1160 Appropriation, discretionary (total)	2,272	2,180	2,327
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	227,694	244,229	256,999
1203 Appropriation (previously unavailable)	42,424	19,619	24,346
1235 Appropriations precluded from obligation	–5	.....	.....
1260 Appropriations, mandatory (total)	270,113	263,848	281,345
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,811	.....	.....
1850 Spending auth from offsetting collections, mand (total)	1,811	.....	.....
1900 Budget authority (total)	274,196	266,028	283,672
1930 Total budgetary resources available	274,553	266,028	283,672
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950 Other balances withdrawn	2	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	23,423	32,195	31,887
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3020 Obligated balance, start of year (net)	23,422	32,194	31,886
3030 Obligations incurred, unexpired accounts	274,553	266,028	283,672
3040 Outlays (gross)	–265,280	–266,336	–283,733
3080 Recoveries of prior year unpaid obligations, unexpired	–501	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	32,195	31,887	31,826
3091 Uncollected pymts, Fed sources, end of year	–1	–1	–1
3100 Obligated balance, end of year (net)	32,194	31,886	31,825
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,272	2,180	2,327
Outlays, gross:			
4010 Outlays from new discretionary authority	1,663	1,734	1,801
4011 Outlays from discretionary balances	737	405	394
4020 Outlays, gross (total)	2,400	2,139	2,195
Mandatory:			
4090 Budget authority, gross	271,924	263,848	281,345
Outlays, gross:			
4100 Outlays from new mandatory authority	241,076	237,514	256,088
4101 Outlays from mandatory balances	21,804	26,683	25,450
4110 Outlays, gross (total)	262,880	264,197	281,538
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1,811	.....	.....
4180 Budget authority, net (total)	272,385	266,028	283,672
4190 Outlays, net (total)	263,469	266,336	283,733
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	279,475	245,939	226,320
5001 Total investments, EOY: Federal securities: Par value	245,939	226,320	201,974

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	272,385	266,028	283,672
Outlays	263,469	266,336	283,733
Amounts included in the adjusted baseline:			
Budget Authority	.....	.....	900
Outlays	.....	.....	900
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	–1,020
Outlays	.....	.....	–1,020
Increased funding for BBEDCA program integrity adjustments:			
Budget Authority	.....	–1	.....
Outlays	.....	–1	.....
Total:			
Budget Authority	272,385	266,027	283,552
Outlays	263,469	266,335	283,613

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

Status of Funds (in millions of dollars)

Identification code 75–8005–0–7–571	2011 actual	2012 est.	2013 est.
Unexpended balance, start of year:			
0100 Balance, start of year	280,119	245,698	226,314
Adjustments:			
0190 Adjustment - to correct for prior budget entry	–1,254	.....	.....
0199 Total balance, start of year	278,865	245,698	226,314

	Cash income during the year:			
	Current law:			
	Receipts:			
1200	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	175,094	188,041	198,016
1202	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	.....	.....	–72
1203	FHI Trust Fund, Receipts from Railroad Retirement Board	452	499	519
1204	FHI Trust Fund, Transfers from General Fund (SECA Taxes)	12,944	13,989	15,394
1205	FHI Trust Fund, Civil Penalties and Damages	578	630	675
	Offsetting receipts (proprietary):			
1220	FHI Trust Fund, Other Proprietary Interest from the Public	.....	2	2
1221	FHI Trust Fund, Basic Premium, Medicare Advantage	222	222	225
1222	FHI Trust Fund, Medicare Refunds	5,176	5,700	5,800
1223	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,273	3,446	3,550
	Offsetting receipts (intragovernmental):			
1240	FHI Trust Fund, Federal Employer Contributions (FICA)	3,384	3,516	3,649
1241	FHI Trust Fund, Postal Service Employer Contributions (FICA)	641	583	590
1242	FHI Trust Fund, Interest Received by Trust Funds	12,877	11,304	10,019
1244	FHI Trust Fund, Taxation on OASDI Benefits	15,143	18,443	20,811
1245	FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	128	131	134
1246	FHI Trust Fund, Transfers from General Fund (criminal Fines)	1,196	1,045	1,126
1247	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	16	21	2
1248	FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	22	.....	.....
1249	FHI Trust Fund, Interest Payments by Railroad Retirement Board	25	26	26
1250	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	490	604	672
	Offsetting collections:			
1280	Federal Hospital Insurance Trust Fund	1,811	.....	.....
1299	Income under present law	233,472	248,202	261,138
	Proposed legislation:			
	Receipts:			
2201	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	.....	18	86
	Offsetting receipts (proprietary receipts):			
2224	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	.....	.....	2
	Offsetting receipts (intragovernmental):			
2243	FHI Trust Fund, Interest Received by Trust Funds	.....	2	23
2251	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	.....	114	.....
2299	Income under proposed legislation	.....	134	111
3299	Total cash income	233,472	248,336	261,249
	Cash outgo during year:			
	Current law:			
4500	Federal Hospital Insurance Trust Fund	–265,280	–266,336	–283,733
4500	Health Care Fraud and Abuse Control Account	.....	.....	–900
4500	Federal Hospital Insurance Trust Fund	–1,359	–1,527	–1,928
4500	Health Care Fraud and Abuse Control Account	.....	413	551
4599	Outgo under current law (-)	–266,639	–267,450	–286,010
	Proposed legislation:			
5500	Health Care Fraud and Abuse Control Account	.....	–271	.....
5500	Federal Hospital Insurance Trust Fund	.....	1	.....
5500	Federal Hospital Insurance Trust Fund	.....	.....	1,020
5599	Outgo under proposed legislation (-)	.....	–270	1,020
6599	Total cash outgo (-)	–266,639	–267,720	–284,990
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year	–241	–6	599
8701	Federal Hospital Insurance Trust Fund	245,939	226,320	201,974
8799	Total balance, end of year	245,698	226,314	202,573

Object Classification (in millions of dollars)

Identification code 75–8005–0–7–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
41.0	Payment for Quality Improvement Organization (QIO) activities	833	352	302
42.0	Insurance claims and indemnities (benefits)	267,607	263,284	280,842
94.0	Financial transfers	4,302	2,392	2,528
99.0	Direct obligations	272,742	266,028	283,672
42.0	Allocation Account - reimbursable: Insurance claims and indemnities	1,811	.....	.....
99.9	Total new obligations	274,553	266,028	283,672

Federal Hospital Insurance Trust Fund

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75–8005–7–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Benefit payments, HI	.....	.....	900
0900	Total new obligations (object class 42.0)	.....	.....	900
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	.....	.....	–72
1203	Appropriation (previously unavailable)	.....	.....	972
1260	Appropriations, mandatory (total)	.....	.....	900
1900	Budget authority (total)	.....	.....	900
1930	Total budgetary resources available	.....	.....	900
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	900
3040	Outlays (gross)	.....	.....	–900
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	.....	900
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	.....	900
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)	.....	.....	900
4190	Outlays, net (total)	.....	.....	900

Federal Hospital Insurance Trust Fund

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–8005–2–7–571		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	.....	2	23
1203	Appropriation (previously unavailable)	.....	–2	–23

Federal Hospital Insurance Trust Fund

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–8005–4–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Benefit payments, HI	.....	.....	–1,020
0900	Total new obligations (object class 42.0)	.....	.....	–1,020
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	.....	18	88
1203	Appropriation (previously unavailable)	.....	–18	–1,108
1260	Appropriations, mandatory (total)	.....	.....	–1,020
1930	Total budgetary resources available	.....	.....	–1,020
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	.....	.....	–1,020
3040	Outlays (gross)	.....	.....	1,020
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	.....	–1,020
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	.....	–1,020

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Program and Financing—Continued

Identification code 75–8005–4–7–571	2011 actual	2012 est.	2013 est.
4180 Budget authority, net (total)			–1,020
4190 Outlays, net (total)			–1,020

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, [$310,377,000] *$610,000,000*, to remain available through September 30, [2013] *2014*, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which [$219,879,000] *$409,697,693* shall be for [the Medicare Integrity Program at] the Centers for Medicare and Medicaid Services *Program Integrity Activities*, including administrative costs, to conduct oversight activities for *the Medicare program including, but not limited to,* Medicare Advantage [under Part C] and the Medicare Prescription Drug Program [under Part D of the] *authorized in title XVIII of the* Social Security Act and for activities described in section 1893[(b)] of such Act *and for Medicaid and Children's Health Insurance Program ("CHIP") program integrity activities*, of which [$29,730,000] *$102,499,971* shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, [of which $31,038,000 shall be for the Medicaid and Children's Health Insurance Program ("CHIP") program integrity activities,] and of which [$29,730,000] *$97,802,336* shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: *Provided, That of the amount provided under this heading, $311,000,000 is provided to meet the terms of section 251(b)(2)(C)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and $299,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(C) of such Act: Provided further*, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year [2012] *2013* shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–8393–0–7–571	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Medicare integrity program	822	863	866
0002 FBI fraud and abuse control	135	132	135
0003 Other fraud and abuse control	299	295	296
0004 Predictive Modeling	27		
0091 Total Mandatory	1,283	1,290	1,297
0101 CMS discretionary BASE	229	250	410
0102 Other discretionary BASE	88	60	200
0191 Total Discretionary	317	310	610
0900 Total new obligations	1,600	1,600	1,907
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	65	241	175
1021 Recoveries of prior year unpaid obligations	46		
1050 Unobligated balance (total)	111	241	175
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	310	311	610
1144 Approp temporarily reduced (Sec 527, HR 2055)		–1	
1160 Appropriation, discretionary (total)	310	310	610
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,422	1,224	1,167
1260 Appropriations, mandatory (total)	1,422	1,224	1,167
1900 Budget authority (total)	1,732	1,534	1,777
1930 Total budgetary resources available	1,843	1,775	1,952
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
1941 Unexpired unobligated balance, end of year	241	175	45
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	2		
1952 Expired unobligated balance, start of year	36	41	41
1953 Expired unobligated balance, end of year	39	41	41
1954 Unobligated balance canceling	23		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	873	1,018	1,091
3030 Obligations incurred, unexpired accounts	1,600	1,600	1,907
3031 Obligations incurred, expired accounts	6		
3040 Outlays (gross)	–1,359	–1,527	–1,928
3080 Recoveries of prior year unpaid obligations, unexpired	–46		
3081 Recoveries of prior year unpaid obligations, expired	–56		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1,018	1,091	1,070
3100 Obligated balance, end of year (net)	1,018	1,091	1,070
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	310	310	610
Outlays, gross:			
4010 Outlays from new discretionary authority	44	310	610
4011 Outlays from discretionary balances	178		
4020 Outlays, gross (total)	222	310	610
Mandatory:			
4090 Budget authority, gross	1,422	1,224	1,167
Outlays, gross:			
4100 Outlays from new mandatory authority	747	831	764
4101 Outlays from mandatory balances	390	386	554
4110 Outlays, gross (total)	1,137	1,217	1,318
4180 Budget authority, net (total)	1,732	1,534	1,777
4190 Outlays, net (total)	1,359	1,527	1,928

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	1,732	1,534	1,777
Outlays	1,359	1,527	1,928
Amounts included in the adjusted baseline:			
Budget Authority		–414	–551
Outlays		–413	–551
Increased funding for BBEDCA program integrity adjustments:			
Budget Authority		271	
Outlays		271	
Total:			
Budget Authority	1,732	1,391	1,226
Outlays	1,359	1,385	1,377

P.L. 104–191 established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds, from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Medicare, Medicaid, and CHIP that will supplement the mandatory funds made available by P.L. 104–191 and subsequent Acts. The 2013 discretionary investment is a part of a multi-year fraud and abuse plan specified for 2012 through 2021 in the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended by the Budget Control Act of 2011. In 2013, the BBEDCA exempts up to $299 million from the new discretionary caps. This exemption is only permissible if the base level of $311 million is fully funded and the use of these funds is clearly restricted to the specified purposes. This Budget assumes that in each year both the base level and the cap adjustments are funded at the maximum levels allowed by section 251(b)(2)(C) of BBEDCA. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

The HCFAC entities will develop a comprehensive plan for Medicare, Medicaid, and CHIP program integrity activities. The plan will indicate how program integrity appropriations from all sources will be allocated to address program integrity priorities. These priorities include fraud prevention, reducing the improper payment rate, identifying and recouping excessive payments, pinpointing potential weaknesses in program integrity oversight, and establishing new processes and safeguards to correct programmatic vulnerabilities.

Object Classification (in millions of dollars)

Identification code 75–8393–0–7–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent (CMS)	11	38	38
12.1	Civilian personnel benefits (CMS)	2	8	8
23.3	Communications, utilities, and miscellaneous charges	2	8	8
25.1	Advisory and assistance services (predictive modeling)	27	.....	.....
25.2	Other services (CMS/Medicaid)	17	45	47
25.3	Other purchases of goods and services from Government accounts (HHS/DOJ)	109	91	159
25.3	Other purchases of goods and services from Government accounts (HHS/OIG)	244	226	299
25.3	Other purchases of goods and services from Government accounts (HHS/AoA)	4	13	.....
25.3	Other purchases of goods and services from Government accounts (HHS/OGC)	9	9	.....
25.3	Other goods and services from Federal sources (HHS/CMS)	2	2	2
25.3	Other goods and services from Government accounts (HHS/FDA)	4	2	.....
25.3	Other goods and services from Government accounts (HHS/ASPA)	1	.....	.....
25.6	Medical care (CMS)	1,033	1,026	1,211
94.0	Financial transfers (FBI)	135	132	135
99.9	Total new obligations	1,600	1,600	1,907

Employment Summary

Identification code 75–8393–0–7–571		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	162	325	360

Health Care Fraud and Abuse Control Account

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75–8393–7–7–571		2011 actual	2012 est.	2013 est.
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	.....	–414
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	.....	–414	–551
1260	Appropriations, mandatory (total)	.....	–414	–551
1900	Budget authority (total)	.....	–414	–551
1930	Total budgetary resources available	.....	–414	–965
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	.....	–414	–965
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	.....	.....	413
3040	Outlays (gross)	.....	413	551
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	.....	413	964
3100	Obligated balance, end of year (net)	.....	413	964
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	.....	–414	–551
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	–413	–551
4180	Budget authority, net (total)	.....	–414	–551
4190	Outlays, net (total)	.....	–413	–551

The Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, exempts up to $299 million in 2013 from the discretionary caps enacted in the Act. Funding the discretionary cap up to the adjustment level permitted by the BBEDCA is estimated to achieve an additional $450 million in savings.

Federal Supplementary Medical Insurance Trust Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–8004–0–7–571		2011 actual	2012 est.	2013 est.
0100	Balance, start of year	45,630	42,930	37,621
	Receipts:			
0200	Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	1,876	2,944	2,800
0220	Other Proprietary Interest from the Public, FSMI Fund	2	3	3
0221	Premiums Collected for Medicare Prescription Drug Account, FSMI	2,631	3,126	4,004
0222	Premiums Collected for Medicare Prescription Drug Account, FSMI	.....	.....	78
0223	Payments from States, Medicare Prescription Drug Account, FSMI	6,536	8,047	8,795
0224	Payments from States, Medicare Prescription Drug Account, FSMI	.....	.....	190
0225	Basic Premium, Medicare Advantage, FSMI Trust Fund	197	211	215
0226	Medicare Refunds, SMI	4,637	4,300	4,400
0227	Premiums Collected for the Aged, FSMI Fund	47,277	48,977	53,326
0228	Premiums Collected for the Aged, FSMI Fund	.....	.....	1,409
0229	Manufacturer Rebates	.....	.....	5,870
0230	Premiums Collected for the Disabled, FSMI Fund	9,750	9,367	10,243
0231	Premiums Collected for the Disabled, FSMI Fund	.....	.....	270
0240	Federal Contributions, FSMI Fund	168,849	167,184	189,520
0241	Federal Contributions, FSMI Fund	.....	.....	–15
0242	Federal Contributions, FSMI Fund	.....	.....	4,514
0243	Interest Received by Trust Fund, FSMI Fund	3,221	3,288	3,535
0244	Interest Received by Trust Fund, FSMI Fund	.....	–342	–974
0245	Interest, Medicare Prescription Drug Account, FSMI	10	9	10
0246	Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	400	405	424
0247	Federal Contributions for Benefits, Prescription Drug Account, SMI	55,929	47,282	60,744
0248	Federal Contributions for Benefits, Prescription Drug Account, SMI	.....	.....	–4,550
0249	Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
0299	Total receipts and collections	301,316	294,802	344,812
0400	Total: Balances and collections	346,946	337,732	382,433
	Appropriations:			
0500	Federal Supplementary Medical Insurance Trust Fund	–3,051	–3,432	–4,175
0501	Federal Supplementary Medical Insurance Trust Fund	32	.....	.....
0502	Federal Supplementary Medical Insurance Trust Fund	.....	6	.....
0503	Federal Supplementary Medical Insurance Trust Fund	–230,483	–233,528	–259,914
0504	Federal Supplementary Medical Insurance Trust Fund	–2,802	.....	.....
0505	Federal Supplementary Medical Insurance Trust Fund	.....	4,485	22,770
0506	Federal Supplementary Medical Insurance Trust Fund	.....	.....	4,565
0507	Federal Supplementary Medical Insurance Trust Fund	.....	–215	–5,260
0508	Federal Supplementary Medical Insurance Trust Fund	.....	.....	–4,550
0509	Federal Supplementary Medical Insurance Trust Fund	.....	.....	4,580
0510	Federal Supplementary Medical Insurance Trust Fund	.....	342	–5,220
0511	Federal Supplementary Medical Insurance Trust Fund	.....	–9,579	–21,205
0512	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	–402	–397	–418
0513	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	.....	1	.....
0514	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	–67,454	–57,794	–73,512
0515	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	.....	.....	–1,588
0599	Total appropriations	–304,160	–300,111	–343,927
0610	Federal Supplementary Medical Insurance Trust Fund	1	.....	.....
0620	Federal Supplementary Medical Insurance Trust Fund	150	.....	.....
0795	Adjustment - for expired accounts, trust fund receipts withdrawn	–7	.....	.....
0799	Balance, end of year	42,930	37,621	38,506

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)

Identification code 75–8004–0–7–571	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Benefit payments, SMI	231,760	227,880	236,075
0002 Transfer to Medicaid for payment of SMI premiums	703	320	.....
0003 HIT Incentive Payments	490	690	930
0004 Administration, SMI	3,361	3,491	4,238
0005 Quality Improvement Organizations, SMI	186	88	76
0799 Total direct obligations	236,500	232,469	241,319
0801 Reimbursable program activity	11	.....	.....
0900 Total new obligations	236,511	232,469	241,319
Budgetary Resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	347	.....	.....
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	–150	.....	.....
1029 Other balances withdrawn	–1	.....	.....
1050 Unobligated balance (total)	196	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	3,051	3,432	4,175
1132 Appropriations temporarily reduced	–32	.....	.....
1144 Approp temporarily reduced (Sec 527, HR 2055)	.....	–6	.....
1160 Appropriation, discretionary (total)	3,019	3,426	4,175
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	230,483	233,528	259,914
1203 Appropriation (previously unavailable)	2,802	.....	.....
1235 Appropriations precluded from obligation	.....	–4,485	–22,770
1260 Appropriations, mandatory (total)	233,285	229,043	237,144
Spending authority from offsetting collections, mandatory:			
1800 Collected	11	.....	.....
1850 Spending auth from offsetting collections, mand (total)	11	.....	.....
1900 Budget authority (total)	236,315	232,469	241,319
1930 Total budgetary resources available	236,511	232,469	241,319
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950 Other balances withdrawn	1	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	22,184	24,063	24,023
3030 Obligations incurred, unexpired accounts	236,511	232,469	241,319
3040 Outlays (gross)	–234,285	–232,509	–241,007
3080 Recoveries of prior year unpaid obligations, unexpired	–347	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	24,063	24,023	24,335
3100 Obligated balance, end of year (net)	24,063	24,023	24,335
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,019	3,426	4,175
Outlays, gross:			
4010 Outlays from new discretionary authority	1,704	2,326	2,728
4011 Outlays from discretionary balances	1,007	994	1,033
4020 Outlays, gross (total)	2,711	3,320	3,761
Mandatory:			
4090 Budget authority, gross	233,296	229,043	237,144
Outlays, gross:			
4100 Outlays from new mandatory authority	209,811	207,468	214,076
4101 Outlays from mandatory balances	21,763	21,721	23,170
4110 Outlays, gross (total)	231,574	229,189	237,246
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–11	.....	.....
4180 Budget authority, net (total)	236,304	232,469	241,319
4190 Outlays, net (total)	234,274	232,509	241,007
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	70,982	70,446	66,500
5001 Total investments, EOY: Federal securities: Par value	70,446	66,500	67,635

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	236,304	232,469	241,319
Outlays	234,274	232,509	241,007
Amounts included in the adjusted baseline:			
Budget Authority	.....	9,237	26,425
Outlays	.....	9,237	26,425
Legislative proposal, not subject to PAYGO:			
Budget Authority	.....	215	695
Outlays	.....	215	695
Legislative proposal, subject to PAYGO:			
Budget Authority	.....	.....	–30
Outlays	.....	.....	–30
Total:			
Budget Authority	236,304	241,921	268,409
Outlays	234,274	241,961	268,097

The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

Status of Funds (in millions of dollars)

Identification code 75–8004–0–7–571	2011 actual	2012 est.	2013 est.
Unexpended balance, start of year:			
0100 Balance, start of year	71,977	72,780	67,422
Adjustments:			
0190 Adjustment - rounding	–1	.....	.....
0199 Total balance, start of year	71,976	72,780	67,422
Cash income during the year:			
Current law:			
Receipts:			
1200 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	1,876	2,944	2,800
Offsetting receipts (proprietary):			
1220 Other Proprietary Interest from the Public, FSMI Fund	2	3	3
1221 Premiums Collected for Medicare Prescription Drug Account, FSMI	2,631	3,126	4,004
1223 Payments from States, Medicare Prescription Drug Account, FSMI	6,536	8,047	8,795
1225 Basic Premium, Medicare Advantage, FSMI Trust Fund	197	211	215
1226 Medicare Refunds, SMI	4,637	4,300	4,400
1227 Premiums Collected for the Aged, FSMI Fund	47,277	48,977	53,326
1228 Premiums Collected for the Aged, FSMI Fund	.....	.....	1,409
1230 Premiums Collected for the Disabled, FSMI Fund	9,750	9,367	10,243
1231 Premiums Collected for the Disabled, FSMI Fund	.....	.....	270
Offsetting receipts (intragovernmental):			
1240 Federal Contributions, FSMI Fund	168,849	167,184	189,520
1242 Federal Contributions, FSMI Fund	.....	.....	4,514
1243 Interest Received by Trust Fund, FSMI Fund	3,221	3,288	3,535
1244 Interest Received by Trust Fund, FSMI Fund	.....	–342	–974
1245 Interest, Medicare Prescription Drug Account, FSMI	10	9	10
1246 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	400	405	424
1247 Federal Contributions for Benefits, Prescription Drug Account, SMI	55,929	47,282	60,744
1249 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
Offsetting collections:			
1280 Federal Supplementary Medical Insurance Trust Fund	11	.....	.....
1281 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	1,612	.....	.....
1299 Income under present law	302,939	294,802	343,239
Proposed legislation:			
Offsetting receipts (proprietary receipts):			
2222 Premiums Collected for Medicare Prescription Drug Account, FSMI	.....	.....	78
2224 Payments from States, Medicare Prescription Drug Account, FSMI	.....	.....	190
2229 Manufacturer Rebates	.....	.....	5,870

	Offsetting receipts (intragovernmental):			
2241	Federal Contributions, FSMI Fund			–15
2248	Federal Contributions for Benefits, Prescription Drug Account, SMI			–4,550
2299	Income under proposed legislation			1,573
3299	Total cash income	302,939	294,802	344,812
	Cash outgo during year:			
	Current law:			
4500	Federal Supplementary Medical Insurance Trust Fund	–234,285	–232,509	–241,007
4500	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund		–9,237	–26,425
4500	Federal Supplementary Medical Insurance Trust Fund	–67,850	–58,199	–73,930
4599	Outgo under current law (-)	–302,135	–299,945	–341,362
	Proposed legislation:			
5500	Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund			–1,588
5500	Federal Supplementary Medical Insurance Trust Fund		–215	–695
5500	Federal Supplementary Medical Insurance Trust Fund			30
5599	Outgo under proposed legislation (-)		–215	–2,253
6599	Total cash outgo (-)	–302,135	–300,160	–343,615
	Unexpended balance, end of year:			
8700	Uninvested balance (net), end of year	2,334	922	984
8701	Federal Supplementary Medical Insurance Trust Fund	70,446	66,500	67,635
8799	Total balance, end of year	72,780	67,422	68,619

Object Classification (in millions of dollars)

Identification code 75–8004–0–7–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
41.0	Payment for Quality Improvement Organization (QIO) activity	186	88	76
42.0	Insurance claims and indemnities	232,953	228,890	237,005
94.0	Financial transfers	3,361	3,491	4,238
99.0	Direct obligations	236,500	232,469	241,319
42.0	Allocation Account - reimbursable: Insurance claims and indemnities	11		
99.9	Total new obligations	236,511	232,469	241,319

Federal Supplementary Medical Insurance Trust Fund

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 75–8004–7–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Benefits		9,237	26,425
0900	Total new obligations (object class 42.0)		9,237	26,425
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		–342	5,220
1235	Appropriations precluded from obligation		9,579	21,205
1260	Appropriations, mandatory (total)		9,237	26,425
1900	Budget authority (total)		9,237	26,425
1930	Total budgetary resources available		9,237	26,425
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		9,237	26,425
3040	Outlays (gross)		–9,237	–26,425
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		9,237	26,425
	Outlays, gross:			
4100	Outlays from new mandatory authority		9,237	26,425
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)		9,237	26,425
4190	Outlays, net (total)		9,237	26,425

Federal Supplementary Medical Insurance Trust Fund

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–8004–2–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	Transfer to Medicaid for payment of SMI premiums		215	695
0900	Total new obligations (object class 42.0)		215	695
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			–4,565
1235	Appropriations precluded from obligation		215	5,260
1260	Appropriations, mandatory (total)		215	695
1900	Budget authority (total)		215	695
1930	Total budgetary resources available		215	695
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts		215	695
3040	Outlays (gross)		–215	–695
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross		215	695
	Outlays, gross:			
4100	Outlays from new mandatory authority		215	695
4180	Budget authority, net (total)		215	695
4190	Outlays, net (total)		215	695

Federal Supplementary Medical Insurance Trust Fund

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–8004–4–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Benefit payments, SMI			–30
0900	Total new obligations (object class 42.0)			–30
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			4,550
1235	Appropriations precluded from obligation			–4,580
1260	Appropriations, mandatory (total)			–30
1900	Budget authority (total)			–30
1930	Total budgetary resources available			–30
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			–30
3040	Outlays (gross)			30
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			–30
	Outlays, gross:			
4100	Outlays from new mandatory authority			–30
4180	Budget authority, net (total)			–30
4190	Outlays, net (total)			–30

Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund

Program and Financing (in millions of dollars)

Identification code 75–8308–0–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Prescription Drug Benefits	67,673	57,789	73,507

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND—Continued

Program and Financing—Continued

Identification code 75–8308–0–7–571		2011 actual	2012 est.	2013 est.
0002	Administrative Costs	268	401	423
0799	Total direct obligations	67,941	58,190	73,930
0801	Reimbursable program (Prescription Drug Benefits)	1,612		
0900	Total new obligations	69,553	58,190	73,930
	Budgetary Resources:			
	Unobligated balance:			
1021	Recoveries of prior year unpaid obligations	221		
1050	Unobligated balance (total)	221		
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust fund)	402	397	418
1144	Approp temporarily reduced (Sec 527, HR 2055)		–1	
1160	Appropriation, discretionary (total)	402	396	418
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	67,454	57,794	73,512
1260	Appropriations, mandatory (total)	67,454	57,794	73,512
	Spending authority from offsetting collections, mandatory:			
1800	Collected	1,612		
1850	Spending auth from offsetting collections, mand (total)	1,612		
1900	Budget authority (total)	69,468	58,190	73,930
1930	Total budgetary resources available	69,689	58,190	73,930
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–136		
	Special and non-revolving trust funds:			
1951	Unobligated balance expiring	136		
1952	Expired unobligated balance, start of year	536	572	571
1953	Expired unobligated balance, end of year	436	571	571
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3,626	5,216	5,207
3030	Obligations incurred, unexpired accounts	69,553	58,190	73,930
3031	Obligations incurred, expired accounts	151		
3040	Outlays (gross)	–67,850	–58,199	–73,930
3080	Recoveries of prior year unpaid obligations, unexpired	–221		
3081	Recoveries of prior year unpaid obligations, expired	–43		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	5,216	5,207	5,207
3100	Obligated balance, end of year (net)	5,216	5,207	5,207
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	402	396	418
	Outlays, gross:			
4010	Outlays from new discretionary authority	254	310	321
4011	Outlays from discretionary balances	144	81	78
4020	Outlays, gross (total)	398	391	399
	Mandatory:			
4090	Budget authority, gross	69,066	57,794	73,512
	Outlays, gross:			
4100	Outlays from new mandatory authority	65,840	52,955	69,912
4101	Outlays from mandatory balances	1,612	4,853	3,619
4110	Outlays, gross (total)	67,452	57,808	73,531
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–1,612		
4180	Budget authority, net (total)	67,856	58,190	73,930
4190	Outlays, net (total)	66,238	58,199	73,930

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	67,856	58,190	73,930
Outlays	66,238	58,199	73,930
Legislative proposal, subject to PAYGO:			
Budget Authority			1,588
Outlays			1,588
Total:			
Budget Authority	67,856	58,190	75,518
Outlays	66,238	58,199	75,518

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit. The Budget includes a package of proposals that slow the growth of Part D net expenditures by allowing Medicare to benefit from the same rebates that Medicaid receives for drugs provided to low-income beneficiaries and by increasing the availability of generic drugs.

Object Classification (in millions of dollars)

Identification code 75–8308–0–7–571		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.2	Other services from non-Federal sources	268	401	423
42.0	Insurance claims and indemnities	67,673	57,789	73,507
99.0	Direct obligations	67,941	58,190	73,930
42.0	Allocation Account - reimbursable: Insurance claims and indemnities	1,612		
99.9	Total new obligations	69,553	58,190	73,930

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–8308–4–7–571		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Prescription Drug Benefits			1,588
0900	Total new obligations (object class 25.2)			1,588
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			1,588
1260	Appropriations, mandatory (total)			1,588
1930	Total budgetary resources available			1,588
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			1,588
3040	Outlays (gross)			–1,588
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			1,588
	Outlays, gross:			
4100	Outlays from new mandatory authority			1,588
4180	Budget authority, net (total)			1,588
4190	Outlays, net (total)			1,588

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 75–1552–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	State family assistance grant	16,491	16,488	16,488
0002	Territories - family assistance grants	77	78	78
0004	Supplemental Grants 2011	211		
0006	Tribal work programs	5	8	8

0009	Healthy marriage and responsible fatherhood grants	150	150	150
0900	Total new obligations	16,934	16,724	16,724
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	16,950	16,739	16,739
1260	Appropriations, mandatory (total)	16,950	16,739	16,739
1930	Total budgetary resources available	16,950	16,739	16,739
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–16	–15	–15
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	4,776	4,594	4,780
3030	Obligations incurred, unexpired accounts	16,934	16,724	16,724
3040	Outlays (gross)	–17,116	–16,538	–17,017
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	4,594	4,780	4,487
3100	Obligated balance, end of year (net)	4,594	4,780	4,487
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	16,950	16,739	16,739
	Outlays, gross:			
4100	Outlays from new mandatory authority	14,039	13,377	13,378
4101	Outlays from mandatory balances	3,077	3,161	3,639
4110	Outlays, gross (total)	17,116	16,538	17,017
4180	Budget authority, net (total)	16,950	16,739	16,739
4190	Outlays, net (total)	17,116	16,538	17,017

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	16,950	16,739	16,739
Outlays	17,116	16,538	17,017
Legislative proposal, subject to PAYGO:			
Budget Authority			319
Outlays			289
Total:			
Budget Authority	16,950	16,739	17,058
Outlays	17,116	16,538	17,306

This account provides funding for the Temporary Assistance for Needy Families block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171), the Claims Resolution Act of 2010 (P.L. 111–291), the Short Term TANF Extension Act (P.L. 112–35), and the Temporary Payroll Tax Cut Continuation Act of 2011 (P.L. 112–78)

Object Classification (in millions of dollars)

Identification code 75–1552–0–1–609	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits		1	1
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA		1	1
25.1 Advisory and assistance services	23	21	21
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	16,906	16,695	16,695
99.9 Total new obligations	16,934	16,724	16,724

Employment Summary

Identification code 75–1552–0–1–609	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	18	18	18

Temporary Assistance for Needy Families

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1552–4–1–609	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0004 Supplemental Grants			319
0900 Total new obligations (object class 41.0)			319
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			319
1260 Appropriations, mandatory (total)			319
1930 Total budgetary resources available			319
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			319
3040 Outlays (gross)			–289
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			30
3100 Obligated balance, end of year (net)			30
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			319
Outlays, gross:			
4100 Outlays from new mandatory authority			289
4180 Budget authority, net (total)			319
4190 Outlays, net (total)			289

The Budget proposes to permanently fund the TANF Supplemental Grants for Population Increases by redirecting TANF Contingency Fund resources to support these grants.

Contingency Fund

Program and Financing (in millions of dollars)

Identification code 75–1522–0–1–609	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Contingency fund	334	612	612
0900 Total new obligations (object class 41.0)	334	612	612
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	506	612	612
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–172		
1260 Appropriations, mandatory (total)	334	612	612
1930 Total budgetary resources available	334	612	612
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	2,454	832	127
3030 Obligations incurred, unexpired accounts	334	612	612
3040 Outlays (gross)	–1,956	–1,317	–682
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	832	127	57
3100 Obligated balance, end of year (net)	832	127	57
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	334	612	612

CONTINGENCY FUND—Continued

Program and Financing—Continued

Identification code 75–1522–0–1–609		2011 actual	2012 est.	2013 est.
	Outlays, gross:			
4100	Outlays from new mandatory authority	249	555	555
4101	Outlays from mandatory balances	1,707	762	127
4110	Outlays, gross (total)	1,956	1,317	682
4180	Budget authority, net (total)	334	612	612
4190	Outlays, net (total)	1,956	1,317	682

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	334	612	612
Outlays	1,956	1,317	682
Legislative proposal, subject to PAYGO:			
Budget Authority			–319
Outlays			–289
Total:			
Budget Authority	334	612	293
Outlays	1,956	1,317	393

This account provides funding for the TANF Contingency Fund authorized by section 403(b) of the Social Security Act that currently provides money to qualifying States (but not Territories or Tribes) during an economic downturn.

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1522–4–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Contingency fund			–319
0900	Total new obligations (object class 41.0)			–319
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation			–319
1260	Appropriations, mandatory (total)			–319
1930	Total budgetary resources available			–319
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			–319
3040	Outlays (gross)			289
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)			–30
3100	Obligated balance, end of year (net)			–30
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			–319
	Outlays, gross:			
4100	Outlays from new mandatory authority			–289
4180	Budget authority, net (total)			–319
4190	Outlays, net (total)			–289

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, [$2,305,035,000] *$2,756,485,000*, to remain available until expended; and for such purposes for the first quarter of fiscal year [2013] *2014*, $1,100,000,000, to remain available until expended.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV-A of the Social Security Act before the effective date of the program of Temporary Assistance for Needy Families with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV-A in fiscal year 1997 under this appropriation and under such title IV-A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1501–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	State child support administrative costs	4,161	3,778	3,572
0002	Child support incentive payments	466	526	540
0003	Access and visitation grants	10	10	10
0091	Subtotal, child support enforcement	4,637	4,314	4,122
0102	Payments to territories	30	33	33
0103	Repatriation	1	1	1
0191	Subtotal, other payments	31	34	34
0799	Total direct obligations	4,668	4,348	4,156
0801	Offset obligations (CSE grants to States)	3	3	3
0900	Total new obligations	4,671	4,351	4,159
	Budgetary Resources:			
	Unobligated balance:			
1021	Recoveries of prior year unpaid obligations	509	300	300
1050	Unobligated balance (total)	509	300	300
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	3,059	2,848	2,756
1260	Appropriations, mandatory (total)	3,059	2,848	2,756
	Advance appropriations, mandatory:			
1270	Advance appropriation	1,100	1,200	1,100
1280	Advanced appropriation, mandatory (total)	1,100	1,200	1,100
	Spending authority from offsetting collections, mandatory:			
1800	Collected	3	3	3
1850	Spending auth from offsetting collections, mand (total)	3	3	3
1900	Budget authority (total)	4,162	4,051	3,859
1930	Total budgetary resources available	4,671	4,351	4,159
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,130	1,107	1,286
3030	Obligations incurred, unexpired accounts	4,671	4,351	4,159
3040	Outlays (gross)	–4,185	–3,872	–3,865
3080	Recoveries of prior year unpaid obligations, unexpired	–509	–300	–300
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1,107	1,286	1,280
3100	Obligated balance, end of year (net)	1,107	1,286	1,280
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	4,162	4,051	3,859
	Outlays, gross:			
4100	Outlays from new mandatory authority	3,318	3,001	2,895
4101	Outlays from mandatory balances	867	871	970
4110	Outlays, gross (total)	4,185	3,872	3,865
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	–3	–3	–3
4180	Budget authority, net (total)	4,159	4,048	3,856
4190	Outlays, net (total)	4,182	3,869	3,862

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	4,159	4,048	3,856
Outlays	4,182	3,869	3,862
Legislative proposal, subject to PAYGO:			
Budget Authority			11
Outlays			11
Total:			
Budget Authority	4,159	4,048	3,867
Outlays	4,182	3,869	3,873

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account. This request proposes a Child Support and Fatherhood Initiative, a comprehensive set of proposals which promotes using child support payments in the best interest of the child; supports noncustodial parents becoming and staying involved in their children's lives; and improves establishment and enforcement procedures by closing loopholes and improving federal processes.

Object Classification (in millions of dollars)

Identification code 75–1501–0–1–609		2011 actual	2012 est.	2013 est.
41.0	Direct obligations: Grants, subsidies, and contributions	4,668	4,348	4,156
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations	4,671	4,351	4,159

Payments to States for Child Support Enforcement and Family Support Programs

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1501–4–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	State child support administrative costs			11
0900	Total new obligations (object class 41.0)			11
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation			11
1260	Appropriations, mandatory (total)			11
1900	Budget authority (total)			11
1930	Total budgetary resources available			11
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			11
3040	Outlays (gross)			–11
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			11
	Outlays, gross:			
4100	Outlays from new mandatory authority			11
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)			11
4190	Outlays, net (total)			11

Low Income Home Energy Assistance

For making payments under subsections (b), [and] (d), *and (e)* of section 2602 of the Low Income Home Energy Assistance Act of 1981, [$3,478,246,000] *$3,020,000,000, of which $2,820,000,000 shall be for payments under subsections (b) and (d) of such section; and of which $200,000,000 shall be for making payments under subsection (e) of such section, to be made notwithstanding the designation requirements of such subsection*: *Provided*, That all but [$497,000,000] *$403,000,000* of [such funds] *the amount provided in this section for subsections (b) and (d)* shall be allocated as though the total appropriation for such payments for fiscal year [2012] *2013* was less than $1,975,000,000: *Provided further*, That notwithstanding section 2609A(a), of the amounts appropriated under section 2602(b), not more than $3,000,000 of such amounts may be reserved by the Secretary for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1502–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	LIHEAP	4,701	3,472	3,020
0900	Total new obligations (object class 41.0)	4,701	3,472	3,020
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	4,710	3,479	3,020
1130	Appropriations permanently reduced	–9		
1143	Approp permanently reduced (Sec 527, HR 2055)		–7	
1160	Appropriation, discretionary (total)	4,701	3,472	3,020
1930	Total budgetary resources available	4,701	3,472	3,020
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,715	1,992	1,738
3030	Obligations incurred, unexpired accounts	4,701	3,472	3,020
3040	Outlays (gross)	–4,419	–3,726	–3,338
3081	Recoveries of prior year unpaid obligations, expired	–5		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1,992	1,738	1,420
3100	Obligated balance, end of year (net)	1,992	1,738	1,420
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4,701	3,472	3,020
	Outlays, gross:			
4010	Outlays from new discretionary authority	2,978	2,326	2,027
4011	Outlays from discretionary balances	1,441	1,400	1,311
4020	Outlays, gross (total)	4,419	3,726	3,338
4180	Budget authority, net (total)	4,701	3,472	3,020
4190	Outlays, net (total)	4,419	3,726	3,338

This program makes grants to States and Indian Tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers. Obligation estimates for the contingency fund assume that all funds will be released in response to expected high prices for home delivered fuels and other weather related events.

Refugee and Entrant Assistance

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, and the Trafficking Victims Protection Act of 2000, for costs associated with the care and placement of unaccompanied alien children, and for carrying out the Torture Victims Relief Act of 1998, [$769,789,000] *$805,358,000*, of which up to [$9,794,000] *$9,775,000* shall be available to carry out the Trafficking Victims Protection Act of 2000: *Provided*, That funds appropriated under this heading pursuant to section 414(a) of the Immigration and Nationality Act, section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, and the Trafficking Victims Protection Act of 2000 for fiscal year [2012] *2013*

REFUGEE AND ENTRANT ASSISTANCE—Continued

shall be available for the costs of assistance provided and other activities to remain available through September 30, [2014] *2015*. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1503–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Refugee and entrant assistance	574	605	619
0002	Assistance for treatment of torture victims	11	11	11
0003	Unaccompanied alien children	175	175	175
0900	Total new obligations	760	791	805
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	77	23	.....
1021	Recoveries of prior year unpaid obligations	2	.....	.....
1050	Unobligated balance (total)	79	23	.....
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	731	769	805
1130	Appropriations permanently reduced	–2	.....	.....
1131	Unobligated balance of appropriations permanently reduced	–25	.....	.....
1143	Approp permanently reduced (Sec 527, HR 2055)	.....	–1	.....
1160	Appropriation, discretionary (total)	704	768	805
1930	Total budgetary resources available	783	791	805
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	23	.....	.....
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	576	566	699
3030	Obligations incurred, unexpired accounts	760	791	805
3040	Outlays (gross)	–753	–658	–830
3080	Recoveries of prior year unpaid obligations, unexpired	–2	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–15	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	566	699	674
3100	Obligated balance, end of year (net)	566	699	674
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	704	768	805
	Outlays, gross:			
4010	Outlays from new discretionary authority	347	307	322
4011	Outlays from discretionary balances	406	351	508
4020	Outlays, gross (total)	753	658	830
4180	Budget authority, net (total)	704	768	805
4190	Outlays, net (total)	753	658	830

This program provides funds to States and non-governmental organizations for administering the refugee and entrant assistance program. Funds also are provided to assist in the rehabilitation of victims of torture, trafficking victims, and the care and placement of unaccompanied alien children.

Object Classification (in millions of dollars)

Identification code 75–1503–0–1–609		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	2	2	2
25.1	Advisory and assistance services	31	29	29
25.3	Other goods and services from Federal sources	8	16	16
41.0	Grants, subsidies, and contributions	714	739	753
99.9	Total new obligations	760	791	805

Employment Summary

Identification code 75–1503–0–1–609		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	44	44	44

PROMOTING SAFE AND STABLE FAMILIES

For carrying out section 436 of the Social Security Act, $345,000,000 and, *in addition, for carrying out* section 437 of such Act, [$63,184,000] *$63,065,000*. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1512–0–1–506		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Grants to States and Tribes	388	368	368
0002	Research, training and technical assistance	8	8	8
0003	State court improvement activities	32	32	32
0004	Family Connection Grants	15	15	15
0005	PREP	72	100	75
0006	Abstinence Education	38	37	37
0900	Total new obligations	553	560	535
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	19	25	.....
1021	Recoveries of prior year unpaid obligations	3	.....	.....
1050	Unobligated balance (total)	22	25	.....
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	63	63	76
1130	Appropriations permanently reduced	.....	.....	–13
1160	Appropriation, discretionary (total)	63	63	63
	Appropriations, mandatory:			
1200	Appropriation	505	485	485
1260	Appropriations, mandatory (total)	505	485	485
1900	Budget authority (total)	568	548	548
1930	Total budgetary resources available	590	573	548
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–12	–13	.....
1941	Unexpired unobligated balance, end of year	25	.....	13
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	552	614	542
3030	Obligations incurred, unexpired accounts	553	560	535
3040	Outlays (gross)	–479	–632	–542
3080	Recoveries of prior year unpaid obligations, unexpired	–3	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–9	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	614	542	535
3100	Obligated balance, end of year (net)	614	542	535
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	63	63	63
	Outlays, gross:			
4010	Outlays from new discretionary authority	21	19	19
4011	Outlays from discretionary balances	45	41	45
4020	Outlays, gross (total)	66	60	64
	Mandatory:			
4090	Budget authority, gross	505	485	485
	Outlays, gross:			
4100	Outlays from new mandatory authority	104	158	158
4101	Outlays from mandatory balances	309	414	320
4110	Outlays, gross (total)	413	572	478
4180	Budget authority, net (total)	568	548	548
4190	Outlays, net (total)	479	632	542

This account provides funds for a broad range of child welfare services, including family preservation and family support services. Additionally, this account includes funding for the Personal

Responsibility Education Program (PREP) and Abstinence Education which were made available by the Patient Protection and Affordable Care Act (P.L. 111–148). This account also includes a new initiative to prevent pregnancy among youth in foster care.

Object Classification (in millions of dollars)

Identification code 75–1512–0–1–506		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.1	Advisory and assistance services	12	12	12
25.3	Other goods and services from Federal sources	2	2	2
41.0	Grants, subsidies, and contributions	539	546	521
99.9	Total new obligations	553	560	535

Employment Summary

Identification code 75–1512–0–1–506		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	5	5	5

Child Care Entitlement to States

Program and Financing (in millions of dollars)

Identification code 75–1550–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Mandatory child care	1,178	1,178	1,178
0002	Matching child care	1,686	1,674	1,674
0003	Training and technical assistance	7	7	7
0004	Child care tribal grants	58	58	58
0900	Total new obligations	2,929	2,917	2,917
	Budgetary Resources:			
	Unobligated balance:			
1012	Unobligated balance transfers between expired and unexpired accounts	25		
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	2,917	2,917	2,917
1260	Appropriations, mandatory (total)	2,917	2,917	2,917
1900	Budget authority (total)	2,917	2,917	2,917
1930	Total budgetary resources available	2,942	2,917	2,917
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–13		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	806	609	658
3030	Obligations incurred, unexpired accounts	2,929	2,917	2,917
3040	Outlays (gross)	–3,100	–2,868	–2,877
3081	Recoveries of prior year unpaid obligations, expired	–26		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	609	658	698
3100	Obligated balance, end of year (net)	609	658	698
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2,917	2,917	2,917
	Outlays, gross:			
4100	Outlays from new mandatory authority	2,382	2,357	2,357
4101	Outlays from mandatory balances	718	511	520
4110	Outlays, gross (total)	3,100	2,868	2,877
4180	Budget authority, net (total)	2,917	2,917	2,917
4190	Outlays, net (total)	3,100	2,868	2,877

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	2,917	2,917	2,917
Outlays	3,100	2,868	2,877
Legislative proposal, subject to PAYGO:			
Budget Authority			500
Outlays			409
Total:			
Budget Authority	2,917	2,917	3,417
Outlays	3,100	2,868	3,286

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171), the Claims Resolution Act of 2010 (P.L. 111–291), the Short Term TANF Extension Act (P.L. 112–35), and the Temporary Payroll Tax Cut Continuation Act of 2011 (P.L. 112–78).

Object Classification (in millions of dollars)

Identification code 75–1550–0–1–609		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.1	Advisory and assistance services		1	1
25.2	Other services from non-Federal sources	7	6	6
41.0	Grants, subsidies, and contributions	2,922	2,910	2,910
99.9	Total new obligations	2,929	2,917	2,917

Child Care Entitlement to States

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1550–4–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	Matching child care			480
0003	Training and technical assistance			10
0004	Child care tribal grants			10
0900	Total new obligations			500
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation			500
1260	Appropriations, mandatory (total)			500
1900	Budget authority (total)			500
1930	Total budgetary resources available			500
	Change in obligated balance:			
3030	Obligations incurred, unexpired accounts			500
3040	Outlays (gross)			–409
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)			91
3100	Obligated balance, end of year (net)			91
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			500
	Outlays, gross:			
4100	Outlays from new mandatory authority			397
4101	Outlays from mandatory balances			12
4110	Outlays, gross (total)			409
4180	Budget authority, net (total)			500
4190	Outlays, net (total)			409

Object Classification (in millions of dollars)

Identification code 75–1550–4–1–609		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.2	Other services from non-Federal sources			10
41.0	Grants, subsidies, and contributions			490
99.9	Total new obligations			500

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

For carrying out the Child Care and Development Block Grant Act of 1990, [$2,282,627,000] *$2,303,313,000* shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: *Provided*, That [$19,433,000] *$19,609,000* shall be available for child care resource and referral and school-aged child care activities, of which $1,000,000 shall be available to the Secretary for a competitive grant for the operation of a national toll free hotline and Web site to develop and disseminate child care consumer education information for parents and help parents access child care in their local community: *Provided further*, That, in addition to the amounts required to be reserved by the States under section 658G, [$291,248,000] *$293,887,000* shall be reserved by the States for activities authorized under section 658G, of which [$106,813,000] *$107,781,000* shall be for activities that improve the quality of infant and toddler care: *Provided further*, That [$9,890,000] *$9,871,000* shall be for use by the Secretary for child care research, demonstration, and evaluation activities.

In addition, $300,000,000, for grants to States to improve the quality of child care and for the Federal costs of carrying out evaluations. (Department of Health and Human Services Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 75–1515–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Block grant payments to States	2,213	2,268	2,593
0004	Research and evaluation fund	10	10	10
0900	Total new obligations	2,223	2,278	2,603
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	2,227	2,282	2,603
1130	Appropriations permanently reduced	–4		
1143	Approp permanently reduced (Sec 527, HR 2055)		–4	
1160	Appropriation, discretionary (total)	2,223	2,278	2,603
1930	Total budgetary resources available	2,223	2,278	2,603
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	1,240	478	457
3030	Obligations incurred, unexpired accounts	2,223	2,278	2,603
3040	Outlays (gross)	–2,984	–2,299	–2,461
3081	Recoveries of prior year unpaid obligations, expired	–1		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	478	457	599
3100	Obligated balance, end of year (net)	478	457	599
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	2,223	2,278	2,603
	Outlays, gross:			
4010	Outlays from new discretionary authority	1,825	1,845	2,108
4011	Outlays from discretionary balances	1,159	454	353
4020	Outlays, gross (total)	2,984	2,299	2,461
4180	Budget authority, net (total)	2,223	2,278	2,603
4190	Outlays, net (total)	2,984	2,299	2,461

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality.

Object Classification (in millions of dollars)

Identification code 75–1515–0–1–609		2011 actual	2012 est.	2013 est.
	Direct obligations:			
25.1	Advisory and assistance services	14	5	11
25.3	Other goods and services from Federal sources	1	1	1
25.5	Research and development contracts		10	10
41.0	Grants, subsidies, and contributions	2,208	2,262	2,581
99.9	Total new obligations	2,223	2,278	2,603

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, $1,700,000,000: *Provided*, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-*A* of such Act shall be 10 percent. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1534–0–1–506		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Social Services Block Grant	1,700	1,700	1,700
0002	Health Profession Opportunity Grants	85	85	85
0900	Total new obligations	1,785	1,785	1,785
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	30	30	30
1021	Recoveries of prior year unpaid obligations		66	
1029	Other balances withdrawn		–66	
1050	Unobligated balance (total)	30	30	30
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	1,785	1,785	1,785
1260	Appropriations, mandatory (total)	1,785	1,785	1,785
1930	Total budgetary resources available	1,815	1,815	1,815
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	30	30	30
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	610	580	391
3030	Obligations incurred, unexpired accounts	1,785	1,785	1,785
3040	Outlays (gross)	–1,787	–1,908	–1,792
3080	Recoveries of prior year unpaid obligations, unexpired		–66	
3081	Recoveries of prior year unpaid obligations, expired	–28		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	580	391	384
3100	Obligated balance, end of year (net)	580	391	384
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1,785	1,785	1,785
	Outlays, gross:			
4100	Outlays from new mandatory authority	1,393	1,483	1,483
4101	Outlays from mandatory balances	394	425	309
4110	Outlays, gross (total)	1,787	1,908	1,792
4180	Budget authority, net (total)	1,785	1,785	1,785
4190	Outlays, net (total)	1,787	1,908	1,792

Object Classification (in millions of dollars)

Identification code 75–1534–0–1–506		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.1	Advisory and assistance services	15	16	16
41.0	Grants, subsidies, and contributions	1,769	1,768	1,768
99.9	Total new obligations	1,785	1,785	1,785

Employment Summary

Identification code 75–1534–0–1–506		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	6	6	6

CHILDREN AND FAMILIES SERVICES PROGRAMS

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), the Abandoned Infants Assistance Act of 1988, section 291 of the Help America Vote Act of 2002, part B-1 of title IV and sections 413, 1110, and 1115 of the Social Security Act; for making payments under the Community Services Block Grant Act ("CSBG Act"), sections [439(i),] 473B[,] and 477(i) of the Social Security Act, and the Assets for Independence Act; and for necessary administrative expenses to carry out such Acts and titles I, IV, V, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960, the Low Income Home Energy Assistance Act of 1981, title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980, [$9,926,709,000] *$9,688,767,000*, of which [$39,421,000] *$39,346,000*, to remain available through September 30, [2013] *2014*, shall be for grants to States for adoption incentive payments, as authorized by section 473A of the Social Security Act and may be made for adoptions completed before September 30, [2012] *2013*: *Provided*, That [$7,983,633,000] *$8,054,000,000* shall be for making payments under the Head Start Act: *Provided further*, That *of the amount in the previous proviso, $7,968,543,933 shall be available for payments under section 640 of the Head Start Act at the same level of such payments for FY 2012; of which $40,000,000 shall be available through fiscal year 2014 for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of such Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12) and 645A(d) of such Act; and of which $45,456,067 shall be available for carrying out the cost of living adjustment described in section 640(a)(3)(A)(ii)(II)(aa) of such Act: Provided further, That amounts allocated to Head Start grantees at the discretion of the Secretary to supplement activities pursuant to the previous proviso shall not be included in the calculation of the "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of the Head Start Act:*[for purposes of allocating funds described by the immediately preceding proviso, the term "base grant" as used in subsection (a)(7)(A) of section 640 of such Act with respect to funding provided to a Head Start agency (including each Early Head Start agency) for fiscal year 2011 shall be calculated as described in such subsection and to which amount shall be added 50 percent of the amount of funds appropriated under the heading "Department of Health and Human Services, Administration for Children and Families, Children and Family Services Programs" in Public Law 111–5 and provided to such agency for carrying out expansion of Head Start programs, as that phrase is used in subsection (a)(4)(D) of such section 640, and provided to such agency as the ongoing funding level for operations in the 12-month period beginning in fiscal year 2010:] *Provided further*, That [$713,630,000] *$379,943,000* shall be for making payments under the CSBG Act: *Provided further*, That [$35,340,000] *$30,293,000* shall be for sections 680 and 678E(b)(2) of the CSBG Act, of which not less than [$30,000,000] *$29,943,000* shall be for section 680(a)(2) [and not less than $4,990,000 shall be for section 680(a)(3)(B)] of such Act: *Provided further, That, to the extent funds for the Assets for Independence Act are distributed as grant funds to a qualified entity and have not been expended by such entity within three years after the date of award, such funds may be recaptured and reallocated among other qualified entities, to remain available for five years: Provided further, That, notwithstanding section 414(e) of the Assets for Independence Act, the Secretary may award up to $1,000,000 to support evidence-based research to evaluate the demonstration project: Provided further, That amounts provided herein to carry out section 1110 of the Social Security Act shall remain available until expended: Provided further*, That, in addition to amounts provided herein, $5,762,000 shall be available from amounts available under section 241 of the PHS Act to carry out the provisions of section 1110 of the Social Security Act: *Provided further*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the CSBG Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That these procedures shall apply to such grant funds made available after November 29, 1999: *Provided further*, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: *Provided further*, That [$5,245,000] *$5,235,000* shall be for activities authorized by section 291 of the Help America Vote Act of 2002: *Provided further*, That $1,996,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: *Provided further, That section 303 (a)(2)(A)(i) of the Family Violence Prevention and Services Act shall not apply to amounts provided herein: Provided further*, That up to $2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1536–0–1–506		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0101	Head start	7,559	7,969	8,054
0103	Runaway and homeless youth (basic centers)	54	53	53
0104	Transitional living	44	44	44
0106	Education grants to reduce sexual abuse of runaway youth	18	18	18
0109	Child abuse State grants	26	26	26
0110	Child abuse discretionary activities	26	26	26
0111	Community-based child abuse prevention	42	42	42
0112	Child welfare services	281	281	281
0113	Child welfare training, research, or demonstration projects	27	26	31
0114	Adoption opportunities	39	39	39
0115	Abandoned infants assistance	12	11	11
0116	Adoption incentives	39	42	39
0117	Independent living training vouchers	45	45	45
0119	State councils on developmental disabilities	75	75	75
0120	Protection and advocacy	41	41	41
0121	Projects of national significance	14	8	8
0122	University centers for excellence	39	39	39
0123	Voting access for individuals with disabilities	17	5	5
0124	Native American programs	49	49	49
0125	Social services and income maintenance research	.....	.....	3
0128	Federal administration	207	204	212
0129	Center for faith-based and community initiatives	1	1	1
0131	Disaster human services case management	2	2	2
0191	Subtotal	8,657	9,046	9,144
0301	Community services block grant	678	677	350
0303	Rural community facilities	5	5	.....
0304	Community services discretionary (JOLI & CED)	20	30	30
0306	Assets for independence	24	20	20
0308	Domestic violence hotline	3	3	5
0309	Family violence prevention and services	130	130	135
0310	Disconnected Youth	.....	.....	5
0391	Subtotal	860	865	545
0400	Total, direct program	9,517	9,911	9,689
0799	Total direct obligations	9,517	9,911	9,689
0801	Reimbursable program	21	25	25
0809	Reimbursable program activities, subtotal	21	25	25
0900	Total new obligations	9,538	9,936	9,714
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	2	2
1021	Recoveries of prior year unpaid obligations	.....	3	.....
1050	Unobligated balance (total)	2	5	2
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	9,538	9,927	9,689

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued

Program and Financing—Continued

Identification code 75–1536–0–1–506		2011 actual	2012 est.	2013 est.
1130	Appropriations permanently reduced	–19		
1143	Approp permanently reduced (Sec 527, HR 2055)		–19	
1160	Appropriation, discretionary (total)	9,519	9,908	9,689
	Spending authority from offsetting collections, discretionary:			
1700	Collected	18	24	24
1701	Change in uncollected payments, Federal sources	2		
1750	Spending auth from offsetting collections, disc (total)	20	24	24
	Spending authority from offsetting collections, mandatory:			
1800	Collected	1	1	1
1801	Change in uncollected payments, Federal sources	1		
1850	Spending auth from offsetting collections, mand (total)	2	1	1
1900	Budget authority (total)	9,541	9,933	9,714
1930	Total budgetary resources available	9,543	9,938	9,716
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–3		
1941	Unexpired unobligated balance, end of year	2	2	2
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	7,810	6,702	6,554
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–15	–7	–7
3020	Obligated balance, start of year (net)	7,795	6,695	6,547
3030	Obligations incurred, unexpired accounts	9,538	9,936	9,714
3040	Outlays (gross)	–10,551	–10,081	–9,868
3050	Change in uncollected pymts, Fed sources, unexpired	–3		
3051	Change in uncollected pymts, Fed sources, expired	11		
3080	Recoveries of prior year unpaid obligations, unexpired		–3	
3081	Recoveries of prior year unpaid obligations, expired	–95		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	6,702	6,554	6,400
3091	Uncollected pymts, Fed sources, end of year	–7	–7	–7
3100	Obligated balance, end of year (net)	6,695	6,547	6,393
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	9,539	9,932	9,713
	Outlays, gross:			
4010	Outlays from new discretionary authority	3,962	4,187	4,095
4011	Outlays from discretionary balances	6,585	5,892	5,771
4020	Outlays, gross (total)	10,547	10,079	9,866
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–21	–18	–18
4033	Non-Federal sources	–8	–6	–6
4040	Offsets against gross budget authority and outlays (total)	–29	–24	–24
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–2		
4052	Offsetting collections credited to expired accounts	11		
4060	Additional offsets against budget authority only (total)	9		
4070	Budget authority, net (discretionary)	9,519	9,908	9,689
4080	Outlays, net (discretionary)	10,518	10,055	9,842
	Mandatory:			
4090	Budget authority, gross	2	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	1	1
4101	Outlays from mandatory balances	2	1	1
4110	Outlays, gross (total)	4	2	2
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–1	–1	–1
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–1		
4170	Outlays, net (mandatory)	3	1	1
4180	Budget authority, net (total)	9,519	9,908	9,689
4190	Outlays, net (total)	10,521	10,056	9,843

The request prioritizes investments in early learning to improve outcomes for children. A total of $8.054 billion is requested to maintain the number of children served in Head Start and support implementation of Head Start recompetition. This Budget also requests an additional $7 million for family violence prevention, $5 million for an interagency effort to improve outcomes for disconnected youth, and $5 million to enhance efforts to prevent domestic trafficking.

Object Classification (in millions of dollars)

Identification code 75–1536–0–1–506		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	113	113	117
11.3	Other than full-time permanent	5	5	5
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	121	121	125
12.1	Civilian personnel benefits	30	30	31
21.0	Travel and transportation of persons	5	4	4
23.1	Rental payments to GSA	20	20	20
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	5	5	5
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	248	251	251
25.2	Other services from non-Federal sources	15	15	15
25.3	Other goods and services from Federal sources	49	49	49
25.4	Operation and maintenance of facilities	3	3	3
25.5	Research and development contracts	1	1	1
26.0	Supplies and materials	1	1	1
41.0	Grants, subsidies, and contributions	9,016	9,408	9,181
99.0	Direct obligations	9,517	9,911	9,689
99.0	Reimbursable obligations	21	25	25
99.9	Total new obligations	9,538	9,936	9,714

Employment Summary

Identification code 75–1536–0–1–506		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	1,193	1,193	1,217
1101	Direct military average strength employment	4	4	4

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 75–1553–0–1–609		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Training and technical assistance	12	12	12
0002	Federal parent locator service	25	25	25
0003	Child welfare study	6		
0004	Welfare research	15	15	15
0799	Total direct obligations	58	52	52
0801	Reimbursable program	24	26	26
0900	Total new obligations	82	78	78
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	8	10
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	9	8	10
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	58	52	52
1260	Appropriations, mandatory (total)	58	52	52
	Spending authority from offsetting collections, mandatory:			
1800	Collected	24	29	29
1801	Change in uncollected payments, Federal sources	–1	–1	–1
1850	Spending auth from offsetting collections, mand (total)	23	28	28
1900	Budget authority (total)	81	80	80
1930	Total budgetary resources available	90	88	90
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	8	10	12
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	57	60	54
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–6	–5	–4
3020	Obligated balance, start of year (net)	51	55	50

3030	Obligations incurred, unexpired accounts	82	78	78
3040	Outlays (gross)	–75	–84	–94
3050	Change in uncollected pymts, Fed sources, unexpired	1	1	1
3080	Recoveries of prior year unpaid obligations, unexpired	–4		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	60	54	38
3091	Uncollected pymts, Fed sources, end of year	–5	–4	–3
3100	Obligated balance, end of year (net)	55	50	35
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	81	80	80
	Outlays, gross:			
4100	Outlays from new mandatory authority	33	38	37
4101	Outlays from mandatory balances	42	46	57
4110	Outlays, gross (total)	75	84	94
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–24	–5	–5
4123	Non-Federal sources		–24	–24
4130	Offsets against gross budget authority and outlays (total)	–24	–29	–29
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	1	1	1
4160	Budget authority, net (mandatory)	58	52	52
4170	Outlays, net (mandatory)	51	55	65
4180	Budget authority, net (total)	58	52	52
4190	Outlays, net (total)	51	55	65

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	58	52	52
Outlays	51	55	65
Legislative proposal, subject to PAYGO:			
Budget Authority		6	6
Outlays		1	3
Total:			
Budget Authority	58	58	58
Outlays	51	56	68

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171), the Claims Resolution Act of 2010 (P.L. 111–291), the Short Term TANF Extension Act (P.L. 112–35), and the Temporary Payroll Tax Cut Continuation Act of 2011 (P.L. 112–78).

Object Classification (in millions of dollars)

Identification code 75–1553–0–1–609	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	7	7
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	21	19	19
25.2 Other services from non-Federal sources	14	5	5
25.3 Other goods and services from Federal sources	5	6	6
25.7 Operation and maintenance of equipment	3	7	7
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	58	52	52
99.0 Reimbursable obligations	24	26	26
99.9 Total new obligations	82	78	78

Employment Summary

Identification code 75–1553–0–1–609	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	64	64	64

Children's Research and Technical Assistance

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1553–4–1–609	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0003 Child welfare study		6	6
0900 Total new obligations (object class 25.2)		6	6
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		6	6
1260 Appropriations, mandatory (total)		6	6
1900 Budget authority (total)		6	6
1930 Total budgetary resources available		6	6
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			5
3030 Obligations incurred, unexpired accounts		6	6
3040 Outlays (gross)		–1	–3
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		5	8
3100 Obligated balance, end of year (net)		5	8
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		6	6
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		1	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total)		6	6
4190 Outlays, net (total)		1	3

Payments for Foster Care and Permanency

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, [$5,153,000,000] *$4,810,000,000*.

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, for the first quarter of fiscal year [2013, $2,100,000,000]*2014, $2,200,000,000*.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–1545–0–1–609	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Foster care	4,456	4,107	4,143
0002 Independent living	140	140	140
0004 Adoption assistance	2,362	2,415	2,537
0005 Guardianship	29	86	90
0006 Tribal T&TA	3	3	3
0900 Total new obligations	6,990	6,751	6,913

PAYMENTS FOR FOSTER CARE AND PERMANENCY—Continued

Program and Financing—Continued

Identification code 75–1545–0–1–609	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	5,140	5,156	4,813
1260 Appropriations, mandatory (total)	5,140	5,156	4,813
Advance appropriations, mandatory:			
1270 Advance appropriation	1,850	1,850	2,100
1280 Advanced appropriation, mandatory (total)	1,850	1,850	2,100
1900 Budget authority (total)	6,990	7,006	6,913
1930 Total budgetary resources available	6,990	7,006	6,913
Memorandum (non-add) entries:			
1940 Unobligated balance expiring		–255	
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1,216	1,218	1,174
3030 Obligations incurred, unexpired accounts	6,990	6,751	6,913
3031 Obligations incurred, expired accounts	11		
3040 Outlays (gross)	–6,860	–6,795	–6,948
3081 Recoveries of prior year unpaid obligations, expired	–139		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1,218	1,174	1,139
3100 Obligated balance, end of year (net)	1,218	1,174	1,139
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	6,990	7,006	6,913
Outlays, gross:			
4100 Outlays from new mandatory authority	6,030	5,883	6,035
4101 Outlays from mandatory balances	830	912	913
4110 Outlays, gross (total)	6,860	6,795	6,948
4180 Budget authority, net (total)	6,990	7,006	6,913
4190 Outlays, net (total)	6,860	6,795	6,948

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	6,990	7,006	6,913
Outlays	6,860	6,795	6,948
Legislative proposal, subject to PAYGO:			
Budget Authority			252
Outlays			222
Total:			
Budget Authority	6,990	7,006	7,165
Outlays	6,860	6,795	7,170

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance, and the Chafee Foster Care Independence Program as well as technical assistance and implementation services for Tribal programs.

Foster care.—The proposed level will support eligible low-income children who must be placed outside the home. An average of 165,000 children per month are estimated to be served in FY 2013.

Adoption assistance.—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 459,500 children per month are estimated to be served in FY 2013.

Guardianship Assistance.—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 14,000 children per month are estimated to be served in FY 2013.

Object Classification (in millions of dollars)

Identification code 75–1545–0–1–609	2011 actual	2012 est.	2013 est.
Direct obligations:			
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	18	22	22
25.3 Other goods and services from Federal sources	2	1	1
41.0 Grants, subsidies, and contributions	6,969	6,727	6,889
99.9 Total new obligations	6,990	6,751	6,913

Employment Summary

Identification code 75–1545–0–1–609	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	4	4	4

PAYMENTS FOR FOSTER CARE AND PERMANENCY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–1545–4–1–609	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Foster care			252
0900 Total new obligations (object class 41.0)			252
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			252
1260 Appropriations, mandatory (total)			252
1900 Budget authority (total)			252
1930 Total budgetary resources available			252
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			252
3040 Outlays (gross)			–222
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			30
3100 Obligated balance, end of year (net)			30
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			252
Outlays, gross:			
4100 Outlays from new mandatory authority			222
4180 Budget authority, net (total)			252
4190 Outlays, net (total)			222

The legislative proposals provide financial incentives to improve the child welfare system, and require that child support payments made on behalf of children in foster care be used in the best interest of the child.

ADMINISTRATION ON AGING

Federal Funds

AGING SERVICES PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), section 398 and title XXIX of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, ⟦$1,473,703,000⟧ *and title XX-B of the Social Security Act, $1,926,434,000, together with $51,902,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconcilliation Act of 1990*: *Provided*, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: *Provided further, That, notwithstanding section 206(g) of the OAA, up to one percent of amounts appropriated to carry out programs authorized under title III of such Act shall be available for conducting evaluations, training and technical assistance: Provided further*, That none of the funds provided shall be used to carry out sections 1701 and 1703 of the PHS Act (with respect to chronic disease

self-management activity grants), except that such funds may be used for necessary expenses associated with administering any such grants awarded prior to the date of the enactment of this Act: ⟦*Provided further*, That the total amount available for fiscal year 2012 under this and any other Act to carry out activities related to Aging and Disability Resource Centers under subsections (a)(20)(B)(iii) and (b)(8) of section 202 of the OAA shall not exceed the amount obligated for such purposes for fiscal year 2010 from funds available under Public Law 111–117:⟧ *Provided further*, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section*: Provided further, That, of the amounts provided under this heading, $448,251,000 shall be available for carrying out title V of the OAA: Provided further, That, with respect to the previous proviso, such funds shall be available through June 30, 2014, and may be recaptured and reobligated in accordance with section 517(c) of the OAA. (Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0142–0–1–506		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0101	Home and community-based supportive services	369	367	367
0102	Preventive health services	21	21	21
0103	National family caregiver support program	154	154	154
0104	Native American caregiver support program	6	6	6
0105	Congregate nutrition services	441	440	440
0106	Home-delivered nutrition services	218	217	217
0107	Nutrition services incentive program	161	158	160
0108	Native American nutrition and supportive services	28	28	28
0109	Program innovations	12	.....	.....
0110	Aging network support activities	33	8	8
0111	Long-term care ombudsmen program	16	17	17
0112	Prevention of elder abuse and neglect	5	5	5
0113	Alzheimer's disease demonstration grants	11	4	9
0114	Program administration	20	23	23
0115	Lifespan respite care program	2	2	2
0117	Aging and Disability Resource Centers (ADRC)	10	16	10
0118	Chronic Disease Self-Management Program	.....	10	10
0120	Adult Protective Services	.....	.....	8
0121	Senior Medicare Patrol program	.....	9	9
0122	Elder Rights Support Activities	.....	4	4
0125	Community Service Employment for Older Americans	.....	.....	448
0126	National Clearinghouse Long-Term Care Information	.....	3	3
0300	Total, direct program	1,507	1,492	1,949
0799	Total direct obligations	1,507	1,492	1,949
0801	Reimbursable program - HCFAC and Other	6	11	.....
0802	Reimbursable - SHIPs HI/SMI Trust Fund Transfer	.....	.....	52
0899	Total reimbursable obligations	6	11	52
0900	Total new obligations	1,513	1,503	2,001
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1,500	1,474	1,926
1120	Appropriations transferred to other accts [12–3507]	.....	–2	.....
1130	Appropriations permanently reduced	–3	.....	.....
1143	Approp permanently reduced (Sec 527, HR 2055)	.....	–3	.....
1160	Appropriation, discretionary (total)	1,497	1,469	1,926
	Appropriations, mandatory:			
1200	Appropriation	10	13	13
1221	CDSMP Appropriations transferred from other accounts [75–0116]	.....	10	10
1260	Appropriations, mandatory (total)	10	23	23
	Spending authority from offsetting collections, discretionary:			
1700	Collected	.....	.....	52
1750	Spending auth from offsetting collections, disc (total)	.....	.....	52
	Spending authority from offsetting collections, mandatory:			
1800	Collected	12	11	.....
1801	Change in uncollected payments, Federal sources	–6	.....	.....
1850	Spending auth from offsetting collections, mand (total)	6	11	.....
1900	Budget authority (total)	1,513	1,503	2,001
1930	Total budgetary resources available	1,513	1,503	2,001
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	746	678	679
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–48	–37	–37
3020	Obligated balance, start of year (net)	698	641	642
3030	Obligations incurred, unexpired accounts	1,513	1,503	2,001
3040	Outlays (gross)	–1,573	–1,502	–1,804
3050	Change in uncollected pymts, Fed sources, unexpired	6	.....	.....
3051	Change in uncollected pymts, Fed sources, expired	5	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–8	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	678	679	876
3091	Uncollected pymts, Fed sources, end of year	–37	–37	–37
3100	Obligated balance, end of year (net)	641	642	839
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1,497	1,469	1,978
	Outlays, gross:			
4010	Outlays from new discretionary authority	953	896	1,227
4011	Outlays from discretionary balances	600	584	554
4020	Outlays, gross (total)	1,553	1,480	1,781
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	.....	–52
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	1	.....	.....
4070	Budget authority, net (discretionary)	1,497	1,469	1,926
4080	Outlays, net (discretionary)	1,552	1,480	1,729
	Mandatory:			
4090	Budget authority, gross	16	34	23
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	13	2
4101	Outlays from mandatory balances	17	9	21
4110	Outlays, gross (total)	20	22	23
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–17	–11	.....
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	6	.....	.....
4142	Offsetting collections credited to expired accounts	5	.....	.....
4150	Additional offsets against budget authority only (total)	11	.....	.....
4160	Budget authority, net (mandatory)	10	23	23
4170	Outlays, net (mandatory)	3	11	23
4180	Budget authority, net (total)	1,507	1,492	1,949
4190	Outlays, net (total)	1,555	1,491	1,752

Note.—The reimbursable program (HCFAC) in the Administration on Aging (AoA) reflects the actual distribution of the allocation account for 2012. Future allocations will be determined annually, and are not estimated in the current budget.

This account provides funding for formula grant programs that provide nutrition, supportive services and caregiver support services through a network of aging-related organizations to help predominantly older Americans, with the assistance of their caregivers, maintain their dignity and independence in their homes and communities. The Budget proposes to transfer the Senior Community Services Employment Program (SCSEP), authorized under title V of the Older Americans Act, from the Department of Labor to the Department of Health and Human Services, which administers the remainder of the Older Americans Act. SCSEP offers participants work-based community service training so that they can gain on-the-job experience and prepare to enter or to re-enter the workforce.

Object Classification (in millions of dollars)

Identification code 75–0142–0–1–506		2011 actual	2012 est.	2013 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	11	12	14
12.1	Civilian personnel benefits	3	3	4
23.1	Rental payments to GSA	2	2	4
25.1	Advisory and assistance services	11	13	14
25.3	Other goods and services from Federal sources	7	9	7
41.0	Grants, subsidies, and contributions	1,473	1,453	1,906
99.0	Direct obligations	1,507	1,492	1,949
99.0	Reimbursable obligations	6	11	52
99.9	Total new obligations	1,513	1,503	2,001

AGING SERVICES PROGRAMS—Continued

Employment Summary

Identification code 75–0142–0–1–506	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	114	112	122
2001 Reimbursable civilian full-time equivalent employment	1	8	13

Trust Funds

CLASS INDEPENDENCE FUND

Per the Secretary of Health and Human Services' announcement on October 14, 2011, the President's 2013 Budget does not project CLASS enrollment or the associated premiums and benefit outlays in the 10-year budget window or in the long-term budget outlook.

DEPARTMENTAL MANAGEMENT

Federal Funds

OFFICE OF THE SECRETARY

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of [six] passenger motor vehicles, and for carrying out titles III, XVII, and XXI of the PHS Act, the United States-Mexico Border Health Commission Act, and research studies under section 1110 of the Social Security Act, [$475,221,000] *$306,320,000*, together with [$69,211,000] *$116,841,000* from the amounts available under section 241 of the PHS Act to carry out national health or human services research and evaluation activities: *Provided*, [That of this amount, $53,783,000 shall be for minority AIDS prevention and treatment activities: *Provided further*, That of the funds made available under this heading, $104,790,000 shall be for making competitive contracts and grants to public and private entities to fund medically accurate and age appropriate programs that reduce teen pregnancy and for the Federal costs associated with administering and evaluating such contracts and grants, of which not less than $75,000,000 shall be for replicating programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors, of which not less than $25,000,000 shall be available for research and demonstration grants to develop, replicate, refine, and test additional models and innovative strategies for preventing teenage pregnancy, and of which any remaining amounts shall be available for training and technical assistance, evaluation, outreach, and additional program support activities: *Provided further*,] *That of the funds made available under this heading, $3,500,000 is for strengthening the Department's acquisition workforce capacity and capabilities: Provided further, That with respect to the previous proviso, such funds shall be available for training, recruitment, retention and hiring members of the acquisition workforce as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 401 et seq.): Provided further, That, with respect to the second proviso, such funds shall be available for information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management: Provided further,* That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, [$8,455,000] *no less than $4,232,000* shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches*, and $53,891,000 shall be for minority AIDS prevention and treatment activities*[: *Provided further*, That of the funds made available under this heading, $5,000,000 shall be for making competitive grants to provide abstinence education (as defined by section 510(b)(2)(A)-(H) of the Social Security Act) to adolescents, and for Federal costs of administering the grant: *Provided further*, That grants made under the authority of section 510(b)(2)(A)-(H) of the Social Security Act shall be made only to public and private entities that agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which abstinence education was provided: *Provided further*, That funds provided in this Act for embryo adoption activities may be used to provide to individuals adopting embryos, through grants and other mechanisms, medical and administrative services deemed necessary for such adoptions: *Provided further*, That such services shall be provided consistent with 42 CFR 59.5(a)(4)]. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–9912–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 General departmental management	652	475	306
0004 Prevention and Public Health Fund (Mandatory)	19	10	120
0005 Prevention and Public Health Fund (Mandatory, Alzheimer's)	.....	.....	90
0799 Total direct obligations	671	485	516
0801 General departmental management	174	172	170
0802 HCFAC (Mandatory)	9	9	.....
0803 PHS evaluation	65	69	117
0899 Total reimbursable obligations	248	250	287
0900 Total new obligations	919	735	803
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	.....	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	652	475	306
1120 Appropriations transferred to other accts [75–9915]	–1	.....	.....
1130 Appropriations permanently reduced (Rescission)	–1	.....	.....
1143 Approp permanently reduced (Sec 527, HR 2055)	.....	–1	.....
1160 Appropriation, discretionary (total)	650	474	306
Appropriations, mandatory:			
1221 Appropriations transferred from other accts [75–0116]	19	10	210
1260 Appropriations, mandatory (total)	19	10	210
Spending authority from offsetting collections, discretionary:			
1700 Collected (PHS & Reimburseable)	161	242	287
1701 Change in uncollected payments, Federal sources (PHS Evaluation & Reimb.)	67	.....	.....
1750 Spending auth from offsetting collections, disc (total)	228	242	287
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	9	.....
1801 Change in uncollected payments, Federal sources	9	.....	.....
1850 Spending auth from offsetting collections, mand (total)	9	9	.....
1900 Budget authority (total)	906	735	803
1930 Total budgetary resources available	920	735	803
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	543	718	549
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–344	–225	–225
3020 Obligated balance, start of year (net)	199	493	324
3030 Obligations incurred, unexpired accounts	919	735	803
3031 Obligations incurred, expired accounts	10	.....	.....
3040 Outlays (gross)	–749	–904	–753
3050 Change in uncollected pymts, Fed sources, unexpired	–76	.....	.....
3051 Change in uncollected pymts, Fed sources, expired	195	.....	.....
3081 Recoveries of prior year unpaid obligations, expired	–5	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	718	549	599
3091 Uncollected pymts, Fed sources, end of year	–225	–225	–225
3100 Obligated balance, end of year (net)	493	324	374
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	878	716	593
Outlays, gross:			
4010 Outlays from new discretionary authority	384	323	280
4011 Outlays from discretionary balances	347	571	443
4020 Outlays, gross (total)	731	894	723

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–375	–242	–287
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–67	.....	.....
4052	Offsetting collections credited to expired accounts	214	.....	.....
4060	Additional offsets against budget authority only (total)	147	.....	.....
4070	Budget authority, net (discretionary)	650	474	306
4080	Outlays, net (discretionary)	356	652	436
	Mandatory:			
4090	Budget authority, gross	28	19	210
	Outlays, gross:			
4100	Outlays from new mandatory authority	12	10	27
4101	Outlays from mandatory balances	6	.....	3
4110	Outlays, gross (total)	18	10	30
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	.....	–9	.....
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–9	.....	.....
4160	Budget authority, net (mandatory)	19	10	210
4170	Outlays, net (mandatory)	18	1	30
4180	Budget authority, net (total)	669	484	516
4190	Outlays, net (total)	374	653	466

Note.—The reimbursable program (HCFAC) in the General Department Management (GDM) account reflects the actual distribution of the allocation account for 2012. Future allocation will be determined annually, and are not estimated in the current budget.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components, and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health, including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund. This includes funding the continuation of grants for medically accurate and age appropriate programs to reduce teen pregnancy, including funds for research, demonstration grants, and replication of programs that have been proven effective through rigorous evaluation to reduce teen pregnancy.

Object Classification (in millions of dollars)

Identification code 75–9912–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	87	96	96
11.3	Other than full-time permanent	8	3	2
11.5	Other personnel compensation	9	3	2
11.7	Military personnel	7	5	5
11.9	Total personnel compensation	111	107	105
12.1	Civilian personnel benefits	26	24	24
12.2	Military personnel benefits	5	1	2
21.0	Travel and transportation of persons	4	4	3
23.1	Rental payments to GSA	15	17	17
23.3	Communications, utilities, and miscellaneous charges	1	4	4
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	38	36	29
25.2	Other services from non-Federal sources	160	85	144
25.3	Other goods and services from Federal sources	70	51	144
25.4	Operation and maintenance of facilities	3	5	4
25.7	Operation and maintenance of equipment	1	4	3
26.0	Supplies and materials	2	6	6
31.0	Equipment	3	4	5
41.0	Grants, subsidies, and contributions	159	136	25
99.0	Direct obligations	599	485	516
99.0	Reimbursable obligations	248	250	287
	Allocation Account - direct:			
11.1	Personnel compensation: Full-time permanent	60	.....	.....
12.1	Civilian personnel benefits	12	.....	.....
99.0	Allocation account - direct	72	.....	.....
99.9	Total new obligations	919	735	803

Employment Summary

Identification code 75–9912–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	957	1,072	1,074
1101	Direct military average strength employment	48	50	45
2001	Reimbursable civilian full-time equivalent employment	458	314	317
2101	Reimbursable military average strength employment	11	10	10
3001	Allocation account civilian full-time equivalent employment	498	.....	.....

Office for Civil Rights

For expenses necessary for the Office for Civil Rights, [$41,016,000] *$38,966,000. (Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0135–0–1–751		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program activity	38	41	39
0801	Reimbursable program activity	5	.....	.....
0900	Total new obligations	43	41	39
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	38	41	39
1160	Appropriation, discretionary (total)	38	41	39
	Spending authority from offsetting collections, discretionary:			
1700	Collected	5	.....	.....
1750	Spending auth from offsetting collections, disc (total)	5	.....	.....
1900	Budget authority (total)	43	41	39
1930	Total budgetary resources available	43	41	39
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	11	13	12
3030	Obligations incurred, unexpired accounts	43	41	39
3040	Outlays (gross)	–41	–42	–40
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	13	12	11
3100	Obligated balance, end of year (net)	13	12	11
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	43	41	39
	Outlays, gross:			
4010	Outlays from new discretionary authority	34	33	31
4011	Outlays from discretionary balances	7	9	9
4020	Outlays, gross (total)	41	42	40
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3	.....	.....
4033	Non-Federal sources	–2	.....	.....
4040	Offsets against gross budget authority and outlays (total)	–5	.....	.....
4070	Budget authority, net (discretionary)	38	41	39
4080	Outlays, net (discretionary)	36	42	40
4180	Budget authority, net (total)	38	41	39
4190	Outlays, net (total)	36	42	40

The Office for Civil Rights funds activities that carry out the Department's civil rights nondiscrimination and health information privacy and security compliance programs.

Object Classification (in millions of dollars)

Identification code 75–0135–0–1–751		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	23	23	22
11.3	Other than full-time permanent	.....	1	1
11.5	Other personnel compensation	.....	1	1

OFFICE FOR CIVIL RIGHTS—Continued

Object Classification—Continued

Identification code 75–0135–0–1–751	2011 actual	2012 est.	2013 est.
11.9 Total personnel compensation	23	25	24
12.1 Civilian personnel benefits	6	6	6
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	8	.....	.....
25.2 Other services from non-Federal sources	.....	2	2
25.3 Other goods and services from Federal sources	.....	2	2
25.4 Operation and maintenance of facilities	.....	1	1
25.7 Operation and maintenance of equipment	.....	2	1
99.0 Direct obligations	40	41	39
99.0 Reimbursable obligations	3	.....	.....
99.9 Total new obligations	43	41	39

Employment Summary

Identification code 75–0135–0–1–751	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	262	262	252
1101 Direct military average strength employment	1	1	1
2001 Reimbursable civilian full-time equivalent employment	3	3	3

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, [$16,446,000] *$26,246,000*: *Provided*, That in addition to amounts provided herein, [$44,811,000] *$40,011,000* shall be available from amounts available under section 241 of the PHS Act. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0130–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Health information technology	41	16	26
0002 Recovery Act activities	157	5	.....
0799 Total direct obligations	198	21	26
0801 Reimbursable program	19	7	7
0802 Reimbursable program: PHS evaluation	19	45	40
0899 Total reimbursable obligations	38	52	47
0900 Total new obligations	236	73	73
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	159	5	.....
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	160	5	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	42	16	26
1160 Appropriation, discretionary (total)	42	16	26
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	52	47
1701 Change in uncollected payments, Federal sources	30	.....	.....
1750 Spending auth from offsetting collections, disc (total)	33	52	47
Spending authority from offsetting collections, mandatory:			
1800 Collected	7	.....	.....
1850 Spending auth from offsetting collections, mand (total)	7	.....	.....
1900 Budget authority (total)	82	68	73
1930 Total budgetary resources available	242	73	73
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
1941 Unexpired unobligated balance, end of year	5	.....	.....
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1,813	1,553	603
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–34	–44	–44
3020 Obligated balance, start of year (net)	1,779	1,509	559
3030 Obligations incurred, unexpired accounts	236	73	73
3040 Outlays (gross)	–493	–1,023	–466
3050 Change in uncollected pymts, Fed sources, unexpired	–30	.....	.....
3051 Change in uncollected pymts, Fed sources, expired	20	.....	.....
3080 Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
3081 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1,553	603	210
3091 Uncollected pymts, Fed sources, end of year	–44	–44	–44
3100 Obligated balance, end of year (net)	1,509	559	166
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	75	68	73
Outlays, gross:			
4010 Outlays from new discretionary authority	47	66	69
4011 Outlays from discretionary balances	446	951	396
4020 Outlays, gross (total)	493	1,017	465
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–23	–52	–47
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–30	.....	.....
4052 Offsetting collections credited to expired accounts	20	.....	.....
4060 Additional offsets against budget authority only (total)	–10	.....	.....
4070 Budget authority, net (discretionary)	42	16	26
4080 Outlays, net (discretionary)	470	965	418
Mandatory:			
4090 Budget authority, gross	7	.....	.....
Outlays, gross:			
4101 Outlays from mandatory balances	.....	6	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–7	.....	.....
4180 Budget authority, net (total)	42	16	26
4190 Outlays, net (total)	463	971	419

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was established in the Health Information Technology for Economic and Clinical Health Act (P.L. 111–5, Title XIII), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

Object Classification (in millions of dollars)

Identification code 75–0130–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	4	.....
12.1 Civilian personnel benefits	4	1	.....
21.0 Travel and transportation of persons	1	.....	.....
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	3	.....	2
25.2 Other services from non-Federal sources	69	2	9
25.3 Other goods and services from Federal sources	7	10	10
25.4 Operation and maintenance of facilities	.....	2	2
31.0 Equipment	4	.....	1
41.0 Grants, subsidies, and contributions	94	.....	.....
99.0 Direct obligations	199	21	26
99.0 Reimbursable obligations	37	52	47
99.9 Total new obligations	236	73	73

Employment Summary

Identification code 75–0130–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	146	171	190
1101 Direct military average strength employment	1	1	1

Office of Medicare Hearings and Appeals

For expenses necessary for administrative law judges responsible for hearing cases under title XVIII of the Social Security Act (and related provisions of title XI of such Act), [$72,147,000] *$84,234,000*, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0139–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	70	72	84
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	71	72	84
1750 Spending auth from offsetting collections, disc (total)	71	72	84
1930 Total budgetary resources available	71	72	84
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	20	15	
3030 Obligations incurred, unexpired accounts	70	72	84
3040 Outlays (gross)	–72	–87	–84
3081 Recoveries of prior year unpaid obligations, expired	–3		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	15		
3100 Obligated balance, end of year (net)	15		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	71	72	84
Outlays, gross:			
4010 Outlays from new discretionary authority	63	72	84
4011 Outlays from discretionary balances	9	15	
4020 Outlays, gross (total)	72	87	84
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–71	–72	–84
4190 Outlays, net (total)	1	15	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003. OMHA provides the forum through which individuals and organizations who are dissatisfied with Medicare determinations affecting their rights to, or their participation in, the Medicare program may administratively appeal those determinations, in accordance with the requirements of the Administrative Procedures Act and the Social Security Act.

Object Classification (in millions of dollars)

Identification code 75–0139–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	36	43	47
12.1 Civilian personnel benefits	10	13	14
23.1 Rental payments to GSA	6	6	7
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.1 Advisory and assistance services	7	1	1
25.2 Other services from non-Federal sources	3	1	2
25.3 Other goods and services from Federal sources	6	7	9
25.4 Operation and maintenance of facilities			1
26.0 Supplies and materials	1		
31.0 Equipment			1
99.9 Total new obligations	70	72	84

Employment Summary

Identification code 75–0139–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	418	466	518

Public Health and Social Services Emergency Fund

(including transfer of funds)

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, [$569,452,000] *$625,262,000*; of which [$10,000,000] *$5,000,000* shall remain available until [September 30, 2014,] *expended* to support emergency operations *and of which $50,000,000 shall remain available until expended for the purpose of funding a strategic investment corporation established to further the purposes of section 319L of the PHS Act (42 U.S.C. 247d-7e) to foster innovation in the development of medical countermeasures; and of which up to $5,000,000 shall remain available through September 30, 2015 to support the delivery of medical countermeasures*.

From funds transferred to this account pursuant to the fourth paragraph under this heading in Public Law 111–117, up to $415,000,000 shall be available for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act, and other administrative expenses of the Biomedical Advanced Research and Development Authority to support additional advanced research and development*: Provided, That funds provided under this heading for the purpose of acquisition of security countermeasures may be used and shall be in addition to any other funds available for such purpose: Provided further, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act*.

In addition, for expenses necessary for replacement of building leases and associated renovation costs for Public Health Service agencies and other components of the Department of Health and Human Services, including relocation and fit-out costs, $17,000,000, to remain available until expended. (Department of Health and Human Services Appropriations Act, 2012.)

Program and Financing (in millions of dollars)

Identification code 75–0140–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Public Health and Social Services Emergency Fund	2,685	2,657	1,213
0801 Reimbursable program	14	60	60
0900 Total new obligations	2,699	2,717	1,273
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,618	3,414	1,322
1010 Unobligated balance transfer to other accts [75–0343]	–5	–3	–5
1021 Recoveries of prior year unpaid obligations	71		
1050 Unobligated balance (total)	6,684	3,411	1,317
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	676	569	642
1130 Appropriations permanently reduced	–1		
1131 Unobligated balance of appropriations permanently reduced	–1,259		
1143 Approp permanently reduced (Sec 527, HR 2055)		–1	
1160 Appropriation, discretionary (total)	–584	568	642
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	60	60
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	14	60	60

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND—Continued

Program and Financing—Continued

Identification code 75–0140–0–1–551		2011 actual	2012 est.	2013 est.
1900	Budget authority (total)	–570	628	702
1930	Total budgetary resources available	6,114	4,039	2,019
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–1		
1941	Unexpired unobligated balance, end of year	3,414	1,322	746
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	3,835	4,610	5,369
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–461	–289	–289
3020	Obligated balance, start of year (net)	3,374	4,321	5,080
3030	Obligations incurred, unexpired accounts	2,699	2,717	1,273
3031	Obligations incurred, expired accounts	10		
3040	Outlays (gross)	–1,778	–1,958	–1,941
3050	Change in uncollected pymts, Fed sources, unexpired	–12		
3051	Change in uncollected pymts, Fed sources, expired	184		
3080	Recoveries of prior year unpaid obligations, unexpired	–71		
3081	Recoveries of prior year unpaid obligations, expired	–85		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	4,610	5,369	4,701
3091	Uncollected pymts, Fed sources, end of year	–289	–289	–289
3100	Obligated balance, end of year (net)	4,321	5,080	4,412
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	–570	628	702
	Outlays, gross:			
4010	Outlays from new discretionary authority	149	217	294
4011	Outlays from discretionary balances	1,629	1,741	1,647
4020	Outlays, gross (total)	1,778	1,958	1,941
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–76	–60	–60
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–12		
4052	Offsetting collections credited to expired accounts	74		
4060	Additional offsets against budget authority only (total)	62		
4070	Budget authority, net (discretionary)	–584	568	642
4080	Outlays, net (discretionary)	1,702	1,898	1,881
4180	Budget authority, net (total)	–584	568	642
4190	Outlays, net (total)	1,702	1,898	1,881

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness Act. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System. The PHSSEF also supports the HHS Cyber Security and Security and Strategic Information programs, and the Medical Reserve Corps.

The PHSSEF also continues to support the advanced development of biodefense countermeasures. These resources will in part be derived from available funds appropriated for the BioShield Special Reserve Fund.

Resources are also being provided to consolidate the offices of the Assistant Secretary for Preparedness and Response.

A Strategic Investor corporation will be created to make investments in the private sector with specific focus on disruptive technologies including novel antimicrobials and multi-use platform technologies for diagnostics and medical countermeasures.

Object Classification (in millions of dollars)

Identification code 75–0140–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	54	57	57
11.3	Other than full-time permanent	14	14	14
11.7	Military personnel	9	9	9
11.9	Total personnel compensation	77	80	80
12.1	Civilian personnel benefits	14	16	16
12.2	Military personnel benefits	4	4	4
21.0	Travel and transportation of persons	11	9	9
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	13	13	13
23.3	Communications, utilities, and miscellaneous charges	5	5	4
25.1	Advisory and assistance services	141	145	90
25.2	Other services from non-Federal sources	56	60	40
25.3	Other goods and services from Federal sources	200	244	182
25.4	Operation and maintenance of facilities	1	3	3
25.5	Research and development contracts	1,179	1,172	260
25.6	Medical care	14		
25.7	Operation and maintenance of equipment	15	19	16
26.0	Supplies and materials	100	160	127
31.0	Equipment	15	14	14
32.0	Land and structures	475	351	125
41.0	Grants, subsidies, and contributions	364	361	229
99.0	Direct obligations	2,685	2,657	1,213
99.0	Reimbursable obligations	14	60	60
99.9	Total new obligations	2,699	2,717	1,273

Employment Summary

Identification code 75–0140–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	538	541	541
1101	Direct military average strength employment	87	87	87

TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 75–0145–0–1–552		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0801	AHRQ		32	62
0802	Office of the Secretary	1	7	16
0900	Total new obligations	1	39	78
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		9	
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	10	30	78
1850	Spending auth from offsetting collections, mand (total)	10	30	78
1930	Total budgetary resources available	10	39	78
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	9		
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)		1	31
3030	Obligations incurred, unexpired accounts	1	39	78
3040	Outlays (gross)		–9	–27
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	1	31	82
3100	Obligated balance, end of year (net)	1	31	82
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	10	30	78
	Outlays, gross:			
4100	Outlays from new mandatory authority		5	12
4101	Outlays from mandatory balances		4	15
4110	Outlays, gross (total)		9	27

	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–10	–30	–78
4190	Outlays, net (total)	–10	–21	–51

Public Law 111–148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF will be transferred each year to the Department of Health and Human Services (HHS). As authorized in section 937 of the Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and build research and data capacity for comparative clinical effectiveness research. Transferred funds will be distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities.

Object Classification (in millions of dollars)

Identification code 75–0145–0–1–552	2011 actual	2012 est.	2013 est.
99.0 Reimbursable obligations	1	7	16
Allocation Account - reimbursable:			
11.1 Personnel compensation: Full-time permanent		1	2
25.5 Research and development contracts		31	60
99.0 Allocation account - reimbursable		32	62
99.9 Total new obligations	1	39	78

Employment Summary

Identification code 75–0145–0–1–552	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment		2	4
3001 Allocation account civilian full-time equivalent employment		12	20

Prevention and Wellness Fund, Recovery Act

Program and Financing (in millions of dollars)

Identification code 75–0144–0–1–551	2011 actual	2012 est.	2013 est.
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	40	18	
3040 Outlays (gross)	–22	–18	
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	18		
3100 Obligated balance, end of year (net)	18		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	22	18	
4190 Outlays, net (total)	22	18	

The Prevention and Wellness Fund is authorized under the American Recovery and Reinvestment Act (ARRA), (P.L. 111–5). The Prevention and Wellness Fund administers evidence-based clinical and community-based prevention and wellness strategies.

Nonrecurring Expenses Fund

The Nonrecurring Expenses Fund is a no-year account that captures expired unobligated balances from discretionary accounts prior to cancellation. The Fund will be used for nonrecurring expenses that are difficult to accomodate or arise outside of the normal budget process. Beginning with 2008 appropriations, transfers will occur up to five years after expiration, when it is certain that original obligations have been fully paid and closed out. Advance notification of a proposed use of the Fund will be submitted to the relevant Committees on Appropriations of the House of Representatives and the Senate, with justification for the proposed areas/projects.

Health Insurance Reform Implementation Fund

Program and Financing (in millions of dollars)

Identification code 75–0119–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	312	565	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	872	565	
1010 Unobligated balance transfer to other accts [75–0119]	–231		
1011 Unobligated balance transfer from other accts [75–0119]	231		
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	877	565	
1930 Total budgetary resources available	877	565	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	565		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	106	205	359
3030 Obligations incurred, unexpired accounts	312	565	
3040 Outlays (gross)	–208	–411	–344
3080 Recoveries of prior year unpaid obligations, unexpired	–5		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	205	359	15
3100 Obligated balance, end of year (net)	205	359	15
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	208	411	344
4190 Outlays, net (total)	208	411	344

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152) appropriated $1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) and the Health Care and Education Reconciliation Act of 2010.

Object Classification (in millions of dollars)

Identification code 75–0119–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	17		
12.1 Civilian personnel benefits	5		
23.1 Rental payments to GSA	1	1	
25.1 Advisory and assistance services	27	83	
25.2 Other services from non-Federal sources	87	304	
99.0 Direct obligations	137	388	
Allocation Account - direct:			
11.1 Personnel compensation: Full-time permanent	55	55	
12.1 Civilian personnel benefits	15	15	
21.0 Travel and transportation of persons	4	4	
23.1 Rental payments to GSA	1	1	
25.1 Advisory and assistance services	80	82	
25.2 Other services from non-Federal sources	8	8	
25.3 Other goods and services from Federal sources	3	3	
31.0 Equipment	6	6	
99.0 Allocation account - direct	172	174	
99.5 Below reporting threshold	3	3	
99.9 Total new obligations	312	565	

HEALTH INSURANCE REFORM IMPLEMENTATION FUND—Continued

Employment Summary

Identification code 75–0119–0–1–551	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	164		

PREVENTION AND PUBLIC HEALTH FUND

Program and Financing (in millions of dollars)

Identification code 75–0116–0–1–551	2011 actual	2012 est.	2013 est.
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	750	1,000	1,250
1220 Appropriations transferred to other accts [75–0142]		–10	–10
1220 Appropriations transferred to other accts [75–1700]	–12	–12	–12
1220 Appropriations transferred to other accts [75–0943]	–611	–805	–903
1220 Appropriations transferred to other accts [75–9912]	–19	–10	–210
1220 Appropriations transferred to other accts [75–0350]	–20	–75	–10
1220 Appropriations transferred to other accts [75–1362]	–88	–88	–105

The Patient Protection and Affordable Care Act, (P.L. 111–148) establishes the Prevention and Public Health Fund as a mandatory appropriation for prevention and public health activities. For FY 2013, the law appropriates $1.25 billion into the Fund, which the Secretary then has authority to transfer to accounts within HHS. The Prevention and Public Health Fund increases and sustains investments in a range of public health efforts intended to prevent disease and reduce health care costs.

PREGNANCY ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 75–0117–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity	25	25	25
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	25	25	25
1260 Appropriations, mandatory (total)	25	25	25
1930 Total budgetary resources available	25	25	25
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	22	40	43
3030 Obligations incurred, unexpired accounts	25	25	25
3040 Outlays (gross)	–7	–22	–25
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	40	43	43
3100 Obligated balance, end of year (net)	40	43	43
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	25	25	25
Outlays, gross:			
4100 Outlays from new mandatory authority		2	2
4101 Outlays from mandatory balances	7	20	23
4110 Outlays, gross (total)	7	22	25
4180 Budget authority, net (total)	25	25	25
4190 Outlays, net (total)	7	22	25

For awarding competitive grants to States to assist pregnant and parenting teens and women.

Object Classification (in millions of dollars)

Identification code 75–0117–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	24	24	24
99.9 Total new obligations	25	25	25

PROGRAM SUPPORT CENTER

Federal Funds

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0379–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Retirement payments	355	375	396
0002 Survivors' benefits	26	28	31
0003 Medical care	86	94	101
0900 Total new obligations	467	497	528
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	467	497	528
1260 Appropriations, mandatory (total)	467	497	528
1930 Total budgetary resources available	467	497	528
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	43	30	25
3001 Adjustments to unpaid obligations, brought forward, Oct 1	20		
3020 Obligated balance, start of year (net)	63	30	25
3030 Obligations incurred, unexpired accounts	467	497	528
3031 Obligations incurred, expired accounts	2		
3040 Outlays (gross)	–497	–502	–527
3081 Recoveries of prior year unpaid obligations, expired	–5		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	30	25	26
3100 Obligated balance, end of year (net)	30	25	26
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	467	497	528
Outlays, gross:			
4100 Outlays from new mandatory authority	445	472	502
4101 Outlays from mandatory balances	52	30	25
4110 Outlays, gross (total)	497	502	527
4180 Budget authority, net (total)	467	497	528
4190 Outlays, net (total)	497	502	527

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	467	497	528
Outlays	497	502	527
Legislative proposal, subject to PAYGO:			
Budget Authority			–1
Outlays			–1
Total:			
Budget Authority	467	497	527

Outlays	497	502	526

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

Retirement Pay, Survivor Benefits, and Medical Benefits

	2011	2012	2013
Active Duty:			
HHS	4890	4890	4890
DOJ, BOP	776	769	769
Homeland Security	464	471	471
EPA	76	76	76
All Other	316	316	316
Total Active Duty	6522	6522	6522
Retirees & Survivors:			
Retirees	5308	5430	5475
Retiree family members and survivors	948	979	985
Total Retirement Pay	6256	6409	6460
Total Beneficiaries (active duty, retirees, survivors)	12778	12931	12982

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 75–0379–0–1–551	2011 actual	2012 est.	2013 est.
Direct obligations:			
13.0 Benefits for former personnel	381	403	427
25.6 Medical care	86	94	101
99.9 Total new obligations	467	497	528

Retirement Pay and Medical Benefits for Commissioned Officers

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0379–4–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity			–1
0900 Total new obligations (object class 25.6)			–1
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			–1
1260 Appropriations, mandatory (total)			–1
1930 Total budgetary resources available			–1
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			–1
3040 Outlays (gross)			1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			–1
Outlays, gross:			
4100 Outlays from new mandatory authority			–1
4180 Budget authority, net (total)			–1
4190 Outlays, net (total)			–1

HHS Accrual Contribution to the Uniformed Services Retiree Health Care Fund

Program and Financing (in millions of dollars)

Identification code 75–0170–0–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Medicare eligible accruals	38	36	29
0900 Total new obligations (object class 12.2)	38	36	29
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	38	36	29
1160 Appropriation, discretionary (total)	38	36	29
1930 Total budgetary resources available	38	36	29
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts	38	36	29
3040 Outlays (gross)	–38	–36	–29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	38	36	29
Outlays, gross:			
4010 Outlays from new discretionary authority	38	36	29
4180 Budget authority, net (total)	38	36	29
4190 Outlays, net (total)	38	36	29

Summary of Budget Authority and Outlays (in millions of dollars)

	2011 actual	2012 est.	2013 est.
Enacted/requested:			
Budget Authority	38	36	29
Outlays	38	36	29
Legislative proposal, not subject to PAYGO:			
Budget Authority			–3
Outlays			–3
Total:			
Budget Authority	38	36	26
Outlays	38	36	26

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108–375, section 725).

HHS Accrual Contribution to the Uniformed Services Retiree Health Care Fund

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75–0170–2–1–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0001 Direct program activity			–3
0900 Total new obligations (object class 12.2)			–3
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			–3
1160 Appropriation, discretionary (total)			–3
1930 Total budgetary resources available			–3
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			–3
3040 Outlays (gross)			3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			–3

HHS Accrual Contribution to the Uniformed Services Retiree Health Care Fund—Continued

Program and Financing—Continued

Identification code 75–0170–2–1–551	2011 actual	2012 est.	2013 est.
Outlays, gross:			
4010 Outlays from new discretionary authority			–3
4180 Budget authority, net (total)			–3
4190 Outlays, net (total)			–3

Health Activities Funds

Program and Financing (in millions of dollars)

Identification code 75–9913–0–1–552	2011 actual	2012 est.	2013 est.
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	1	1	1
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1	1	1
3100 Obligated balance, end of year (net)	1	1	1

This display shows activities in support of scientific activities overseas that were supported by foreign currencies by the United States abroad.

HHS Service and Supply Fund

Program and Financing (in millions of dollars)

Identification code 75–9941–0–4–551	2011 actual	2012 est.	2013 est.
Obligations by program activity:			
0801 Program Support Center	924	947	1,004
0802 OS activities	52	131	127
0900 Total new obligations	976	1,078	1,131
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	181	215	215
1021 Recoveries of prior year unpaid obligations	39		
1050 Unobligated balance (total)	220	215	215
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	929	1,078	1,131
1701 Change in uncollected payments, Federal sources	42		
1750 Spending auth from offsetting collections, disc (total)	971	1,078	1,131
1930 Total budgetary resources available	1,191	1,293	1,346
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	215	215	215
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	383	416	22
3010 Uncollected pymts, Fed sources, brought forward, Oct 1	–439	–481	–481
3020 Obligated balance, start of year (net)	–56	–65	–459
3030 Obligations incurred, unexpired accounts	976	1,078	1,131
3040 Outlays (gross)	–904	–1,472	–1,131
3050 Change in uncollected pymts, Fed sources, unexpired	–42		
3080 Recoveries of prior year unpaid obligations, unexpired	–39		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	416	22	22
3091 Uncollected pymts, Fed sources, end of year	–481	–481	–481
3100 Obligated balance, end of year (net)	–65	–459	–459
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	971	1,078	1,131
Outlays, gross:			
4010 Outlays from new discretionary authority	667	1,078	1,131
4011 Outlays from discretionary balances	237	394	
4020 Outlays, gross (total)	904	1,472	1,131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–925	–1,078	–1,131
4033 Non-Federal sources	–4		
4040 Offsets against gross budget authority and outlays (total)	–929	–1,078	–1,131
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–42		
4080 Outlays, net (discretionary)	–25	394	
4190 Outlays, net (total)	–25	394	

HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of Secretary activities include the fund manager, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, small business, grants tracking, the physical access aspects of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 75–9941–0–4–551	2011 actual	2012 est.	2013 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	114	131	131
11.3 Other than full-time permanent	4	6	6
11.5 Other personnel compensation	3	5	5
11.7 Military personnel	8	7	7
11.8 Special personal services payments	14	7	
11.9 Total personnel compensation	143	156	149
12.1 Civilian personnel benefits	32	41	41
12.2 Military personnel benefits	4	6	4
21.0 Travel and transportation of persons	3	2	2
22.0 Transportation of things	3	5	3
23.1 Rental payments to GSA	27	15	22
23.3 Communications, utilities, and miscellaneous charges	34	7	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	42	32	38
25.2 Other services from non-Federal sources	480	624	685
25.3 Other goods and services from Federal sources	62	49	47
25.4 Operation and maintenance of facilities	5	6	7
25.6 Medical care	22	22	19
25.7 Operation and maintenance of equipment	75	72	70
26.0 Supplies and materials	35	36	33
31.0 Equipment	4	4	4
41.0 Grants, subsidies, and contributions	4		
99.9 Total new obligations	976	1,078	1,131

Employment Summary

Identification code 75–9941–0–4–551	2011 actual	2012 est.	2013 est.
2001 Reimbursable civilian full-time equivalent employment	1,346	1,440	1,391
2101 Reimbursable military average strength employment	105	98	98
3101 Allocation account military average strength employment	776	769	769

3101	Allocation account military average strength employment	780	787	787

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 75–9971–0–7–551		2011 actual	2012 est.	2013 est.
0100	Balance, start of year			
	Receipts:			
0220	Contributions, Indian Health Facilities	9	33	33
0221	Contributions, N.I.H., Unconditional Gift Fund	5	5	7
0222	Centers for Disease Control, Gifts and Donations	9	6	6
0223	Contributions, N.I.H., Conditional Gift Fund	27	27	34
0224	Contributions to the Indian Health Service Gift Fund	1	1	1
0240	Interest, Miscellaneous Trust Funds		2	2
0299	Total receipts and collections	51	74	83
0400	Total: Balances and collections	51	74	83
	Appropriations:			
0500	Miscellaneous Trust Funds	–51	–74	–83
0799	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–9971–0–7–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0002	Gifts	62	41	50
0003	Contributions, Indian Health Facilities	11	33	33
0004	Reclassification of reimbursable activity	133		
0900	Total new obligations	206	74	83
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	148	112	112
1020	Adjustment of unobligated bal brought forward, Oct 1	–6		
1021	Recoveries of prior year unpaid obligations	125		
1050	Unobligated balance (total)	267	112	112
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	51	74	83
1260	Appropriations, mandatory (total)	51	74	83
1930	Total budgetary resources available	318	186	195
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	112	112	112
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	172	62	59
3030	Obligations incurred, unexpired accounts	206	74	83
3040	Outlays (gross)	–191	–77	–69
3080	Recoveries of prior year unpaid obligations, unexpired	–125		
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	62	59	73
3100	Obligated balance, end of year (net)	62	59	73
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	51	74	83
	Outlays, gross:			
4100	Outlays from new mandatory authority	51	7	8
4101	Outlays from mandatory balances	140	70	61
4110	Outlays, gross (total)	191	77	69
4180	Budget authority, net (total)	51	74	83
4190	Outlays, net (total)	191	77	69
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	39	28	30
5001	Total investments, EOY: Federal securities: Par value	28	30	32

(in millions of dollars)

	2011	2012	2013
Distribution of budget authority by account:			
Gifts	62	41	50
Contributions, Indian Health Facilities	11	33	33
Distribution of outlays by account:			
Gifts	115	46	41
Contributions, Indian Health Facilities	76	31	28

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 75–9971–0–7–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	2	2	2
11.3	Other than full-time permanent	1	1	1
11.8	Special personal services payments	3	1	1
11.9	Total personnel compensation	6	4	4
12.1	Civilian personnel benefits	1	1	1
21.0	Travel and transportation of persons	2	1	1
25.1	Advisory and assistance services	3	3	3
25.2	Other services from non-Federal sources	11	12	13
25.3	Other goods and services from Federal sources	10	10	12
25.5	Research and development contracts	6	7	8
25.6	Medical care	1	1	1
26.0	Supplies and materials	6	6	6
31.0	Equipment	4	4	5
41.0	Grants, subsidies, and contributions	23	25	29
44.0	Refunds	133		
99.9	Total new obligations	206	74	83

Employment Summary

Identification code 75–9971–0–7–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	28	27	27

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, [$50,178,000] *$58,579,000*: *Provided*, That of such amount, necessary sums shall be available for providing protective services to the Secretary *of Health and Human Services* and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228[: *Provided further*, That at least 40 percent of the funds provided in this Act for the Office of Inspector General shall be used only for investigations, audits, and evaluations pertaining to the discretionary programs funded in this Act]. *(Department of Health and Human Services Appropriations Act, 2012.)*

Program and Financing (in millions of dollars)

Identification code 75–0128–0–1–551		2011 actual	2012 est.	2013 est.
	Obligations by program activity:			
0001	Direct program	93	76	59
0801	HCFAC Reimbursable program	196	212	209
0802	Direct Reimbursable program	17	24	24
0803	HCFAC Discretionary allocation adjustment	36	34	103
0899	Total reimbursable obligations	249	270	336
0900	Total new obligations	342	346	395
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	69	43	13
1012	Unobligated balance transfers between expired and unexpired accounts	9	7	7
1050	Unobligated balance (total)	78	50	20

OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing—Continued

Identification code 75–0128–0–1–551		2011 actual	2012 est.	2013 est.
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	50	50	59
1160	Appropriation, discretionary (total)	50	50	59
	Spending authority from offsetting collections, discretionary:			
1700	Collected	31	58	127
1701	Change in uncollected payments, Federal sources	22	.....	.....
1750	Spending auth from offsetting collections, disc (total)	53	58	127
	Spending authority from offsetting collections, mandatory:			
1800	Collected	184	208	209
1801	Change in uncollected payments, Federal sources	28	.....	.....
1850	Spending auth from offsetting collections, mand (total)	212	208	209
1900	Budget authority (total)	315	316	395
1930	Total budgetary resources available	393	366	415
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–8	–7	–7
1941	Unexpired unobligated balance, end of year	43	13	13
	Change in obligated balance:			
	Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	76	61	66
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	–41	–74	–74
3020	Obligated balance, start of year (net)	35	–13	–8
3030	Obligations incurred, unexpired accounts	342	346	395
3031	Obligations incurred, expired accounts	3	.....	.....
3040	Outlays (gross)	–346	–341	–396
3050	Change in uncollected pymts, Fed sources, unexpired	–50	.....	.....
3051	Change in uncollected pymts, Fed sources, expired	17	.....	.....
3081	Recoveries of prior year unpaid obligations, expired	–14	.....	.....
	Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	61	66	65
3091	Uncollected pymts, Fed sources, end of year	–74	–74	–74
3100	Obligated balance, end of year (net)	–13	–8	–9
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	103	108	186
	Outlays, gross:			
4010	Outlays from new discretionary authority	79	101	177
4011	Outlays from discretionary balances	25	12	7
4020	Outlays, gross (total)	104	113	184
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–31	–58	–127
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–22	.....	.....
4070	Budget authority, net (discretionary)	50	50	59
4080	Outlays, net (discretionary)	73	55	57
	Mandatory:			
4090	Budget authority, gross	212	208	209
	Outlays, gross:			
4100	Outlays from new mandatory authority	166	208	209
4101	Outlays from mandatory balances	76	20	3
4110	Outlays, gross (total)	242	228	212
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	–219	–196	–197
4123	Non-Federal sources	–2	–12	–12
4130	Offsets against gross budget authority and outlays (total)	–221	–208	–209
	Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	–28	.....	.....
4142	Offsetting collections credited to expired accounts	37	.....	.....
4150	Additional offsets against budget authority only (total)	9	.....	.....
4170	Outlays, net (mandatory)	21	20	3
4180	Budget authority, net (total)	50	50	59
4190	Outlays, net (total)	94	75	60

The Office of Inspector General (OIG) is an independent oversight organization within the U.S. Department of Health and Human Services (HHS) that promotes economy, efficiency, and effectiveness through the elimination of fraud, waste, and abuse in the Department's programs and operations. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996. The following table shows total appropriated funding for OIG:

(in millions of dollars)

	2011 actual	2012 est.	2013 est.
Discretionary Appropriation	50	50	59
HCFAC - Mandatory	198	196	197
HCFAC - Discretionary	30	98	103
Total	278	344	359

The President's Budget proposes to increase the 2012 HCFAC Discretionary base funding to $311 million (which is fully offset) and to provide the additional $270 million in funding allowed by the cap adjustment, consistent with section 251(b)(2)(C) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. OIG's allocation of these adjustments is displayed above.

Object Classification (in millions of dollars)

Identification code 75–0128–0–1–551		2011 actual	2012 est.	2013 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	50	36	28
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	52	38	30
12.1	Civilian personnel benefits	17	13	10
21.0	Travel and transportation of persons	3	4	3
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	4	4	3
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	2	2	1
25.3	Other goods and services from Federal sources	6	6	5
25.4	Operation and maintenance of facilities	3	1	2
25.7	Operation and maintenance of equipment	1	1	1
31.0	Equipment	3	5	2
99.0	Direct obligations	93	76	59
99.0	Reimbursable obligations	249	270	336
99.9	Total new obligations	342	346	395

Employment Summary

Identification code 75–0128–0–1–551		2011 actual	2012 est.	2013 est.
1001	Direct civilian full-time equivalent employment	556	397	301
1101	Direct military average strength employment	.....	.....	.....
2001	Reimbursable civilian full-time equivalent employment	1,188	1,356	1,673
2101	Reimbursable military average strength employment	1	.....	.....

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2011 actual	2012 est.	2013 est.
Offsetting receipts from the public:				
75–143500	General Fund Proprietary Interest Receipts, not Otherwise Classified	77	89	90
75–274530	Health Education Assistance Loans, Downward Reestimates of Subsidies	.....	12	.....
75–310700	Federal Share of Child Support Collections	940	1,049	1,040
Legislative proposal, subject to PAYGO		.....	.....	5
75–322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	–198	56	56
General Fund Offsetting receipts from the public		819	1,206	1,191
Intragovernmental payments:				
75–388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	353	.....	.....
General Fund Intragovernmental payments		353	.....	.....

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed $50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated in this [title] *Act for the National Institutes of Health, the Agency for Healthcare Research and Quality, and the Substance Abuse and Mental Health Services Administration* shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II.

[SEC. 204. None of the funds appropriated in this Act may be expended pursuant to section 241 of the PHS Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in HHS, prior to the preparation and submission of a report by the Secretary to the Committees on Appropriations of the House of Representatives and the Senate detailing the planned uses of such funds.]

SEC. [205]*204*. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than [2.5] *3.2* percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) of the implementation and effectiveness of such programs.

(TRANSFER OF FUNDS)

SEC. [206]*205*. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

(TRANSFER OF FUNDS)

SEC. [207]*206*. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

(TRANSFER OF FUNDS)

SEC. [208]*207*. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. [209]*208*. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. [210]*209*. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. [211]*210*. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. [212]*211*. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year [2012] *2013*:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

SEC. [213]*212*. (a) AUTHORITY.—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds available under section 402(b)(7) or 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to such section 402(b)(7) (pertaining to the Common Fund) or research and activities described in such section 402(b)(12).

(b) PEER REVIEW.—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

SEC. [214]*213*. Funds which are available for Individual Learning Accounts for employees of CDC and the Agency for Toxic Substances and Disease Registry ("ATSDR") may be transferred to appropriate accounts of CDC, to be available only for Individual Learning Accounts: *Provided*,

That such funds may be used for any individual full-time equivalent employee while such employee is employed either by CDC or ATSDR.

SEC. [215]*214*. Notwithstanding any other provisions of law, discretionary funds made available in this Act may be used to continue operating the Council on Graduate Medical Education established by section 301 of Public Law 102–408.

SEC. [216]*215*. Not to exceed $45,000,000 of funds appropriated by this Act to the institutes and centers of the National Institutes of Health may be used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed $3,500,000 per project.

(TRANSFER OF FUNDS)

SEC. [217]*216*. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under section 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the Agency for Healthcare Research and Quality to make NRSA awards for health service research.

[SEC. 218. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.]

[SEC. 219. None of the funds appropriated or otherwise made available in this Act may be expended to advance the creation of a Federally Funded Research and Development Center at the Centers for Medicare and Medicaid Services, prior to a Federal Register notice being issued that outlines: how this proposal would meet the specific requirements identified in FAR 35.017–2; agency procedures that ensure small business competitiveness is maintained; and the outline of a transparent award and governance process to be employed.]

[SEC. 220. (a) The Secretary shall establish a publicly accessible website to provide information regarding the uses of funds made available under section 4002 of Public Law 111–148.

(b) With respect to funds provided for fiscal year 2012, the Secretary shall include on the website established under subsection (a) at a minimum the following information:

(1) In the case of each transfer of funds under section 4002(c), a statement indicating the program or activity receiving funds, the operating division or office that will administer the funds, and the planned uses of the funds, to be posted not later than the day after the transfer is made.

(2) Identification (along with a link to the full text) of each funding opportunity announcement, request for proposals, or other announcement or solicitation of proposals for grants, cooperative agreements, or contracts intended to be awarded using such funds, to be posted not later than the day after the announcement or solicitation is issued.

(3) Identification of each grant, cooperative agreement, or contract with a value of $25,000 or more awarded using such funds, including the purpose of the award and the identity of the recipient, to be posted not later than 5 days after the award is made.

(4) A report detailing the uses of all funds transferred under section 4002(c) during the fiscal year, to be posted not later than 90 days after the end of the fiscal year.

(5) Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract from such funds with a value of $25,000 or more, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period.]

[SEC. 221. (a) ESTABLISHMENT OF NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES; ELIMINATION OF NATIONAL CENTER FOR RESEARCH RESOURCES.—

(1) IN GENERAL.—Subpart 1 of part E of title IV of the Public Health Service Act (42 U.S.C. 287 et seq.) is amended—

(A) in the subpart heading, by striking "National Center for Research Resources" and inserting "National Center for Advancing Translational Sciences";

(B) by striking sections 480 and 481; and

(C) by amending section 479 to read as follows:]

["SEC. 479. NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES."(a)PURPOSE.—The purpose of the National Center for Advancing Translational Sciences (in this subpart referred to as the 'Center') is to advance translational sciences, including by—

"(1) coordinating and developing resources that leverage basic research in support of translational science; and

"(2) developing partnerships and working cooperatively to foster synergy in ways that do not create duplication, redundancy, and competition with industry activities.

"(b)CLINICAL TRIAL ACTIVITIES.—

"(1)IN GENERAL.—The Center may develop and provide infrastructure and resources for all phases of clinical trials research. Except as provided in paragraph (2), the Center may support clinical trials only through the end of phase IIA.

"(2)EXCEPTION.—The Center may support clinical trial activities through the end of phase IIB for a treatment for a rare disease or condition (as defined in section 526 of the Federal Food, Drug, and Cosmetic Act) so long as—

"(A) the Center gives public notice for a period of at least 120 days of the Center's intention to support the clinical trial activities in phase IIB;

"(B) no public or private organization provides credible written intent to the Center that the organization has timely plans to further the clinical trial activities or conduct clinical trials of a similar nature beyond phase IIA; and

"(C) the Center ensures that support of the clinical trial activities in phase IIB will not increase the Federal Government's liability beyond the award value of the Center's support.

"(c)ANNUAL REPORT.—The Center shall publish an annual report that, with respect to all research supported by the Center, includes a complete list of—

"(1) the molecules being studied;

"(2) clinical trial activities being conducted;

"(3) the methods and tools in development;

"(4) ongoing partnerships, including—

"(A) the rationale for each partnership;

"(B) the status of each partnership;

"(C) the funding provided by the Center to other entities pursuant to each partnership, and

"(D) the activities which have been transferred to industry pursuant to each partnership; and

"(5) known research activity of other entities that is or will expand upon research activity of the Center.".

(2) LIST OF INSTITUTES AND CENTERS.—Section 401(b)(21) of the Public Health Service Act (42 U.S.C. 281(b)(21)) is amended by striking "National Center for Research Resources" and inserting "National Center for Advancing Translational Sciences".

(b) ASSIGNMENT OF CERTAIN FUNCTIONS OF FORMER NATIONAL CENTER FOR RESEARCH RESOURCES.—

(1) BIOMEDICAL AND BEHAVIORAL RESEARCH FACILITIES.—Section 481A of the Public Health Service Act (42 U.S.C. 287a-2)—

(A) is redesignated as section 404I and is moved to follow section 404H of such Act (42 U.S.C. 283j); and

(B) is amended—

(i) in subsection (a)(1), by striking "acting through the Director of the Center or the Director of the National Institute of Allergy and Infectious Diseases" and inserting "acting through the Office of the Director of NIH or the Director of the National Institute of Allergy and Infectious Diseases";

(ii) in subsections (c), (d), (e), and (f)(2), by striking "Director of the Center or the Director of the National Institute of Allergy and Infectious Diseases" each place it appears and inserting "Director of NIH, acting through the Office of the Director of NIH or the National Institute of Allergy and Infectious Diseases,";

(iii) in subsection (b)(2), by striking "Director of the Center" each place it appears and inserting "Director of NIH";

(iv) in subsections (b)(3)(A), (f)(1), and (g), by striking the comma at the end of "Director of the Center," each place it appears;

(v) by striking "Director of the Center" each place it appears and inserting "Director of NIH, acting through the Office of the Director of NIH,";

(vi) in subsection (b)—]

[(I) in paragraph (1)(A), by striking "within the Center"; and]

[(II) in paragraph (2)—]

[(aa) in subparagraph (A), by striking "and the advisory council established under section 480 (in this section referred to as the 'Advisory Council')" and inserting "and the Council of Councils established under section 402(l) (in this section referred to as the 'Council')"; and]

[(bb) in subparagraphs (B), (C), and (D), by striking "Advisory" each place it appears; and

(vii) in subsection (g), by striking "after consultation with the Advisory Council" and inserting "after consultation with the Council".

(2) CONSTRUCTION OF REGIONAL CENTERS FOR RESEARCH ON PRIMATES.—Section 481B of the Public Health Service Act (42 U.S.C. 287a-3)—

(A) is redesignated as section 404J and is moved to follow section 404I, as redesignated by paragraph (1); and

(B) in subsection (a), is amended—

(i) by striking "by the National Center for Research Resources" and inserting "by the Director of NIH, acting through the Office of the Director of NIH,"; and

(ii) by striking "481A" and inserting "404I".

(3) SANCTUARY SYSTEM FOR SURPLUS CHIMPANZEES.—Section 481C of the Public Health Service Act (42 U.S.C. 287a-3a)—

(A) is redesignated as section 404K and is moved to follow section 404J, as redesignated by paragraph (2); and

(B) in subsection (d)(4)(A)(ii), is amended by striking "that is carried out by the National Center for Research Resources" and inserting "that is carried out by the Director of NIH, acting through the Office of the Director of NIH,".

(4) SHARED INSTRUMENTATION GRANT PROGRAM.—Section 305 of the Public Health Improvement Act (42 U.S.C. 287 note)—

(A) is redesignated as section 404L of the Public Health Service Act and is moved to follow section 404K of that Act, as redesignated by paragraph (3); and

(B) is amended—

(i) by striking subsection (a) and redesignating subsections (b) and (c) as subsections (a) and (b), respectively;

(ii) in subsection (a), as so redesignated, by striking "under the program described in subsection (a)" and inserting "under the Shared Instrumentation Grant Program";

(iii) by striking "Director of the National Center for Research Resources" each place it appears and inserting "Director of NIH, acting through the Office of the Director of NIH,"; and

(iv) in subsection (b), as so redesignated—]

[(I) by striking "in subsection (a)" and inserting "in subsection (a), the"; and]

[(II) by striking "of the Public Health Service Act (42 U.S.C. 289a)".

(5) INSTITUTIONAL DEVELOPMENT AWARD PROGRAM.—Title IV of the Public Health Service Act (42 U.S.C. 281 et seq.) is amended—

(A) in section 461, by striking the section heading and designation and all that follows through "The general purpose" and inserting the following:]

["SEC. 461. NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES."(a)GENERAL PURPOSE.—The general purpose";

(B) by moving subsection (g) of section 402 to the end of section 461, as amended, and redesignating that subsection as subsection (b); and

(C) in section 461(b), as so redesignated—

(i) by striking "(b)(1)(A) In the case of" and inserting the following:]

["(b)INSTITUTIONAL DEVELOPMENT AWARD PROGRAM.—

"(1)(A) In the case of";

(ii) by moving two ems to the right—]

[(I) subparagraphs (B) and (C) of paragraph (1);]

[(II) clauses (i), (ii), and (iii) of such subparagraph (C); and]

[(III) paragraph (2); and

(iii) in paragraph (1)(A), by striking "acting through the Director of the National Center for Research Resources" and inserting "acting through the Director of the National Institute of General Medical Sciences".

(c) ASSIGNMENT OF CERTAIN OFFICES AND FUNCTIONS TO NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES.—

(1) CURES ACCELERATION NETWORK.—Section 402C of the Public Health Service Act (42 U.S.C. 282d)—

(A) is redesignated as section 480 and is moved to follow section 479;

(B) in subsection (b), is amended in the matter that precedes paragraph (1) by striking "within the Office of the Director of NIH" and inserting "within the Center";

(C) by striking "Director of NIH" each place it appears and inserting "Director of the Center"; and

(D) in the headings of subsections (d)(4) and (d)(4)(B), by striking "DIRECTOR OF NIH" each place it appears and inserting "DIRECTOR OF THE CENTER".

(2) OFFICE OF RARE DISEASES.—Title IV of the Public Health Service Act (42 U.S.C. 281 et seq.) is amended—

(A) in section 404F—

(i) by redesignating such section as section 481 and moving such section to follow section 480, as redesignated by paragraph (1);

(ii) in subsection (a)—]

[(I) by striking "within the Office of the Director of NIH" and inserting "within the Center"; and]

[(II) by striking "Director of NIH" and inserting "Director of the Center"; and

(iii) in subsection (b)(1)(C), by striking "404G" and inserting "481A"; and

(B) in section 401(c)(2)(A), by striking "the Office of Rare Diseases,".

(3) RARE DISEASE REGIONAL CENTERS OF EXCELLENCE.—Section 404G of the Public Health Service Act (42 U.S.C. 283i) is redesignated as section 481A and is moved to follow section 481, as redesignated by paragraph (2).

(4) GENERAL CLINICAL RESEARCH CENTERS.—Section 481D of the Public Health Service Act (42 U.S.C. 287a-4)—

(A) is redesignated as section 481B; and

(B) in subsection (a), is amended by striking "Director of the National Center for Research Resources" and inserting "Director of the Center".

(d) CONFORMING AMENDMENTS.—Title IV of the Public Health Service Act (42 U.S.C. 281 et seq.) is amended—

(1) in section 402(b)(24) (42 U.S.C. 282(b)(24)), by striking "402C" and inserting "480";

(2) in section 404C(e)(3)(A) (42 U.S.C. 283e(e)(3)(A)), by striking "and the Director of the Center for Research Resources";

(3) in section 464z-3(i)(1) (42 U.S.C. 285t(i)(1))—

(A) by striking "Director of National Institute for Research Resources" and inserting "Director of NIH";

(B) by striking "481(c)(3)" and inserting "404I(c)(2)"; and

(C) by inserting "under such section" after "Institutions of Emerging Excellence";

(4) in section 499(c)(1)(E) (42 U.S.C. 290b(c)(1)(E)), by striking "section 402C" and inserting "section 480".]

[SEC. 222. The discretionary appropriation for CDC is hereby reduced by $20,000,000: *Provided*, That the reduction should be taken from contracting and administrative costs in each of the CDC accounts.]

SEC. 217. Such portion as the Secretary shall determine, but not more than 1 percent, of any discretionary funds which are appropriated in this Act for the current fiscal year for domestic HIV/AIDS activities in any program, project, or activity carried out by the Department of Health and Human Services shall be made available to the Office of the Assistant Secretary for Health to support the National HIV/AIDS Strategy: Provided, That such support may be provided directly, or by grants or contracts, on a reimbursable basis.

SEC. 218.

(a) A state shall be entitled to receive a grant under section 510 of the Social Security Act (42 U.S.C. 710) for fiscal year 2013 only if the Department of Health and Human Services receives an application under section 505(a) of such Act (42 U.S.C. 705(a)) for such fiscal year by no later than September 20, 2013.

(b) CANCELLATION. The remaining unobligated balances of the amount appropriated for fiscal year 2013 by section 510(d) of such Act (42 U.S.C. 710(d)) for which no application has been received by September 20, 2013, shall be permanently cancelled as of September 27, 2013.

(c) APPROPRIATION. There is appropriated to the Department of Health and Human Services, to become available on September 27, 2013, and to remain available through September 30, 2014, an amount equal

to the unobligated balances cancelled pursuant to subsection (b), for carrying out (in addition to any other funds that may be available for such purpose) a program of competitive contracts and grants to State and local governments to develop approaches to reduce pregnancy among youth in foster care and to fund age appropriate evidence-based programs that reduce teenage pregnancy, behavioral risk factors underlying teen pregnancy, or other associated risk factors among youth in foster care and for the Federal costs associated with administering and evaluating such contracts and grants.

(Department of Health and Human Services Appropriations Act, 2012.)