
LIST OF CHARTS AND TABLES

LIST OF CHARTS AND TABLES

LIST OF CHARTS

	<i>Page</i>
1-1. Linking Resources with Results	10
1-2. INS Program & Account Structure Linked to Performance Objectives	11
1-3. Moving Toward Results-Oriented Government	14
3-1. A Balance Sheet Presentation for the Federal Government	36
3-2. Net Federal Liabilities	39
3-3. Entitlements' Claim on the Economy	40
3-4. The Wide Range of Projected Federal Deficits and Surpluses	43
3-5. Long-Run Budget Projections	44
8-1. U.S. R& D Expenditures Exceed Those of the Rest of the G-7 Nations Combined	159
8-2. Funding for Academic Earmarks	162
9-1. Face Value of Federal Credit Outstanding	201
18-1. Illustrative Range of Surplus Outcomes	379
19-1. Relationship of Budget Authority to Outlays for 2003	382

LIST OF TABLES

Economic and Accounting Analyses

Economic Assumptions:

2-1. Economic Assumptions	23
2-2. Comparison of Economic Assumptions	25
2-3. Comparison of Economic Assumptions in the 2002 and 2003 Budgets	26
2-4. Sources of Change in Budget Totals	28
2-5. Adjusted Structural Balance	29
2-6. Sensitivity of the Budget to Economic Assumptions	30

Stewardship: Toward a Federal Balance Sheet:

3-1. Government Assets and Liabilities	37
3-2. Long-Run Budget Projections of 2003 Budget Policy	45
3-3. Change In 75-Year Actuarial Balance for OASDI and HI Trust Funds (Intermediate Assump- tions)	47
3-4. National Wealth	48
3-5. Economic and Social Indicators	50

Federal Receipts and Collections

Federal Receipts:

4-1. Receipts by Source-Summary	55
4-2. Effect on Receipts of Changes in the Social Security Taxable Earnings Base	55
4-3. Effect of Proposals on Receipts	79
4-4. Receipts by Source	81

User Fees and Other Collections:

5-1. Gross Outlays, User Fees, Other Offsetting Collections and Receipts from the Public, and Net Outlays	83
5-2. Total User Fee Collections	86
5-3. User Fee Proposals	88

LIST OF TABLES—Continued

	<i>Page</i>
5-4. Offsetting Collections and Receipts From the Public	91
5-5. Offsetting Receipts By Type	92
Tax Expenditures:	
6-1. Estimates of Total Income Tax Expenditures	99
6-2. Corporate and Individual Income Tax Estimates of Tax Expenditures	102
6-3. Income Tax Expenditures Ranked by Total 2003 Projected Revenue Effect	107
6-4. Present Value of Selected Tax Expenditures for Activity In Calendar Year 2001	109
6-5. Outlay Equivalent Estimates for Tax Expenditures in the Income Tax	109
<i>Special Analyses and Presentations</i>	
Federal Investment Spending and Capital Budgeting:	
7-1. Composition of Federal Investment Outlays	133
7-2. Federal Investment Budget Authority and Outlays: Defense and NonDefense Programs	135
7-3. Federal Investment Budget Authority and Outlays: Grant and Direct Federal Programs	137
7-4. Net Stock of Federally Financed Physical Capital	142
7-5. Composition of Gross and Net Federal and Federally Financed Nondefense Public Physical Investment	143
7-6. Net Stock of Federally Financed Research and Development	144
7-7. Net Stock of Federally Financed Education Capital	144
7-8. Alternative Definitions of Investment Outlays, 2003	148
7-9. Capital, Operating, and Unified Budgets: Federal Capital, 2003	149
7-10. Unified Budget with National Investment Component, 2003	151
7-11. Capital, Operating, and Unified Budgets: National Capital, 2003	152
7-12. Current Services Outlay Projections for Federal Physical Capital Spending	155
7-13. Current Services Outlay Projections for Federal Physical Capital Spending	156
Research and Development Funding:	
8-1. Permanent Extension of the Research and Experimentation Tax Credit	169
8-2. Federal Research and Development Spending	170
8-3. Federal Science and Technology Budget	171
8-4. Agency Detail of Selected Interagency R&D Efforts	172
8-5. Allocation of Federal Research Funding, 2001 and 2002	174
8-6. Colleges and Universities Received Over 40 Percent of Unshared Academic Earmarks in 2001	174
Credit and Insurance:	
9-1. Estimated Future Cost of Outstanding Federal Credit Programs	202
9-2. Face Value of Government-Sponsored Enterprise Lending	203
9-3. Reestimates of Credit Subsidies on Loans Disbursed Between 1992-2001	204
9-4. Direct Loan Subsidy Rates, Budget Authority and Loan Levels, 2001-2003	206
9-5. Loan Guarantee Subsidy Rates, Budget Authority, and Loan Levels 2001-2003	207
9-6. Summary of Federal Direct Loans and Loan Guarantees	208
9-7. Direct Loan Write-Offs and Guaranteed Loan Terminations for Defaults	209
9-8. Appropriations Acts Limitations On Credit Loan Levels	211
9-9. Direct Loan Transactions of the Federal Government	213
9-10. Guaranteed Loan Transactions of the Federal Government	225
9-11. Lending and Borrowing By Government-Sponsored Enterprises (GSEs)	232
9-12. Government-Sponsored Enterprise Participation in the Credit Market	234
9-13. Borrowing By Financing Vehicles	235
Aid to State and Local Governments:	
10-1. Federal Grant Outlays By Agency	237
10-2. Trends in Federal Grants to State and Local Governments	243
10-3. Federal Grants to State and Local Governments—Budget Authority and Outlays	246

LIST OF TABLES—Continued

	<i>Page</i>
Federal Employment and Compensation:	
11-1. Federal Employment in the Executive Branch	256
11-2. Total Federal Employment	257
11-3. Total Federal Employment	258
11-4. Personnel Compensation and Benefits	259
Strengthening Federal Statistics:	
12-1. 2001-2003 Budget Authority for Principal Statistical Agencies	264
<i>Federal Borrowing and Debt</i>	
Federal Borrowing and Debt:	
13-1. Trends in Federal Debt Held by the Public	267
13-2. Federal Government Financing and Debt	269
13-3. Agency Debt	272
13-4. Debt Held by Government Accounts	274
13-5. Federal Funds Financing and Change in Debt Subject to Statutory Limit	276
13-6. Foreign Holdings of Federal Debt	278
<i>Budget Enforcement Act Preview Report</i>	
Preview Report:	
14-1. Historical Summary of Changes to Discretionary Spending Limits	287
14-2. Discretionary Spending Limits	288
14-3. Adjustments to the Highway and Mass Transit Categories for Changes in Receipts and Technical Assumptions	290
14-4. Pay-As-You-Go Scorecard	291
<i>Current Service Estimates</i>	
Current Service Estimates:	
15-1. Baseline Category Totals	295
15-2. Summary of Economic Assumptions	296
15-3. Beneficiary Projections for Major Benefit Programs	297
15-4. Impact of Regulations, Expiring Authorizations, and Other Assumptions In the Baseline	298
15-5. Baseline Receipts By Source	303
15-6. Change In Baseline Outlay Estimates by Category	304
15-7. Current Services Outlays By Function	305
15-8. Current Services Outlays By Agency	306
15-9. Current Services Budget Authority By Function	307
15-10. Current Services Budget Authority by Agency	308
15-11. Current Services Budget Authority By Function, Category and Program	309
15-12. Current Services Outlays By Function, Category and Program	329
<i>Other Technical Presentations</i>	
Trust Funds and Federal Funds:	
16-1. Receipts, Outlays, and Surplus or Deficit by Fund Group	352
16-2. Income, Outgo, and Balances of Trust Funds Group	353
16-3. Relationship of Total Federal Fund and Trust Fund Receipts to Unified Budget Receipts, Fiscal Year 2001	354
16-4. Income, Outgo, and Balances of Major Trust Funds	356
16-5. Income, Outgo, and Balances of Selected Federal Funds	362
National Income and Product Accounts:	
17-1. Federal Transactions in the National Income and Product Accounts, 1992-2003	368
17-2. Relationship of the Budget to the Federal Sector, NIPA's	370
17-3. Federal Receipts and Expenditures in the NIPA's, Quarterly, 2000-2002	371

LIST OF TABLES—Continued

	<i>Page</i>
Comparison of Actual to Estimated Totals:	
18-1. Comparison of Actual 2001 Receipts with the Initial Current Services Estimates	373
18-2. Comparison of Actual 2001 Outlays with the Initial Current Services Estimates	374
18-3. Comparison of Actual 2001 Surplus with the Initial Current Services Surplus Estimate	375
18-4. Comparison of Actual and Estimated Outlays for Mandatory and Related Programs Under Current Law	376
18-5. Reconciliation of Final Amounts for 2001	377
18-6. Comparison of Actual and Estimated Surpluses Since 1982	378
18-7. Differences Between Actuals and Estimated Surpluses for Five-year Budget Estimates Since 1982	379
Off-Budget Federal Entities and Non-Budgetary Activities:	
20-1. Comparison of Total, On-Budget, and Off-Budget Transactions	383
Outlays to Public, Net and Gross:	
21-1. Total Outlays, Net and Gross of Offsetting Collections and Receipts from the Public, by Agen- cy, 2001–2003	388
<i>Information Technology Investments</i>	
Program Performance Benefits from Major Information Technology Investments:	
22-1. Effectiveness of Agency's IT Management and E-Gov Processes	398
<i>Ranking Regulatory Investments in Public Health:</i>	
Ranking Regulatory Investments in Public Health:	
24-1. Cost Per Life-Year Saved for Ten Selected Regulations	419
<i>Budget System and Concepts and Glossary</i>	
Budget System and Concepts and Glossary:	
25-1. Discretionary Spending Limits	429
25-2. Federal Government and Budget Totals	431
<i>Detailed Functional Tables</i>	
Detailed Functional Tables:	
26-1. Budget Authority by Function, Category and Program	447
26-2. Outlays by Function, Category and Program	470
<i>Federal Programs by Agency and Account</i>	
Federal Programs by Agency and Account:	
27-1. Budget Authority by Function, Category and Program	497