

## OTHER INDEPENDENT AGENCIES

### ADVISORY COUNCIL ON HISTORIC PRESERVATION

#### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), **[\$3,400,000]** \$3,773,322: *Provided*, That none of these funds shall be available for compensation of level V of the Executive Schedule or higher positions. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	3	3	4
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3	3	4
23.95 Total new obligations .....	-3	-3	-4
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	3	3	4
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	3	3	4
73.20 Total outlays (gross) .....	-3	-3	-4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3	3	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	4
90.00 Outlays .....	3	3	4

The Council provides independent advice to the President and the Congress relating to the national historic preservation program and manages the Federal process for protecting historic properties.

#### Object Classification (in millions of dollars)

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	3
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	4

#### Personnel Summary

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	35	34	34

## APPALACHIAN REGIONAL COMMISSION

#### Federal Funds

#### General and special funds:

##### APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended,

notwithstanding section 405 of said Act, and, for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$71,290,000]** \$66,400,287, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
Appalachian regional development programs:			
01.01 Appalachian development highway system .....	2	2	
01.02 Area development program .....	71	72	76
01.03 Local development district and technical assistance program .....	7	6	6
01.91 Total Appalachian regional development programs .....	80	80	82
Salaries and expenses:			
02.01 Federal Co-chairman and staff .....	1	1	1
02.02 Administrative expenses .....	3	3	3
02.91 Total salaries and expenses .....	4	4	4
10.00 Total new obligations .....	84	84	86
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	26	25	16
22.00 New budget authority (gross) .....	77	71	66
22.10 Resources available from recoveries of prior year obligations .....	4	4	4
23.90 Total budgetary resources available for obligation .....	107	100	86
23.95 Total new obligations .....	-84	-84	-86
24.40 Unobligated balance carried forward, end of year .....	25	16	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	66	71	66
40.15 Appropriation (emergency) .....	11		
43.00 Appropriation (total discretionary) .....	77	71	66
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
70.00 Total new budget authority (gross) .....	78	71	66
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	220	205	176
73.10 Total new obligations .....	84	84	86
73.20 Total outlays (gross) .....	-94	-109	-96
73.45 Recoveries of prior year obligations .....	-4	-4	-4
74.40 Obligated balance, end of year .....	205	176	162
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	16	14	22
86.93 Outlays from discretionary balances .....	79	95	72
87.00 Total outlays (gross) .....	94	109	96
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	77	71	66
90.00 Outlays .....	93	109	96

This appropriation supports a unique Federal-State partnership to invest in the basic building blocks of sustainable

**General and special funds—Continued**

## APPALACHIAN REGIONAL COMMISSION—Continued

economic development in the 406 counties which comprise the Appalachian Region. Investments made throughout this 13-State Region include the building of a 3,025-mile economic development highway system and an area development program which funds economic and community development projects at the local level with a special focus on distressed counties.

*Appalachian development highway system.*—The Appalachian development highway system (ADHS), including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. Studies have found the ADHS to be cost-beneficial, generating significant economic impacts.

Since FY 1999, funding for the ADHS has been provided predominantly from the Highway Trust Fund. The Transportation Equity Act for the 21st Century (TEA21) authorized \$2.25 billion for the construction of the ADHS and local access road projects under Section 201 of the Appalachian Regional Development Act. TEA21 authorizes \$450 million annually to be appropriated out of the Highway Trust Fund for each of fiscal years 1999 through 2003. The ARC exercises programmatic and administrative control over these funds as it did when a direct appropriation was received.

The cumulative status of the system of roads follows:

	2001 actual	2002 est.*	2003 est.*
Development systems miles (Prefinanced miles included) (cumulative):			
Miles placed under construction .....	2,526	2,626	2,706
Miles completed .....	2,403	2,433	2,453
Access Roads (cumulative):			
Miles approved .....	903	908	913
Miles completed .....	876	880	886
Funds committed (cumulative-in millions of dollars):			
Development highway .....	6,273	6,923	7,373
Access roads .....	232	234	236
Administration and other .....	49	51	53
Totals .....	6,554	7,208	7,662
Prefinanced by States (\$ millions) .....	25	25	25
Annual obligations (\$ millions) .....	543	504	450

\*Includes TEA21 funds.

*Area development program.*—Area development funds are allocated by formula to the 13 member-States for projects that promote sustainable regional development, with assistance targeted at the most distressed and underdeveloped counties.

Since 1999 roughly half of all ARC program funding has supported projects benefiting such counties, which represent about one quarter of the region's total and contain roughly 10% of the region's population.

Area development funds projects which advance the goals and objectives of ARC's strategic plan. This strategic plan commits ARC to achieving five goals. These goals are (1) education and skills training, (2) physical infrastructure, (3) leadership and civic capacity, (4) diversified and entrepreneurial local economies, and (5) improved health care. The Commission has taken aggressive steps to ensure that the area development program will make progress on accomplishing these goals, to better target resources to those communities with the greatest needs, and to increase flexibility in project submission.

Each Governor submits for Commission approval an annual strategy statement detailing the areas of emphasis within the Region. All project applications submitted by the Governors address these priorities and describe the goals, objec-

tives, and projected inputs, outputs, and outcomes. In 2003, the Commission will focus on both its system of reporting on the results of projects it funds and standardization of results measurement with those of other agencies that are engaged in similar activities.

In addition to the regular allocation of area development funds, ARC also identifies regional problems and opportunities and sets aside resources for special initiatives. The ongoing entrepreneurship initiative seeks to ensure that Appalachian communities have the commitment and resources to help entrepreneurs start and expand local businesses. To date, this initiative has funded 237 projects, providing \$20 million in ARC funds and leveraging over \$19 million more from other sources. Ninety-one projects that have been completed report the creation of 389 new businesses and the creation or retention of 1,283 jobs in the region. It is estimated that the 146 ongoing projects will create another 859 businesses and create or retain 2,726 jobs.

The budget provides \$56 million for area development with an approximate workload as follows:

	2001 actual	2002 est.	2003 est.
Area development projects .....	484	485	485

*Local development districts and technical assistance programs.*—Multi-county local development districts (LDDs) assist local governments throughout Appalachia in planning and working together on a regional basis. LDD funding from the ARC provides a cost-share with member governments, enabling local professional staff to help plan, initiate, and implement projects at the grass roots level. Technical assistance serves to strengthen the state and local governments, LDDs and non-profit organizations in the Region. TEA21 added seven new counties to the Region, for a total of 406. This increased the number of LDDs served from 69 to 71. The budget provides \$5 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

	2001 actual	2002 est.	2003 est.
Planning districts aided .....	71	71	71
Technical assistance projects .....	24	24	24

*Salaries and expenses.*—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of programs serving the Appalachian Region across the Federal Government. Since 1989, the Office of the Federal Co-Chairman has included an Inspector General.

In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States. The budget provides a total of \$4 million for salaries and expenses.

**Object Classification** (in millions of dollars)

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	3	3	3
41.0 Grants, subsidies, and contributions .....	47	50	55
99.0 Direct obligations .....	51	54	59
Allocation Account:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	32	29	26
99.0 Allocation account .....	33	30	27
99.9 Total new obligations .....	84	84	86
Obligations are distributed as follows:			
Appalachian Regional Commission .....	47	49	50
Department of Agriculture .....	17	9	11
Department of Commerce .....	5	4	4

Department of Education .....	1	1	1
Department of Health and Human Services .....	0	5	0
Department of Housing and Urban Development .....	8	9	9
Department of Transportation .....	2	2	0

**Personnel Summary**

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	11	11	11

**Trust Funds****MISCELLANEOUS TRUST FUNDS****Unavailable Collections** (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.20 Fees for services, Appalachian Regional Commission .....	3	3	3
02.40 General fund contributions, Appalachian Regional Commission .....	3	3	3
02.99 Total receipts and collections .....	6	6	6
Appropriations:			
05.00 Miscellaneous trust funds .....	-6	-6	-6
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	6	6	6
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	1	1
22.00 New budget authority (gross) .....	6	6	6
23.90 Total budgetary resources available for obligation .....	7	7	7
23.95 Total new obligations .....	-6	-6	-6
24.40 Unobligated balance carried forward, end of year .....	1	1	1
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	6	6	6
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		1	1
73.10 Total new obligations .....	6	6	6
73.20 Total outlays (gross) .....	-6	-5	-5
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	5	5	5
86.98 Outlays from mandatory balances .....	1	1	
87.00 Total outlays (gross) .....	6	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	6	6
90.00 Outlays .....	6	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

**Object Classification** (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
11.8 Personnel compensation: Special personal services payments .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	1	1
99.9 Total new obligations .....	6	6	6

**ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$5,015,000] \$5,340,000: Provided,** That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	5	5	5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	5	5	5
23.95 Total new obligations .....	-5	-5	-5
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	5	5	5
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	5	5	5
73.20 Total outlays (gross) .....	-5	-5	-5
74.40 Obligated balance, end of year .....	1	1	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	5	5	5
86.93 Outlays from discretionary balances .....		1	1
87.00 Total outlays (gross) .....	5	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	5	5
90.00 Outlays .....	5	5	5

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Since that time, the Access Board has been the only independent Federal agency whose primary mission is accessibility for people with disabilities. The Access Board has responsibility under four major pieces of legislation: the Architectural Barriers Act of 1968 (ABA); the Americans with Disabilities Act of 1990 (ADA); the Telecommunications Act of 1996; and section 508 of the Rehabilitation Act.

The Access Board's first major responsibility was to enforce the ABA, ensuring accessibility in facilities built, altered, or leased using certain Federal funds. In 2003, the Board will continue to process, investigate, and resolve complaints of noncompliance. The Access Board has a proven record of voluntary, amicable resolution of access issues.

Under the Americans with Disabilities Act (ADA), the Access Board gained responsibility for two major public roles: to develop minimum accessibility guidelines for places of public accommodation, commercial facilities, State and local government facilities, and transportation vehicles and facilities, all of which are covered under the ADA; and to offer training and technical assistance to individuals and organizations throughout the country on removing architectural, transportation and communication barriers.

In pursuing these responsibilities under the ADA, the Board uses citizens' advisory committees, negotiated rule-making, and other communication channels to encourage the

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

public's full participation in the Federal rulemaking process for developing its ADA Accessibility Guidelines (ADAAG). In addition, the Board is working with the building industry toward the development of a single set of minimum accessibility guidelines, using ADAAG as the basis.

Section 508 of the Rehabilitation Act of 1973, as amended, requires that when federal departments or agencies develop, procure, maintain, or use electronic and information technology, they shall ensure that the technology is accessible to people with disabilities, unless an undue burden would be imposed on the department or agency. In the Rehabilitation Act Amendments of 1998 (Title IV of the Workforce Investment Act of 1998) the Board was given the responsibility to develop the standards that electronic and information technology must meet to be accessible to people with disabilities.

Consistent with the Government Performance and Results Act, (GPRA) the Access Board has adopted the following mission statement to guide its programs: *The Board is the catalyst for achieving an accessible America.* This statement recognizes that achieving an accessible America requires bringing together public and private sectors. The Board has established long range goals that aim to bring together public and private sectors for achieving an accessible America. The Board's long-range goals are to:

- Take a leadership role in the development of codes and standards for accessibility
- Work in partnership with Federal agencies and others to make the Federal government a model of compliance with accessibility standards
- Be known as the leading source of information about accessibility and disseminate that information to our customers in effective ways

In 2003, the Board will continue to work on its major goal of taking a leadership role in the development of codes and standards for accessibility. The board plans to complete work on guidelines for outdoor developed areas, and publish notices of proposed rulemaking on public rights-of-ways and passenger vessels. Following its second goal, the Board will continue work with other Federal agencies to identify and publicize best practices in compliance with the Architectural Barriers Act. In line with its third goal, the Board will be in position to make better use of its web pages to disseminate information to the public. Finally, the Board will continue to use its website to efficiently distribute information about compliance with section 508.

## Object Classification (in millions of dollars)

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	3	3	3
99.5 Below reporting threshold .....	2	2	2
99.9 Total new obligations .....	5	5	5

## Personnel Summary

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	31	30	30

BARRY GOLDWATER SCHOLARSHIP AND  
EXCELLENCE IN EDUCATION FOUNDATION

## Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION  
FOUNDATION FUND

## Unavailable Collections (in millions of dollars)

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.40 Interest on investments, Barry Goldwater Scholarship and Excellence in Education .....	4	4	4
Appropriations:			
05.00 Barry Goldwater Scholarship and Excellence in Education Foundation .....	-4	-4	-4
07.99 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	63	63	63
22.00 New budget authority (gross) .....	4	4	4
23.90 Total budgetary resources available for obligation .....	67	67	67
23.95 Total new obligations .....	-3	-3	-3
24.40 Unobligated balance carried forward, end of year .....	63	63	63
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	4	4	4
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	4	4	4
73.10 Total new obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
74.40 Obligated balance, end of year .....	4	4	4
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	4	4
90.00 Outlays .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	63	63	63
92.02 Total investments, end of year: Federal securities:			
Par value .....	62	63	63

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering. The Foundation awards approximately 300 scholarships each fiscal year.

## Personnel Summary

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2	2	2

## BROADCASTING BOARD OF GOVERNORS

## Federal Funds

## General and special funds:

## INTERNATIONAL BROADCASTING OPERATIONS

For expenses necessary to enable the Broadcasting Board of Governors, as authorized, to carry out international communication activities, **[\$428,234,000] \$477,823,000**, of which not to exceed \$16,000 may be used for official receptions within the United States as authorized, not to exceed \$35,000 may be used for representation abroad as authorized, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, notwithstanding any other provision of law, not to exceed \$2,000,000 in receipts from advertising and revenue from business ventures, not to exceed \$500,000 in receipts from co-operating international organizations, and not to exceed \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, to remain available until expended for carrying out authorized purposes. (*Departments of State and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "International Broadcasting Operations", \$9,200,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Broadcasting Board of Governors .....	408	469	478
01.00 Subtotal, direct obligations .....	408	469	478
09.01 Reimbursable program .....	1	1	1
10.00 Total new obligations .....	409	470	479
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	5	11	
22.00 New budget authority (gross) .....	415	447	479
22.22 Unobligated balance transferred from other accounts .....		12	
23.90 Total budgetary resources available for obligation .....	420	470	479
23.95 Total new obligations .....	-409	-470	-479
24.40 Unobligated balance carried forward, end of year .....	11		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	418	437	478
40.15 Appropriation (emergency) .....		9	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....	-1		
41.00 Transferred to other accounts .....	-4		
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	414	446	478
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00 Total new budget authority (gross) .....	415	447	479
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	88	102	96
73.10 Total new obligations .....	409	470	479
73.20 Total outlays (gross) .....	-397	-478	-493
74.40 Obligated balance, end of year .....	102	96	82
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	351	379	404
86.93 Outlays from discretionary balances .....	47	99	90
87.00 Total outlays (gross) .....	397	478	493
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	414	446	478
90.00 Outlays .....	396	477	492

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	405	437	468
90.00 Outlays .....	387	468	482

This appropriation provides operational funding for the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia, and the necessary engineering and technical, program and administrative support activities. Funds provided in 2002 and 2003 will support the implementation of a Middle East broadcasting initiative.

Funding for Radio and Television Broadcasting to Cuba is provided in a separate account.

## Object Classification (in millions of dollars)

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	117	124	134
11.3 Other than full-time permanent .....	6	5	5
11.5 Other personnel compensation .....	9	9	10
11.9 Total personnel compensation .....	132	138	149
12.1 Civilian personnel benefits .....	40	53	54
13.0 Benefits for former personnel .....	2	2	2
21.0 Travel and transportation of persons .....	5	6	6
22.0 Transportation of things .....	2	3	3
23.1 Rental payments to GSA .....	17	17	21
23.2 Rental payments to others .....	17	19	19
23.3 Communications, utilities, and miscellaneous charges .....	31	39	39
25.2 Other services .....	37	45	45
25.4 Operation and maintenance of facilities .....	3	3	3
25.5 Research and development contracts .....	2	2	2
25.7 Operation and maintenance of equipment .....	2	3	3
26.0 Supplies and materials .....	15	22	20
31.0 Equipment .....	9	20	12
41.0 Grants, subsidies, and contributions .....	94	97	100
99.0 Direct obligations .....	408	469	478
99.0 Reimbursable obligations .....	1	1	1
99.9 Total new obligations .....	409	470	479

## Personnel Summary

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,202	2,342	2,385

## BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized, **[\$25,900,000] \$13,740,000**, to remain available until expended, as authorized. (*Departments of State and Related Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Broadcasting Capital Improvements", \$10,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 New construction .....	2	28	8
00.02 Upgrade of existing relay station capabilities .....	2	10	3
00.03 Maintenance, improvements, replacement and repair .....	10	14	11
00.05 Satellite and terrestrial feed systems .....	1	1	

**General and special funds—Continued****BROADCASTING CAPITAL IMPROVEMENTS—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
10.00 Total new obligations .....	15	53	22
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	9	8
22.00 New budget authority (gross) .....	24	36	14
22.22 Unobligated balance transferred from other accounts .....	.....	16	.....
23.90 Total budgetary resources available for obligation .....	26	61	22
23.95 Total new obligations .....	-15	-53	-22
24.40 Unobligated balance carried forward, end of year .....	9	8	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	20	26	14
40.15 Appropriation (emergency) .....	.....	10	.....
42.00 Transferred from other accounts .....	4	.....	.....
43.00 Appropriation (total discretionary) .....	24	36	14
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	39	35	36
73.10 Total new obligations .....	15	53	22
73.20 Total outlays (gross) .....	-19	-52	-40
74.40 Obligated balance, end of year .....	35	36	18
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	7	18	4
86.93 Outlays from discretionary balances .....	12	34	36
87.00 Total outlays (gross) .....	19	52	40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	24	36	14
90.00 Outlays .....	19	52	40

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's world-wide transmission network.

*Upgrade of existing relay station capabilities.*—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

*Major improvements, replacements and repairs.*—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain and enhancing security requirements.

*Satellite and terrestrial feed systems.*—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

**Object Classification (in millions of dollars)**

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
23.2 Rental payments to others .....	2	.....	3
25.1 Advisory and assistance services .....	.....	2	.....
25.2 Other services .....	2	12	5
25.4 Operation and maintenance of facilities .....	2	.....	.....
26.0 Supplies and materials .....	1	6	2
31.0 Equipment .....	8	33	12
99.9 Total new obligations .....	15	53	22

vision transmission and reception, and purchase, *lease*, and installation of necessary equipment (*including aerostats*) for radio and television transmission and reception, **[\$24,872,000]** *\$25,923,000*, to remain available until expended. (*Departments of State and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	25	26	28
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	2	2
22.00 New budget authority (gross) .....	23	26	26
23.90 Total budgetary resources available for obligation .....	25	28	28
23.95 Total new obligations .....	-25	-26	-28
24.40 Unobligated balance carried forward, end of year .....	2	2	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	23	26	26
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9	8	3
73.10 Total new obligations .....	25	26	28
73.20 Total outlays (gross) .....	-25	-31	-26
74.40 Obligated balance, end of year .....	8	3	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	19	21	21
86.93 Outlays from discretionary balances .....	6	10	5
87.00 Total outlays (gross) .....	25	31	26
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	23	26	26
90.00 Outlays .....	25	31	26

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	22	25	25
90.00 Outlays .....	24	30	25

This account provides funding for Radio Marti and TV Marti to provide news and information to the people of Cuba.

**Object Classification (in millions of dollars)**

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	10	11	11
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	11	12	12
12.1 Civilian personnel benefits .....	4	4	4
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	4	4	5
31.0 Equipment .....	1	1	2
99.9 Total new obligations .....	25	26	28

**Personnel Summary**

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	153	163	163

**BROADCASTING TO CUBA**

For necessary expenses to enable the Broadcasting Board of Governors to carry out broadcasting to Cuba, including the purchase, rent, construction, and improvement of facilities for radio and tele-

## BUYING POWER MAINTENANCE

## Program and Financing (in millions of dollars)

Identification code 95-1147-0-1-154	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	4	4	4
24.40 Unobligated balance carried forward, end of year .....	4	4	4

## Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

**Trust Funds**

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Program and Financing (in millions of dollars)

Identification code 95-8285-0-7-602	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	3	4	4
24.40 Unobligated balance carried forward, end of year .....	4	4	4

## New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash) .....	1		
69.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-1		
69.90 Spending authority from offsetting collections (total mandatory) .....			

## Change in obligated balances:

74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	1		
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## Outlays (gross), detail:

86.98 Outlays from mandatory balances .....	-1		
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## Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	1		

## Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....	-1		

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

**CENTRAL INTELLIGENCE AGENCY****Federal Funds****General and special funds:**CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY  
SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding

level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, **[\$212,000,000] \$351,300,000.** (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

## Program and Financing (in millions of dollars)

Identification code 56-3400-0-1-054	2001 actual	2002 est.	2003 est.
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## Obligations by program activity:

10.00 Total new obligations .....	216	212	351
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## Budgetary resources available for obligation:

22.00 New budget authority (gross) .....	216	212	351
23.95 Total new obligations .....	-216	-212	-351

## New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation .....	216	212	351

## Change in obligated balances:

73.10 Total new obligations .....	216	212	351
73.20 Total outlays (gross) .....	-216	-212	-351

## Outlays (gross), detail:

86.97 Outlays from new mandatory authority .....	216	212	351
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## Net budget authority and outlays:

89.00 Budget authority .....	216	212	351
90.00 Outlays .....	216	212	351

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
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## Net budget authority and outlays:

89.00 Budget authority .....	216	212	223
90.00 Outlays .....	216	212	223

This appropriation provides for payment to the Fund of the first of 40 annual payments to amortize the unfunded liability of the Central Intelligence Agency Retirement and Disability System.

## Object Classification (in millions of dollars)

Identification code 56-3400-0-1-054	2001 actual	2002 est.	2003 est.
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12.1 Civilian personnel benefits .....	81	79	205
13.0 Benefits for former personnel .....	135	133	146
99.9 Total new obligations .....	216	212	351

**CHEMICAL SAFETY AND HAZARD  
INVESTIGATION BOARD****Federal Funds****General and special funds:**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD  
SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, and for services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, **[\$7,850,000, \$5,350,000] \$8,047,000, \$5,547,000** of which is to remain available until September 30, **[2002] 2003** and **\$2,500,000** of which is to remain available until September 30, **[2003] 2004**: *Provided*, That the Chemical Safety and Hazard Investigation Board shall have not more than three career Senior Executive Service positions: *Provided further*, That, hereafter, there shall be an Inspector General at the Board who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: *Provided further*, That an individual appointed to the posi-

**General and special funds—Continued****CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD—Continued**  
**SALARIES AND EXPENSES—Continued**

tion of Inspector General of the Federal Emergency Management Agency (FEMA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: *Provided further*, That the Inspector General of the Board shall utilize personnel of the Office of Inspector General of FEMA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board]. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95–3850–0–1–304	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	6	8	8
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		2	
22.00 New budget authority (gross) .....	8	8	8
23.90 Total budgetary resources available for obligation .....	8	10	8
23.95 Total new obligations .....	–6	–8	–8
24.40 Unobligated balance carried forward, end of year .....	2		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	8	8	8
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3	1	
73.10 Total new obligations .....	6	8	8
73.20 Total outlays (gross) .....	–7	–9	–8
74.40 Obligated balance, end of year .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3	8	8
86.93 Outlays from discretionary balances .....	4	1	
87.00 Total outlays (gross) .....	7	9	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	8
90.00 Outlays .....	7	9	8

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; and advising the President and Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board submitted a separate request to Congress and OMB concurrently, of \$9.0 million for 2003.

**Object Classification** (in millions of dollars)

Identification code 95–3850–0–1–304	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....		1	1
25.2 Other services .....	2	2	2
99.9 Total new obligations .....	6	8	8

**Personnel Summary**

Identification code 95–3850–0–1–304	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	33	41	45

**CHRISTOPHER COLUMBUS FELLOWSHIP  
FOUNDATION****Trust Funds****CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION****Unavailable Collections** (in millions of dollars)

Identification code 76–8187–0–7–502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.00 Gifts and donations .....		1	1
Appropriations:			
05.00 Christopher Columbus Fellowship Foundation .....		–1	–1
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 76–8187–0–7–502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	2	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	6	5	6
22.00 New budget authority (gross) .....		1	1
23.90 Total budgetary resources available for obligation .....	6	6	7
23.95 Total new obligations .....	–2	–1	–1
24.40 Unobligated balance carried forward, end of year .....	5	6	6
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....		1	1
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	2	1	1
73.20 Total outlays (gross) .....	–1	–1	–1
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		1	1
90.00 Outlays .....	2	1	1
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....		5	5
92.02 Total investments, end of year: Federal securities:			
Par value .....	5	5	5

Public Law 102–281 established the Christopher Columbus Fellowship Foundation “to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.” Surcharges from Christopher Columbus Quincentenary coins were placed in the Foundation’s trust fund. The trust fund will be used to operate the Foundation’s programs.

The Foundation will support programs totaling \$1 million in 2002 and 2003. The Foundation supports five competitive programs rewarding individuals and communities who develop innovative approaches to solving problems.

**Personnel Summary**

Identification code 76–8187–0–7–502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1	1	1



## COMMISSION OF FINE ARTS

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For expenses made necessary by the Act establishing a Commission of Fine Arts (40 U.S.C. 104), \$1,224,000: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 95-2600-0-1-451	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 Total new obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	1	1
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	1	1	1
73.20 Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission advises the President, Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the National Capital.

## Personnel Summary

Identification code 95-2600-0-1-451	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	8	8

## NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956(a)), as amended, \$7,000,000. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

## ADMINISTRATIVE PROVISION

## SEC. 101. NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS—

(a) There is hereby authorized a program to support artistic and cultural programs in the Nation's Capital, to provide grants for general operating support to eligible organizations located in the District of Columbia whose primary purpose is performing, exhibiting, and presenting the arts. Eligibility for grants shall be limited to not-for-profit, non-academic institutions. Beginning in fiscal year 2003 and thereafter, funds provided for grants shall be transferred to the District of Columbia Commission on Arts and Humanities, to be awarded through a competitive, merit-reviewed process.

(b) The heading, "National Capital Arts and Cultural Affairs," and everything thereunder in section 101(d) of Public Law 99-190 (99 Stat. 1261; 20 U.S.C. 956a), as amended, are hereby repealed.

## Program and Financing (in millions of dollars)

Identification code 95-2602-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	7	7	7

## Budgetary resources available for obligation:

22.00 New budget authority (gross) .....	7	7	7
23.95 Total new obligations .....	-7	-7	-7

## New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....	7	7	7

## Change in obligated balances:

73.10 Total new obligations .....	7	7	7
73.20 Total outlays (gross) .....	-7	-7	-7

## Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	7	7	7
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## Net budget authority and outlays:

89.00 Budget authority .....	7	7	7
90.00 Outlays .....	7	7	7

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

## COMMISSION ON CIVIL RIGHTS

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, **[\$9,096,000] \$9,575,000**: *Provided*, That not to exceed \$50,000 may be used to employ consultants: *Provided further*, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	9	9	9
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	9	9
23.95 Total new obligations .....	-9	-9	-9
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	9	9	9
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	9	9	9
73.20 Total outlays (gross) .....	-9	-9	-9
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	8	8	8
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	9	9	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	9	9
90.00 Outlays .....	9	9	9

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open

**General and special funds—Continued****SALARIES AND EXPENSES—Continued**

meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies, enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. The Commission issues publications and public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

**Object Classification** (in millions of dollars)

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....	2	2	2
99.9 Total new obligations .....	9	9	9

**Personnel Summary**

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	75	76	76

**COMMISSION ON OCEAN POLICY****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For the necessary expenses of the Commission on Ocean Policy, pursuant to Public Law 106-256, \$3,000,000, to remain available until expended: *Provided*, That the Commission shall present to the Congress within 18 months of appointment its recommendations for a national ocean policy. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.)

**Program and Financing** (in millions of dollars)

Identification code 48-2955-0-1-306	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....		6	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	4	1
22.00 New budget authority (gross) .....	1	3	
23.90 Total budgetary resources available for obligation .....	4	7	1
23.95 Total new obligations .....		-6	-1
24.40 Unobligated balance carried forward, end of year .....	4	1	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	3	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			1
73.10 Total new obligations .....		6	1
73.20 Total outlays (gross) .....		-5	-2
74.40 Obligated balance, end of year .....		1	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		3	
86.93 Outlays from discretionary balances .....		2	

87.00	Total outlays (gross) .....	5	2
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	1	3
90.00	Outlays .....	5	2

The Commission on Ocean Policy was established to make recommendations for a coordinated and comprehensive national ocean policy. Findings and recommendations are to be submitted to the President and Congress within 18 months of the first meeting.

**Object Classification** (in millions of dollars)

Identification code 48-2955-0-1-306	2001 actual	2002 est.	2003 est.
25.1 Direct obligations: Advisory and assistance services .....		4	1
99.5 Below reporting threshold .....		2	
99.9 Total new obligations .....		6	1

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92-28, [\$4,629,000] \$4,850,145. (Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

**Program and Financing** (in millions of dollars)

Identification code 95-2000-0-1-505	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	3	5	5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4	5	5
23.95 Total new obligations .....	-3	-5	-5
23.98 Unobligated balance expiring or withdrawn .....	-1		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	4	5	5
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	
73.10 Total new obligations .....	3	5	5
73.20 Total outlays (gross) .....	-3	-4	-5
74.40 Obligated balance, end of year .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	4	4	4
86.93 Outlays from discretionary balances .....			1
87.00 Total outlays (gross) .....	3	4	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	5	5
90.00 Outlays .....	3	4	4

The Committee for Purchase From People Who Are Blind or Severely Disabled administers the Javits-Wagner-O'Day (JWOD) Act of 1971, as amended. Its primary objective is to use the purchasing power of the Federal Government to provide people who are blind or have other severe disabilities with employment and training that will develop and improve job skills as well as prepare them for employment options outside the JWOD Program. In 2003, the Committee's goal is to employ approximately 50,000 people who are blind or have other severe disabilities in 650 producing nonprofit agencies. The Committee's duties include promoting the program; determining which products and services are suitable for Gov-

ernment procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such products and services; determining the fair market price for products and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 2003 the Committee's goal is to have sales of \$1.6 billion.

The Committee staff's responsibilities include promoting and assessing the overall programs; supervising the selection and assignment of new products and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance. The increased resources proposed for 2003 would enable the Committee to continue its marketing efforts, which are essential to protecting jobs for people with disabilities involved in supplying commercial-type products such as office supplies to Federal customers under the JWOD Program. The education functions to be supported by these funds would focus on informing Federal purchase card holders about JWOD products and working with private sector distributors of those products, including e-commerce vendors.

#### Object Classification (in millions of dollars)

Identification code	95-2000-0-1-505	2001 actual	2002 est.	2003 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5	Below reporting threshold .....	1	3	3
99.9	Total new obligations .....	3	5	5

#### Personnel Summary

Identification code	95-2000-0-1-505	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment .....	21	29	29

## COMMODITY FUTURES TRADING COMMISSION

### Federal Funds

#### General and special funds:

##### COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109, **[\$70,700,000]** \$82,800,000, including not to exceed \$2,000 for official reception and representation expenses. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**[**For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Commodity Futures Trading Commission", \$16,900,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.**]** (*Emergency Supplemental Act, 2002.*)

#### Program and Financing (in millions of dollars)

Identification code	95-1400-0-1-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
00.01	Market surveillance, analysis, and research .....	13	17	16
00.02	Enforcement .....	28	36	32
00.03	Trading and markets .....	21	27	25
00.04	Proceedings .....	3	4	3
00.05	General counsel .....	6	7	7
10.00	Total new obligations .....	71	91	83
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	71	91	83

23.95	Total new obligations .....	-71	-91	-83
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	71	74	83
40.15	Appropriation (emergency) .....		17	
43.00	Appropriation (total discretionary) .....	71	91	83
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	9	11	12
73.10	Total new obligations .....	71	91	83
73.20	Total outlays (gross) .....	-68	-91	-81
74.40	Obligated balance, end of year .....	11	12	13
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	62	83	74
86.93	Outlays from discretionary balances .....	6	9	8
87.00	Total outlays (gross) .....	68	91	81
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	71	91	83
90.00	Outlays .....	68	91	81

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	68	88	80
90.00	Outlays .....	65	88	78

#### Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority .....	71	91	83
Outlays .....	68	92	82
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-33
Outlays .....			-33
Total:			
Budget Authority .....	71	91	50
Outlays .....	68	92	49

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

The Administration proposes additional dollar resources above the fiscal year 2002 level for the Commission. These resources contribute to the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, these funds would provide the Commission with enforcement and surveillance resources to respond to the continued growth and use of complex trading and derivative instruments.

**Market surveillance, analysis and research.**—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with

**General and special funds—Continued****COMMODITY FUTURES TRADING COMMISSION—Continued**

current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	2001 actual	2002 est.	2003 est.
Trader and broker reports analyzed (thousands) .....	56,100	75,000	78,800
Market surveillance reports prepared .....	2,218	2,480	2,780
Review every request for approval of products and rule changes within 45 days and respond .....	NA	%	%

**Enforcement.**—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

	2001 actual	2002 est.	2003 est.
<b>Investigations:</b>			
Opened .....	231	138	138
Completed .....	171	141	142
<b>Cases:</b>			
Opened .....	60	44	44
Completed .....	35	38	38

**Trading and markets.**—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	2001 actual	2002 est.	2003 est.
Oversight audits of registrants .....	26	30	30
Review self-regulatory organization rules .....	2,779	1,600	1,600
Review adequacy of self-regulatory organization disciplinary actions .....	584	575	590
Audits of clearing organizations and firms handling customer money .....	15	17	18
Written requests for regulatory exemptive relief granted .....	248	265	275
<b>Reparations:</b>			
Cases pending (beginning balance) .....	64	67	67
Cases received/forwarded .....	97	100	100
Cases dismissed, settled, or disposed .....	94	100	100
Cases pending (ending balance) .....	67	67	67

**General Counsel.**—The Office of the General Counsel provides legal services and support to the Commission's program divisions, including engaging in defensive, appellate, and amicus litigation; assisting the Commission in the performance of its adjudicatory functions; drafting regulations; interpreting the Commodity Exchange Act; and providing no-action letters and opinions to the public.

**Object Classification (in millions of dollars)**

Identification code 95-1400-0-1-376	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	36	37	42
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	2	1
11.9 Total personnel compensation .....	39	41	45
12.1 Civilian personnel benefits .....	13	14	15
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	8	8	10
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	6	20	7

26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	4	2
99.9 Total new obligations .....	71	91	83

**Personnel Summary**

Identification code 95-1400-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	546	510	537

**COMMODITY FUTURES TRADING COMMISSION**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Direct obligations .....			— 33
09.01 Reimbursable obligations .....			33
10.00 Total new obligations .....			
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			— 33
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....			33
70.00 Total new budget authority (gross) .....			
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			— 33
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			— 33
90.00 Outlays .....			— 33

Authorizing legislation will be proposed to establish a transactions fee on commodity futures and option contracts traded on approved exchanges to cover the cost of the Commission's regulatory activities. The collection and use of this fee, once authorized, will be contingent on appropriation action. For 2003, the fee would be effective on April 1, 2003 and be set on round turn transactions and would generate offsetting governmental collections of approximately \$33 million. The authorizing legislation would in future years give appropriators the ability to adjust the fee in order to match the Commission's appropriated level. The enactment of such fee legislation would allow the Commission, the Federal government's regulator of the futures industry, to overcome past budget restraints without harming the competitive position of U.S. exchanges.

**Object Classification (in millions of dollars)**

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....			— 17
11.3 Other than full-time permanent .....			— 1
11.9 Total personnel compensation .....			— 18
12.1 Civilian personnel benefits .....			— 6
23.2 Rental payments to others .....			— 4
25.2 Other services .....			— 4
31.0 Equipment .....			— 1
99.0 Direct obligations .....			— 33
99.0 Reimbursable obligations .....			33

99.9 Total new obligations ..... 220

**Personnel Summary**

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....			-220
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....			220

**CONSUMER PRODUCT SAFETY COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, **[\$55,200,000]** *\$59,875,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

**Program and Financing (in millions of dollars)**

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Reducing product hazards to children and families .....	46	49	50
00.02 Identifying and researching product hazards .....	9	9	10
09.01 Reimbursable program .....	3	4	4
10.00 Total new obligations .....	58	62	64
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	58	62	64
23.95 Total new obligations .....	-58	-62	-64
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	55	58	60
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3	4	4
70.00 Total new budget authority (gross) .....	58	62	64
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	8	8
73.10 Total new obligations .....	58	62	64
73.20 Total outlays (gross) .....	-58	-62	-64
74.40 Obligated balance, end of year .....	8	8	8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	51	56	58
86.93 Outlays from discretionary balances .....	7	6	6
87.00 Total outlays (gross) .....	58	62	64
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-3	-4	-4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	55	58	60
90.00 Outlays .....	55	58	60

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	52	55	57

90.00 Outlays ..... 52 55 57

The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

**Object Classification (in millions of dollars)**

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	30	33	34
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	32	35	36
12.1 Civilian personnel benefits .....	10	10	11
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	3	4	4
25.3 Other purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	1		
31.0 Equipment .....	2		
99.0 Direct obligations .....	54	56	58
99.0 Reimbursable obligations .....	3	4	4
99.5 Below reporting threshold .....	1	2	2
99.9 Total new obligations .....	58	62	64

**Personnel Summary**

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	469	480	480

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE****Federal Funds****General and special funds:****NATIONAL AND COMMUNITY SERVICE PROGRAMS****OPERATING EXPENSES**

For necessary expenses for the Corporation for National and Community Service [(the "Corporation")] in carrying out programs, activities, and initiatives under the National and Community Service Act of 1990 (the "Act") (42 U.S.C. 12501 et seq.), **[\$401,980,000]** *\$632,634,990*, to remain available until September 30, **[2003: Provided, That not more than \$31,000,000 shall be available for administrative expenses authorized under section 501(a)(4) of the Act (42 U.S.C. 12671(a)(4)) with not less than \$2,000,000 targeted for the acquisition of a cost accounting system for the Corporation's financial management system, an integrated grants management system that provides comprehensive financial management information for all Corporation grants and cooperative agreements, and the establishment, operation, and maintenance of a central archives serving as the repository for all grant, cooperative agreement, and related documents, without regard to the provisions of section 501(a)(4)(B) of the Act]** *2004: Provided [further], That not more than \$2,500 shall be for official reception and representation expenses: Provided further, That [of amounts previously transferred to the National Service Trust] not more than \$57,000,000, to remain available until expended, shall be transferred to the National Service Trust, of which up to [.] \$20,000,000 shall be to provide education awards to individuals, age 55 or older, who complete a part-time term of service in an approved national service position, and who may deposit the award in an education retirement account under section 530 of the Internal Revenue Code of 1986, for which a child is designated beneficiary, or use the award for the senior's own current educational expenses as authorized in section 148 of the Act; and of which up to, \$5,000,000 shall be available for national service scholarships for high school students*

## General and special funds—Continued

## OPERATING EXPENSES—Continued

performing community service: *Provided further*, That not more than \$240,492,000 of the amount provided under this heading shall be available for grants under the National Service Trust program authorized under subtitle C of title I of the Act (42 U.S.C. 12571 et seq.) (relating to activities including the AmeriCorps program), and for grants to organizations operating projects under the AmeriCorps Education Awards Program and AmeriCorps Promise Fellows Program (without regard to the requirements of section 121(d) and (e), section 131(e), section 132, and section 140(a), (d), and (e) of the Act): of which not more than \$47,000,000 may be used to administer, reimburse, or support any national service program authorized under section 121(d)(2) of such Act (42 U.S.C. 12581(d)(2)); not more than \$25,000,000 shall be made available to activities dedicated to developing computer and information technology skills for students and teachers in low-income communities: *Provided further*, That not more than \$10,000,000 of the funds made available under this heading shall be made available for the Points of Light Foundation for activities authorized under title III of the Act (42 U.S.C. 12661 et seq.), of which not more than \$2,500,000 may be used to establish or support an endowment fund, the corpus of which shall remain intact and the interest income from which shall be used to support activities described in title III of the Act, provided that the Foundation may invest the corpus and income in federally insured bank savings accounts or comparable interest bearing accounts, certificates of deposit, money market funds, mutual funds, obligations of the United States, and other market instruments and securities but not in real estate investments: *Provided further*, That notwithstanding any other law \$2,500,000 of the funds made available by the Corporation to the Foundation under Public Law 106-377 may be used in the manner described in the preceding proviso: *Provided further*, That no funds shall be available for national service programs run by Federal agencies authorized under section 121(b) of such Act (42 U.S.C. 12571(b)): *Provided further*, That to the maximum extent feasible, funds appropriated under subtitle C of title I of the Act shall be provided in a manner that is consistent with the recommendations of peer review panels in order to ensure that priority is given to programs that demonstrate quality, innovation, replicability, and sustainability: *Provided further*, That not more than \$25,000,000 of the funds made available under this heading shall be available for the Civilian Community Corps authorized under subtitle E of title I of the Act (42 U.S.C. 12611 et seq.): *Provided further*, That not more than \$43,000,000 shall be available for school-based and community-based service-learning programs authorized under subtitle B of title I of the Act (42 U.S.C. 12521 et seq.): *Provided further*, That not more than \$28,488,000 of the funds made available for quality and innovation activities authorized under subtitle H of title I of the Act (42 U.S.C. 12853 et seq.): *Provided further*, That not more than \$5,000,000 shall be available for audits and other evaluations authorized under section 179 of the Act (42 U.S.C. 12639): *Provided further*, That to the maximum extent practicable, the Corporation shall increase significantly the level of matching funds and in-kind contributions provided by the private sector, and shall reduce the total Federal costs per participant in all programs: *Provided further*, That not more than \$7,500,000 of the funds made available under this heading shall be made available to America's Promise—The Alliance for Youth, Inc. only to support efforts to mobilize individuals, groups, and organizations to build and strengthen the character and competence of the Nation's youth: *Provided further*, That not more than \$5,000,000 of the funds made available under this heading shall be made available to the Communities In Schools, Inc. to support dropout prevention activities: *Provided further*, That not more than \$2,500,000 of the funds made available under this heading shall be made available to the YMCA of the USA to support school-based programs designed to strengthen collaborations and linkages between public schools and communities: *Provided further*, That not more than \$1,000,000 of the funds made available under this heading shall be made available to Teach For America: *Provided further*, That not more than \$1,500,000 of the funds made available under this heading shall be made available to Parents As Teachers National Center, Inc. to support literacy activities: *Provided further*, That not more than \$1,500,000 of the funds made available under this heading shall be made available to the Youth Life Foundation to meet the needs of children living in insecure environments]. (Departments of Veterans Affairs and Housing and Urban Development, and Independent

Agencies Appropriations Act, 2002; additional authorizing legislation required.)

## Program and Financing (in millions of dollars)

Identification code 95-2720-0-1-506		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
00.01	National Service Trust .....	70	.....	57
00.02	AmeriCorps grants .....	259	292	403
00.03	Innovation assistance and other activities .....	35	30	35
00.04	Evaluation .....	5	6	5
00.05	National Civilian Community Corps .....	21	27	35
00.06	Learn and Serve America .....	51	47	43
00.07	NCSA program administration .....	34	34	37
00.08	Points of Light Foundation .....	10	10	10
00.09	America's Promise .....	8	8	8
00.10	Communities in schools, boys and girls clubs, etc. ....	11	11	.....
10.00	Total new obligations .....	504	465	633
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance carried forward, start of year .....	96	61	.....
22.00	New budget authority (gross) .....	460	404	633
22.10	Resources available from recoveries of prior year obligations .....	9	.....	.....
23.90	Total budgetary resources available for obligation .....	565	465	633
23.95	Total new obligations .....	-504	-465	-633
24.40	Unobligated balance carried forward, end of year .....	61	.....	.....
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	461	404	633
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent) .....	-1	.....	.....
43.00	Appropriation (total discretionary) .....	460	404	633
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	519	553	585
73.10	Total new obligations .....	504	465	633
73.20	Total outlays (gross) .....	-454	-433	-518
73.40	Adjustments in expired accounts (net) .....	-7	.....	.....
73.45	Recoveries of prior year obligations .....	-9	.....	.....
74.40	Obligated balance, end of year .....	553	585	700
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	142	111	174
86.93	Outlays from discretionary balances .....	312	322	344
87.00	Total outlays (gross) .....	454	433	518
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	460	404	633
90.00	Outlays .....	454	433	518
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>				
		2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	458	402	631
90.00	Outlays .....	452	431	516

The Corporation for National and Community Service works with non-profits, faith-based groups, schools, and other civic organizations to engage Americans of all ages and backgrounds in community-based service which addresses the Nation's educational, human, public safety, and environmental needs, including homeland security, to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

**National Service Trust.**—The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

**AmeriCorps grants.**—With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address prob-

lems they identify by using the skills of individuals serving in National Service positions.

**Innovation, assistance, and other activities.**—This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding. This activity also includes funds for challenge grants for teaching and other national service programs, where private sources provide at least 50 percent of the amount required to operate the program.

**Evaluation.**—This activity supports studies of the impact and effectiveness of Corporation programs.

**National Civilian Community Corps.**—This residential National Service program provides unique service opportunities for members and communities.

**Learn and Serve America.**—Through grants to State educational agencies, colleges and consortia of colleges and non-profit organizations, and other means, opportunities will be provided to students to participate in service learning activities.

**NCSA program administration.**—These funds will be provided to State Commissions to develop National Service plans and manage these activities within their States and will be used by the Corporation to administer these activities.

**Points of Light Foundation.**—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

**America's Promise.**—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to mobilize individuals, groups and organizations to build and strengthen the character and competence of the Nation's youth.

#### Object Classification (in millions of dollars)

Identification code 95-2720-0-1-506	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	18	18	21
11.3 Other than full-time permanent .....	5	5	9
11.9 Total personnel compensation .....	23	23	30
12.1 Civilian personnel benefits .....	7	7	8
21.0 Travel and transportation of persons .....	4	3	5
23.3 Communications, utilities, and miscellaneous charges .....	2	2	3
25.2 Other services .....	18	14	19
26.0 Supplies and materials .....	1	1	2
41.0 Grants, subsidies, and contributions .....	379	415	509
92.0 National Service Trust .....	70		57
99.9 Total new obligations .....	504	465	633

#### Personnel Summary

Identification code 95-2720-0-1-506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	285	302	310

#### Program and Financing (in millions of dollars)

Identification code 95-0103-0-1-506	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Volunteers in Service to America .....	83	85	94
00.02 Special Volunteer Programs .....		5	55
00.03 National Senior Service Corps .....	189	207	213
00.05 Program support .....	33	33	35
09.01 Reimbursable program .....	6	6	6
10.00 Total new obligations .....	311	336	403
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	311	336	403
23.95 Total new obligations .....	-311	-336	-403
23.98 Unobligated balance expiring or withdrawn .....	-1		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	305	330	397
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	6	6	6
70.00 Total new budget authority (gross) .....	311	336	403
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	149	161	163
73.10 Total new obligations .....	311	336	403
73.20 Total outlays (gross) .....	-292	-332	-385
73.40 Adjustments in expired accounts (net) .....	-7		
74.10 Change in uncollected customer payments from Federal sources (expired) .....	2		
74.40 Obligated balance, end of year .....	161	163	181
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	164	191	230
86.93 Outlays from discretionary balances .....	128	141	155
87.00 Total outlays (gross) .....	292	332	385
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-2	-2	-2
88.40 Non-Federal sources .....	-4	-4	-4
88.90 Total, offsetting collections (cash) .....	-6	-6	-6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	305	330	397
90.00 Outlays .....	287	326	379
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	304	329	396
90.00 Outlays .....	286	325	378

**Volunteers in Service to America.**—The AmeriCorps\*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

**Special volunteer programs.**—These programs help mobilize volunteers and citizens for civic purposes, including homeland security.

**National Senior Service Corps.**—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including helping children learn to read and working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

**Program support.**—Costs of program direction and administration are financed by this activity.

#### DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, [“\$328,895,000: Provided, That none of the funds made available to the Corporation for National and Community Service in this Act for activities authorized by part E of title II of the Domestic Volunteer Service Act of 1973 shall be used to provide stipends or other monetary incentives to volunteers or volunteer leaders whose incomes exceed 125 percent of the national poverty level”] \$397,432,787. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

**General and special funds—Continued****DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES—Continued****Object Classification** (in millions of dollars)

Identification code 95–0103–0–1–506	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	18	18	18
11.8 Special personal services payments .....	42	45	49
11.9 Total personnel compensation .....	60	63	67
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	5	5	6
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	2	2	3
25.2 Other services .....	15	15	18
41.0 Grants, subsidies, and contributions .....	213	235	293
99.0 Direct obligations .....	305	330	397
99.0 Reimbursable obligations .....	6	6	6
99.9 Total new obligations .....	311	336	403

**Personnel Summary**

Identification code 95–0103–0–1–506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	298	310	309

**OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$5,000,000,] \$5,076,797**, to remain available until September 30, [2003] 2004. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95–2721–0–1–506	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	5	6	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	1	.....
22.00 New budget authority (gross) .....	5	5	5
23.90 Total budgetary resources available for obligation .....	6	6	5
23.95 Total new obligations .....	–5	–6	–5
24.40 Unobligated balance carried forward, end of year .....	1	.....	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	5	5	5
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	2	2
73.10 Total new obligations .....	5	6	5
73.20 Total outlays (gross) .....	–5	–6	–5
74.40 Obligated balance, end of year .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3	3	3
86.93 Outlays from discretionary balances .....	2	3	2
87.00 Total outlays (gross) .....	5	6	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	5	5
90.00 Outlays .....	4	6	5

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**Object Classification** (in millions of dollars)

Identification code 95–2721–0–1–506	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services .....	3	4	3
99.9 Total new obligations .....	5	6	5

**Personnel Summary**

Identification code 95–2721–0–1–506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	14	21	21

**Trust Funds****GIFTS AND CONTRIBUTIONS****Unavailable Collections** (in millions of dollars)

Identification code 95–9972–0–7–506	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	.....	.....	.....
Receipts:			
02.40 Interest on investment .....	22	16	16
02.41 Payment from the general fund .....	70	.....	57
02.99 Total receipts and collections .....	92	16	73
Appropriations:			
05.00 Gifts and contributions .....	–92	–16	–73
05.99 Total appropriations .....	–92	–16	–73
07.99 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 95–9972–0–7–506	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	84	75	80
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	321	299	240
22.00 New budget authority (gross) .....	62	16	73
23.90 Total budgetary resources available for obligation .....	383	315	313
23.95 Total new obligations .....	–84	–75	–80
24.40 Unobligated balance carried forward, end of year .....	299	240	233
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	70	.....	57
40.36 Unobligated balance rescinded .....	–30	.....	.....
43.00 Appropriation (total discretionary) .....	40	.....	57
Mandatory:			
60.26 Appropriation (trust fund) .....	22	16	16
70.00 Total new budget authority (gross) .....	62	16	73
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	84	75	80
73.20 Total outlays (gross) .....	–84	–75	–80
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	77	68	72
86.97 Outlays from new mandatory authority .....	7	4	4
86.98 Outlays from mandatory balances .....	.....	3	4
87.00 Total outlays (gross) .....	84	75	80
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	62	16	73
90.00 Outlays .....	84	75	80

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: Federal securities:			
Par value .....	344	319	260
92.02 Total investments, end of year: Federal securities:			
Par value .....	319	260	253



The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

## CORPORATION FOR PUBLIC BROADCASTING

### Federal Funds

#### General and special funds:

##### CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting, [as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2004, \$380,000,000: *Provided*, That no funds made available to the Corporation for Public Broadcasting by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That in addition to the amounts provided above,] \$25,000,000, for costs related to digital program production, development, and distribution, associated with the transition of public broadcasting to digital broadcasting, to be awarded as determined by the Corporation in consultation with public radio and television licensees or permittees, or their designated representatives. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

#### Program and Financing (in millions of dollars)

Identification code 20-0151-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 General programming and system support .....	340	350	365
00.02 Digital transition .....	20	25	25
10.00 Total new obligations (object class 41.0) .....	360	375	390
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	360	375	390
23.95 Total new obligations .....	-360	-375	-390
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation—Digital .....	20	25	25
55.00 Advance appropriation—General Programming .....	340	350	365
70.00 Total new budget authority (gross) .....	360	375	390
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	360	375	390
73.20 Total outlays (gross) .....	-360	-375	-390
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	360	375	390
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	360	375	390
90.00 Outlays .....	360	375	390

**General programming.**—The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition and general operations. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and perform-

ance. By custom, the appropriation for the Corporation has been enacted two years in advance. For 2003 and 2004, appropriations of \$365 million and \$380 million were enacted in the 2001 and 2002 appropriations acts, respectively.

The Administration proposes that the Corporation receive appropriations like other programs that receive Federal assistance. Therefore, a 2005 funding request for the Corporation will be proposed in the FY 2005 President's Budget.

Public broadcasting assists in the educational and cultural development of our Nation. Funding for the Corporation facilitates the provision of universally available educational, non-commercial public telecommunications services that meet the needs of local communities across the country.

**Digital transition.**—In April 1997, the Federal Communications Commission issued regulations requiring broadcasters to transition from analog to digital broadcasting. Public broadcasters must convert to digital by May 1, 2003, with the possibility of extensions or waivers. Both the Corporation for Public Broadcasting and the Department of Commerce support public broadcasting's digital transition. Commerce Department funding will be targeted for digital transmission equipment, while the Corporation's funding will support necessary investments related to digital program production, development and distribution.

## UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by 38 U.S.C. 7251-7298, [ \$13,221,000 ], \$14,708,000 of which [ \$895,000 ] \$1,045,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229.) (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

(The appropriations proposal submitted by the United States Court of Appeals for Veterans Claims follows:)

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by 38 U.S.C. 7251-7298, [ \$13,221,000 ], \$14,994,000 of which (a) [ \$895,000 ] \$1,045,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229, and (b) \$286,000 shall be available for the purpose of providing security enhancements. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	12	13	15
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	12	13	15
23.95 Total new obligations .....	-12	-13	-15
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	12	13	15
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	2	2
73.10 Total new obligations .....	12	13	15
73.20 Total outlays (gross) .....	-11	-13	-15
74.00 Obligated balance, end of year .....	2	2	2

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	10	12	14
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	11	13	15
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	12	13	15
90.00 Outlays .....	11	13	15

The Administration does not support the Court's proposal to lease the entire parking garage underneath the building partially occupied by the Court and to sublease parking spaces to other Federal agencies. The privately owned building is rented by the General Service Administration (GSA) on behalf of several agencies, and determinations on the leasing of parking spaces are more appropriately addressed by GSA.

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251-7292 (1988) established the United States Court of Veterans Appeals (renamed United States Court of Appeals for Veterans Claims as of March 1, 1999, Public Law 105-368) under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

**Pro bono program.**—The Legal Services Corporation administers a grant program to provide pro bono representation and legal assistance to claimants who file appeals with the Court. Congress funds the grant program through the Court's appropriation. To maintain impartiality, the Court does not administer the program or comment on the program's budget estimate.

**Practice registration fees.**—38 U.S.C. § 7285 established a fund, which receives no appropriations, that will be used by the U.S. Court of Appeals for Veterans Claims to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

**Object Classification (in millions of dollars)**

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.3 Personnel compensation: Other than full-time permanent .....	6	7	7
12.1 Civilian personnel benefits .....	2	2	3
23.1 Rental payments to GSA .....	2	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Direct obligations .....	11	12	13
99.5 Below reporting threshold .....	1	1	2
99.9 Total new obligations .....	12	13	15

**Personnel Summary**

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	79	88	93

**Trust Funds****COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND****Unavailable Collections (in millions of dollars)**

Identification code 95-8290-0-7-705	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	5	6	6
<b>Receipts:</b>			
02.40 Employing agency contributions .....	1	1	1
04.00 Total: Balances and collections .....	6	6	7
07.99 Balance, end of year .....	6	6	7

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 2.2 percent of their salaries for survivor annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Appeals for Veterans Claims.

## COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

**Federal Funds****General and special funds:**

### FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

(INCLUDING TRANSFER OF FUNDS)

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712), **[\$147,300,000, of which \$13,015,000 shall remain available until expended for construction expenses at new or existing facilities, and] \$161,925,000, of which not to exceed \$2,000 is for official receptions related to offender and defendant support programs; of which [\$94,112,000] \$100,612,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; [\$20,829,000] \$23,956,000 shall be transferred to the Public Defender Service; and [\$32,359,000] \$37,357,000 shall be available to the Pretrial Services Agency: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obli-**

gated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That notwithstanding chapter 12 of title 40, United States Code, the Director may acquire by purchase, lease, condemnation, or donation, and renovate as necessary, Building Number 17, 1900 Massachusetts Avenue, Southeast, Washington, District of Columbia, or such other site as the Director of the Court Services and Offender Supervision Agency may determine as appropriate to house or supervise offenders and defendants, with funds made available [by this Act] for this purpose in Public Law 107-96: *Provided further*, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs, and equipment and vocational training services to educate and train offenders and defendants: *Provided further*, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection. (*District of Columbia Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Community Supervision Program .....	71	92	107
00.02 Pretrial Services Agency .....	27	33	37
00.03 Public Defender Service .....	20	22	24
10.00 Total new obligations .....	118	147	168
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....			6
22.00 New budget authority (gross) .....	118	153	162
23.90 Total budgetary resources available for obligation .....	118	153	168
23.95 Total new obligations .....	-118	-147	-168
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance carried forward, end of year .....		6	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	118	153	162
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	23	22	23
73.10 Total new obligations .....	118	147	168
73.20 Total outlays (gross) .....	-119	-146	-160
74.40 Obligated balance, end of year .....	22	23	31
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	96	124	131
86.93 Outlays from discretionary balances .....	23	22	29
87.00 Total outlays (gross) .....	119	146	160
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	118	153	162
90.00 Outlays .....	119	146	160
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	112	147	155
90.00 Outlays .....	113	140	153

The National Capital Revitalization and Self-Government Improvement Act established the Court Services and Offender Supervision Agency for the District of Columbia as an independent Federal agency, which has assumed the District of Columbia (D.C.) pretrial services, adult probation, and parole supervision functions. The mission of the Agency is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community. The D.C. Public Defender Service provides legal representation services within the District of Columbia, and transmits its budget with that of the Agency,

yet operates as an independent District of Columbia agency, as required by law.

The Agency's budget supports the Community Supervision Program, the Pretrial Services Agency, and D.C. the Public Defender Service.

**Community Supervision Program.**—This activity provides supervision in the community of adult offenders on probation, parole or supervised release—consistent with a crime prevention strategy that integrates supervision, routine drug testing, treatment, and graduated sanctions. The activity also develops and provides probation and parole authorities with timely and useful information for decision-making. For 2003, \$11,920,000 is requested to expand the supervision of offenders in the community, improve the quality and timeliness of diagnostic and investigative reports for probation and parole decision-makers, improve educational and vocational rehabilitative services for offenders, provide substance abuse treatment for offenders under supervision, and increase drug testing collection capabilities in community supervision offices.

**Pretrial Services Agency.**—This activity assists the trial and appellate levels of both the Federal and local courts in determining eligibility for pretrial release by providing background information on all arrestees. The Pretrial Services Agency is further responsible for supervising conditions of release and reporting on defendants' compliance to the court. For 2003, \$1,733,000 is requested to establish a Day Reporting Center to improve and increase intensive supervision capabilities, provide additional mental health treatment and assessment services for pretrial defendants under supervision, and to improve performance management data collection.

**Public Defender Service.**—This activity provides legal representation to indigent defendants and provides support in the form of training, consultation and legal reference services to members of the local bar appointed as counsel in criminal, juvenile, and mental health cases involving indigent individuals. For 2003, \$874,000 is requested to provide personnel, training, and support to meet the increased demand for technical expertise by defense lawyers handling DNA-based cases and to provide Constitutionally mandated legal representation to individuals facing parole revocation before the U.S. Parole Commission.

In 2003, the Court Services and Offender Supervision Agency will continue to work closely with all elements of the District of Columbia and Federal criminal justice, courts, corrections, and rehabilitation systems to improve offender supervision and court services programs, policy, and practice.

**Object Classification** (in millions of dollars)

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	56	67	74
12.1 Civilian personnel benefits .....	22	25	29
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	8	11	13
23.3 Communications, utilities, and miscellaneous charges .....	2	1	1
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services .....	19	22	25
25.3 Other purchases of goods and services from Government accounts .....	1	1	1
25.4 Operation and maintenance of facilities .....	1	1	1
26.0 Supplies and materials .....	1	1	2
31.0 Equipment .....	5	7	9
32.0 Land and structures .....		8	10
99.9 Total new obligations .....	118	147	168

**Personnel Summary**

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	810	1,167	1,266

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

## Federal Funds

### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, **[\$18,500,000]** \$19,494,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	20	20	20
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	3	1
22.00 New budget authority (gross) .....	18	18	19
22.10 Resources available from recoveries of prior year obligations .....	2		
23.90 Total budgetary resources available for obligation .....	22	21	20
23.95 Total new obligations .....	-20	-20	-20
24.40 Unobligated balance carried forward, end of year .....	3	1	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	18	18	19
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	7	7	8
73.10 Total new obligations .....	20	20	20
73.20 Total outlays (gross) .....	-18	-18	-19
73.45 Recoveries of prior year obligations .....	-2		
74.40 Obligated balance, end of year .....	7	8	8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11	11	11
86.93 Outlays from discretionary balances .....	7	7	8
87.00 Total outlays (gross) .....	18	18	19
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	18	18	19
90.00 Outlays .....	18	18	19

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE) (as defined in Public Law 100-456). The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. In addition, the National Defense Authorization Act for 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to ensure that both public and employee health and safety are adequately protected.

#### Object Classification (in millions of dollars)

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	11	11
12.1 Civilian personnel benefits .....	3	3	3

21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	2	2	2
25.1	Advisory and assistance services .....	2	1	1
25.2	Other services .....	2	1	1
99.0	Direct obligations .....	19	19	19
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	20	20	20

#### Personnel Summary

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	93	102	102

## DELTA REGIONAL AUTHORITY

### Federal Funds

#### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, *notwithstanding section 382N of said Act*, **[\$10,000,000]** \$10,017,170, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Direct Program Activity .....		7	13
10.00 Total new obligations .....		7	13
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		20	24
22.00 New budget authority (gross) .....	20	10	10
23.90 Total budgetary resources available for obligation .....	20	30	34
23.95 Total new obligations .....		-7	-13
24.40 Unobligated balance carried forward, end of year .....	20	24	21
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	20	10	10
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			6
73.10 Total new obligations .....		7	13
73.20 Total outlays (gross) .....		-1	-9
74.40 Obligated balance, end of year .....		6	10
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		1	1
86.93 Outlays from discretionary balances .....			8
87.00 Total outlays (gross) .....		1	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	20	10	10
90.00 Outlays .....		1	9

The Delta Regional Authority (DRA), authorized by P.L. 106-554, was established to assist an eight-state, 236-county region of demonstrated distress in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies.

The DRA was created as a Federal-State partnership modeled after other regional development agencies. DRA will focus on: basic public infrastructure in distressed counties and isolated areas of distress; transportation infrastructure facilitating the economic development of the region; business

development; and job training or employment-related education.

**Object Classification** (in millions of dollars)

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....		1	1
41.0 Grants, subsidies, and contributions .....		6	12
99.9 Total new obligations .....		7	13

**Personnel Summary**

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....		5	5

## DENALI COMMISSION

### Federal Funds

#### General and special funds:

##### DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction and acquisition of plant and capital equipment as necessary and other expenses, **[\$38,000,000] \$29,959,604**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
01.01 Direct Program Activity .....	30	38	30
09.00 Reimbursable program .....	25	53	
10.00 Total new obligations .....	55	91	30
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	4	4	4
22.00 New budget authority (gross) .....	55	91	30
23.90 Total budgetary resources available for obligation .....	59	95	34
23.95 Total new obligations .....	-55	-91	-30
24.40 Unobligated balance carried forward, end of year .....	4	4	4
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	30	38	30
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	25	53	
70.00 Total new budget authority (gross) .....	55	91	30
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	19	63	64
73.10 Total new obligations .....	55	91	30
73.20 Total outlays (gross) .....	-11	-90	-76
74.40 Obligated balance, end of year .....	63	64	17
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	6	61	20
86.93 Outlays from discretionary balances .....	5	29	57
87.00 Total outlays (gross) .....	11	90	76
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-25	-53	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	30	38	30
90.00 Outlays .....	-14	37	76

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105-277) to promote sustainable rural infrastructure development, to provide job training and

other economic development services in rural communities with a focus on distressed communities, and to deliver services in the most cost-effective manner practicable in the State of Alaska. The Denali Commission is composed of 7 members with a Federal Cochairperson. The Commission is required to develop an annual work plan that ensures coordination of State and Federal agencies for cost-shared and sustainable utilities and infrastructure related projects that promote health, safety, and economic self-sufficiency throughout rural Alaska under a statutory overhead ceiling of not more than 5 percent.

**Object Classification** (in millions of dollars)

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	29	37	30
99.0 Reimbursable obligations: Reimbursable obligations ...	25	53	-1
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	55	91	30

**Personnel Summary**

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	7	9

### Trust Funds

#### DENALI COMMISSION TRUST FUND

**Program and Financing** (in millions of dollars)

Identification code 95-8056-0-7-452	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
01.01 Direct Program Activity .....	11	11	11
10.00 Total new obligations .....	11	11	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	11	11	11
23.95 Total new obligations .....	-11	-11	-11
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	11	11	11
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		11	11
73.10 Total new obligations .....	11	11	11
73.20 Total outlays (gross) .....		-11	-11
74.40 Obligated balance, end of year .....	11	11	11
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11	11	11
90.00 Outlays .....		11	11

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the Oil Spill Liability Trust Fund to the Denali Commission. The Denali Commission, in consultation with the Coast Guard, developed a program in which these funds are to be used to repair or replace bulk fuel storage tanks in Alaska which are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

## DENALI COMMISSION TRUST FUND—Continued

Object Classification (in millions of dollars)				
Identification code 95-8056-0-7-452		2001 actual	2002 est.	2003 est.
41.0	Direct obligations: Grants, subsidies, and contributions .....	10	10	10
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	11	11	11

## DISTRICT OF COLUMBIA

## DISTRICT OF COLUMBIA COURTS

## Federal Funds

## General and special funds:

## FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, **[\$112,180,000] \$159,045,000**, to be allocated as follows: for the District of Columbia Court of Appeals, **[\$8,003,000] \$8,352,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, **[\$66,091,000] \$80,140,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$31,594,000] \$38,902,000**, of which not to exceed \$1,500 is for official reception and representation expenses; and **[\$6,492,000] \$31,651,000** for capital improvements for District of Columbia courthouse facilities: *Provided, That these funds become available following transmittal of a General Services Administration master plan study and building evaluation report to the Committees on Appropriations and the President: Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives: Provided further, That funds made available for capital improvements may remain available until September 30, [2003] 2004. (District of Columbia Appropriations Act, 2002.)*

## Program and Financing (in millions of dollars)

Identification code 95-1712-0-1-806		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
00.01	Court of Appeals .....	6	8	8
00.02	Superior Court .....	61	66	80
00.03	Court System .....	28	32	39
00.04	Capital improvements .....	2	6	32
10.00	Total new obligations (object class 41.0) .....	97	112	159
<b>Budgetary resources available for obligation:</b>				
21.00	Unobligated balance carried forward, start of year .....	2	3	3
22.00	New budget authority (gross) .....	105	112	159
23.90	Total budgetary resources available for obligation .....	107	115	162
23.95	Total new obligations .....	-97	-112	-159
23.98	Unobligated balance expiring or withdrawn .....	-7		
24.00	Unobligated balance carried forward, end of year .....	3	3	2
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	105	112	159
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	9	11	11
73.10	Total new obligations .....	97	112	159
73.20	Total outlays (gross) .....	-95	-112	-154
74.00	Obligated balance, end of year .....	11	11	16

## Outlays (gross), detail:

86.90	Outlays from new discretionary authority .....	85	100	142
86.93	Outlays from discretionary balances .....	10	12	12
87.00	Total outlays (gross) .....	95	112	154

## Net budget authority and outlays:

89.00	Budget authority .....	105	112	159
90.00	Outlays .....	95	112	154

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts beginning in 1998. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court and the Court System. Beginning in 1999, the Federal Government also provides funds for capital improvements. Beginning in 2000, funding for Defender Services in the District of Columbia Courts is provided through a separate account. In 2002, funding for the Family Court was provided through a separate account. Beginning in 2003, funding for the Family Court is included in the District of Columbia Courts account.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding District Courts operations. The President's recommended level of \$159 million includes: \$127 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia and the District of Columbia Court System operations; and \$32 million for capital improvements for District courthouse facilities. Under a separate transmittal to Congress, the District Courts are requesting \$193 million; \$131 million for operations and \$62 million for capital improvements.

## DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code, and payments for counsel authorized under section 21-2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), **[\$34,311,000] \$32,000,000**, to remain available until expended: *Provided, That the funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the **[\$6,492,000] amount** provided under such heading for capital improvements [for District of Columbia courthouse facilities]) may also be used for payments under this heading: Provided further, That in addition to the funds provided under this heading, the Joint Committee on Judicial Administration in the District of Columbia shall use funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the \$6,492,000 provided under such heading for capital improvements for District of Columbia courthouse facilities), and such funds shall be used to make such payments [described under this heading] for obligations incurred during any prior fiscal year, as determined by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That of the amounts provided in previous fiscal years for payments described under this heading which remain unobligated as of the date of the enactment of this Act, **[\$4,685,500]** shall be used by the Joint Committee on Judicial Administration for design and construction expenses of the courthouse at 451 Indiana Avenue NW: Provided further, That of the remainder of such amounts, such sums as may be necessary shall be applied toward [the portion of the amount provided under this heading which is attributable to] any increases in the maximum amounts which may be paid for representation services in the District of Columbia courts: Provided further, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That notwithstanding any*

other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives. (*District of Columbia Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 95—1736—0—1—806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	28	34	32
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	8	15	15
22.00 New budget authority (gross) .....	34	34	32
23.90 Total budgetary resources available for obligation .....	42	49	47
23.95 Total new obligations .....	—28	—34	—32
24.40 Unobligated balance carried forward, end of year .....	15	15	15
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	34	34	32
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1		
73.10 Total new obligations .....	28	34	32
73.20 Total outlays (gross) .....	—28	—34	—32
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	23	31	29
86.93 Outlays from discretionary balances .....	5	3	3
87.00 Total outlays (gross) .....	28	34	32
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	34	34	32
90.00 Outlays .....	28	34	32

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as: transcripts of court proceedings; expert witness testimony; foreign and sign language interpretation; and investigations and genetic testing. The President's recommended funding level for Defender Services is \$32 million. Under a separate transmittal to Congress, the Courts are requesting \$45 million for Defender Services.

**【FEDERAL PAYMENT FOR FAMILY COURT ACT】**

【For carrying out the District of Columbia Family Court Act of 2001, \$24,016,000, of which \$23,316,000 shall be for the Superior Court of the District of Columbia and \$700,000 shall be for the Mayor of the District of Columbia of which \$200,000 shall be for completion of a plan by the Mayor on integrating the computer systems of the District of Columbia government with the Family Court

of the Superior Court of the District of Columbia: *Provided*, That the Mayor shall submit a plan to the President and the Congress within 6 months of enactment of that Act, so that social services and other related services to individuals and families serviced by the Family Court of the Superior Court and agencies of the District of Columbia government (including the District of Columbia Public Schools, the District of Columbia Housing Authority, the Child and Family Services Agency, the Office of the Corporation Counsel, the Metropolitan Police Department, the Department of Health, and other offices determined by the Mayor) will be able to access and share information on the individuals and families served by the Family Court: *Provided further*, That \$500,000 of such amount provided to the Mayor shall be for the Child and Family Services Agency to be used for social workers to implement Family Court reform: *Provided further*, That the chief judge of the Superior Court shall submit the transition plan for the Family Court of the Superior Court as required under the District of Columbia Family Court Act of 2001 to the Comptroller General (in addition to any other requirements under such section): *Provided further*, That the Comptroller General shall prepare and submit to the President and Congress an analysis of the contents and effectiveness of the plan, including an analysis of whether the plan contains all of the information required under such section within 30 calendar days after the submission of the plan by the Superior Court: *Provided further*, That the funds provided under this heading to the Superior Court shall not be made available until the expiration of the 30-day period (excluding Saturdays, Sundays, legal public holidays, and any day on which neither House of Congress is in session because of an adjournment sine die, a recess of more than 3 days, or an adjournment of more than 3 days) which begins on the date the Comptroller General submits such analysis to the President and Congress: *Provided further*, That the Mayor shall prepare and submit to the President, Congress, and the Comptroller General a plan for the use of the funds provided to the Mayor under this heading, consistent with the requirements of the District of Columbia Family Court Act of 2001, including the requirement to integrate the computer systems of the District government with the computer systems of the Superior Court: *Provided further*, That the Comptroller General shall prepare and submit to the President and Congress an analysis of the contents and effectiveness of the plan within 30 calendar days after the submission of the plan by the Mayor: *Provided further*, That the funds provided under this heading to the Mayor shall not be made available until the expiration of the 30-day period (excluding Saturdays, Sundays, legal public holidays, and any day on which neither House of Congress is in session because of an adjournment sine die, a recess of more than 3 days, or an adjournment of more than 3 days) which begins on the date the Comptroller General submits such plan to the President and Congress.】 (*District of Columbia Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 20—1760—0—1—806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Family Court Reform .....		24	
10.00 Total new obligations (object class 41.0) .....		24	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		24	
23.95 Total new obligations .....		—24	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		24	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....			3
73.10 Total new obligations .....		24	
73.20 Total outlays (gross) .....		—21	—3
74.40 Obligated balance, end of year .....		3	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		21	
86.93 Outlays from discretionary balances .....			3
87.00 Total outlays (gross) .....		21	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		24	

**General and special funds—Continued****[FEDERAL PAYMENT FOR FAMILY COURT ACT]—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code	2001 actual	2002 est.	2003 est.
20-1760-0-1-806			
90.00 Outlays .....		21	3

**CRIME VICTIMS COMPENSATION FUND****Program and Financing** (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-1759-0-1-806			
<b>Obligations by program activity:</b>			
00.01 Direct Program Activity .....		18	
10.00 Total new obligations (object class 41.0) .....		18	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		18	
22.00 New budget authority (gross) .....	18		
23.90 Total budgetary resources available for obligation .....	18	18	
23.95 Total new obligations .....		— 18	
24.40 Unobligated balance carried forward, end of year .....	18		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	18		
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		18	
73.20 Total outlays (gross) .....		— 18	
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....		18	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	18		
90.00 Outlays .....		18	

The \$18 million unobligated balance remaining in the D.C. Crime Victims Compensation Fund at the end of 2000 was made available to the D.C. Courts in 2001 for direct compensation to crime victims and for other purposes.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND****Program and Financing** (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-1713-0-1-752			
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 13.0) .....	5	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	5	6	6
23.95 Total new obligations .....	— 5	— 6	— 6
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	5	6	6
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	5	6	6
73.20 Total outlays (gross) .....	— 5	— 6	— 6
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	5	6	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	6	6
90.00 Outlays .....	5	6	6

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize: the original unfunded liability over 30 years; the net experience gain or loss over 10 years; any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

**Trust Funds****DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND****Unavailable Collections** (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-8212-0-7-602			
01.99 Balance, start of year .....	65	66	66
<b>Receipts:</b>			
02.00 Deductions from employees salaries .....	1		
02.40 Interest earnings .....	5	5	5
02.41 Amortization payment .....	5	6	6
02.99 Total receipts and collections .....	11	11	11
04.00 Total: Balances and collections .....	76	77	77
<b>Appropriations:</b>			
05.00 District of Columbia Judicial Retirement and Survivors Annuity Fund .....	— 10	— 11	— 11
07.99 Balance, end of year .....	66	66	66

**Program and Financing** (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-8212-0-7-602			
<b>Obligations by program activity:</b>			
00.01 Retirement Payments .....	6	7	7
10.00 Total new obligations (object class 13.0) .....	6	7	7
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	78	82	86
22.00 New budget authority (gross) .....	10	11	11
23.90 Total budgetary resources available for obligation .....	88	93	97
23.95 Total new obligations .....	— 6	— 7	— 7
24.40 Unobligated balance carried forward, end of year .....	82	86	90
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	10	11	11
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	6	7	7
73.20 Total outlays (gross) .....	— 6	— 7	— 7
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	6	7	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	11	11
90.00 Outlays .....	6	7	7
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	81	86	61
92.02 Total investments, end of year: Federal securities: Par value .....	86	61	61

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established



the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; the proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; any income earned from investment of the assets in public debt securities; and amounts appropriated to the fund.

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA CORRECTIONS TRUSTEE OPERATIONS]**

[For salaries and expenses of the District of Columbia Corrections Trustee, \$30,200,000 for the administration and operation of correctional facilities and for the administrative operating costs of the Office of the Corrections Trustee, as authorized by section 11202 of the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712) of which \$1,000,000 is to fund an initiative to improve case processing in the District of Columbia criminal justice system, \$500,000 to remain available until September 30, 2003 for building renovations or space acquisition required to accommodate functions transferred from the Lorton Correctional Complex, and \$1,500,000 to remain available until September 30, 2003, to be transferred to the appropriate agency for the closing of the sewage treatment plant and the removal of underground storage tanks at the Lorton Correctional Complex: *Provided*, That notwithstanding any other provision of law, funds appropriated in this Act for the District of Columbia Corrections Trustee shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies.] (*District of Columbia Appropriations Act, 2002.*)

**Program and Financing (in millions of dollars)**

Identification code 95-1735-0-1-806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	134	30	.....
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	134	30	.....
23.95 Total new obligations .....	-134	-30	.....
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	134	30	.....
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		39	.....
73.10 Total new obligations .....	134	30	.....
73.20 Total outlays (gross) .....	-144	-69	.....
73.40 Adjustments in expired accounts (net) .....	49		.....
74.40 Obligated balance, end of year .....	39		.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	121	30	.....
86.93 Outlays from discretionary balances .....	23	39	.....
87.00 Total outlays (gross) .....	144	69	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	134	30	.....
90.00 Outlays .....	144	69	.....

The National Capital Revitalization and Self-Government Improvement Act requires that the adult felon population of the District of Columbia be transferred to the Federal Prison System by no later than December 31, 2001. To assist in this transition, the Act established a Corrections Trustee to provide financial oversight and assistance to the District of Columbia Department of Corrections.

The last inmates were transferred out of the Lorton Correctional Complex on November 19, 2001, and the transition

of District of Columbia adult felony inmates to the Federal Bureau of Prisons was completed. With the transfers, the Federal Bureau of Prisons has approximately 7,000 District of Columbia inmates in its custody.

The remaining property at the Lorton Correctional Complex will be vacated and prepared for transfer to Fairfax County during the remainder of 2002. Included in preparatory work will be the closing of the sewerage treatment plant and the removal of underground storage tanks. Continuing Lorton-based functions will be relocated from the Lorton complex. With the completion of its mission, the Corrections Trustee will terminate operations at the end of 2002.

**DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS**

**Federal Funds**

**General and special funds:**

**FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT**

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$17,000,000, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall establish a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Resident Tuition Support Program Office and the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the Senate and House of Representatives for these funds showing, by object class, the expenditures made and the purpose therefor: *Provided further*, That not more than seven percent of the total amount appropriated for this program may be used for administrative expenses. (*District of Columbia Appropriations Act, 2002.*)

**Program and Financing (in millions of dollars)**

Identification code 20-1736-0-1-502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	17	17	17
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	17	17	17
23.95 Total new obligations .....	-17	-17	-17
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	17	17	17
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	17	17	17
73.20 Total outlays (gross) .....	-17	-17	-17
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	17	17	17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	17	17	17
90.00 Outlays .....	17	17	17

The Resident Tuition Support program equalizes postsecondary education opportunities for students from the District of Columbia by enabling them to attend any public college

**General and special funds—Continued****FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT—Continued**

in the Nation at in-State tuition prices or to receive scholarships to attend private colleges in the D.C. metropolitan area.

**FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT****FEDERAL PAYMENT TO THE DEPARTMENT OF PUBLIC WORKS  
DIVISION OF TRANSPORTATION**

*For a Federal Payment to the Department of Public Works Division of Transportation, \$1,000,000: Provided, That such funds will be used to implement Transportation Systems Management (TSM) initiatives and strategies recommended in the October 2001 report by the Inter-agency Task Force of the National Capital Planning Commission in coordination with the National Capital Planning Commission.*

**[FEDERAL PAYMENT FOR INCENTIVES FOR ADOPTION OF CHILDREN]**

[The paragraph under the heading “Federal Payment for Incentives for Adoption of Children” in Public Law 106–113, approved November 29, 1999 (113 Stat. 1501), is amended to read as follows: “For a Federal payment to the District of Columbia to create incentives to promote the adoption of children in the District of Columbia foster care system, \$5,000,000: *Provided*, That such funds shall remain available until September 30, 2003, and shall be used to carry out all of the provisions of title 38 of the Fiscal Year 2001 Budget Support Act of 2000, effective October 19, 2000 (D.C. Law 13–172), as amended, except for section 3808: *Provided further*, That \$1,000,000 of said amount shall be used for the establishment of a scholarship fund for District of Columbia children of adoptive families, and District of Columbia children without parents due to the September 11, 2001 terrorist attack to be used for post high school education and training.”.]

**[FEDERAL PAYMENT TO THE CHIEF FINANCIAL OFFICER OF THE  
DISTRICT OF COLUMBIA]**

[For a Federal payment to the Chief Financial Officer of the District of Columbia, \$8,300,000, of which \$2,250,000 shall be for payment for a pilot project to demonstrate the “Active Cap” river cleanup technology on the Anacostia River; \$500,000 shall be for payment to the Washington, D.C. Sports and Entertainment Commission which, in coordination with the U.S. Soccer Foundation, shall use the funds for environmental and infrastructure costs at Kenilworth Park in the creation of the Kenilworth Regional Sports Complex; \$600,000 shall be for payment to the One Economy Corporation, a non-profit organization, to increase Internet access to low-income homes in the District of Columbia; \$500,000 shall be for payment to the Langston Project for the 21st Century, a community revitalization project to improve physical education and training facilities; \$1,000,000 shall be for payment to the Green Door Program, for capital improvements at a community mental health clinic; \$500,000 shall be for payment to the Historical Society of Washington, for capital improvements to the new City Museum; \$200,000 for a payment to Teach for America DC, for teacher development; \$350,000 for payment to the District of Columbia Safe Kids Coalition, to promote child passenger safety through the Child Occupant Protection Initiative; \$50,000 for payment for renovations at Eastern Market; \$1,000,000 shall be for payment to the Excel Institute Adult Education Program to be used by the Institute for construction and to acquire construction services provided by the General Services Administration on a reimbursable basis; \$300,000 shall be for payment to the Woodlawn Cemetery for restoration of the Cemetery; \$250,000 shall be for payment to the Real World Schools concerning 21st Century reform models for secondary education and the use of technology to support learning in the District of Columbia; \$300,000 shall be for payment to a mentoring program and for hotline services; \$250,000 shall be for payment to a youth development program with a character building curriculum; and \$250,000 shall be for payment to a basic values training program.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC  
SCHOOLS]**

[For a Federal payment to the District of Columbia Public Schools, \$2,500,000, of which \$2,000,000 shall be to implement the Voyager Expanded Learning literacy program in kindergarten and first grade classrooms in the District of Columbia Public Schools; \$250,000 shall

be for the Failure Free Reading literacy program for non-readers and special education students; and \$250,000 for Lightspan, Inc. to implement the eduTest.com program in the District of Columbia Public Schools.]

**[FEDERAL PAYMENT TO THE CHILDREN’S NATIONAL MEDICAL  
CENTER]**

[For a Federal payment to the Children’s National Medical Center in the District of Columbia, \$5,500,000, of which \$5,000,000 shall be for capital and equipment improvements, and \$500,000 shall be used for the network of satellite pediatric health clinics for children and families in underserved neighborhoods and communities in the District of Columbia.]

**[ST. COLETTA OF GREATER WASHINGTON EXPANSION PROJECT]**

[For a Federal contribution to St. Coletta of Greater Washington, Inc., for costs associated with the establishment of a day program and comprehensive case management services for mentally retarded and multiple-handicapped adolescents and adults in the District of Columbia, including property acquisition and construction, \$2,000,000.]

**[FEDERAL PAYMENT TO THE CAPITOL CITY CAREER DEVELOPMENT  
AND JOB TRAINING PARTNERSHIP]**

[For a Federal Payment to the Capitol City Career Development and Job Training Partnership, \$500,000.]

**[FEDERAL PAYMENT TO THE CAPITOL EDUCATION FUND]**

[For a Federal payment to the Capitol Education Fund, \$500,000.]

**[FEDERAL PAYMENT TO THE METROPOLITAN KAPPA YOUTH  
DEVELOPMENT FOUNDATION, INC.]**

[For a Federal payment to the Metropolitan Kappa Youth Development Foundation, Inc., \$450,000.]

**[FEDERAL PAYMENT TO THE FIRE AND EMERGENCY MEDICAL  
SERVICES DEPARTMENT]**

[For a Federal payment to the Fire and Emergency Medical Services Department, \$500,000 for dry-docking of the Fire Boat.]

**[FEDERAL PAYMENT TO THE CHIEF MEDICAL EXAMINER]**

[For a Federal payment to the Chief Medical Examiner, \$585,000 for reduction in the backlog of autopsies, case reports and for the purchase of toxicology and histology equipment.]

**[FEDERAL PAYMENT TO THE YOUTH LIFE FOUNDATION]**

[For a Federal payment to the Youth Life Foundation, \$250,000 for technical assistance, operational expenses, and establishment of a National Training Institute.]

**[FEDERAL PAYMENT TO FOOD AND FRIENDS]**

[For a Federal payment to Food and Friends, \$2,000,000 for their Capital Campaign.]

**[FEDERAL PAYMENT TO THE CITY ADMINISTRATOR]**

[For a Federal payment to the City Administrator, \$300,000 for the Criminal Justice Coordinating Council for the District of Columbia.]

**[FEDERAL PAYMENT TO SOUTHEASTERN UNIVERSITY]**

[For a Federal payment to Southeastern University, \$500,000 for a public/private partnership with the District of Columbia Public Schools at the McKinley Technology High School campus.]

**[FEDERAL PAYMENTS FOR DISTRICT OF COLUMBIA AND FEDERAL  
LAW ENFORCEMENT MOBILE WIRELESS INTEROPERABILITY PROJECT]**

[For Federal payments in support of the District of Columbia and the Federal law enforcement Mobile Wireless Interoperability Project, \$1,400,000, of which \$400,000 shall be for a payment to the District of Columbia Office of the Chief Technology Officer, \$333,334 shall be for a payment to the United States Secret Service, \$333,333 shall be for a payment to the United States Capitol Police, and \$333,333 shall be for a payment to the United States Park Police: *Provided*, That each agency shall participate in the preparation of a joint report to the Committees on Appropriations of the Senate and the House of Representatives to be submitted no later than March 30, 2002 on the allocation of these resources and a description of each agencies’ resource commitment to this project for fiscal year 2003.]

**[FEDERAL PAYMENT TO FAITH AND POLITICS INSTITUTE]**

[For a Federal payment to the Faith and Politics Institute, \$50,000, for grass roots-based racial sensitivity programs in the District of Columbia.]

**[FEDERAL PAYMENT TO THE THURGOOD MARSHALL ACADEMY CHARTER SCHOOL]**

[For a Federal payment to the Thurgood Marshall Academy Charter School, \$1,000,000 to be used to acquire and renovate an educational facility in Anacostia.]

**[FEDERAL PAYMENT TO THE GEORGE WASHINGTON UNIVERSITY CENTER FOR EXCELLENCE IN MUNICIPAL MANAGEMENT]**

[For a Federal payment to the George Washington University Center for Excellence in Municipal Management, \$250,000 to increase the enrollment of managers from the District of Columbia government.]

**[COURT APPOINTED SPECIAL ADVOCATES]**

[For a Federal payment to the District of Columbia Court Appointed Special Advocates Unit, \$250,000 to be used to expand its work in the Family Court of the District of Columbia Superior Court.] (District of Columbia Appropriations Act, 2002.)

**Program and Financing (in millions of dollars)**

Identification code 20-1707-0-1-806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.10 Transportation Systems Management .....			1
00.11 Public Education .....	1	3	
00.12 Capitol City Career Development .....		1	
00.13 Capitol Education Fund .....		1	
00.14 Fire and Emergency Medical Services .....		1	
00.15 Metro improvements .....		25	
00.16 Chief Medical Examiner .....		1	
00.28 Children's Medical Center .....	1	6	
00.29 Food and Friends .....		2	
00.30 Southeastern University .....		1	
00.31 Wireless Interoperability Project .....		1	
00.49 Chief Financial Officer .....	1	8	
00.50 Commercial Revitalization Program .....	2		
00.51 Covenant House .....	1		
00.52 Washington Interfaith Network .....		1	
00.53 Thurgood Marshall Academy Charter School .....		1	
00.54 Poplar Point brownfield remediation .....		3	
00.55 Presidential Inauguration expenses .....	6		
00.56 Child Advocacy Center .....	1		
00.57 St. Coletta .....	1	2	
00.58 Emergency Response .....	6		
10.00 Total new obligations (object class 41.0) .....	20	56	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		25	
22.00 New budget authority (gross) .....	49	31	1
23.90 Total budgetary resources available for obligation .....	49	56	1
23.95 Total new obligations .....	-20	-56	-1
23.98 Unobligated balance expiring or withdrawn .....	-4		
24.40 Unobligated balance carried forward, end of year .....	25		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	43	31	1
42.00 Transferred from Emergency Response Fund .....	6		
43.00 Appropriation (total discretionary) .....	49	31	1
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2		
73.10 Total new obligations .....	20	56	1
73.20 Total outlays (gross) .....	-22	-56	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	22	31	1
86.93 Outlays from discretionary balances .....		25	
87.00 Total outlays (gross) .....	22	56	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	49	31	1
90.00 Outlays .....	22	56	1

The 2003 budget includes funding for Transportation Systems Management (TSM) initiatives and strategies. The \$1 million will support cost effective operation improvements to District of Columbia transportation systems, including signal optimization, intersection improvements, and signage to improve traffic flow.

**FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA**

[For a Federal payment to the District of Columbia for emergency planning and security costs and to reimburse the District for certain security expenses related to the presence of the Federal Government in the District of Columbia, \$16,058,000: *Provided*, That \$12,652,000 shall be made available immediately to the District of Columbia Emergency Management Agency for planning, training, and personnel costs required for development and implementation of the emergency operations plan for the District of Columbia, to be submitted to the appropriate Federal agencies: *Provided further*, That a detailed report of actual and estimated expenses incurred shall be provided to the Committees on Appropriations of the Senate and the House of Representatives no later than June 15, 2002: *Provided further*, That \$3,406,000 of such amount shall be made available immediately for reimbursement of fiscal year 2001 expenses incurred by the District of Columbia for equipment purchased for providing security for the planned meetings in September 2001 of the World Bank and the International Monetary Fund in the District of Columbia: *Provided further*, That the Mayor and the Chairman of the Council of the District of Columbia shall develop, in consultation with the Director of the Office of Personnel Management, the United States Secret Service, the United States Capitol Police, the United States Park Police, the Washington Metropolitan Area Transit Authority, regional transportation authorities, the Federal Emergency Management Agency, the Governor of the State of Maryland and the Governor of the Commonwealth of Virginia, the county executives of contiguous counties of the region and the respective State and local law enforcement entities in the region, an integrated emergency operations plan for the District of Columbia in cases of national security events, including terrorist threats, protests, or other unanticipated events: *Provided further*, That such plan shall include a response to attacks or threats of attacks using biological or chemical agents: *Provided further*, That the city shall submit this plan to the Committees on Appropriations of the Senate and the House of Representatives no later than January 2, 2002: *Provided further*, That the Chief Financial Officer of the District of Columbia shall provide quarterly reports to the Committees on Appropriations on the use of the funds under this heading, beginning not later than April 2, 2002.]

*For necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, \$15,000,000, to remain available until expended, to reimburse the District of Columbia for the costs of public safety expenses related to National Special Security Events in the District of Columbia and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions: Provided, That any amount provided under this heading shall be available only after notice of its proposed use has been transmitted by the President to Congress and such amount has been apportioned pursuant to Chapter 15 of title 31, United States Code. (District of Columbia Appropriations Act, 2002.)*

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR PROTECTIVE CLOTHING AND BREATHING APPARATUS]**

[For a Federal payment to the District of Columbia for protective clothing and breathing apparatus, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$7,144,000, of which \$922,000 is for the Fire and Emergency Medical Services Department, \$4,269,000 is for the Metropolitan Police Department, \$1,500,000 is for the Department of Health, and \$453,000 is for the Department of Public Works.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SPECIALIZED HAZARDOUS MATERIALS EQUIPMENT]**

[For a Federal payment to the District of Columbia for specialized hazardous materials equipment, to be obligated from amounts made available in Public Law 107-38 and to remain available until Sep-

**General and special funds—Continued****[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SPECIALIZED HAZARDOUS MATERIALS EQUIPMENT]—Continued**

tember 30, 2003, \$1,032,000, for the Fire and Emergency Medical Services Department.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR CHEMICAL AND BIOLOGICAL WEAPONS PREPAREDNESS]**

[For a Federal payment to the District of Columbia for chemical and biological weapons preparedness, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$10,355,000, of which \$205,000 is for the Fire and Emergency Medical Services Department, \$258,000 is for the Metropolitan Police Department, and \$9,892,000 is for the Department of Health.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR PHARMACEUTICALS FOR RESPONDERS]**

[For a Federal payment to the District of Columbia for pharmaceuticals for responders, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$2,100,000, for the Department of Health.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR RESPONSE AND COMMUNICATIONS CAPABILITY]**

[For a Federal payment to the District of Columbia for response and communications capability, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$14,960,000, of which \$7,755,000 is for the Fire and Emergency Medical Services Department, \$5,855,000 is for the Metropolitan Police Department, \$113,000 is for the Department of Public Works Division of Transportation, \$58,000 is for the Office of Property Management, \$60,000 is for the Department of Public Works, \$750,000 is for the Department of Health, \$309,000 is for the Department of Human Services, and \$60,000 is for the Department of Parks and Recreation.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SEARCH, RESCUE AND OTHER EMERGENCY EQUIPMENT AND SUPPORT]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for search, rescue and other emergency equipment and support, \$8,850,000, of which \$5,442,000 is for the Metropolitan Police Department, \$208,000 is for the Fire and Emergency Medical Services Department, \$398,500 is for the Department of Consumer and Regulatory Affairs, \$1,178,500 is for the Department of Public Works, \$542,000 is for the Department of Human Services, and \$1,081,000 is for the Department of Mental Health.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR EQUIPMENT, SUPPLIES AND VEHICLES FOR THE OFFICE OF THE CHIEF MEDICAL EXAMINER]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for equipment, supplies and vehicles for the Office of the Chief Medical Examiner, \$1,780,000.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR HOSPITAL CONTAINMENT FACILITIES FOR THE DEPARTMENT OF HEALTH]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for hospital containment facilities for the Department of Health, \$8,000,000.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR THE OFFICE OF THE CHIEF TECHNOLOGY OFFICER]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for the Office of the Chief Technology Officer, \$45,494,000, for a first response landline and wireless interoperability project, of which \$1,000,000 shall be used to initiate a comprehensive review, by a non-vendor contractor, of the District's current technology-based systems and to develop a plan for integrating the communications systems of the District of Columbia Metropolitan Police and Fire and Emergency Medical Services Departments with the systems of local, regional and Federal law enforcement agencies, including but not limited to the United States Capitol

Police, United States Park Police, United States Secret Service, Federal Bureau of Investigation, Federal Protective Service, and the Washington Metropolitan Area Transit Authority Police: *Provided*, That such plan shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives no later than June 15, 2002.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR EMERGENCY TRAFFIC MANAGEMENT]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for training and planning, \$9,949,000, of which \$4,400,000 is for the Fire and Emergency Medical Services Department, \$990,000 is for the Metropolitan Police Department, \$1,200,000 is for the Department of Health, \$200,000 is for the Office of the Chief Medical Examiner, \$500,000 is for the Office of Property Management, \$500,000 is for the Department of Mental Health, \$469,000 is for the Department of Consumer and Regulatory Affairs, \$240,000 is for the Department of Public Works, \$600,000 is for the Department of Human Services, \$100,000 is for the Department of Parks and Recreation, and \$750,000 is for the Division of Transportation.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR INCREASED FACILITY SECURITY]**

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for increased facility security, \$25,536,000, of which \$3,900,000 is for the Emergency Management Agency, \$14,575,000 is for the public schools, and \$7,061,000 is for the Office of Property Management.]

**[FEDERAL PAYMENT TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY]**

[For a Federal payment to the Washington Metropolitan Area Transit Authority to meet region-wide security requirements, a contribution of \$39,100,000, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, of which \$5,000,000 shall be used for protective clothing and breathing apparatus, \$2,200,000 shall be for completion of the fiber optic network project, \$15,000,000 shall be for a chemical emergency sensor program, and \$16,900,000 shall be for increased employee and facility security.]

**[FEDERAL PAYMENT TO THE METROPOLITAN WASHINGTON COUNCIL OF GOVERNMENTS]**

[For a Federal payment to the Metropolitan Washington Council of Governments to enhance regional emergency preparedness, coordination and response, \$5,000,000, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, of which \$1,500,000 shall be used to contribute to the development of a comprehensive regional emergency preparedness, coordination and response plan, \$500,000 shall be used to develop a critical infrastructure threat assessment model, \$500,000 shall be used to develop and implement a regional communications plan, and \$2,500,000 shall be used to develop protocols and procedures for training and outreach exercises.] (*Emergency Supplemental Act, 2002*)

**Program and Financing (in millions of dollars)**

Identification code	20-1771-0-1-806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
00.01	Direct Program Activity .....		216	15
10.00	Total new obligations (object class 41.0) .....		216	15
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....		216	15
23.95	Total new obligations .....		-216	-15
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....		16	15
40.15	Appropriation (emergency) .....		200	
43.00	Appropriation (total discretionary) .....		216	15

<b>Change in obligated balances:</b>			
73.10	Total new obligations .....	216	15
73.20	Total outlays (gross) .....	-216	-15
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....	216	15
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	216	15
90.00	Outlays .....	216	15

The 2003 budget includes \$15 million for emergency planning and security costs related to the presence of the Federal government in the District of Columbia.

#### FEDERAL PAYMENT TO THE FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND

##### Program and Financing (in millions of dollars)

Identification code 20-1714-0-1-601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01	Payment to supplemental retirement fund .....	197	252
10.00	Total new obligations (object class 13.0) .....	197	252
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....	197	252
23.95	Total new obligations .....	-197	-252
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00	Appropriation .....	197	252
<b>Change in obligated balances:</b>			
73.10	Total new obligations .....	197	252
73.20	Total outlays (gross) .....	-197	-252
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority .....	197	252
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	197	252
90.00	Outlays .....	197	252

This account records the annual payment from the General Fund of the Treasury to the Supplemental District of Columbia Pension Fund.

#### FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND

##### Unavailable Collections (in millions of dollars)

Identification code 20-5500-0-2-601	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year .....	832	1,069
Receipts:			
02.40	Federal contribution, Federal supplemental District of Columbia .....	197	252
02.41	Earnings on investments in U.S. securities, Federal supplemental .....	40	67
02.99	Total receipts and collections .....	237	319
04.00	Total: Balances and collections .....	1,069	1,388
07.99	Balance, end of year .....	1,069	1,388

##### Program and Financing (in millions of dollars)

Identification code 20-5500-0-2-601	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		
90.00	Outlays .....		
<b>Memorandum (non-add) entries:</b>			
92.01	Total investments, start of year: Federal securities: Par value .....	833	1,078
			1,357

92.02	Total investments, end of year: Federal securities: Par value .....	1,078	1,357	1,357
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The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the Federal Supplemental District of Columbia Pension Fund (Supplemental Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers after the District of Columbia Federal Pension Liability Trust Fund has been depleted, and to pay any necessary expenses to administer the fund. The Supplemental Fund consists of: amounts deposited into the fund; any amount appropriated to the fund; and any income earned from investment of the assets in public debt securities. The Act requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the Supplemental Fund. Annual payments consist of amounts necessary to amortize; the original unfunded liability over 30 years; the net experience gain or loss over 10 years; and any other changes in actuarial liability over 20 years, and amounts necessary to fund covered administrative expenses for the year.

#### Public enterprise funds:

##### FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

##### Program and Financing (in millions of dollars)

Identification code 20-4446-0-4-806	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00	Reimbursable program .....	58	30
10.00	Total new obligations (object class 23.3) .....	58	30
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....	58	30
23.95	Total new obligations .....	-58	-30
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00	Offsetting collections (cash) .....	84	30
69.10	Change in uncollected customer payments from Federal sources (unexpired) .....	-26	
69.90	Spending authority from offsetting collections (total mandatory) .....	58	30
<b>Change in obligated balances:</b>			
72.40	Obligated balance, start of year .....	11	
73.10	Total new obligations .....	58	30
73.20	Total outlays (gross) .....	-95	-30
74.00	Change in uncollected customer payments from Federal sources (unexpired) .....	26	
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority .....	20	30
86.98	Outlays from mandatory balances .....	75	
87.00	Total outlays (gross) .....	95	30
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources .....	-84	-30
Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	26	
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		
90.00	Outlays .....	11	

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the DC Water and Sewer Authority, DCWASA) is paid for water and sanitary sewer services furnished to the Government of the United States or any depart-

**Public enterprise funds—Continued****FEDERAL PAYMENT FOR WATER AND SEWER SERVICES—Continued**

ment, agency, or independent establishment thereof.” Each agency was to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. Even if all agencies did not submit payment on time, Treasury was directed to pay the Government-wide bill, making up the difference from a permanent, indefinite appropriation account, which was then to be reimbursed by the appropriate agencies.

The 2001 Consolidated Appropriations Act amended this system by repealing the permanent, indefinite appropriation account, by directing Treasury to draw down agency funds for payment of water and sewer bills, by requiring agency Inspectors General to analyze how promptly the user agency makes its payment, and by making these amendments retroactive to 1990.

**Trust Funds****DISTRICT OF COLUMBIA FEDERAL PENSION LIABILITY TRUST FUND****Unavailable Collections** (in millions of dollars)

Identification code 20–8230–0–7–601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	2,943	2,680	2,372
Receipts:			
02.21 Interest earnings .....	175	156	138
02.80 Federal pension liability trust fund, offsetting collections .....	4		
02.99 Total receipts and collections .....	179	156	138
04.00 Total: Balances and collections .....	3,122	2,836	2,510
Appropriations:			
05.00 Federal pension liability trust fund .....	–442	–464	–473
05.99 Total appropriations .....	–442	–464	–473
07.99 Balance, end of year .....	2,680	2,372	2,037

**Program and Financing** (in millions of dollars)

Identification code 20–8230–0–7–601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Retirement Payments .....	442	464	473
10.00 Total new obligations (object class 13.0) .....	442	464	473
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	442	464	473
23.95 Total new obligations .....	–442	–464	–473
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	438	464	473
69.00 Offsetting collections (cash) .....	4		
70.00 Total new budget authority (gross) .....	442	464	473
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	40	48	48
73.10 Total new obligations .....	442	464	473
73.20 Total outlays (gross) .....	–434	–464	–473
74.40 Obligated balance, end of year .....	48	48	48
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	179	464	473
86.98 Outlays from mandatory balances .....	255		
87.00 Total outlays (gross) .....	434	464	473
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	–4		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	438	464	473

90.00 Outlays .....	431	464	473
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	2,999	2,741	2,439
92.02 Total investments, end of year: Federal securities:			
Par value .....	2,741	2,439	2,146

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Federal Pension Liability Trust Fund (Trust Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers, and to pay any necessary expenses to administer the Trust Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Trust Fund consists of the proceeds of accumulated pension assets transferred from the District of Columbia during 1999 and liquidated, pursuant to the Act, and any income earned from investment of the assets in public debt securities.

**[ADMINISTRATIVE PROVISION]**

[Of the Federal funds made available in the District of Columbia Appropriations Act, 2001, Public Law 106–522 for the Metropolitan Police Department (114 Stat. 2441), \$100,000 for the police mini-station shall remain available for the purposes intended until September 30, 2002: *Provided*, That the \$1,000,000 made available in such Act for the Washington Interfaith Network (114 Stat. 2444) shall remain available for the purposes intended until December 31, 2002: *Provided further*, That \$3,450,000 made available in such Act for Brownfield Remediation (114 Stat. 2445), shall remain available until expended.] (*District of Columbia Appropriations Act, 2002.*)

**GENERAL PROVISIONS**

SEC. 101. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 102. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: *Provided*, That in the case of the Council of the District of Columbia, funds may be expended with the authorization of the chair of the Council.

SEC. 103. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government: *Provided*, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947 (70 Stat. 78; Public Law 84–460; D.C. Code, sec. 47–1812.11(c)(3)).

SEC. 104. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 105. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. 106. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, and salary are not available for inspection by the House and Senate Committees on Appropriations, the House Committee on Government Reform, the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative.

SEC. 107. [(a) Except as provided in subsection (b), no] No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

[(b) The District of Columbia may use local funds provided in this Act to carry out lobbying activities on any matter other than—

(1) the promotion or support of any boycott; or

(2) statehood for the District of Columbia or voting representation in Congress for the District of Columbia.

(c) Nothing in this section may be construed to prohibit any elected official from advocating with respect to any of the issues referred to in subsection (b).]

SEC. 108. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: *Provided*, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Columbia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 109. (a) None of the funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2002] 2003, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for an agency through a reprogramming of funds which: (1) creates new programs; (2) eliminates a program, project, or responsibility center; (3) establishes or changes allocations specifically denied, limited or increased by Congress in this Act; (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted; (5) reestablishes through reprogramming any program or project previously deferred through reprogramming; (6) augments existing programs, projects, or responsibility centers through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less; or (7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center; unless the Committees on Appropriations of both the Senate and House of Representatives are notified in writing 30 days in advance of any reprogramming as set forth in this section.

(b) None of the local funds contained in this Act may be available for obligation or expenditure for an agency through a transfer of any local funds from one appropriation heading to another unless the Committees on Appropriations of the Senate and House of Representatives are notified in writing 30 days in advance of the transfer, except that in no event may the amount of any funds transferred exceed four percent of the local funds in the appropriation.

SEC. 110. Consistent with the provisions of 31 U.S.C. 1301(a), appropriations under this Act shall be applied only to the objects for which the appropriations were made except as otherwise provided by law.

SEC. 111. [(a)] Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Law 2-139; D.C. Official Code, sec. 1-601.01 et seq.), enacted pursuant to section 422(3) of the District of Columbia Home Rule Act (87 Stat. 790; Public Law 93-198; D.C. Official Code, sec. 1-204.22(3)), shall apply with respect to the compensation of District of Columbia employees: *Provided*, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5, United States Code.

[(b)(1) CERTIFICATION OF NEED BY CHIEF TECHNOLOGY OFFICER.—Section 2706(b) of the District of Columbia Government Comprehensive Merit Personnel Act of 1978, as added by section 2 of the District Government Personnel Exchange Agreement Amendment Act of 2000 (D.C. Law 13-296), is amended by inserting after “Director of Personnel” each place it appears the following: “(or the Chief Technology Officer, in the case of the Office of the Chief Technology Officer).”]

(2) INCLUSION OF OVERHEAD COSTS IN AGREEMENTS.—Section 2706(c)(3) of such Act is amended by striking the period at the end and inserting the following: “, except that in the case of the Office of the Chief Technology Officer, general and administrative costs shall include reasonable overhead costs and shall be calculated by the Chief Technology Officer (as determined under such criteria as the Chief Technology Officer independently deems appropriate subject to the review of the City Administrator, including a consideration of standards used to calculate general, administrative, and overhead

costs for off-site employees found in Federal law and regulation and in general private industry practice).”]

(3) REPORTING REQUIREMENT.—Section 2706 of such Act is amended—

(A) by redesignating subsection (f) as subsection (g); and

(B) by inserting after subsection (e) the following new subsection: “(f) Not later than 45 days after the end of each fiscal year (beginning with fiscal year 2002), the Chief Technology Officer shall prepare and submit to the Council and to the Committees on Appropriations of the House of Representatives and Senate a report describing all agreements entered into by the Chief Technology Officer under this section which are in effect during the fiscal year.”]

[(c) The authority which the Chief Financial Officer of the District of Columbia exercised with respect to personnel, procurement, and the preparation of fiscal impact statements during a control period (as defined in Public Law 104-8) shall remain in effect through July 1, 2002.]

[(d) Section 424(b)(3) of the District of Columbia Home Rule Act (sec. 1-204.24b(c), D.C. Official Code) is amended—

(1) by striking “determined” and all that follows through “exceed” and inserting “equal to”; and

(2) by striking “IV” and inserting “I.”]

[(e) EFFECTIVE DATE.—The amendment made by subsection (d) shall apply with respect to pay periods in fiscal year 2002 and each succeeding fiscal year.]

SEC. 112. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, [2002] 2003, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year [2002] 2003 revenue estimates as of the end of the first quarter of fiscal year [2002] 2003. These estimates shall be used in the budget request for the fiscal year ending September 30, [2003] 2004. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 113. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985 (D.C. Law 6-85; D.C. Code, sec. 2-303.03), except that the District of Columbia government or any agency thereof may renew or extend sole source contracts for which competition is not feasible or practical: *Provided*, That the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated rules and procedures and said determination has been reviewed and certified by the Chief Financial Officer of the District of Columbia.

SEC. 114. (a) In the event a sequestration order is issued pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: *Provided*, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act that are not specifically exempted from sequestration by such Act.

(b) For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), the term “program, project, and activity” shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: *Provided*, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 115. ACCEPTANCE AND USE OF GIFTS. (a) APPROVAL BY MAYOR.—

(1) IN GENERAL.—An entity of the District of Columbia government may accept and use a gift or donation during fiscal year [2002] 2003 if—

(A) the Mayor approves the acceptance and use of the gift or donation (except as provided in paragraph (2)); and

(B) the entity uses the gift or donation to carry out its authorized functions or duties.

(2) EXCEPTION FOR COUNCIL AND COURTS.—The Council of the District of Columbia and the District of Columbia courts may accept and use gifts without prior approval by the Mayor.



(b) **RECORDS AND PUBLIC INSPECTION.**—Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a), and shall make such records available for audit and public inspection.

(c) **INDEPENDENT AGENCIES INCLUDED.**—For the purposes of this section, the term “entity of the District of Columbia government” includes an independent agency of the District of Columbia.

(d) **EXCEPTION FOR BOARD OF EDUCATION.**—This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 116. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 117. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 118. None of the Federal funds made available in this Act may be used to implement or enforce the Health Care Benefits Expansion Act of 1992 (D.C. Law 9–114; D.C. Official Code, sec. 32–701 et seq.) or to otherwise implement or enforce any system of registration of unmarried, cohabiting couples, including but not limited to registration for the purpose of extending employment, health, or governmental benefits to such couples on the same basis that such benefits are extended to legally married couples.

SEC. 119. **ACCEPTANCE AND USE OF GRANTS NOT INCLUDED IN CEILING.** (a) **IN GENERAL.**—Notwithstanding any other provision of this Act, the Mayor, in consultation with the Chief Financial Officer may accept, obligate, and expend Federal, private, and other grants received by the District government that are not reflected in the amounts appropriated in this Act.

(b) **REQUIREMENT OF CHIEF FINANCIAL OFFICER REPORT AND COUNCIL APPROVAL.**—No such Federal, private, or other grant may be accepted, obligated, or expended pursuant to subsection (a) until—

(1) the Chief Financial Officer of the District of Columbia submits to the Council a report setting forth detailed information regarding such grant; and

(2) the Council within 15 calendar days after receipt of the report submitted under paragraph (1) has reviewed and approved the acceptance, obligation, and expenditure of such grant.

(c) **PROHIBITION ON SPENDING IN ANTICIPATION OF APPROVAL OR RECEIPT.**—No amount may be obligated or expended from the general fund or other funds of the District government in anticipation of the approval or receipt of a grant under subsection (b)(2) of this section or in anticipation of the approval or receipt of a Federal, private, or other grant not subject to such paragraph.

(d) **QUARTERLY REPORTS.**—The Chief Financial Officer of the District of Columbia shall prepare a quarterly report setting forth detailed information regarding all Federal, private, and other grants subject to this section. Each such report shall be submitted to the Council of the District of Columbia, and to the Committees on Appropriations of the House of Representatives and the Senate, not later than 15 days after the end of the quarter covered by the report.

SEC. 120. (a) **RESTRICTIONS ON USE OF OFFICIAL VEHICLES.**—Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this paragraph, the term “official duties” does not include travel between the officer's or employee's residence and workplace (except: (1) in the case of an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department; (2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day; (3) the Mayor of the District of Columbia; and (4) the Chairman of the Council of the District of Columbia).

(b) **INVENTORY OF VEHICLES.**—The Chief Financial Officer of the District of Columbia shall submit, by November 15, [2001] 2002, an inventory, as of September 30, [2001] 2002, of all vehicles owned,

leased or operated by the District of Columbia government. The inventory shall include, but not be limited to, the department to which the vehicle is assigned; the year and make of the vehicle; the acquisition date and cost; the general condition of the vehicle; annual operating and maintenance costs; current mileage; and whether the vehicle is allowed to be taken home by a District officer or employee and if so, the officer or employee's title and resident location.

(c) No officer or employee of the District of Columbia government (including any independent agency of the District but excluding the Office of the Chief Technology Officer, the Chief Financial Officer of the District of Columbia, and the Metropolitan Police Department) may enter into an agreement in excess of \$2,500 for the procurement of goods or services on behalf of any entity of the District government until the officer or employee has conducted an analysis of how the procurement of the goods and services involved under the applicable regulations and procedures of the District government would differ from the procurement of the goods and services involved under the Federal supply schedule and other applicable regulations and procedures of the General Services Administration, including an analysis of any differences in the costs to be incurred and the time required to obtain the goods or services.

SEC. 121. Notwithstanding any other provision of law, not later than 120 days after the date that a District of Columbia Public Schools (DCPS) student is referred for evaluation or assessment—

(1) the District of Columbia Board of Education, or its successor, and DCPS shall assess or evaluate a student who may have a disability and who may require special education services; and

(2) if a student is classified as having a disability, as defined in section 101(a)(1) of the Individuals with Disabilities Education Act (84 Stat. 175; 20 U.S.C. 1401(a)(1)) or in section 7(8) of the Rehabilitation Act of 1973 (87 Stat. 359; 29 U.S.C. 706(8)), the Board and DCPS shall place that student in an appropriate program of special education services.

SEC. 122. (a) **COMPLIANCE WITH BUY AMERICAN ACT.**—No funds appropriated in this Act may be made available to any person or entity that violates the Buy American Act (41 U.S.C. 10a–10c).

(b) **SENSE OF THE CONGRESS; REQUIREMENT REGARDING NOTICE.**—

(1) **PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.**—In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available in this Act, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products to the greatest extent practicable.

(2) **NOTICE TO RECIPIENTS OF ASSISTANCE.**—In providing financial assistance using funds made available in this Act, the head of each agency of the Federal or District of Columbia government shall provide to each recipient of the assistance a notice describing the statement made in paragraph (1) by the Congress.

(c) **PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.**—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 123. None of the funds contained in this Act may be used for purposes of the annual independent audit of the District of Columbia government for fiscal year [2002] 2003 unless—

(1) the audit is conducted by the Inspector General of the District of Columbia, in coordination with the Chief Financial Officer of the District of Columbia, pursuant to section 208(a)(4) of the District of Columbia Procurement Practices Act of 1985 (D.C. Official Code, sec. 2–302.8); and

(2) the audit includes as a basic financial statement a comparison of audited actual year-end results with the revenues submitted in the budget document for such year and the appropriations enacted into law for such year using the format, terminology, and classifications contained in the law making the appropriations for the year and its legislative history.

SEC. 124. None of the funds contained in this Act may be used by the District of Columbia Corporation Counsel or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to pro-



vide for voting representation in Congress for the District of Columbia.

SEC. 125. (a) None of the funds contained in this Act may be used for any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

(b) Any individual or entity who receives any funds contained in this Act and who carries out any program described in subsection (a) shall account for all funds used for such program separately from any funds contained in this Act.

SEC. 126. None of the funds contained in this Act may be used after the expiration of the 60-day period that begins on the date of the enactment of this Act to pay the salary of any chief financial officer of any office of the District of Columbia government (including any independent agency of the District) who has not filed a certification with the Mayor and the Chief Financial Officer of the District of Columbia that the officer understands the duties and restrictions applicable to the officer and the officer's agency as a result of this Act (and the amendments made by this Act), including any duty to prepare a report requested either in the Act or in any of the reports accompanying the Act and the deadline by which each report must be submitted, and the District's Chief Financial Officer shall provide to the Committees on Appropriations of the Senate and the House of Representatives by the 10th day after the end of each quarter a summary list showing each report, the due date and the date submitted to the committees.

SEC. 127. (a) None of the funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 802) or any tetrahydrocannabinols derivative.

(b) The Legalization of Marijuana for Medical Treatment Initiative of 1998, also known as Initiative 59, approved by the electors of the District of Columbia on November 3, 1998, shall not take effect.

SEC. 128. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

#### PROMPT PAYMENT OF APPOINTED COUNSEL

SEC. 129. (a) ASSESSMENT OF INTEREST FOR DELAYED PAYMENTS.—If the Superior Court of the District of Columbia or the District of Columbia Court of Appeals does not make a payment described in subsection (b) prior to the expiration of the 45-day period which begins on the date the Court receives a completed voucher for a claim for the payment, interest shall be assessed against the amount of the payment which would otherwise be made to take into account the period which begins on the day after the expiration of such 45-day period and which ends on the day the Court makes the payment.

(b) PAYMENTS DESCRIBED.—A payment described in this subsection is—

(1) a payment authorized under section 11–2604 and section 11–2605, D.C. Code (relating to representation provided under the District of Columbia Criminal Justice Act);

(2) a payment for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code; or

(3) a payment for counsel authorized under section 21–2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986).

(c) STANDARDS FOR SUBMISSION OF COMPLETED VOUCHERS.—The chief judges of the Superior Court of the District of Columbia and the District of Columbia Court of Appeals shall establish standards and criteria for determining whether vouchers submitted for claims for payments described in subsection (b) are complete, and shall publish and make such standards and criteria available to attorneys who practice before such Courts.

(d) RULE OF CONSTRUCTION.—Nothing in this section shall be construed to require the assessment of interest against any claim (or portion of any claim) which is denied by the Court involved.

(e) EFFECTIVE DATE.—This section shall apply with respect to claims received by the Superior Court of the District of Columbia or the District of Columbia Court of Appeals during fiscal year [2002], and claims received previously that remain unpaid at the end of

fiscal year 2001, and would have qualified for interest payment under this section] 2003.

#### [FEDERAL CONTRIBUTION FOR ENFORCEMENT OF LAW BANNING POSSESSION OF TOBACCO PRODUCTS BY MINORS]

[SEC. 130. (a) CONTRIBUTION.—There is hereby appropriated a Federal contribution of \$100,000 to the Metropolitan Police Department of the District of Columbia, effective upon the enactment by the District of Columbia of a law which reads as follows:

##### "BAN ON POSSESSION OF TOBACCO PRODUCTS BY MINORS

"SECTION 1. (a) IN GENERAL.—It shall be unlawful for any individual under 18 years of age to possess any cigarette or other tobacco product in the District of Columbia.

"(b) EXCEPTIONS.—

"(1) POSSESSION IN COURSE OF EMPLOYMENT.—Subsection (a) shall not apply with respect to an individual making a delivery of cigarettes or tobacco products in pursuance of employment.

"(2) PARTICIPATION IN LAW ENFORCEMENT OPERATION.—Subsection (a) shall not apply with respect to an individual possessing products in the course of a valid, supervised law enforcement operation.

"(c) PENALTIES.—Any individual who violates subsection (a) shall be subject to the following penalties:

"(1) For any violation, the individual may be required to perform community service or attend a tobacco cessation program.

"(2) Upon the first violation, the individual shall be subject to a civil penalty not to exceed \$50.

"(3) Upon the second and each subsequent violation, the individual shall be subject to a civil penalty not to exceed \$100.

"(4) Upon the third and each subsequent violation, the individual may have his or her driving privileges in the District of Columbia suspended for a period of 90 consecutive days."

(b) USE OF CONTRIBUTION.—The Metropolitan Police Department shall use the contribution made under subsection (a) to enforce the law referred to in such subsection.]

SEC. [131] 130. The Mayor of the District of Columbia shall submit to the Senate and House Committees on Appropriations, the Senate Governmental Affairs Committee, and the House Government Reform Committee quarterly reports addressing the following issues: (1) crime, including the homicide rate, implementation of community policing, the number of police officers on local beats, and the closing down of open-air drug markets; (2) access to drug abuse treatment, including the number of treatment slots, the number of people served, the number of people on waiting lists, and the effectiveness of treatment programs; (3) management of parolees and pre-trial violent offenders, including the number of halfway house escapes and steps taken to improve monitoring and supervision of halfway house residents to reduce the number of escapes to be provided in consultation with the Court Services and Offender Supervision Agency; (4) education, including access to special education services and student achievement to be provided in consultation with the District of Columbia Public Schools; (5) improvement in basic District services, including rat control and abatement; (6) application for and management of Federal grants, including the number and type of grants for which the District was eligible but failed to apply and the number and type of grants awarded to the District but for which the District failed to spend the amounts received; and (7) indicators of child well-being.

SEC. [132] 131. Nothing in this Act bars the District of Columbia Corporation Counsel from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

#### RESERVE FUNDS

SEC. [133] 132. (a) IN GENERAL.—Section 202(j) of Public Law 104–8, the District of Columbia Financial Responsibility and Management Assistance Act of 1995 is amended to read as follows:

"(j) RESERVE FUNDS.—

"(1) BUDGET RESERVE.—

"(A) IN GENERAL.—For each of the fiscal years [2002] 2003 and [2003] 2004, the budget of the District government for the fiscal year shall contain a budget reserve in the following amounts:

"(i) \$120,000,000, in the case of fiscal year [2002] 2003.

"(ii) \$70,000,000, in the case of fiscal year [2003] 2004.

"(B) AVAILABILITY OF FUNDS.—Any amount made available from the budget reserve described in subparagraph (A) shall remain available until expended.

## RESERVE FUNDS—Continued

["(C) AVAILABILITY OF FISCAL YEAR 2001 BUDGET RESERVE FUNDS.—For fiscal year 2001, any amount in the budget reserve shall remain available until expended.]"

"(2) CUMULATIVE CASH RESERVE.—In addition to any other cash reserves required under section 450A of the District of Columbia Home Rule Act, for each of the fiscal years [2004] 2005 and [2005] 2006, the budget of the District government for the fiscal year shall contain a cumulative cash reserve of \$50,000,000.

"(3) CONDITIONS ON USE.—The District of Columbia may obligate or expend amounts in the budget reserve under paragraph (1) or the cumulative cash reserve under paragraph (2) only in accordance with the following conditions:

"(A) The Chief Financial Officer of the District of Columbia shall certify that the amounts are available.

"(B) The amounts shall be obligated or expended in accordance with laws enacted by the Council in support of each such obligation or expenditure.

"(C) The amounts may not be used to fund the agencies of the District of Columbia government under court ordered receivership.

"(D) The amounts may be obligated or expended only if the Mayor notifies the Committees on Appropriations of the House of Representatives and Senate in writing 30 days in advance of any obligation or expenditure.

"(4) REPLENISHMENT.—Any amount of the budget reserve under paragraph (1) or the cumulative cash reserve under paragraph (2) which is expended in 1 fiscal year shall be replenished in the following fiscal year appropriations to maintain the required balance."

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall take effect October 1, [2001] 2002.

[(c) CONFORMING AMENDMENTS.—Section 159(c) of the District of Columbia Appropriations Act, 2001 (Public Law 106-522; 114 Stat. 2482) is amended to read as follows:

"(c) EFFECTIVE DATE.—

"(1) IN GENERAL.—Except as provided in paragraph (2), this section and the amendments made by this section shall take effect on October 1, 2000.

"(2) REPEAL OF POSITIVE FUND BALANCE REQUIREMENT.—The amendment made by subsection (b)(2) shall take effect October 1, 1999.

"(3) TRANSFER OF FUNDS.—All funds identified by the District government pursuant to section 148 of Public Law 106-113, as reflected in the certified annual financial report for fiscal year 2000, shall be deposited during fiscal year 2002 into the Emergency and Contingency Reserve Funds established pursuant to section 159 of Public Law 106-522, during fiscal year 2002."

[(d) CONTINGENCY RESERVE FUND.—Section 450A(b) of the Home Rule Act (Public Law 93-198) is amended—

(1) by striking paragraph (1) and inserting the following:

"(1) IN GENERAL.—There is established a contingency cash reserve fund (in this subsection referred to as the 'contingency reserve fund') as an interest-bearing account (separate from other accounts in the General Fund) into which the Mayor shall deposit in cash not later than October 1 of each fiscal year (beginning with fiscal year 2002) such amount as may be required to maintain a balance in the fund of at least 3 percent of the total budget appropriated for operating expenditures for such fiscal year which is derived from local funds (or, in the case of fiscal years prior to fiscal year 2007, such amount as may be required to maintain a balance in the fund of at least the minimum contingency reserve balance for such fiscal year, as determined under paragraph (2))."; and

(2) by striking subparagraph (B) of paragraph (2) and inserting the following:

"(B) APPLICABLE PERCENTAGE DEFINED.—In subparagraph (A), the 'applicable percentage' with respect to a fiscal year means the following:

"(i) For fiscal year 2002, 0 percent.

"(ii) For fiscal year 2003, 0 percent.

"(iii) For fiscal year 2004, 0 percent.

"(iv) For fiscal year 2005, 1 percent.

"(v) For fiscal year 2006, 2 percent."

[SEC. 134. INTEGRATED PRODUCT TEAM. No funds appropriated by this Act shall be available for an Integrated Product Team until reorganization plans for the Integrated Product Team and a Capital Construction Services Administration have been approved, or deemed

approved, by the Council: *Provided*, That this paragraph shall not apply to funds appropriated for the Office of Contracting and Procurement.]

SEC. [135] 133. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer of the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (Public Law 93-198; D.C. Official Code, sec. 1-204.42), for all agencies of the District of Columbia government for such fiscal year that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal-services, respectively, with anticipated actual expenditures.

[SEC. 136. Section 403 of the District of Columbia Home Rule Act, approved December 24, 1973 (Public Law 93-198; D.C. Official Code, sec. 1-204.03), is amended as follows:

(1) Subsection (c) is amended by striking "shall receive, in addition to the compensation to which he is entitled as a member of the Council, \$10,000 per annum, payable in equal installments, for each year he serves as Chairman, but the Chairman".

(2) A new subsection (d) is added to read as follows:

"(d) Notwithstanding subsection (a), as of the effective date of the District of Columbia Appropriations Act, 2001, the Chairman shall receive compensation, payable in equal installments, at a rate equal to \$10,000 less than the annual compensation of the Mayor."

SEC. [137] 134. RISK MANAGEMENT FOR SETTLEMENTS AND JUDGMENTS. In addition to any other authority to pay claims and judgments, any department, agency, or instrumentality of the District government may pay the settlement or judgment of a claim or lawsuit in an amount less than \$10,000, in accordance with the Risk Management for Settlements and Judgments Amendment Act of 2000, effective October 19, 2000 (D.C. Law 13-172; D.C. Official Code, sec. 2-402).

[SEC. 138. Notwithstanding section 602(c)(1) of the District of Columbia Home Rule Act (sec. 1-206(c)(1), D.C. Code), the Closing of Portions of 2nd and N Streets, N.E. and Alley System in Square 710, S.O. 00-97, Act of 2001 (D.C. Act 14-106) shall take effect on the date of the enactment of such Act or the date of the enactment of this Act, whichever is later.]

SEC. [139] 135. None of the funds contained in this Act may be used to issue, administer, or enforce any order by the District of Columbia Commission on Human Rights relating to docket numbers 93-030-(PA) and 93-031-(PA).

[SEC. 140. (a) Notwithstanding 20 U.S.C. 1415, 42 U.S.C. 1988, 29 U.S.C. 794a, or any other law, none of the funds appropriated under this Act, or in appropriations Acts for subsequent fiscal years, may be made available to pay attorneys' fees accrued prior to the effective date of this Act that exceeds a cap imposed on attorneys' fees by prior appropriations Acts that were in effect during the fiscal year when the work was performed, or when payment was requested for work previously performed, in an action or proceeding brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.).

(b) No later than 60 days after the date of enactment of this Act, the Superintendent of Schools for the District of Columbia shall submit to the Committees on Appropriations for the Senate and the House of Representatives a written report for each of the fiscal years 1999, 2000, and 2001, detailing a complete itemized list, by year, of the judgments for attorneys' fees awarded to plaintiffs who prevailed in cases brought against the District of Columbia or the District of Columbia Public Schools under section 615(i)(3) of the Individuals with Disabilities Education Act (20 U.S.C. 1415(i)(3)). Such report shall specify: (1) the amount of each judgment; (2) the total amount paid on each judgment as of the date of the report; (3) the principal balance remaining due on each such judgment as of the date of the report, the amount of interest due as of December 31, 2001 on each unpaid amount; and the prospective annual rate of interest applicable to the judgment as of January 1, 2002; (4) the name of the Court and case number for each judgment; (5) the aggregate total due in principal and interest on the judgments; and (6) the amount paid by the District of Columbia, in each case listed, to defense counsel representing the District or the District of Columbia Public Schools.]

[SEC. 141. The Comptroller General, in consultation with the relevant agencies and members of the Committees on Appropriations Subcommittees on the District of Columbia, shall submit by March

31, 2002 a report to the Committees on Appropriations of the House and the Senate and the Committee on Governmental Affairs of the Senate and the Committee on Government Reform of the House of Representatives detailing the awards in judgment rendered in the District of Columbia that were in excess of the cap imposed by prior appropriations Acts in effect during the fiscal year when the work was performed, or when payment was requested for work previously performed, in actions brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.): *Provided*, That such report shall include a comparison, to the extent practicable, of the causes of action and judgments rendered against public school districts of comparable demographics and population as the District.】

SEC. 136. (a) *None of the funds contained in this Act may be made available to pay the fees of an attorney who represents a party who prevails in an action or any attorney who defends any action, including an administrative proceeding, brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) if—*

(1) *the hourly rate of compensation of the attorney exceeds 250 percent of the hourly rate of compensation under section 11–2604(a), District of Columbia Code; or*

(2) *the maximum amount of compensation of the attorney exceeds 250 percent of the maximum amount of compensation under section 11–2604(b)(1), District of Columbia Code, except that compensation and reimbursement in excess of such maximum may be approved for extended or complex representation in accordance with section 11–2604(c), District of Columbia Code; and*

(3) *in no case may the compensation limits in paragraphs (1) and (2) exceed \$2,500.*

(b) *Notwithstanding the preceding subsection, if the Mayor and the Superintendent of the District of Columbia Public Schools concur in a Memorandum of Understanding setting forth a new rate and amount of compensation, then such new rates shall apply in lieu of the rates set forth in the preceding subsection to both the attorney who represents the prevailing party and the attorney who defends the action. (District of Columbia Appropriations Act, 2002.)*

## EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621–634), the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; and not to exceed \$30,000,000 for payments to State and local enforcement agencies for services to the Commission pursuant to title VII of the Civil Rights Act of 1964, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, 【\$310,406,000】 \$323,516,000: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,500 from available funds. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Salaries and Expenses,” \$1,301,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.】 (Emergency Supplemental Act, 2002.)

#### Program and Financing (in millions of dollars)

Identification code 45–0100–0–1–751	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Executive direction and program support .....	53	49	48
00.02 Enforcement .....	234	247	246
00.03 State and local grants .....	30	30	30

10.00	Total new obligations .....	317	326	324
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	317	326	324
23.95	Total new obligations .....	–317	–326	–324
23.98	Unobligated balance expiring or withdrawn .....	–1		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	318	325	324
40.15	Appropriation (emergency) .....		1	
40.77	Reduction pursuant to P.L. 106–554 (0.22 percent) .....	–1		
43.00	Appropriation (total discretionary) .....	317	326	324
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	46	57	52
73.10	Total new obligations .....	317	326	324
73.20	Total outlays (gross) .....	–306	–331	–324
74.40	Obligated balance, end of year .....	57	52	52
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	281	286	284
86.93	Outlays from discretionary balances .....	25	45	40
87.00	Total outlays (gross) .....	306	331	324
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	317	326	324
90.00	Outlays .....	306	331	324

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	303	311	309
90.00 Outlays .....	292	316	309

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or disability status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

#### TOTAL WORKLOAD

	2001 actual	2002 est.	2003 est.
Private Sector Enforcement .....	122,587	121,111	117,216
Federal Sector Program .....	37,930	35,442	32,406
Appeals .....	16,869	14,637	12,533
Hearings .....	21,061	20,805	19,873
Total Workload .....	160,517	156,553	149,622

Note.— For the Private Sector Program, total workload estimates reflect the carryover from prior years as well as new charge receipts and deferrals from State and local agencies. The estimates of total workload in the Federal Sector Program reflect the carryover from prior years in addition to new hearings or appeal requests that EEOC receives during the year. Details, by program and activity, appear in the tables below.

The EEOC's budget supports three activities:

**Executive direction and support.**—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency. EEOC will continue to enhance support to front-line staff to improve the efficiency and effectiveness of service to the public during 2003.

**Enforcement.**—This activity resolves charges of employment discrimination filed with the Commission and pursues litigation to enforce compliance with Title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991. In

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

2003, EEOC plans to continue the assessment of agency operations, which began during fiscal year 2002, as part of the Commission's workforce restructuring efforts. The Commission will focus on reducing management layers, ensuring that the largest number of employees is in direct service delivery positions, and retraining employees as an internal part of restructuring efforts. In the private-sector program, EEOC will expand its Alternative Dispute Resolution program and dedicate resources toward prevention of discrimination by identifying and eliminating barriers to compete in the workplace. The agency will also work to expand EEOC's coordination role for Federal civil rights employment discrimination policy among Federal agencies and at the State and local level during 2003.

## PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2001 actual	2002 est.	2003 est.
Total Pending <sup>1</sup> .....	34,659	32,481	28,586
Total Receipts .....	80,840	81,542	81,542
Net FEPA Transfers/Deferrals .....	7,088	7,088	7,088
Total Workload .....	122,587	121,111	117,216
Resolutions:			
Successful Mediation .....	6,987	7,153	7,704
From Contract .....	1,739	1,237	1,788
From Staff .....	5,248	5,916	5,916
Administrative Enforcement Resolutions .....	83,119	85,372	80,959
Total Resolutions .....	90,106	92,525	88,663
Charges/Complaints Forwarded .....	32,481	28,586	28,553

<sup>1</sup> FY 2001 Pending beginning number has been adjusted to reflect refinements in charge process reports. Table may not add due to rounding.

## FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Complaints .....	9,975	7,536	5,219
Complaints Received .....	6,894	7,101	7,314
Total Workload .....	16,869	14,637	12,533
Complaints Resolved .....	9,333	9,418	9,418
Complaints Forwarded .....	7,536	5,219	3,115

## FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Hearings Pending .....	11,875	11,659	10,905
Hearings Requests .....	9,817	9,800	9,605
Hearings Requests Consolidated After Initial Processing .....	(631)	(654)	(641)
Total Workload .....	21,061	20,805	19,873
Hearings Resolved .....	9,402	9,900	10,071
Hearings Forwarded .....	11,659	10,905	9,712

*State and local grants.*—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints. For 2003, the agency will continue working with State and Local Fair Employment Practices Agencies and Tribal Employment Rights Organizations to improve employment discrimination charge processing and other approaches for addressing workplace discrimination.

## STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Charges/Complaints Pending .....	69,806	66,170	61,730
Charges/Complaints Received .....	58,303	58,300	58,300
Charges/Complaints Resolved .....	54,851	55,652	55,000
Charges/Complaints Deferred to EEOC .....	7,088	7,088	7,088
Charges/Complaints Forwarded .....	66,170	61,730	57,942

## Object Classification (in millions of dollars)

Identification code 45-0100-0-1-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	145	157	155
11.3 Other than full-time permanent .....	6	6	6
11.5 Other personnel compensation .....	16	17	17
11.9 Total personnel compensation .....	167	180	178
12.1 Civilian personnel benefits .....	51	55	54
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	25	26	27
23.3 Communications, utilities, and miscellaneous charges .....	5	5	5
25.2 Other services .....	28	21	22
26.0 Supplies and materials .....	3	3	2
31.0 Equipment .....	5	3	3
41.0 Grants, subsidies, and contributions .....	30	30	30
99.9 Total new obligations .....	317	326	324

## Personnel Summary

Identification code 45-0100-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,704	2,850	2,800

## Public enterprise funds:

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING  
REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 45-4019-0-4-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5) .....	2	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year .....	2	3	3
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	5	6	6
23.95 Total new obligations .....	-2	-3	-3
24.40 Unobligated balance carried forward, end of year .....	3	3	3
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash) .....	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year .....	1		
73.10 Total new obligations .....	2	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority .....	3	3	3
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-3	-3	-3

## Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....			

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

**EXPORT-IMPORT BANK OF THE UNITED STATES****Federal Funds****General and special funds:**

OPERATING EXPENSES OF THE EXPORT-IMPORT BANK, OVERSEAS PRIVATE INVESTMENT CORPORATION, AND TRADE AND DEVELOPMENT AGENCY OFFICE OF THE INSPECTOR GENERAL

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 71-0103-2-1-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.09 Administrative Expenses .....			1
10.00 Total new obligations (object class 11.1) .....			1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			2
23.95 Total new obligations .....			-1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			2
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....			1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			2
90.00 Outlays .....			2

**Personnel Summary**

Identification code 71-0103-2-1-155	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....			10

**Credit accounts:****EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT**

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

**SUBSIDY APPROPRIATION**

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, **[\$727,323,000] \$541,400,000**, to remain available until September 30, **[2005] 2006: Provided**, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such sums shall remain available until September 30, **[2020] 2021** for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years **[2002,] 2003, 2004, [and] 2005, and 2006: Provided further, That none of the funds appropriated by this Act or any prior Act appropriating funds for foreign operations, export financing, or related programs for tied-aid credits or grants may be used for any other purpose except**

through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State or any agency or national thereof.

**ADMINISTRATIVE EXPENSES**

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, **[\$63,000,000: Provided**, That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading] **\$70,300,000: Provided**, That the Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, **[2002] 2003. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.)**

**Program and Financing** (in millions of dollars)

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy and grants .....	95	34	30
00.02 Guaranteed loan subsidy .....	732	972	605
00.03 Guaranteed loan modifications .....	5	19	19
00.04 Direct loan modifications .....		1	1
00.05 Reestimate of direct loan subsidy .....	511	219	
00.06 Interest on reestimates of direct loan subsidy .....	251	95	
00.07 Reestimates of loan guarantee subsidy .....	98	100	
00.08 Interest on reestimates of loan guarantee subsidy .....	59	27	
00.09 Administrative expenses .....	64	66	71
10.00 Total new obligations .....	1,815	1,533	726
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	365	514	305
22.00 New budget authority (gross) .....	1,848	1,234	612
22.10 Resources available from recoveries of prior year obligations .....	118	90	90
23.90 Total budgetary resources available for obligation .....	2,331	1,838	1,007
23.95 Total new obligations .....	-1,815	-1,533	-726
24.40 Unobligated balance carried forward, end of year .....	514	305	281
<b>New budget authority (gross), detail:</b>			
Discretionary:			
Appropriation:			
40.00 Appropriation (Subsidy Appropriation) .....	865	727	541
40.00 Appropriation (Administrative Expenses) .....	64	65	70
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....	-2		
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	928	792	611
Mandatory:			
60.00 Appropriation .....	919	441	
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00 Total new budget authority (gross) .....	1,848	1,234	612
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1,226	1,219	1,617
73.10 Total new obligations .....	1,815	1,533	726
73.20 Total outlays (gross) .....	-1,656	-1,045	-665
73.40 Adjustments in expired accounts (net) .....	-48		
73.45 Recoveries of prior year obligations .....	-118	-90	-90

**Credit accounts—Continued****EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued****ADMINISTRATIVE EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year .....	1,219	1,617	1,588
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	222	155	150
86.93 Outlays from discretionary balances .....	515	450	515
86.97 Outlays from new mandatory authority .....	919	441	.....
87.00 Total outlays (gross) .....	1,656	1,045	665
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,847	1,233	611
90.00 Outlays .....	1,655	1,044	664
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,845	1,231	609
90.00 Outlays .....	1,653	1,042	662

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
<b>Direct loan levels supportable by subsidy budget authority:</b>			
115001 Direct Loans: Export Financing .....	866	103	105
115002 Direct Loans: Tied Aid War Chest .....	5	58	74
115901 Total direct loan levels .....	871	161	179
<b>Direct loan subsidy (in percent):</b>			
132001 Direct Loans: Export Financing .....	10.74	15.53	5.71
132002 Direct Loans: Tied Aid War Chest .....	40.00	32.75	33.78
132901 Weighted average subsidy rate .....	10.90	21.73	17.31
<b>Direct loan subsidy budget authority:</b>			
133001 Direct loans .....	93	16	6
133002 Direct Loans: Tied Aid War Chest .....	2	19	25
133901 Total subsidy budget authority .....	95	35	31
<b>Direct loan subsidy outlays:</b>			
134001 Direct Loans: Export Financing .....	79	8	24
134002 Direct Loans: Tied Aid War Chest .....	17	10	7
134901 Total subsidy outlays .....	96	18	31
<b>Direct loan upward reestimate subsidy budget authority:</b>			
135001 Direct loans .....	762	314	.....
135901 Total upward reestimate budget authority .....	762	314	.....
<b>Direct loan upward reestimate subsidy outlays:</b>			
136001 Direct loans .....	762	314	.....
136901 Total upward reestimate outlays .....	762	314	.....
<b>Direct loan downward reestimate subsidy budget authority:</b>			
137001 Direct loans .....	-625	-144	.....
137901 Total downward reestimate budget authority .....	-625	-144	.....
<b>Direct loan downward reestimate subsidy outlays:</b>			
138001 Direct loans .....	-625	-144	.....
138901 Total downward reestimate subsidy outlays .....	-625	-144	.....
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
215001 Loan Guarantees: Export Financing .....	8,370	10,239	11,321
215901 Total loan guarantee levels .....	8,370	10,239	11,321

<b>Guaranteed loan subsidy (in percent):</b>			
232001 Loan Guarantees: Export Financing .....	8.81	9.68	5.52
232901 Weighted average subsidy rate .....	8.81	9.68	5.52
<b>Guaranteed loan subsidy budget authority:</b>			
233001 Loan guarantees .....	737	991	625
233901 Total subsidy budget authority .....	737	991	625
<b>Guaranteed loan subsidy outlays:</b>			
234001 Loan Guarantees: Export Financing .....	736	416	539
234901 Total subsidy outlays .....	736	416	539
<b>Guaranteed loan upward reestimate subsidy budget authority:</b>			
235001 Loan guarantees .....	157	127	.....
235901 Total upward reestimate budget authority .....	157	127	.....
<b>Guaranteed loan upward reestimate subsidy outlays:</b>			
236001 Loan guarantees .....	157	127	.....
236901 Total upward reestimate subsidy outlays .....	157	127	.....
<b>Guaranteed loan downward reestimate subsidy budget authority:</b>			
237001 Loan guarantees .....	-2,269	-664	.....
237901 Total downward reestimate subsidy budget authority .....	-2,269	-664	.....
<b>Guaranteed loan downward reestimate subsidy outlays:</b>			
238001 Loan guarantees .....	-2,269	-664	.....
238901 Total downward reestimate subsidy outlays .....	-2,269	-664	.....
<b>Administrative expense data:</b>			
351001 Budget authority .....	62	63	68
358001 Outlays from balances .....	7	8	9
359001 Outlays .....	53	54	58

The purpose of the Export-Import Bank (Eximbank) is to aid in the financing and promotion of U.S. exports. To accomplish its objectives, the bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The bank provides its export credit support through direct loan, loan guarantee and insurance programs. The bank is actively assisting small- and medium-sized businesses.

The 2003 budget proposes \$11.5 billion in lending levels, funded by a program budget of \$541.4 million and administrative expenses of \$68.4 million.

The 2003 program budget is a 26 percent nominal decrease from 2002. The increase in lending levels for 2003 is achieved with this request level because of a new credit risk methodology that uses more focused estimates of default risks.

Until this budget, the U.S. Government (USG) used the premium charged by private sector lenders to other governments as a proxy for the default costs of USG loans to these countries. While this was the best available method, it captured not just default risk, but also other elements, such as profits, opportunity costs, tax effects and other factors not relevant to the budget cost of USG credits. The new method isolates just the default risk portion of the private market premiums. In short, the risk of new USG international credits has not decreased, but budget costs are now based only on this default risk, not on other extraneous factors.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	30	32	34
12.1 Civilian personnel benefits .....	9	11	12
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	14	12	13
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	2	3	4
41.0 Grants, subsidies, and contributions .....	1,751	1,467	655
99.9 Total new obligations .....	1,815	1,533	726

**Personnel Summary**

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	411	420	420

**DEBT REDUCTION FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4028-0-3-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.02 Interest on Treasury borrowing .....	5	3	2
00.03 Subsidy for Debt Reduction .....		35	36
10.00 Total new obligations .....	5	38	38

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year .....		32	
22.00 New financing authority (gross) .....	37	39	39
22.60 Portion applied to repay debt .....		-33	-1
23.90 Total budgetary resources available for obligation .....	37	38	38
23.95 Total new obligations .....	-5	-38	-38
24.40 Unobligated balance carried forward, end of year .....	32		

**New financing authority (gross), detail:**

Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	5	4	3
68.00 Offsetting collections (Debt Reduction) .....	32	35	36
68.90 Spending authority from offsetting collections (total discretionary) .....	37	39	39

**Change in obligated balances:**

73.10 Total new obligations .....	5	38	38
73.20 Total financing disbursements (gross) .....	-5	-38	-38
87.00 Total financing disbursements (gross) .....	5	38	38

**Offsets:**

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-32	-35	-36
Non-Federal sources:			
88.40 Non-Federal sources—Principal .....	-1	-1	-1
88.40 Non-Federal sources—Interest .....	-4	-3	-2
88.90 Total, offsetting collections (cash) .....	-37	-39	-39

**Net financing authority and financing disbursements:**

89.00 Financing authority .....			
90.00 Financing disbursements .....	-32	-1	-1

**Status of Direct Loans** (in millions of dollars)

Identification code 83-4028-0-3-155	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1150 Total direct loan obligations .....			

## Cumulative balance of direct loans outstanding:

1210 Outstanding, start of year .....	102	146	407
1233 Disbursements: Purchase of loans assets from a liquidating account .....	50	545	10
1251 Repayments: Repayments and prepayments .....	-1	-1	-1
1264 Write-offs for default: Other adjustments, net .....	-5	-283	-10
1290 Outstanding, end of year .....	146	407	406

**Balance Sheet** (in millions of dollars)

Identification code 83-4028-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross .....	102	146	407	406
1405 Allowance for subsidy cost (-) .....	-42	-93	-382	-381
1499 Net present value of assets related to direct loans .....	60	53	25	25
1999 Total assets .....	60	53	25	25
<b>LIABILITIES:</b>				
2103 Federal liabilities: Debt .....	60	53	25	25
2999 Total liabilities .....	60	53	25	25
4999 Total liabilities and net position .....	60	53	25	25

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Direct loans .....	871	161	179
00.02 Interest on Treasury borrowing .....	463	533	537
00.91 Direct Program by Activities—Subtotal (1 level) .....	1,334	694	716
08.01 Payment to negative subsidy receipt account .....	20	24	9
08.02 Downward reestimates paid to receipt accounts .....	354	102	
08.04 Interest on downward reestimates paid to receipt accounts .....	271	42	
08.91 Direct Program by Activities—Subtotal (1 level) .....	645	168	9
10.00 Total new obligations .....	1,979	862	725

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year .....	890	920	
22.00 New financing authority (gross) .....	3,192	1,892	1,606
22.10 Resources available from recoveries of prior year obligations .....	101		
22.60 Portion applied to repay debt .....	-1,284	-1,950	-881
23.90 Total budgetary resources available for obligation .....	2,899	862	725
23.95 Total new obligations .....	-1,979	-862	-725
24.40 Unobligated balance carried forward, end of year .....	920		

**New financing authority (gross), detail:**

Discretionary:			
47.00 Authority to borrow .....	1,052		
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2,140	1,892	1,606
70.00 Total new financing authority (gross) .....	3,192	1,892	1,606

**Change in obligated balances:**

72.40 Obligated balance, start of year .....	2,532	1,851	583
73.10 Total new obligations .....	1,979	862	725
73.20 Total financing disbursements (gross) .....	-2,558	-2,130	-1,097
73.45 Recoveries of prior year obligations .....	-101		
74.40 Obligated balance, end of year .....	1,851	583	211
87.00 Total financing disbursements (gross) .....	2,558	2,130	1,097

**Credit accounts—Continued****EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT—  
Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources: upward reestimate .....	- 762	- 314	.....
88.00 Federal sources: payment from program account .....	- 96	- 18	- 31
88.25 Interest on uninvested funds .....	- 108	- 100	- 100
Non-Federal sources:			
88.40 Repayments and prepayments .....	- 755	- 731	- 808
88.40 Fees and interest on loans .....	- 419	- 729	- 667
88.90 Total, offsetting collections (cash) .....	- 2,140	- 1,892	- 1,606
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	1,052	.....	.....
90.00 Financing disbursements .....	418	238	- 509

**Status of Direct Loans (in millions of dollars)**

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation .....	871	161	179
1150 Total direct loan obligations .....	871	161	179
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	6,666	7,590	8,311
1231 Disbursements: Direct loan disbursements .....	1,738	1,452	560
1251 Repayments: Repayments and prepayments .....	- 814	- 731	- 808
1290 Outstanding, end of year .....	7,590	8,311	8,063

**Balance Sheet (in millions of dollars)**

Identification code 83-4161-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	890	.....	.....	.....
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross .....	6,666	7,590	8,083	8,063
1402 Interest receivable .....	97	122	100	100
1405 Allowance for subsidy cost (-) .....	- 1,193	- 803	- 1,001	- 1,001
1499 Net present value of assets related to direct loans .....	5,570	6,909	7,182	7,162
1803 Other Federal assets: Property, plant and equipment, net .....	1	1	1	1
1999 Total assets .....	6,461	6,910	7,183	7,163
<b>LIABILITIES:</b>				
2103 Federal liabilities: Debt .....	6,461	6,910	7,183	7,163
2999 Total liabilities .....	6,461	6,910	7,183	7,163
4999 Total liabilities and net position .....	6,461	6,910	7,183	7,163

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2002.

**EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 83-4162-0-3-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Guarantee claims .....	569	373	455
08.01 Payment to negative subsidy receipt account .....	1	1	4
08.02 Downward reestimates paid to receipt accounts .....	1,618	517	.....
08.04 Interest on downward reestimates paid to receipt accounts .....	651	147	.....
08.91 Direct Program by Activities—Subtotal (1 level) .....	2,270	665	4
10.00 Total new obligations .....	2,839	1,038	459
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	5,331	4,110	4,216
22.00 New financing authority (gross) .....	1,704	1,144	1,311
22.60 Portion applied to repay debt .....	- 87	.....	.....
23.90 Total budgetary resources available for obligation .....	6,948	5,254	5,527
23.95 Total new obligations .....	- 2,839	- 1,038	- 459
24.40 Unobligated balance carried forward, end of year .....	4,110	4,216	5,068
<b>New financing authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	1,704	1,144	1,311
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	2,839	1,038	459
73.20 Total financing disbursements (gross) .....	- 2,839	- 1,038	- 459
87.00 Total financing disbursements (gross) .....	2,839	1,038	459
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from program account .....	- 736	- 416	- 539
88.00 Federal sources: upward reestimate .....	- 157	- 127	.....
88.25 Interest on uninvested funds .....	- 280	- 348	- 372
88.40 Fees and premiums .....	- 531	- 253	- 400
88.90 Total, offsetting collections (cash) .....	- 1,704	- 1,144	- 1,311
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	.....	.....	.....
90.00 Financing disbursements .....	1,134	- 106	- 852

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 83-4162-0-3-155	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward .....	397	1,960	.....
2131 Guaranteed loan commitments exempt from limitation .....	10,544	8,279	11,321
2143 Uncommitted limitation carried forward .....	- 2,571	.....	.....
2150 Total guaranteed loan commitments .....	8,370	10,239	11,321
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	28,678	29,584	30,574
2231 Disbursements of new guaranteed loans .....	7,504	6,965	8,384
2251 Repayments and prepayments .....	- 6,029	- 5,602	- 9,863
2263 Adjustments: Terminations for default that result in claim payments .....	- 569	- 373	- 455
2290 Outstanding, end of year .....	29,584	30,574	28,640
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	29,584	30,574	28,640

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.



This account reflects actual and expected loan guarantee activity through 2002.

**Balance Sheet** (in millions of dollars)

Identification code 83-4162-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	5,331	4,110	4,216	5,068
1206 Non-Federal assets: Receivables, net .....	1,461			
1999 Total assets .....	6,792	4,110	4,216	5,068
<b>LIABILITIES:</b>				
Federal liabilities:				
2103 Debt .....	222			
2104 Resources payable to Treasury .....	1,686			
Non-Federal liabilities:				
2201 Accounts payable .....				
2204 Liabilities for loan guarantees .....	4,884	4,110	4,216	5,068
2999 Total liabilities .....	6,792	4,110	4,216	5,068
4999 Total liabilities and net position .....	6,792	4,110	4,216	5,068

**Public enterprise funds:****EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.06 Claim payments, gross .....	32	7	13
10.00 Total new obligations (object class 33.0) .....	32	7	13
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1,087	96	
22.00 New budget authority (gross) .....	519	420	344
Capital transfer to general fund:			
22.40 Capital transfer to general fund .....	-1,478	-509	-331
22.40 Capital transfer to general fund (Debt Reduction) .....			
23.90 Total budgetary resources available for obligation .....	128	7	13
23.95 Total new obligations .....	-32	-7	-13
24.40 Unobligated balance carried forward, end of year .....	96		
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (cash) .....	519	420	344
69.00 Offsetting collections (Debt Reduction) .....			
69.90 Spending authority from offsetting collections (total mandatory) .....	519	420	344
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	32	7	13
73.20 Total outlays (gross) .....	-32	-7	-13
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	32	7	13
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-24		
Non-Federal sources:			
88.40 Loans repaid .....	-307	-268	-232
88.40 Interest and fee revenue from loans .....	-188	-152	-112
88.90 Total, offsetting collections (cash) .....	-519	-420	-344
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-487	-413	-331
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	1,064		

**Status of Direct Loans** (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	4,460	4,152	3,884
1251 Repayments: Repayments and prepayments .....	-307	-268	-232
1264 Write-offs for default: Other adjustments, net .....	-1		
1290 Outstanding, end of year .....	4,152	3,884	3,652

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,104	941	590
2251 Repayments and prepayments .....	-163	-351	-229
2290 Outstanding, end of year .....	941	590	361
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	941	590	361

**DATA ON DIRECT LOANS**

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed loan authorizations, end of year .....	1,859	523	93
Credit authorizations .....	871	161	179
Credit cancellations .....	101	40	44
Loan disbursements .....	1,448	1,457	565
Capitalized interest .....	79	75	75
Loan principal repayments .....	1,036	986	1,026
Loan write-offs .....	3	283	10
Loans outstanding, end of year .....	10,615	10,878	10,482

**DATA ON GUARANTEES**

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed balance, end of year .....	7,584	7,712	7,451
Authorizations .....	6,101	7,396	8,294
Cancellations .....	960	1,515	232
Shipments .....	5,901	5,754	8,223
Repayments .....	4,831	3,921	7,746
Outstanding balance, end of year .....	29,364	31,196	31,673

**DATA ON INSURANCE**

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed balance, end of year .....	3,660	3,379	2,733
Authorizations .....	2,269	2,843	3,027
Cancellations .....	1,196	659	149
Shipments .....	1,712	2,466	3,524
Repayments .....	1,731	1,681	3,320
Outstanding balance, end of year .....	1,162	1,948	2,152

**DATA ON GRANT PORTION OF TIED-AID CREDIT**

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Grant portion of tied-aid credit .....	5	58	74
Estimated outlays .....	17	10	7

**POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY**

(In millions of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Statutory authority .....	75,000	75,000	75,000	75,000
Charges against authority:				
Loan Program:				
Loans Outstanding .....	9,948	10,615	10,878	10,482
Loans Undisbursed .....	2,612	1,859	523	93
Outstanding Claims .....	4,233	4,181	4,809	5,530
Subtotal .....	16,793	16,655	16,210	16,105
Export guarantees and insurance program:				
Export Credit Insurance .....	7,858	4,823	5,326	4,885
Export Credit Guarantees .....	36,944	36,948	38,908	39,125
Subtotal .....	44,802	41,771	44,234	44,010

**Public enterprise funds—Continued****EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING  
ACCOUNT—Continued****POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY—  
Continued**

(In millions of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Total Charges against authority .....	61,595	58,426	60,444	60,115
Unused Authority .....	13,405	16,574	14,556	14,885

**Operating results and financial condition.**—The bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury.

The bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The bank's net operating income was \$1,061 million in 2001. Total Government deficit in the corporation was \$642 million on September 30, 2001.

**Statement of Operations (in millions of dollars)**

Identification code 83-4027-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue .....	212	994	304	285
0102 Expense .....		-7	-5	-5
0105 Net income or loss (-) .....	212	987	299	280

**Balance Sheet (in millions of dollars)**

Identification code 83-4027-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	24	123		
Investments in US securities:				
1102 Treasury securities, par .....	1,064			
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
Direct loans, gross:				
1601 Direct loans, gross .....	4,479	4,152	3,884	3,652
1601 Direct loans, gross reduction in Face Value .....	-21			
1602 Interest receivable .....	48	25		
1603 Allowance for estimated uncollectible loans and interest (-) .....	-3,566	-2,734	-2,567	-2,423
1699 Value of assets related to direct loans .....	940	1,443	1,317	1,229
1701 Defaulted guaranteed loans, gross .....	1,367	511	427	343
1702 Interest receivable .....	2	32	30	28
1703 Allowance for estimated uncollectible loans and interest (-) .....	-722	-159	-132	-106
1704 Defaulted guaranteed loans and interest receivable, net .....	647	384	325	265
1799 Value of assets related to loan guarantees .....	647	384	325	265
1999 Total assets .....	2,675	1,950	1,642	1,494

**LIABILITIES:**

2104 Federal liabilities: Resources payable to Treasury .....	563	322	187	
Non-Federal liabilities:				
2202 Interest payable .....	2	1	1	1
2203 Debt .....	187	161	154	141
2204 Liabilities for loan guarantees .....	32	21	15	15
2207 Other .....	365	204	150	150
2999 Total liabilities .....	586	950	642	494
<b>NET POSITION:</b>				
Cumulative results of operations:				
3300 Cumulative results of operations .....	2,089	1,478	1,478	1,478
3300 Cumulative results of operations [Debt Reduction] .....		-478	-478	-478
3999 Total net position .....	2,089	1,000	1,000	1,000
4999 Total liabilities and net position .....	2,675	1,950	1,642	1,494

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
83-272710 Export-Import Bank loans, Negative subsidies .....	21	25	13
83-272730 Export-Import Bank loans, Downward reestimates of subsidies .....	2,894	808	
General Fund Offsetting receipts from the public .....	2,915	833	13

**FARM CREDIT ADMINISTRATION****Federal Funds****Public enterprise funds:****LIMITATION OF ADMINISTRATIVE EXPENSES**

Not to exceed **[\$36,700,000] \$38,496,000** (from assessments collected from farm credit institutions and from the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program .....	38	40	40
10.00 Total new obligations .....	38	40	40
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	10	12	12
22.00 New budget authority (gross) .....	40	41	41
23.90 Total budgetary resources available for obligation .....	50	53	53
23.95 Total new obligations .....	-38	-40	-40
24.40 Unobligated balance carried forward, end of year .....	12	12	12
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	40	41	41
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	9	8

73.10	Total new obligations .....	38	40	40
73.20	Total outlays (gross) .....	-38	-40	-40
74.40	Obligated balance, end of year .....	9	8	8

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	38	40	40
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**Offsets:**

Against gross budget authority and outlays:

Offsetting collections (cash) from:

88.00	Federal sources .....	-1	-1	-1
88.20	Interest on Federal securities .....	-1	-1	-1
88.40	Non-Federal sources .....	-38	-39	-39
88.90	Total, offsetting collections (cash) .....	-40	-41	-41

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	-2		-1

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities: Par value .....	17	20	17
92.02	Total investments, end of year: Federal securities: Par value .....	20	17	17

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness. The System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Since 1990, the FCA also performs annual examinations of the Federal Agricultural Mortgage Corporation. In addition, FCA annually examines The National Consumer Cooperative Bank and its affiliate, The NCCB Development Corporation.

As of October 1, 2001, the System was comprised of six Farm Credit Banks, one Agricultural Credit Bank, 115 associations, four service corporations, the Federal Farm Credit Bank Funding Corporation, the Farm Credit System Financial Assistance Corporation, and the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank makes loans to agricultural, aquatic, and public utility cooperatives and other persons or organizations owned by or having transactions with such cooperatives.

Assessments based upon estimated administrative expenses are collected from institutions in the System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

**Object Classification** (in millions of dollars)

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	24	24	24
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	25	26	26
12.1 Civilian personnel benefits .....	8	8	8
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services .....	2	3	3
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	38	40	40

**Personnel Summary**

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	277	284	281

**FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION****FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND,  
LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 78-4134-0-3-351	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.02 Interest expenses .....	71	71	71
10.00 Total new obligations (object class 43.0) .....	71	71	71
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1,272	1,341	1,414
22.00 New budget authority (gross) .....	140	144	164
22.60 Portion applied to repay debt .....			-351
23.90 Total budgetary resources available for obligation .....	1,412	1,485	1,227
23.95 Total new obligations .....	-71	-71	-71
24.40 Unobligated balance carried forward, end of year .....	1,341	1,414	1,156

**New budget authority (gross), detail:**

Mandatory:

69.00	Offsetting collections (cash) .....	140	144	164
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**Change in obligated balances:**

73.10	Total new obligations .....	71	71	71
73.20	Total outlays (gross) .....	-71	-71	-71

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	71	71	71
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**Offsets:**

Against gross budget authority and outlays:

Offsetting collections (cash) from:

88.20	Interest on Federal securities .....	-53	-57	-53
88.40	Non-Federal sources .....	-87	-87	-111
88.90	Total, offsetting collections (cash) .....	-140	-144	-164

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	-69	-73	-93

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities: Par value .....	629	671	710
92.02	Total investments, end of year: Federal securities: Par value .....	671	710	417

**Status of Direct Loans** (in millions of dollars)

Identification code 78-4134-0-3-351	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	883	868	852
1251 Repayments: Repayments and prepayments .....	-15	-16	-40
1290 Outstanding, end of year .....	868	852	812

**Statement of Operations** (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue .....	70	70	71	71
0102 Expense .....	-70	-70	-71	-71
0105 Net income or loss (-) .....				
0191 Total revenues .....	70	70	71	71
0192 Total expenses .....	-70	-70	-71	-71

**Balance Sheet** (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par .....	610	687	767	451

FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND,  
LIQUIDATING ACCOUNT—Continued

## Balance Sheet (in millions of dollars)—Continued

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
1106 Receivables, net .....	217	179	137	39
1201 Non-Federal assets: Investments in non-Federal securities, net .....	261	253	245	237
1901 Other Federal assets: Other assets .....	14	9	9	4
1999 Total assets .....	1,102	1,128	1,158	731
<b>LIABILITIES:</b>				
Non-Federal liabilities:				
2201 Accounts payable .....	307	331	357	385
2202 Interest payable .....	17	17	17	9
2203 Debt .....	775	775	775	325
2207 Other .....	3	5	9	12
2999 Total liabilities .....	1,102	1,128	1,158	731
4999 Total liabilities and net position .....	1,102	1,128	1,158	731

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to System institutions experiencing financial difficulties. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued. Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC was re-classified from a Government-sponsored enterprise to a Federal entity beginning in 1993, when most of the private capital in FAC, provided by the System, was rebated from the FAC Trust Fund pursuant to the Reconciliation and Agriculture Appropriations Acts of 1989.

## Trust Funds

## FINANCIAL ASSISTANCE CORPORATION TRUST FUND

## Unavailable Collections (in millions of dollars)

Identification code 78-8202-0-7-351	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.40 Interest on investments .....	8	8	7
Appropriations:			
05.00 Financial assistance corporation trust fund .....	-8	-8	-7
07.99 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 78-8202-0-7-351	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	113	121	129
22.00 New budget authority (gross)	8	8	7
22.60 Portion applied to repay debt			-63
23.90 Total budgetary resources available for obligation	121	129	73
24.40 Unobligated balance carried forward, end of year	121	129	73
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund)	8	8	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	8	8	7
90.00 Outlays			
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value	142	142	142
92.02 Total investments, end of year: Federal securities:			
Par value	142	142	80

The Trust Fund is available to pay the principal of any Financial Assistance Corporation bonds used to fund financial assistance to the extent the assisted bank is unable to repay the bonds, and is also available for other purposes as provided under the Farm Credit Act of 1987.

## FARM CREDIT SYSTEM INSURANCE CORPORATION

## Federal Funds

## Public enterprise funds:

## FARM CREDIT SYSTEM INSURANCE FUND

## Program and Financing (in millions of dollars)

Identification code 78-4171-0-3-351	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program	2	2	2
10.00 Total new obligations (object class 12.1)	2	2	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1,539	1,615	1,715
22.00 New budget authority (gross)	78	102	119
23.90 Total budgetary resources available for obligation	1,617	1,717	1,834
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	1,615	1,715	1,832
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash)	83	102	119
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-5		
69.90 Spending authority from offsetting collections (total mandatory)	78	102	119
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	-22	-18	-18
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40 Obligated balance, end of year	-18	-18	-18
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority	2	2	2
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-82	-102	-102
88.40 Non-Federal sources	-1		-17
88.90 Total, offsetting collections (cash)	-83	-102	-119
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-81	-100	-117
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value	1,521	1,600	1,702
92.02 Total investments, end of year: Federal securities:			
Par value	1,600	1,702	1,813

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same members as the Farm Credit Administration Board of Directors. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment

income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of accruing and non-accruing loans outstanding in each bank and its affiliated associations' loan portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Directors to be actuarially sound to maintain the Insurance Fund. The Insurance Fund was slightly below the secure base amount at September 30, 2001. Also in September, the Corporation's Board initiated premium assessments beginning in January 2002 at 3 basis points on accrual loans and 25 basis points on nonaccrual loans.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation, and satisfy defaults by System institutions on obligations issued by the FAC after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated before 2006.

#### Statement of Operations (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue .....	101	95	112	119
0102 Expense .....	-13	-14	-15	-15
0105 Net income or loss (-) .....	88	81	97	104

#### Balance Sheet (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Investments in US securities:				
1102 Federal assets: Treasury securities, par .....	1,521	1,600	1,702	1,813
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable .....	28	31	24	26
1206 Premium receivable .....	1		12	12
1901 Other Federal assets: Other assets .....	51	63	67	71
1999 Total assets .....	1,601	1,694	1,805	1,922
<b>LIABILITIES:</b>				
2207 Non-Federal liabilities: Other .....	167	179	192	205
2999 Total liabilities .....	167	179	192	205
<b>NET POSITION:</b>				
3100 Appropriated capital .....	1,434	1,515	1,613	1,717
3999 Total net position .....	1,434	1,515	1,613	1,717
4999 Total liabilities and net position .....	1,601	1,694	1,805	1,922

#### Personnel Summary

Identification code 78-4171-0-3-351	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	10	10	10

## FEDERAL COMMUNICATIONS COMMISSION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-5902; not to exceed \$600,000 for land and structure; not to exceed \$500,000 for improvement and

care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed 16) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, **[\$245,071,000] \$278,092,000**, of which not to exceed \$300,000 shall remain available until September 30, **[2003] 2004**, for research and policy studies: *Provided*, That **[\$218,757,000] \$248,194,000** of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[2002] 2003** so as to result in a final fiscal year **[2002] 2003** appropriation estimated at **[\$26,314,000] \$29,898,000**: *Provided further*, That any offsetting collections received in excess of **[\$218,757,000] \$248,194,000** in fiscal year **[2002] 2003** shall remain available until expended, but shall not be available for obligation until October 1, **[2002] 2003**. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 27-0100-0-1-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Licensing .....	31	27	30
01.00 Total direct program .....	31	27	30
09.00 Reimbursable program .....	284	301	308
10.00 Total new obligations .....	315	328	338

#### Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	17	13	
22.00 New budget authority (gross) .....	315	315	339
22.21 Unobligated balance transferred to other accounts	-2		
23.90 Total budgetary resources available for obligation	330	328	339
23.95 Total new obligations .....	-315	-328	-338
24.40 Unobligated balance carried forward, end of year .....	13		

#### New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....	31	27	30
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (reimbursable Federal) .....	1	1	1
68.00 Cost of conducting spectrum auctions .....	75	60	60
68.00 Spending authority from offsetting collections (regulatory fees) .....	208	227	248
68.90 Spending authority from offsetting collections (total discretionary) .....	284	288	309
70.00 Total new budget authority (gross) .....	315	315	339

#### Change in obligated balances:

72.40 Obligated balance, start of year .....	43	61	63
73.10 New Obligations .....	315	328	338
73.20 Total outlays (gross) .....	-296	-326	-349
74.40 Obligated balance, end of year .....	61	63	52

#### Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	288	311	335
86.93 Outlays from discretionary balances .....	8	13	14
87.00 Total outlays (gross) .....	296	326	349

#### Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
88.40 Cost of conducting spectrum auctions .....	-75	-60	-60
88.45 Regulatory Fees .....	-208	-227	-248
88.90 Total, offsetting collections (cash) .....	-284	-288	-309

#### Net budget authority and outlays:

89.00 Budget authority .....	31	27	30
90.00 Outlays .....	12	38	40

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	30	26	29
90.00 Outlays .....	11	37	39

**Licensing.**—This activity includes the authorization or licensing of radio stations, telecommunications equipment, radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with licensing activities.

**Competition.**—This activity includes formal inquiries, rule making proceedings to establish or amend the Commission's rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers, economic studies and analyses, and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with activities to promote competition in the public interest.

**Enforcement.**—This activity includes enforcement of the Commission's rules, regulations and authorizations—including investigations, inspections, compliance monitoring and sanctions of all types. It also includes the receipt and disposition of formal complaints regarding common carrier rates and services; the review and acceptance/rejection of carrier tariffs; and the review, prescription and audit of carrier accounting practices. Additionally, it also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

**Consumer Information Services.**—This activity includes the publication and dissemination of Commission decisions and actions, and related activities; public reference and library services; the duplication and dissemination of Commission records and databases; the receipt and disposition of public inquiries and informal consumer complaints; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with consumer information activities.

**Spectrum Management.**—This activity includes management of the electromagnetic spectrum as mandated by the Communications Act of 1934 as amended. Spectrum management includes the structure and processes for allocating, assigning, licensing, and regulating the use of this scarce resource to the private sector and state and local governments in a way that promotes competition while ensuring that the public interest is best served. In order to manage spectrum in both an efficient and equitable manner, the Commission evaluates needs; prepares economic, technical and engineering studies; coordinates with Federal agencies; develops cross-border sharing arrangements; and represents U.S. interests in international fora. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with spectrum management activities.

**Object Classification (in millions of dollars)**

Identification code 27–0100–0–1–376	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation:			
Full-time permanent .....	14	14	14

11.3 Other than full-time permanent .....	2	1	2
11.9 Total personnel compensation .....	16	15	16
12.1 Civilian personnel benefits .....	5	5	5
23.1 Rental payments to GSA .....	4	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	2
25.7 Operation and maintenance of equipment .....	2	2	2
31.0 Equipment .....	2		1
99.0 Direct obligations .....	31	27	30
99.0 Reimbursable obligations .....	284	301	308
99.9 Total new obligations .....	315	328	338

**Personnel Summary**

Identification code 27–0100–0–1–376	2001 actual	2002 est.	2003 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	216	182	193
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	1,707	1,785	1,774

**UNIVERSAL SERVICE FUND****Unavailable Collections (in millions of dollars)**

Identification code 27–5183–0–2–376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.00 Universal service fund .....	5,290	5,801	6,523
<b>Appropriations:</b>			
05.00 Universal service fund .....	–5,290	–5,801	–6,523
07.99 Balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 27–5183–0–2–376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	5,235	5,801	6,523
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	181	237	237
22.00 New budget authority (gross) .....	5,290	5,801	6,523
23.90 Total budgetary resources available for obligation .....	5,471	6,038	6,760
23.95 Total new obligations .....	–5,235	–5,801	–6,523
24.40 Unobligated balance carried forward, end of year .....	237	237	237
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.20 Appropriation (special fund) .....	5,290	5,801	6,523
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1,771	2,059	2,369
73.10 Total new obligations .....	5,235	5,801	6,523
73.20 Total outlays (gross) .....	–4,947	–5,490	–6,510
74.40 Obligated balance, end of year .....	2,059	2,369	2,382
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	2,995	3,194	3,904
86.98 Outlays from mandatory balances .....	1,952	2,296	2,606
87.00 Total outlays (gross) .....	4,947	5,490	6,510
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5,290	5,801	6,523
90.00 Outlays .....	4,947	5,490	6,510

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, telecommunications carriers that provide interstate tele-

communications services are required to contribute funds for the preservation and advancement of universal service. The contributions are used to provide services eligible for universal service support as prescribed by the FCC. Telecommunications carriers receive a credit towards their contribution by providing discount service to schools, libraries, and health care providers. Support will also be provided to carriers offering services in high cost areas of the United States and to carriers offering services to low income consumers.

**Credit accounts:****SPECTRUM AUCTION PROGRAM ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.05 Reestimates of direct loan subsidy .....	8,821	94	
00.06 Interest on reestimates of direct loan subsidy .....	2,767	38	
00.09 Administrative Expenses .....	8	12	12
10.00 Total new obligations .....	11,596	144	12
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1,821		
22.00 New budget authority (gross) .....	11,577	144	12
22.40 Capital transfer to general fund .....	-1,802		
23.90 Total budgetary resources available for obligation .....	11,596	144	12
23.95 Total new obligations .....	-11,596	-144	-12
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	11,577	144	12
69.00 Offsetting collections (cash) .....	12,429	3	
69.27 Capital transfer to general fund .....	-12,429	-3	
69.90 Spending authority from offsetting collections (total mandatory) .....			
70.00 Total new budget authority (gross) .....	11,577	144	12
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	3	
73.10 Total new obligations .....	11,596	144	12
73.20 Total outlays (gross) .....	-11,595	-147	-12
74.40 Obligated balance, end of year .....	3		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	11,576	144	12
86.98 Outlays from mandatory balances .....	19	3	
87.00 Total outlays (gross) .....	11,595	147	12
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-12,429	-3	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-852	141	12
90.00 Outlays .....	-834	144	12

This program provides for direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses are being purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis.

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Spectrum auction .....			
115901 Total direct loan levels .....			
Direct loan subsidy (in percent):			
132001 Direct loan levels .....	0.00	0.00	0.00
132901 Weighted average subsidy rate .....	0.00	0.00	0.00
Direct loan subsidy budget authority:			
133001 Direct loan levels .....			
133901 Total subsidy budget authority .....			
Direct loan subsidy outlays:			
134001 Direct loan levels .....			
134901 Total subsidy outlays .....			
Direct loan upward reestimate subsidy budget authority:			
135001 Direct loan levels .....	11,588	132	
135901 Total upward reestimate budget authority .....	11,588	132	
Direct loan upward reestimate subsidy outlays:			
136001 Direct loan levels .....	11,588	132	
136901 Total upward reestimate outlays .....	11,588	132	
Direct loan downward reestimate subsidy budget authority:			
137001 Direct loan levels .....	-12,429	-3	
137901 Total downward reestimate budget authority .....	-12,429	-3	
Direct loan downward reestimate subsidy outlays:			
138001 Direct loan levels .....	-12,429	-3	
138901 Total downward reestimate subsidy outlays .....	-12,429	-3	
Administrative expense data:			
351001 Budget authority .....		12	12
358001 Outlays from balances .....	8		
359001 Outlays from new authority .....		12	12

**Object Classification (in millions of dollars)**

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	7	11	11
41.0 Grants, subsidies, and contributions .....	11,588	132	
99.9 Total new obligations .....	11,596	144	12

**Personnel Summary**

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	8	8	8

**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.02 Interest Paid to Treasury .....	1,214	414	290
00.05 IVDS Restructuring .....	2	6	
00.91 Direct Program by Activities—Subtotal (1 level) .....	1,216	420	290
08.02 Downward subsidy reestimate .....	9,625	2	
08.04 Interest on downward reestimate .....	2,804	1	
08.91 Direct Program by Activities—Subtotal (1 level) .....	12,429	3	
10.00 Total new obligations .....	13,645	423	290
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	10	18	
22.00 New financing authority (gross) .....	13,663	417	290
22.60 Portion applied to repay debt .....	-10	-12	

**Credit accounts—Continued****SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
23.90 Total budgetary resources available for obligation	13,663	423	290
23.95 Total new obligations	-13,645	-423	-290
24.40 Unobligated balance carried forward, end of year	18		
<b>New financing authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow	12,663		154
Offsetting collections (cash):			
69.00 Offsetting collections (Re-estimate)	8,821	94	
69.00 Offsetting collections (Int- reestimate)	2,767	38	
69.00 Offsetting collections (Payment on loans)	419	4,436	136
69.00 Other Treasury collections (Auction 35 receipts)		997	
69.00 Offsetting collections (Treasury Int)	844		
69.47 Portion applied to repay debt	-11,851	-5,148	
69.90 Spending authority from offsetting collections (total mandatory)	1,000	417	136
70.00 Total new financing authority (gross)	13,663	417	290
<b>Change in obligated balances:</b>			
73.10 Total new obligations	13,645	423	290
73.20 Total financing disbursements (gross)	-13,645	-423	-290
87.00 Total financing disbursements (gross)	13,645	423	290
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Program account: total revised subsidy	-11,588	-132	
88.25 Interest on uninvested funds	-844		
Non-Federal sources:			
Non-Federal sources:			
88.40 Interest received on loans	-66	-41	-39
88.40 Principal received on loans	-353	-4,395	-97
88.40 Recoveries		-997	
88.90 Total, offsetting collections (cash)	-12,851	-5,565	-136
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority	812	-5,148	154
90.00 Financing disbursements	794	-5,142	154

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Status of Direct Loans (in millions of dollars)**

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	8,177	5,593	1,198
1231 Disbursements: Direct loan disbursements			
1251 Repayments: Repayments and prepayments	-353	-4,395	-97
1263 Write-offs for default: Direct loans	-2,231		
1290 Outstanding, end of year	5,593	1,198	1,101

**Balance Sheet (in millions of dollars)**

Identification code 27-4133-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury		18		

Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	8,177	5,593	1,198	1,101
1402 Interest receivable	433	293	56	56
1405 Allowance for subsidy cost (-)	982	216	-292	-41
1499 Net present value of assets related to direct loans	9,592	6,102	962	1,116
1901 Other Federal assets: Other assets				
1999 Total assets	9,592	6,120	962	1,116
<b>LIABILITIES:</b>				
Federal liabilities:				
2103 Resources payable to Treasury	5,307	6,110	962	1,116
2105 Other Debt	4,285	10		
2999 Total liabilities	9,592	6,120	962	1,116
4999 Total liabilities and net position	9,592	6,120	962	1,116

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
27-242900 Fees for services	25	25	25
27-247400 Auction receipts	1,024	530	4,510
Legislative proposal, subject to PAYGO			-4,050
General Fund Offsetting receipts from the public	1,049	555	485

**AUCTION RECEIPTS***(Legislative proposal, subject to PAYGO)*

The Administration will propose legislation regarding the auction of spectrum currently assigned to television channels 60-69 (747-762 and 777-792 MHz) and 52-59 (698-746 MHz). The legislation will: shift the statutory deadline for the 60-69 auction from 2000 to 2004; shift the statutory deadline for the auction of channels 52-59 from 2002 to 2006; and promote clearing the spectrum in channels 60-69 for new wireless services in an effective and equitable manner.

**ANALOG SPECTRUM LEASE FEE***(Legislative proposal, subject to PAYGO)*

To facilitate clearing of the analog television broadcast spectrum and provide taxpayers some compensation for use of this scarce resource, the Administration will propose legislation authorizing the Federal Communications Commission (FCC) to establish an annual \$500 million lease fee on the use of analog spectrum by commercial broadcasters as of 2007. The FCC will promulgate a rulemaking to apportion the aggregate fee amount among commercial broadcasters. Upon return of its analog spectrum license to the FCC, an individual broadcaster will be exempt from the fee.

**FEDERAL DEPOSIT INSURANCE CORPORATION**

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks, and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.



The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to maintain the reserves of the BIF and SAIF at 1.25 percent of total insured deposits.

### Federal Funds

#### Public enterprise funds:

##### BANK INSURANCE FUND

#### Program and Financing (in millions of dollars)

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.02 Insurance .....	74	117	113
00.03 Supervision .....	525	489	469
00.04 Receivership Management .....	132	125	140
00.05 General and Administrative .....	121	116	128
00.91 Total Administrative Expenses .....	852	847	850
Capital investment:			
01.01 Working Capital Outlays .....	241	1,202	1,440
01.02 Case resolution losses .....	28	217	260
01.03 Premiums on investments .....	197	176	93
01.04 Other corporate resolution liabilities .....	119		
01.91 Total Capital Investment .....	585	1,595	1,793
10.00 Total new obligations .....	1,437	2,442	2,643
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	29,080	30,275	30,303
22.00 New budget authority (gross) .....	2,632	2,471	4,549
23.90 Total budgetary resources available for obligation	31,712	32,746	34,852
23.95 Total new obligations .....	-1,437	-2,442	-2,643
24.40 Unobligated balance carried forward, end of year .....	30,275	30,303	32,208
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	2,658	2,497	4,574
69.61 Transferred to other accounts .....	-26	-26	-25
69.90 Spending authority from offsetting collections (total mandatory) .....	2,632	2,471	4,549
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	437	557	530
73.10 Total new obligations .....	1,437	2,442	2,643
73.20 Total outlays (gross) .....	-1,317	-2,469	-6,426
74.40 Obligated balance, end of year .....	557	530	-3,253
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	1,317	2,469	6,426
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-2,046	-1,951	-1,431
Non-Federal sources:			
88.40 Asset recoveries .....	-564	-496	-2,275
88.40 Premium assessments .....	-48	-50	-868
88.90 Total, offsetting collections (cash) .....	-2,658	-2,497	-4,574
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-26	-26	-25
90.00 Outlays .....	-1,341	-28	1,852
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	29,326	30,677	29,314
92.02 Total investments, end of year: Federal securities:			
Par value .....	30,677	29,314	29,314

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-26	-26	-25
90.00 Outlays .....	-1,371	-59	1,820

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by insured banks. The revolving fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks, as well as for the payment of administrative and insurance expenses. As of September 2000, BIF's fund balance totaled \$30 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

#### Object Classification (in millions of dollars)

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	395	424	424
12.1 Civilian personnel benefits .....	164	170	172
21.0 Travel and transportation of persons .....	36	36	34
22.0 Transportation of things .....	1		
23.2 Rental payments to others .....	38	39	39
23.3 Communications, utilities, and miscellaneous charges	24	26	26
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	173	108	111
26.0 Supplies and materials .....	5	5	5
31.0 Equipment .....	8	33	32
32.0 Land and structures .....	6	4	5
Insurance claims and indemnities:			
42.0 Working Capital Outlays .....	241	1,202	1,440
42.0 Net Resolution Expenses (Losses) .....	28	217	260
42.0 Premiums on Investments .....	197	176	93
42.0 Other corporate resolution liabilities .....	119		
99.9 Total new obligations .....	1,437	2,442	2,643

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

#### Personnel Summary

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4,905	5,148	4,893

### SAVINGS ASSOCIATION INSURANCE FUND

#### Program and Financing (in millions of dollars)

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Operating Expenses:			
09.01 Insurance .....	12	17	17
09.02 Supervision .....	66	72	70
09.03 Receivership management .....	15	18	19
09.04 General and administrative .....	11	12	13
Capital investment:			
09.10 Working capital outlays .....	346	1,690	855
09.11 Net case resolutions (losses) .....	450	108	154
09.13 Premium on Treasury Investments .....	52	60	32
09.14 Other Corporate Resolution Liabilities .....	2,101		

**Public enterprise funds—Continued****SAVINGS ASSOCIATION INSURANCE FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
10.00 Total new obligations .....	3,053	1,977	1,160
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	10,437	8,238	7,743
22.00 New budget authority (gross) .....	853	1,481	1,313
23.90 Total budgetary resources available for obligation .....	11,290	9,719	9,056
23.95 Total new obligations .....	-3,053	-1,977	-1,160
24.40 Unobligated balance carried forward, end of year .....	8,238	7,743	7,896
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	857	1,485	1,316
69.61 Transferred to other accounts .....	-4	-4	-3
69.90 Spending authority from offsetting collections (total mandatory) .....	853	1,481	1,313
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	198	2,295	2,291
73.10 Total new obligations .....	3,053	1,977	1,160
73.20 Total outlays (gross) .....	-956	-1,981	-1,164
74.40 Obligated balance, end of year .....	2,295	2,291	2,287
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	956	1,981	1,164
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-749	-632	-424
Non-Federal sources:			
88.40 Asset recoveries .....	-73	-817	-867
88.40 Premium assessments .....	-35	-36	-25
88.90 Total, offsetting collections (cash) .....	-857	-1,485	-1,316
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-4	-4	-3
90.00 Outlays .....	99	496	-152
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	10,747	10,654	10,987
92.02 Total investments, end of year: Federal securities: Par value .....	10,654	10,987	10,987

The SAIF insures depository institutions formerly insured by the Federal Savings and Loan Insurance Corporation. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The Deposit Insurance Funds Act of 1996 imposed a special assessment to bring SAIF's reserves up to 1.25 percent of insured deposits. By the end of 1998, SAIF's reserve ratio reached 1.39 percent. However, on January 1, 1999, FDIC was required by law to transfer all funds in the SAIF above 1.25 percent to a Special Reserve. Approximately \$1 billion was transferred. The Gramm Leach Bliley Act of 1999 eliminated the Special Reserve. Approximately \$1 billion was transferred to the SAIF on November 12, 1999. The transfer increased the reserve ratio to 1.45 percent. As of September 30, 2001, the reserve ratio was 1.39 percent.

**Object Classification (in millions of dollars)**

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	52	63	63
12.1 Civilian personnel benefits .....	19	20	21
21.0 Travel and transportation of persons .....	5	5	5
23.2 Rental payments to others .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
25.2 Other services .....	16	15	15
26.0 Supplies and materials .....	1	1	1

31.0 Equipment .....	1	4	3
32.0 Land and structures .....	1	1	1
Insurance claims and indemnities:			
42.0 Net case resolutions .....	450	108	855
42.0 Working Capital Outlays .....	346	1,690	154
42.0 Premiums on U.S. Treasury Investment .....	52	60	32
42.0 Other Corporate Resolution Liabilities .....	2,101		
99.0 Reimbursable obligations .....	3,053	1,977	1,160
99.9 Total new obligations .....	3,053	1,977	1,160

<sup>1</sup> Total obligations include expenses incurred on behalf of receiverships.

**Personnel Summary**

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	690	763	726

**FSLIC RESOLUTION FUND****Program and Financing (in millions of dollars)**

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.02 Receivership management .....	90	76	73
09.03 General and administrative .....	15	11	11
09.04 Litigation expenses .....	131	67	96
09.06 Assistance agreement payments .....	28		
Capital investment:			
09.11 Liquidity advances .....	20	15	10
09.14 Interest expense—RTC debt .....	1,607	1,375	200
09.16 Miscellaneous .....	33	30	30
10.00 Total new obligations .....	1,924	1,574	420
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3,114	2,998	2,777
22.00 New budget authority (gross) .....	1,808	1,353	393
23.90 Total budgetary resources available for obligation .....	4,922	4,351	3,170
23.95 Total new obligations .....	-1,924	-1,574	-420
24.40 Unobligated balance carried forward, end of year .....	2,998	2,777	2,749
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	1,812	1,357	396
69.61 Transferred to other accounts .....	-4	-4	-3
69.90 Spending authority from offsetting collections (total mandatory) .....	1,808	1,353	393
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	338	426	443
73.10 Total new obligations .....	1,924	1,574	420
73.20 Total outlays (gross) .....	-1,836	-1,557	-403
74.40 Obligated balance, end of year .....	426	443	460
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	1,836	1,557	403
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-124	-114	-129
Non-Federal sources:			
88.40 Asset recoveries (FRF-FSLIC) .....	-49	-8	-3
88.40 Asset recoveries (FRF-RTC) .....	-348	-123	-109
88.40 Corporate-owned assets .....	-72	-148	-82
88.40 Securitization releases .....	-1,158	-944	-53
88.40 Equity partnerships .....	-61	-20	-20
88.90 Total, offsetting collections (cash) .....	-1,812	-1,357	-396
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-4	-4	-3
90.00 Outlays .....	23	200	7
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	2,508	2,650	2,970

92.02	Total investments, end of year: Federal securities:			
	Par value .....	2,650	2,970	2,970

**Status of Direct Loans** (in millions of dollars)

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	4	3	
1251 Repayments: Repayments and prepayments .....	-1	-3	
1290 Outstanding, end of year .....	3		

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by SAIF members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation.

**Object Classification** (in millions of dollars)

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	47	42	42
12.1 Civilian personnel benefits .....	16	14	14
21.0 Travel and transportation of persons .....	2	3	3
23.2 Rental payments to others .....	5	4	4
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	34	14	12
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	6	5
32.0 Land and structures .....	1		
Insurance claims and indemnities:			
42.0 Liquidity Advances .....	20	15	10
42.0 REFCORP Payments .....	1,607	1,375	200
42.0 Assistance Transaction Expenditures .....	28		
42.0 Litigation Expenses .....	131	67	96
42.0 Other .....	33	30	30
44.0 Refunds .....	-5		
99.9 Total new obligations .....	1,924	1,574	420

<sup>1</sup> Total obligations include expenses incurred on behalf of receiverships.

**Personnel Summary**

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	584	505	480

**FDIC—OFFICE OF INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$33,660,000]** **\$31,388,000**, to be derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program .....	30	34	31

10.00	Total new obligations .....	30	34	31
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**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....	9	13	12
22.00	New budget authority (gross) .....	34	34	31
23.90	Total budgetary resources available for obligation .....	43	47	43
23.95	Total new obligations .....	-30	-34	-31
24.40	Unobligated balance carried forward, end of year .....	13	12	12

**New budget authority (gross), detail:**

<b>Mandatory:</b>				
69.62	Transferred from other accounts .....	34	34	31
69.90	Spending authority from offsetting collections (total mandatory) .....	34	34	31

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	1	2	2
73.10	Total new obligations .....	30	34	31
73.20	Total outlays (gross) .....	-30	-34	-31
74.40	Obligated balance, end of year .....	2	2	2

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	30	34	31
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**Net budget authority and outlays:**

89.00	Budget authority .....	34	34	31
90.00	Outlays .....	30	34	31

FDIC's Office of Inspector General (OIG) is an independent unit within the Corporation that conducts audits and investigations of corporate activities and assists the Corporation in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (Public Law 100-504). The Resolution Trust Corporation Completion Act, enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act, thus, added FDIC to the establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's first appropriation was for its fiscal year 1998 expenses. The OIG's appropriations are derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund.

**Object Classification** (in millions of dollars)

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	21	23	21
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services .....	1	2	1
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	30	34	31

<sup>1</sup> Includes obligations that are recoverable from receiverships.

**Personnel Summary**

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	219	224	190

**FEDERAL DRUG CONTROL PROGRAMS****Federal Funds****General and special funds:****HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, **[\$226,350,000]** **\$206,350,000**, for drug control activities consistent with the approved

**General and special funds—Continued****HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM—Continued**  
(INCLUDING TRANSFER OF FUNDS)—Continued

strategy for each of the designated High Intensity Drug Trafficking Areas, of which no less than 51 percent shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of the enactment of this Act: *Provided*, That up to 49 percent, to remain available until September 30, [2003] 2004, may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided further*, That, of this latter amount], of which not less than \$2,100,000 shall be used for auditing services [and activities: *Provided further*, That High Intensity Drug Trafficking Areas Programs designated as of September 30, 2001, shall be funded at no less than fiscal year 2001 levels unless the Director submits to the Committees on Appropriations, and the Committees approve, justification for changes in those levels based on clearly articulated priorities for the High Intensity Drug Trafficking Areas Programs, as well as published Office of National Drug Control Policy performance measures of effectiveness. For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$226,350,000, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas, of which no less than 51 percent shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of the enactment of this Act: *Provided*, That up to 49 percent, to remain available until September 30, 2003, may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided further*, That, of this latter amount, not less than \$2,100,000 shall be used for auditing services and activities: *Provided further*, That High Intensity Drug Trafficking Areas Programs designated as of September 30, 2001, shall be funded at no less than fiscal year 2001 levels unless the Director submits to the Committees on Appropriations, and the Committees approve, justification for changes in those levels based on clearly articulated priorities for the High Intensity Drug Trafficking Areas Programs, as well as published Office of National Drug Control Policy performance measures of effectiveness] and associated activities, and at least \$500,000 of the \$2,100,000 shall be used to develop and implement a data collection system to measure the performance of the High Intensity Drug Trafficking Areas Program. (Executive Office Appropriations Act, 2002.)

**Program and Financing** (in millions of dollars)

Identification code 11–1070–0–1–754	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.02 Grants to State and local law enforcement agencies	169	224	204
00.03 Auditing services and activities	2	2	2
10.00 Total new obligations	171	226	206
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	171	226	206
23.90 Total budgetary resources available for obligation	171	227	207
23.95 Total new obligations	–171	–226	–206
24.40 Unobligated balance carried forward, end of year	1	1	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	206	226	206
41.00 Transferred to other accounts	–37		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	171	226	206
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	146	180	224
73.10 Total new obligations	171	226	206
73.20 Total outlays (gross)	–136	–182	–218
74.40 Obligated balance, end of year	180	224	212
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	136	57	52
86.93 Outlays from discretionary balances		125	166
87.00 Total outlays (gross)	136	182	218

**Net budget authority and outlays:**

89.00 Budget authority	171	226	206
90.00 Outlays	136	182	218

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105–277, to provide assistance to Federal, State and local law enforcement entities operating in those areas most adversely affected by drug trafficking. Since January, 1990, counties in 28 areas have been designated as HDTAs: New York; Los Angeles; Miami; Houston; Baltimore/Washington, DC; Puerto Rico/Virgin Islands; Southwest Border, which includes South Texas, West Texas, New Mexico, Arizona and Southern California; Chicago; Atlanta; Philadelphia/Camden; Gulf Coast (Alabama, Louisiana, and Mississippi); Lake County (Indiana); Midwest (Iowa, Kansas, Missouri, Nebraska, North Dakota, and South Dakota); Pacific Northwest (Washington); Rocky Mountain (Colorado, Utah, and Wyoming); Northern California (San Francisco Bay area); South Eastern Michigan; Appalachia (Kentucky, Tennessee, and West Virginia); Central Florida; Milwaukee; North Texas; Central Valley California; Hawaii; New England (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont); Ohio; Oregon; Northern Florida; and Nevada.

Funds made available under the HIDTA program are disbursed at the discretion of the Director of the Office of National Drug Control Policy for joint local, State, and Federal initiatives.

The HIDTA program provides funding to enhance and coordinate drug-control activities among State, local and Federal law enforcement agencies participating in designated High Intensity Drug Trafficking Areas. Funding for State and local law enforcement agencies is provided through grants from ONDCP. Funding for Federal agencies is provided through transfers to those agencies. All funding in the HIDTA program is awarded at the discretion of the Director of ONDCP, based on a review of drug-related threat assessments, and proposed program strategies and budgets submitted by the HDTAs. Estimates for the 2002 and 2003 transfers to Federal agencies cannot be determined until proposed budgets for that year are reviewed.

The HIDTA appropriation also provides funding for services and activities related to auditing State and local grants and Federal transfers.

**WORKLOAD**

	2001 actual	2002 est.	2003 est.
Grants awarded to State and Local Law Enforcement	447	450	447
Federal Agencies participating in HIDTA Initiatives	31	31	31

**Object Classification** (in millions of dollars)

Identification code 11–1070–0–1–754	2001 actual	2002 est.	2003 est.
25.2 Other services	2	2	2
41.0 Grants, subsidies, and contributions	169	224	204
99.9 Total new obligations	171	226	206

**SPECIAL FORFEITURE FUND**

## (INCLUDING TRANSFER OF FUNDS)

For activities to support a national anti-drug campaign for youth, and for other purposes, authorized by 21 U.S.C. 1701 et seq., [2003] 2004, \$239,400,000, to remain available until expended, of which [2003] 2004, \$251,300,000, shall be to support a national media campaign, as authorized in the Drug-Free Media Campaign Act of 1998, of which \$4,800,000 shall be made available no later than 30 days after the enactment of this Act to the United States Anti-Doping Agency for their anti-doping efforts; of which \$50,600,000 shall be to continue a program of matching grants to drug-free communities,

as authorized in chapter 2 of the National Narcotics Leadership Act of 1988, as amended; of which \$1,000,000 shall be available to the National Drug Court Institute; and of which \$3,000,000 shall be for the Counterdrug Intelligence Executive Secretariat.] *the following amounts are available as follows: \$180,000,000 to support a national media campaign, as authorized by the Drug-Free Media Campaign Act of 1998; \$60,000,000 for a program of assistance and matching grants to local coalitions and other activities, as authorized in chapter 2 of the National Narcotic Leadership Act of 1988, as amended; \$6,000,000 for the Counterdrug Intelligence Executive Secretariat; \$2,000,000 for evaluations and research related to National Drug Control Program performance measures; \$1,000,000 for the National Drug Court Institute; \$1,000,000 for the United States Anti-Doping Agency for anti-doping activities; \$800,000 for the United States membership dues to the World Anti-Doping Agency; and \$500,000 for the National Alliance for Model State Drug Laws: Provided, That such funds may be transferred to other Federal departments and agencies to carry out such activities. (Executive Office Appropriations Act, 2002.)*

**Program and Financing** (in millions of dollars)

Identification code 11–1460–0–1–802	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 National Youth Anti-Drug Media Campaign .....	175	180	180
00.02 Drug-Free Communities Program .....	40	51	60
00.03 National Drug Court Institute .....	1	1	1
00.05 Counterdrug Intelligence Executive Secretariat .....	3	3	6
00.06 Anti-Doping Program .....	3	5	1
00.07 Metro Intelligence Center .....	1		
00.08 Performance Measure Development .....			2
00.09 World Anti-Doping Agency Dues .....			1
00.10 Model State Drug Laws .....			1
10.00 Total new obligations .....	223	240	251
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	4	3
22.00 New budget authority (gross) .....	223	240	251
23.90 Total budgetary resources available for obligation .....	226	244	254
23.95 Total new obligations .....	–223	–240	–251
24.40 Unobligated balance carried forward, end of year .....	4	3	3
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	234	240	251
40.35 Appropriation rescinded .....	–1		
41.00 Transferred to other accounts .....	–10		
43.00 Appropriation (total discretionary) .....	223	240	251
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	184	203	210
73.10 Total new obligations .....	223	240	251
73.20 Total outlays (gross) .....	–204	–233	–243
74.40 Obligated balance, end of year .....	203	210	218
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	122	72	75
86.93 Outlays from discretionary balances .....	82	161	168
87.00 Total outlays (gross) .....	204	233	243
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	223	240	251
90.00 Outlays .....	204	233	243

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105–277, established the Special Forfeiture Fund to be administered by the Director of the Office of National Drug Control Policy. The monies appropriated to the Fund support high-priority drug control programs and may be transferred to drug control agencies.

For 2003, funds appropriated to this account will be used for the following activities:

**National Youth Anti-Drug Media Campaign.**—The National Youth Anti-Drug Media Campaign is an integrated advertising and communications campaign harnessing the power

of the media and other organizations to educate America's youth to reject illegal drugs.

**Drug-Free Communities Program.**—The Drug-Free Communities Program provides grants to local community coalitions to support expansion of their efforts to reduce substance abuse among our youth.

**National Drug Court Institute.**—The National Drug Court Institute facilitates the growth of the drug court movement by: promoting and disseminating education, research and scholarship concerning drug court programs and providing a comprehensive drug court training series for practitioners.

**Counterdrug Intelligence Executive Secretariat.**—The Counterdrug Intelligence Executive Secretariat provides staff support to the Counterdrug Intelligence Coordinating Group (CDICG), an interagency body established to oversee and improve coordination of counterdrug intelligence programs.

**Anti-Doping Support.**—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic sports.

**National Alliance for Model State Drug Laws.**—This funding is provided to encourage States to adopt and implement laws, policies, and regulations to reduce drug trafficking, drug use, and their related consequences.

**World Anti-Doping Agency.**—ONDCP is a full participant in the World Anti-Doping Agency, and as such, is responsible for the associated dues.

**National Drug Control Performance Measures.**—This funding is provided to conduct evaluation research to assess the effectiveness of the National Drug Control Strategy.

**WORKLOAD**

	2001 actual	2002 est.	2003 est.
Grants Awarded to Community Coalitions .....	464	552	616
Number of Anti-Drug Ads Placed			
TV .....	44,305	56,314	60,535
Radio .....	36,735	32,972	48,881
Print .....	157	180	143
Multi-Cultural .....	70,666	57,367	75,955
Other .....	57,684	32,939	76,897
Number of Anti-Drug Ads Matched			
TV .....	57,633	52,232	74,223
Radio .....	40,435	34,801	52,581
Print .....	154	179	140
Multi-Cultural .....	67,576	57,976	72,375
Other .....	57,686	32,935	76,899

**Object Classification** (in millions of dollars)

Identification code 11–1460–0–1–802	2001 actual	2002 est.	2003 est.
25.2 Other services .....	185	192	195
41.0 Grants, subsidies, and contributions .....	38	48	56
99.9 Total new obligations .....	223	240	251

**COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the Counterdrug Technology Assessment Center for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998 (21 U.S.C. 1701 et seq.), **[\$42,300,000] \$40,000,000**, which shall remain available until expended, consisting of **[\$20,064,000] \$18,000,000** for counternarcotics research and development projects, and **[\$22,236,000] \$22,000,000** for the continued operation of the technology transfer program: *Provided, That the [\$20,064,000] \$18,000,000 for counternarcotics research and development projects shall be available for transfer to other Federal departments or agencies. (Executive Office Appropriations Act, 2002.)*

**General and special funds—Continued****COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

**Program and Financing** (in millions of dollars)

Identification code 11-1461-0-1-754	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Research and Development .....	18	20	18
00.02 Technology Transfer Program .....	18	22	22
10.00 Total new obligations (object class 25.3) .....	36	42	40
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	36	42	40
23.95 Total new obligations .....	-36	-42	-40
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	36	42	40
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		1	1
73.10 Total new obligations .....	36	42	40
73.20 Total outlays (gross) .....	-36	-42	-40
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	36	42	40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	36	42	40
90.00 Outlays .....	35	42	40

Pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998 (title VII of Division C of Public Law 105-277), the Counterdrug Technology Assessment Center serves as the central counterdrug research and development organization for the United States Government.

The Center operates two programs—a Research and Development program (R&D) and a Technology Transfer program (TTP):

- The R&D program identifies law enforcement's scientific and technological needs, coordinates Federal counterdrug R&D initiatives, supports improvements to counterdrug capabilities that transcend the need of any single Federal agency, and helps expand addiction and rehabilitation research and its associated technologies.
- The TTP provides state-of-the-art, affordable, easily integrated and maintainable tools to enhance the capabilities of State and local law enforcement agencies for counterdrug missions. The technologies transferred to State and local law enforcement agencies range from hand-held drug detection devices to major case-building computer systems.

**WORKLOAD**

	2001 actual	2002 est.	2003 est.
Equipment pieces provided by Technology Transfer Program ....	1,447	1,800	1,500

**FEDERAL ELECTION COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended, **[\$43,689,000]** **\$46,917,000**, of which **[no less than \$5,128,000 shall be available for internal automated data processing systems, and of which]** not to exceed \$5,000 shall be available for reception and representation expenses. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	41	46	47
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	41	46	47
23.95 Total new obligations .....	-41	-46	-47
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	41	46	47
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	7	5
73.10 Total new obligations .....	41	46	47
73.20 Total outlays (gross) .....	-42	-48	-48
74.40 Obligated balance, end of year .....	7	5	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	36	41	42
86.93 Outlays from discretionary balances .....	6	6	5
87.00 Total outlays (gross) .....	42	48	48
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	41	46	47
90.00 Outlays .....	42	48	48

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	40	44	45
90.00 Outlays .....	41	46	46

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

**Object Classification** (in millions of dollars)

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	22	24	25
12.1 Civilian personnel benefits .....	7	8	9
21.0 Travel and transportation of persons .....		1	1
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	2	2
25.2 Other services .....	2	4	4
25.7 Operation and maintenance of equipment .....	3	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	
99.9 Total new obligations .....	41	46	47

**Personnel Summary**

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	345	362	362

# FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

## Federal Funds

### General and special funds:

#### REGISTRY FEES

##### Unavailable Collections (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.00 Registry fees, Appraisal subcommittee .....	2	2	2
Appropriations:			
05.00 Registry fees .....	-2	-2	-2
07.99 Balance, end of year .....			

##### Program and Financing (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses .....	1	1	1
00.02 Grants, subsidies and contributions .....	1	1	1
10.00 Total new obligations .....	2	2	2

##### Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	4	4	4
22.00 New budget authority (gross) .....	2	2	2
23.90 Total budgetary resources available for obligation	6	6	6
23.95 Total new obligations .....	-2	-2	-2
24.40 Unobligated balance carried forward, end of year .....	4	4	4

##### New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund) .....	2	2	2

##### Change in obligated balances:

72.40 Obligated balance, start of year .....	1	1	
73.10 Total new obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-2	-2
74.40 Obligated balance, end of year .....	1		

##### Outlays (gross), detail:

86.97 Outlays from new mandatory authority .....	2	2	2
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##### Net budget authority and outlays:

89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and, (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treas-

ury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry.

#### Object Classification (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	2	2	2

#### Personnel Summary

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	7	7

## FEDERAL HOUSING FINANCE BOARD

### Federal Funds

#### Public enterprise funds:

##### FEDERAL HOUSING FINANCE BOARD

##### Program and Financing (in millions of dollars)

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.01 Operating Expenses .....	20	30	28
10.00 Total new obligations .....	20	30	28

##### Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	2	4	
22.00 New budget authority (gross) .....	22	26	28
23.90 Total budgetary resources available for obligation	24	30	28
23.95 Total new obligations .....	-20	-30	-28
24.40 Unobligated balance carried forward, end of year .....	4		

##### New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash) .....	22	26	28

##### Change in obligated balances:

72.40 Obligated balance, start of year .....	4	4	4
73.10 Total new obligations .....	20	30	28
73.20 Total outlays (gross) .....	-19	-30	-28
74.40 Obligated balance, end of year .....	4	4	4

##### Outlays (gross), detail:

86.97 Outlays from new mandatory authority .....	15	26	24
86.98 Outlays from mandatory balances .....	4	4	4
87.00 Total outlays (gross) .....	19	30	28

##### Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-22	-26	-28

##### Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....	-3	4	

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise the Banks; (3) to ensure that the Banks carry out their housing finance mission; and, (4)

**Public enterprise funds—Continued****FEDERAL HOUSING FINANCE BOARD—Continued**

to ensure the Banks remain adequately capitalized and able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member board of directors. The board of directors is composed of the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and, (4) use the United States mail in the same manner and under the same conditions as a department or agency of the United States.

**Object Classification (in millions of dollars)**

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	12	13
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	11	14	15
12.1 Civilian personnel benefits .....	2	5	5
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
31.0 Equipment .....	2	4	1
99.0 Reimbursable obligations .....	18	29	28
99.5 Below reporting threshold .....	2	1	.....
99.9 Total new obligations .....	20	30	28

**Personnel Summary**

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	105	125	128

**FEDERAL LABOR RELATIONS AUTHORITY****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, and rental of conference rooms in the District of Columbia and elsewhere, **[\$26,524,000]** **\$30,190,000: Provided**, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

(Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

**Program and Financing (in millions of dollars)**

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Federal labor relations authority .....	14	15	16
00.02 Office of the general counsel .....	11	13	13
00.03 Federal service impasses panel .....	1	1	1
10.00 Total new obligations .....	26	29	30
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	26	29	31
23.95 Total new obligations .....	-26	-29	-30
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	26	29	31
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3	3	3
73.10 Total new obligations .....	26	29	30
73.20 Total outlays (gross) .....	-25	-29	-31
74.40 Obligated balance, end of year .....	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	24	27	29
86.93 Outlays from discretionary balances .....	2	2	2
87.00 Total outlays (gross) .....	25	29	31
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	26	29	31
90.00 Outlays .....	25	29	31
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	25	27	29
90.00 Outlays .....	24	27	29

The Federal Labor Relations Authority (FLRA): (1) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute; (2) decides major policy issues; (3) prescribes regulations; and, (4) disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in case-related interventions and training and facilitation of labor-management relationships in its unified Collaboration and Alternative Dispute Resolution Program. Approximately 2000 case-related intervention services were conducted in 2001. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; and trains the parties on rights and responsibilities under the Federal Labor Relations Management Statute. In 2001, the FLRA conducted over 250 programs involving over 10,000 employees, union representatives, arbitrators, and other practitioners.

The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

**Authority.**—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.



Within the Authority, administrative law judges hold hearings on unfair labor practice complaints, issue reports, and make recommendations to the Authority to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Case dispositions are reflected in the following table:

**CASE DISPOSITIONS**

	2001 actual	2002 est.	2003 est.
Arbitration appeals .....	109	140	140
Negotiability appeals .....	60	60	60
Representation appeals/requests for review .....	15	17	17
Unfair labor practice appeals .....	61	57	57

**Office of the General Counsel.**—The functions of this office include: (1) investigating all allegations of unfair labor practices filed and the processing of all representation petitions received; (2) exercising final authority over the issuance and prosecution of all complaints; (3) supervising and conducting elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; (4) conducting all hearings to resolve disputed issues in representation cases; (5) preparing final decisions and orders in these cases; and, (6) directing and supervising all employees of the regional offices. Case dispositions are reflected in the following table:

**CASE DISPOSITIONS**

	2001 actual	2002 est.	2003 est.
Unfair labor practice cases:			
Investigations .....	6,111	5,850	5,850
Complaints prosecuted .....	68	65	65
Complaints voluntarily settled .....	317	320	320
Appeals .....	476	435	435
Representation cases:			
Investigations .....	946	1,150	1,150
Elections/hearings .....	140	150	150

**Federal Service Impasses Panel.**—The functions of the Panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978 and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

**CASE DISPOSITIONS**

	2001 actual	2002 est.	2003 est.
Impasse resolutions .....	205	205	205

**Object Classification (in millions of dollars)**

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	15	17	17
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	16	18	18
12.1 Civilian personnel benefits .....	4	6	6
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	1
99.0 Direct obligations .....	25	29	30
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	26	29	30

**Personnel Summary**

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	206	215	215

**FEDERAL MARITIME COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. App. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, **[\$16,458,000] \$18,566,000: Provided,** That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Formal proceedings .....	4	4	5
00.04 Operational and administrative .....	5	5	5
00.06 Trade Analysis .....	3	3	3
00.07 Consumer Complaints and Licensing .....	2	2	2
00.08 Enforcement .....	2	3	3
10.00 Total new obligations .....	16	17	18
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	16	17	18
23.95 Total new obligations .....	-16	-17	-18
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	16	17	18
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		2	1
73.10 Total new obligations .....	16	17	18
73.20 Total outlays (gross) .....	-15	-16	-16
74.40 Obligated balance, end of year .....	2	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	15	16	17
86.93 Outlays from discretionary balances .....	1	2	1
87.00 Total outlays (gross) .....	15	16	16
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	16	17	18
90.00 Outlays .....	16	16	16

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	16	17
90.00 Outlays .....	15	15	15

The Federal Maritime Commission (the Commission) regulates the international waterborne commerce of the United States. In addition, the Commission has responsibility for: licensing and bonding ocean transportation intermediaries and assuring that vessel owners or operators establish financial responsibility to pay judgments for death or injury to passengers, or nonperformance of a cruise, on voyages from U.S. ports. Major program areas for 2002 are: carrying out

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

investigations of foreign trade practices under the Foreign Shipping Practices Act; maintaining equitable trading conditions in U.S. ocean commerce; ensuring compliance with applicable shipping statutes; pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes; and, reviewing ocean carrier operational and pricing agreements to guard against excessively anticompetitive effects.

## Object Classification (in millions of dollars)

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	9	10	11
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	1	1	1
99.0 Direct obligations .....	15	16	17
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	16	17	18

## Personnel Summary

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	121	132	132

FEDERAL MEDIATION AND CONCILIATION  
SERVICE

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service to carry out the functions vested in it by the Labor Management Relations Act, 1947 (29 U.S.C. 171-180, 182-183), including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a); and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, Public Law 95-454 (5 U.S.C. ch. 71), **[\$39,982,000]** *\$42,216,000*, including \$1,500,000, to remain available through September 30, **[2003]** *2004*, for activities authorized by the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a): *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information .....	29	31	32
00.02 Arbitration services .....	1	1	1
00.03 Management and administrative support .....	7	7	7
00.04 Labor-management cooperation project .....	2	2	2
00.91 Total direct program .....	39	41	42
01.01 Reimbursable program .....	2	2	2
10.00 Total new obligations .....	41	43	44
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	3	1
22.00 New budget authority (gross) .....	41	43	44
23.90 Total budgetary resources available for obligation .....	43	46	45
23.95 Total new obligations .....	-41	-43	-44
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance carried forward, end of year .....	3	1	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	39	41	42
68.00 Spending authority from offsetting collections: Offsetting governmental collections .....	2	2	2
70.00 Total new budget authority (gross) .....	41	43	44
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	6	6	6
73.10 Total new obligations .....	41	43	44
73.20 Total outlays (gross) .....	-41	-43	-43
74.40 Obligated balance, end of year .....	6	6	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	38	39	40
86.93 Outlays from discretionary balances .....	3	5	4
87.00 Total outlays (gross) .....	41	43	43
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
88.40 Non-Federal sources .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-2	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	39	41	42
90.00 Outlays .....	38	41	41
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	38	40	41
90.00 Outlays .....	37	40	40

The Federal Mediation and Conciliation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

**Dispute mediation.**—The Service assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in re-

solving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

**DISPUTE MEDIATION WORKLOAD DATA**

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Dispute mediation assignments .....	19,200	19,574	19,116	19,500	19,500
Total mediation conferences closed .....	6,188	6,321	6,424	6,300	6,300

**PREVENTIVE MEDIATION WORKLOAD DATA**

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Total preventive mediation cases conducted .....	2,954	2,792	2,655	2,800	2,800
Total number of meetings conducted .....	36,618	34,634	33,557	35,330	35,500

*Preventive mediation, public information, and educational activities.*—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

*Arbitration services.*—The Service assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

**ARBITRATION SERVICES WORKLOAD DATA**

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Number of panels issued .....	19,490	18,833	18,305	18,800	18,800
Number of arbitrators appointed .....	9,558	8,829	8,749	8,800	8,800

*Management and administrative support.*—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

*Labor-management cooperation project.*—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

*Alternative Dispute Resolution (ADR) Projects.*—The Service assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

**ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA**

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Number of ADR Cases .....	590	798	917	800	800

**Object Classification (in millions of dollars)**

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	22	23	23
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	4	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Direct obligations .....	39	41	41
99.0 Reimbursable obligations .....	1	1	1
99.5 Below reporting threshold .....	1	1	2
99.9 Total new obligations .....	41	43	44

**Personnel Summary**

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	276	286	286
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	6	6	9

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Federal Mine Safety and Health Review Commission (30 U.S.C. 801 et seq.), **[\$6,939,000] \$7,455,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Commission review .....	4	4	4
00.02 Administrative law judge determinations .....	3	3	3
10.00 Total new obligations .....	6	7	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	6	7	7
23.95 Total new obligations .....	-6	-7	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation .....	6	7	7
Change in obligated balances:			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	6	7	7
73.20 Total outlays (gross) .....	-6	-7	-7
74.40 Obligated balance, end of year .....	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority .....	5	6	6
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	6	7	7
Net budget authority and outlays:			
89.00 Budget authority .....	6	7	7
90.00 Outlays .....	6	7	7

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Commission review activities:			
Cases pending beginning of year .....	34	26	20
Cases called for review .....	65	60	60
Cases decided .....	73	66	66
Administrative law judge activities:			
Cases pending beginning of year .....	1,563	1,418	1,068
New cases received .....	2,310	2,100	2,300
Cases decided .....	2,455	2,450	2,450

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Object Classification** (in millions of dollars)

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....		1	1
99.9 Total new obligations .....	6	7	7

**Personnel Summary**

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	42	48	48

**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD****Federal Funds****General and special funds:****PROGRAM EXPENSES****Unavailable Collections** (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.20 Reimbursement for program expenses .....	76	111	87
Appropriations:			
05.00 Program expenses .....	-76	-111	-87
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses .....	83	114	87
10.00 Total new obligations .....	83	114	87

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	79	114	87
22.10 Resources available from recoveries of prior year obligations .....	4		
23.90 Total budgetary resources available for obligation .....	83	114	87
23.95 Total new obligations .....	-83	-114	-87

**New budget authority (gross), detail:**

Mandatory:			
60.20 Appropriation (special fund) .....	79	114	87

**Change in obligated balances:**

72.40 Obligated balance, start of year .....	58	45	45
73.10 Total new obligations .....	83	114	87
73.20 Total outlays (gross) .....	-92	-114	-87
73.45 Recoveries of prior year obligations .....	-4		
74.40 Obligated balance, end of year .....	45	45	45

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	64	86	59
86.98 Outlays from mandatory balances .....	28	28	28
87.00 Total outlays (gross) .....	92	114	87

**Net budget authority and outlays:**

89.00 Budget authority .....	79	114	87
90.00 Outlays .....	92	114	87

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	76	111	84
90.00 Outlays .....	89	111	84

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

**Object Classification** (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	7	8
11.3 Other than full-time permanent .....		1	1
11.9 Total personnel compensation .....	7	8	9
12.1 Civilian personnel benefits .....	3	3	3
23.2 Rental payments to others .....	2	2	2
24.0 Printing and reproduction .....	5	8	5
25.1 Advisory and assistance services .....	1	2	1
25.2 Other services .....	4	7	3
25.3 Other purchases of goods and services from Government accounts .....	55	62	59
31.0 Equipment .....	6	22	5
99.9 Total new obligations .....	83	114	87

**Personnel Summary**

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	96	107	108

**INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND**

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; and an international stock index investment fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the Fund is shown below:

## STATUS OF THRIFT SAVINGS FUND

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Thrift Savings Fund investment balance, start of year .....	96,416	89,038	107,407
Receipts during the year:			
Employee contributions .....	6,553	7,731	7,934
Contributions on behalf of employees <sup>1</sup> .....	3,100	3,426	4,408
Earnings and adjustments <sup>2</sup> .....	-13,634	10,694	13,411
Total receipts .....	-3,981	21,851	25,753
Outlays during the year:			
Withdrawals .....	2,706	2,764	2,786
Loans to employees, net of payments .....	602	607	612
Administrative expenses .....	89	111	84
Total cash outlays .....	3,397	3,482	3,482
Thrift Savings Fund investment balance, end of year <sup>3</sup> .....	89,038	107,407	129,678

<sup>1</sup> 2001 Employer contributions included \$702 million in automatic contributions for FERS employees and \$2,398 million in matching contributions for FERS employees.

<sup>2</sup> 2001 Earnings included: return on investments in Government securities—\$1,893 million; return on investments in non-government instruments—\$—15,884 million; interest on loans—\$215 million; and agency payments for lost earnings—\$1 million.

<sup>3</sup> Investment fund balances at 9/30/2001 were: Government Securities Investment Fund—\$36,778 million; Barclays U.S. Debt Index Fund—\$7,015 million; Barclays Equity Index Fund—\$44,444 million; Barclays Extended Equity Market Fund—\$567 million; and Barclays EAFE Index Fund—\$233 million.

## FEDERAL TRADE COMMISSION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$2,000 for official reception and representation expenses, **[\$155,982,000] \$176,509,000, to remain available until expended: Provided,** That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: *Provided further,* That, notwithstanding any other provision of law, not to exceed **[\$155,982,000] \$173,509,000** of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation<sup>1</sup>, and shall remain available until expended<sup>2</sup>; and offsetting collections derived from fees, estimated at \$3,000,000, shall be assessed, collected, and used to cover the costs of developing, implementing, and maintaining a national database of telephone numbers of consumers who choose not to receive telephone solicitations, as authorized by the Telephone Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.): *Provided further,* That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2002] 2003**, so as to result in a final fiscal year **[2002] 2003** appropriation from the general fund estimated at not more than \$0<sup>3</sup>, to remain available until expended<sup>4</sup>: *Provided further,* That none of the funds made available to the Federal Trade Commission shall be available for obligation for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102–242; 105 Stat. 2282–2285). (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

## Unavailable Collections (in millions of dollars)

Identification code 29–0100–0–1–376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	3	1	3
Receipts:			
02.80 Salaries and expenses, offsetting collections .....	87	159	179
04.00 Total: Balances and collections .....	90	160	182
Appropriations:			
05.00 Salaries and expenses .....	-89	-157	-178
05.99 Total appropriations .....	-89	-157	-178

07.99 Balance, end of year .....	1	3	4
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## Program and Financing (in millions of dollars)

Identification code 29–0100–0–1–376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
01.01 Maintaining competition .....	28		
01.02 Consumer protection .....	31		
01.92 Subtotal, direct program .....	59		
Reimbursable program:			
09.01 Maintaining competition .....	42	75	79
09.02 Consumer protection .....	50	86	98
09.03 Reimbursable program .....	1	1	1
09.99 Total reimbursable program .....	93	162	178
10.00 Total new obligations .....	152	162	178
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	4	6	6
22.00 New budget authority (gross) .....	153	162	178
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation	158	168	184
23.95 Total new obligations .....	-152	-162	-178
24.40 Unobligated balance carried forward, end of year .....	6	6	6

## New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....	59		
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (HSR Fees) .....	91	163	175
68.00 Offsetting collections (Do Not Call Fees) .....			3
68.00 Offsetting collections (Fed Reimb Prgm) .....	1	1	1
68.26 From offsetting collections (unavailable balances)	2		5
68.45 Portion precluded from obligation (limitation on obligations) .....		-2	-6
68.90 Spending authority from offsetting collections (total discretionary) .....	94	162	178
70.00 Total new budget authority (gross) .....	153	162	178

## Change in obligated balances:

72.40 Obligated balance, start of year .....	17	29	27
73.10 Total new obligations .....	152	162	178
73.20 Total outlays (gross) .....	-139	-164	-177
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	29	27	28

## Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	137	149	164
86.93 Outlays from discretionary balances .....	2	15	13
87.00 Total outlays (gross) .....	139	164	177

## Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
Non-Federal sources:			
88.40 Non-Federal sources—HSR Fees .....	-91	-163	-175
88.40 Non-Federal sources—Do Not Call Fees .....			-3
88.90 Total, offsetting collections (cash) .....	-92	-164	-179

## Net budget authority and outlays:

89.00 Budget authority .....	61	-2	-1
90.00 Outlays .....	47		-2

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	61	-2	-6
90.00 Outlays .....	47		-7

The FTC seeks to protect consumers and enhance competition by eliminating unfair or deceptive acts or practices in

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

the marketing of goods and services and by ensuring that consumer markets function competitively. The FTC's work is based on the belief that competition among producers, and accurate information in the hands of consumers, bring the best products and lowest prices to marketplace, spur innovation, and strengthen the economy.

**Consumer protection.**—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to prevent fraud, deception, and unfair business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, and unfair practices through law enforcement; and, (3) prevent consumer injury through education.

**Maintaining competition.**—The Commission's efforts are aimed at fostering and preserving our competitive market. The goal of the maintaining competition mission is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify anticompetitive mergers and practices that cause the greatest consumer injury; (2) stop anticompetitive mergers and practices through law enforcement; and, (3) prevent consumer injury through education.

The President's 2003 request will fund a total 1,080 FTE, which includes 6 reimbursable FTE. The program level for the Commission will increase from \$155,982,000 in 2002 to \$176,509,000 in 2003, allowing the Commission to maintain the current performance of its missions. The 2003 requested program level will be fully funded by offsetting collections from two sources: Hart-Scott-Rodino Act premerger notification filings as authorized by 18 U.S.C. 18a; and new fees that will be assessed, collected and used to cover costs of developing, implementing and maintaining a national database of telephone numbers of consumers who choose not to receive telephone solicitations, as authorized by the Telephone Consumer and Abuse Prevention Act (15 U.S.C. 6101, *et seq.*, as amended).

## Object Classification (in millions of dollars)

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	28		
11.3 Other than full-time permanent .....	3		
11.5 Other personnel compensation .....	1		
11.9 Total personnel compensation .....	32		
12.1 Civilian personnel benefits .....	7		
21.0 Travel and transportation of persons .....	1		
23.1 Rental payments to GSA .....	6		
23.3 Communications, utilities, and miscellaneous charges .....	1		
25.1 Advisory and assistance services .....	5		
25.2 Other services .....	1		
25.3 Other purchases of goods and services from Government accounts .....	1		
25.4 Operation and maintenance of facilities .....	1		
31.0 Equipment .....	4		
99.0 Direct obligations .....	59		
99.0 Reimbursable obligations .....	93	162	178
99.9 Total new obligations .....	152	162	178

## Personnel Summary

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	404		
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	606	1,080	1,080

HARRY S. TRUMAN SCHOLARSHIP  
FOUNDATION

## Trust Funds

## HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

## Unavailable Collections (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.40 Interest on investments .....	4	4	4
Appropriations:			
05.00 Harry S. Truman memorial scholarship trust fund .....	-4	-4	-4
07.99 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Scholarship awards .....	1	2	2
00.02 Program administration .....	1	1	1
10.00 Total new obligations .....	2	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year .....	56	58	59
22.00 New budget authority (gross) .....	4	4	4
23.90 Total budgetary resources available for obligation .....	60	62	63
23.95 Total new obligations .....	-2	-3	-3
24.40 Unobligated balance carried forward, end of year .....	58	59	60
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund) .....	4	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year .....	1	1	2
73.10 Total new obligations .....	2	3	3
73.20 Total outlays (gross) .....	-2	-3	-3
74.40 Obligated balance, end of year .....	1	2	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority .....	1	1	1
86.98 Outlays from mandatory balances .....		3	2
87.00 Total outlays (gross) .....	2	3	3
Net budget authority and outlays:			
89.00 Budget authority .....	4	4	4
90.00 Outlays .....	2	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value .....	58	56	59
92.02 Total investments, end of year: Federal securities: Par value .....	56	59	59

Public Law 93-642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 80 new Truman Scholars. The maximum award is \$30,000 for four years.

**Scholarship awards.**—This activity is comprised of scholarships awarded to cover eligible educational expenses.

**Program administration.**—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

#### Object Classification (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	2	3	3

#### Personnel Summary

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	3	5	5

## INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

### Federal Funds

#### General and special funds:

##### PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498, as amended (20 U.S.C. 56 part A), **[\$4,490,000]** \$5,490,000, of which \$1,000,000 shall remain available until expended for construction of the Library Technology Center. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

#### Program and Financing (in millions of dollars)

Identification code 95-2900-0-1-502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the Institute .....	4	4	5
10.00 Total new obligations (object class 41.0) .....	4	4	5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4	4	5
23.95 Total new obligations .....	-4	-4	-5
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	4	4	5
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	4	4	5
73.20 Total outlays (gross) .....	-4	-4	-5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	4	4	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	4	5
90.00 Outlays .....	4	4	5

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts De-

velopment as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

**Payment to the Institute.**—This activity supports the operations of the Institute, and provides \$1 million in Federal matching funds for the construction of a library technology center.

## INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

### Federal Funds

#### General and special funds:

##### INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Intelligence Community Management Account, **[\$160,429,000]** \$150,979,000 of which **[\$28,003,000]** \$24,252,000 for the Advanced Research and Development Committee shall remain available until September 30, **[2003]** 2004: *Provided*, That of the funds appropriated under this heading, **[\$42,752,000]** \$34,100,000 shall be transferred to the Department of Justice for the National Drug Intelligence Center to support the Department of Defense's counter-drug intelligence responsibilities, and of the said amount, \$1,500,000 for Procurement shall remain available until September 30, **[2004]** 2005 and \$1,000,000 for Research, development, test and evaluation shall remain available until September 30, **[2003]** 2004: *Provided further*, That the National Drug Intelligence Center shall maintain the personnel and technical resources to provide timely support to law enforcement authorities to conduct document exploitation of materials collected in Federal, State, and local law enforcement activity. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

#### Program and Financing (in millions of dollars)

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	128	114	110
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	16	6	14
22.00 New budget authority (gross) .....	118	121	117
23.90 Total budgetary resources available for obligation .....	134	127	131
23.95 Total new obligations .....	-128	-114	-110
24.40 Unobligated balance carried forward, end of year .....	6	14	21
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	152	164	151
41.00 Transferred to other accounts .....	-34	-43	-34
43.00 Appropriation (total discretionary) .....	118	121	117
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	65	70	44
73.10 Total new obligations .....	128	114	110
73.20 Total outlays (gross) .....	-114	-141	-135
73.40 Adjustments in expired accounts (net) .....	-9		
74.40 Obligated balance, end of year .....	70	44	19
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	63	62	60
86.93 Outlays from discretionary balances .....	51	79	75
87.00 Total outlays (gross) .....	114	141	135
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	118	121	117
90.00 Outlays .....	114	141	135

**General and special funds—Continued**INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT—Continued  
(INCLUDING TRANSFER OF FUNDS)—Continued**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	115	118	114
90.00 Outlays .....	111	138	132

The Intelligence Community Management Account (ICMA) was established by Congressional direction to provide resources that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA includes the Community Management Staff, the National Intelligence Council, the Center for Security Evaluations, the Advanced Research and Development program, the National Counterintelligence Executive, and the National Drug Intelligence Center.

The Community Management Staff is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The National Counterintelligence Executive was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities. The National Drug Intelligence Center was established to coordinate strategic organizational drug intelligence from national security and law enforcement agencies.

**Object Classification** (in millions of dollars)

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent .....	29	29	29
12.1 Civilian personnel benefits .....	9	7	7
21.0 Travel and transportation of persons .....	4	2	2
25.2 Other services .....	80	73	69
26.0 Supplies and materials .....	3	1	1
31.0 Equipment .....	3	2	2
99.9 Total new obligations .....	128	114	110

**Personnel Summary**

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	304	322	315

**INTERNATIONAL TRADE COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized

by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$51,440,000] \$56,290,000**, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Research, investigations, and reports .....	50	55	56
10.00 Total new obligations .....	50	55	56
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		1	
22.00 New budget authority (gross) .....	50	53	56
22.10 Resources available from recoveries of prior year obligations .....	1	1	
23.90 Total budgetary resources available for obligation .....	51	55	56
23.95 Total new obligations .....	-50	-55	-56
24.40 Unobligated balance carried forward, end of year .....	1		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	50	53	56
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5	5	6
73.10 Total new obligations .....	50	55	56
73.20 Total outlays (gross) .....	-49	-53	-56
73.45 Recoveries of prior year obligations .....	-1	-1	
74.40 Obligated balance, end of year .....	5	6	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	44	50	53
86.93 Outlays from discretionary balances .....	5	3	3
87.00 Total outlays (gross) .....	49	53	56
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	50	53	56
90.00 Outlays .....	49	53	56

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	48	51	54
90.00 Outlays .....	47	51	54

The U.S. International Trade Commission is an independent, quasi-judicial federal agency established by Congress with a wide range of trade-related mandates. The mission of the Commission is twofold: administer U.S. trade remedy laws in a fair and objective manner; and provide the President, the United States Trade Representative, and the Congress with independent, quality advice and information on matters of international trade and competitiveness.

For 2003, the Commission requests an appropriation of \$56 million in order to fund existing mandated investigative activity and related operations, a mandatory 4.6 percent pay increase, and information technology projects that are designed to improve electronic transaction capability, provide broader public access to public data and other information, develop more timely and accurate trade information for the trade community, and improve transparency in the Commission's procedures and finances. The 2003 request represents a 5.4 percent increase over its 2002 funding availability of \$53 million and a 7.9 percent increase over the 2002 appropriation of \$51 million.

In September 2001, the Commission issued the fourth edition of its Strategic Plan and is currently implementing the 2002 Performance Plan. For the purpose of developing the



Strategic Plan, the Commission's functions were divided into five operations and, in order to facilitate the linkage of financial resources to the achievement of strategic goals, the budget justification is structured in the same manner. There are 19 strategic goals for the five operations. In 2000 and 2001 these goals were met in virtually all instances.

As presented in the Commission's Strategic Plan, there are five major operations that serve the Commission's external customers:

- *Import Injury Investigations:* These cover the conduct of the Commission's countervailing duty, antidumping, and sunset review investigations (collectively known as Title VII investigations), safeguards and market disruption investigations, and appellate litigation of challenges to the Commission's determinations.

- *Intellectual Property-Based Import Investigations:* These cover the conduct of the Commission's adjudicatory investigations (referred to as section 337 investigations) regarding alleged unfair methods of competition and unfair acts in the importation of goods into the United States and most frequently involve allegations of patent or trademark infringement.

- *Research:* This covers all activities related to the acquisition, maintenance, and application of analytical and technical trade expertise. This expertise is applied through studies regarding the performance and global competitiveness of various U.S. industries, the impact of changes in trade policy on the overall economy or subsets thereof, trade and competitiveness issues, and the probable economic effect of tariff reductions and trade agreements.

- *Trade Information Services:* This covers a wide range of activities that provide Commission staff, the Congress, the Executive Branch, and the general public with reliable and timely trade information and analysis. These activities include work on legislative reports for Congress, the maintenance and publication of Harmonized Tariff Schedule of the United States, maintenance of a tariff and trade database (Dataweb), and library services. Also included within this operation is the development of trade data compilations tailored for use by U.S. negotiators, and nomenclature expertise and related assistance to U.S. trade negotiating delegations (principally to the World Trade Organization and the World Customs Organization) as well as Congressional staff.

- *Trade Policy Support:* This covers direct support activities for policy makers such as the provision of technical expertise and objective information on trade issues to congressional committees and members' offices, the United States Trade Representative, interagency committees, and U.S. delegations to multilateral organizations.

All of these operations define the output of the Commission, emphasizing the benefits that the Commission provides in facilitating an open trading system based on the rule of law and economic self-interest. Within each operation, specific critical success indicators and strategic goals are identified. The Commission's Strategic Plan, Performance Plan, and Performance Report are available at <http://www.usitc.gov>.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

#### Object Classification (in millions of dollars)

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	28	32	33
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	30	33	34
12.1 Civilian personnel benefits .....	8	9	9
23.1 Rental payments to GSA .....	5	6	6
25.2 Other services .....	2	3	3

25.3 Other purchases of goods and services from Government accounts .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
99.9 Total new obligations .....	50	55	56

#### Personnel Summary

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	357	388	388

## JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

### Trust Funds

#### JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

#### Unavailable Collections (in millions of dollars)

Identification code 95-8282-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.40 Earnings on investments .....	3	2	3
Appropriations:			
05.00 James Madison Memorial Fellowship Trust Fund .....	-3	-2	-3
07.99 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 95-8282-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fellowship awards .....	1	1	1
00.02 Program administration .....	1	1	1
10.00 Total new obligations .....	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year .....	37	37	37
22.00 New budget authority (gross) .....	3	2	3
23.90 Total budgetary resources available for obligation .....	40	39	40
23.95 Total new obligations .....	-2	-2	-2
24.40 Unobligated balance carried forward, end of year .....	37	37	38

#### New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund) .....	3	2	3
Change in obligated balances:			
72.40 Obligated balance, start of year .....	1		
73.10 Total new obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-2	-2

#### Outlays (gross), detail:

86.97 Outlays from new mandatory authority .....	1	2	2
86.98 Outlays from mandatory balances .....	1		
87.00 Total outlays (gross) .....	2	2	2

#### Net budget authority and outlays:

89.00 Budget authority .....	3	2	3
90.00 Outlays .....	3	2	2

#### Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value .....	37	37	37
92.02 Total investments, end of year: Federal securities:			
Par value .....	37	37	45

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established

## JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND—Continued

the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 61 fellowships in 2001 and plans to award at least 65 in both 2002 and 2003.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

## Object Classification (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8282-0-7-502			
41.0 Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	2	2	2

## Personnel Summary

Identification code	2001 actual	2002 est.	2003 est.
95-8282-0-7-502			
1001 Total compensable workyears: Full-time equivalent employment .....	6	6	6

## JAPAN-UNITED STATES FRIENDSHIP COMMISSION

## Trust Funds

## JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

## Unavailable Collections (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
01.99 Balance, start of year .....	41	41	41
Receipts:			
02.40 Interest on investment in public debt securities .....	3	3	3
04.00 Total: Balances and collections .....	44	44	44
Appropriations:			
05.00 Japan-United States friendship trust fund .....	-3	-3	-3
07.99 Balance, end of year .....	41	41	41

## Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
Obligations by program activity:			
00.01 Grants .....	2	2	2
00.02 Administration .....	1	1	1
10.00 Total new obligations .....	3	3	3

## Budgetary resources available for obligation:

22.00 New budget authority (gross) .....	3	3	3
23.95 Total new obligations .....	-3	-3	-3

## New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund) .....	3	3	3
Change in obligated balances:			
73.10 Total new obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority .....	3	3	3
Net budget authority and outlays:			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	3	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value .....	42	42	42
92.02 Total investments, end of year: Federal securities:			
Par value .....	42	42	42

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

## Object Classification (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	3

## Personnel Summary

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
1001 Total compensable workyears: Full-time equivalent employment .....	4	5	5

## LEGAL SERVICES CORPORATION

## Federal Funds

## General and special funds:

## PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, as amended, \$329,300,000, of which \$310,000,000 is for basic field programs and required independent audits; **[\$2,500,000] \$2,600,000** is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; **[\$12,400,000] \$13,300,000** is for management and administration; and **[\$4,400,000] \$3,400,000** is for client self-help and information technology. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-0501-0-1-752			
Obligations by program activity:			
10.00 Total new obligations (object class 41.0) .....	330	329	329
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	330	329	329
23.95 Total new obligations .....	-330	-329	-329

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation .....	330	329	329
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent) .....	-1		
43.00	Appropriation (total discretionary) .....	329	329	329
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
70.00	Total new budget authority (gross) .....	330	329	329
Change in obligated balances:				
72.40	Obligated balance, start of year .....	28	37	37
73.10	Total new obligations .....	330	329	329
73.20	Total outlays (gross) .....	-320	-329	-334
74.40	Obligated balance, end of year .....	37	37	32
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	295	301	301
86.93	Outlays from discretionary balances .....	25	28	33
87.00	Total outlays (gross) .....	320	329	334
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-1		
Net budget authority and outlays:				
89.00	Budget authority .....	329	329	329
90.00	Outlays .....	320	329	334

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

#### ADMINISTRATIVE [PROVISIONS] PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to [2001] 2002 and [2002] 2003, respectively.

[Section 504(a)(16) of Public Law 104-134 is hereafter amended by striking "if such relief does not involve" and all that follows through "representation".] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

## MARINE MAMMAL COMMISSION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, as amended, [\$1,957,000] \$1,856,000. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-2200-0-1-302		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
10.00	Total new obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	2	2	2
23.95	Total new obligations .....	-2	-2	-2

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation .....	2	2	2
Change in obligated balances:				
73.10	Total new obligations .....	2	2	2
73.20	Total outlays (gross) .....	-1	-2	-2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	1	2	2
Net budget authority and outlays:				
89.00	Budget authority .....	2	2	2
90.00	Outlays .....	1	2	2

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

#### Object Classification (in millions of dollars)

Identification code 95-2200-0-1-302		2001 actual	2002 est.	2003 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	2	2	2

#### Personnel Summary

Identification code 95-2200-0-1-302		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment .....	9	10	10

## MERIT SYSTEMS PROTECTION BOARD

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, [\$30,555,000] \$33,279,000 together with not to exceed [\$2,520,000] \$2,785,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 41-0100-0-1-805		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Adjudication .....	27	28	28
00.02	Merit system studies .....	1	1	1
00.03	Management support .....	3	3	3
09.00	Reimbursable program .....	3	3	3
10.00	Total new obligations .....	34	35	35
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	34	35	35
23.95	Total new obligations .....	-34	-35	-35
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	31	33	33

**General and special funds—Continued****SALARIES AND EXPENSES—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

**Program and Financing (in millions of dollars)—Continued**

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	3	3	3
70.00 Total new budget authority (gross) .....	34	35	35
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5	11	7
73.10 Total new obligations .....	34	35	35
73.20 Total outlays (gross) .....	-28	-39	-35
74.40 Obligated balance, end of year .....	11	7	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	26	34	33
86.93 Outlays from discretionary balances .....	3	4	3
87.00 Total outlays (gross) .....	28	39	35
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-3	-3	-3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	31	33	33
90.00 Outlays .....	26	36	32

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	29	31	32
90.00 Outlays .....	24	34	31

Established by the Civil Service Reform Act of 1978, the Board serves as guardian of the Federal Government's merit-based system of employment, principally by hearing and deciding appeals from Federal employees of removals and other major personnel actions. The Board also hears and decides other types of civil service cases, reviews regulations of the Office of Personnel Management, and conducts studies of the merit systems. The intended results (outcomes) of MSPB's efforts are to assure that (1) personnel actions taken involving employees are processed within the law, and (2) actions taken by OPM and other agencies support and enhance Federal merit principles.

The number of decisions issued by the Board is shown in the following table:

**DECISIONS ISSUED**

	2001 actual	2002 est.	2003 est.
Retirement (legal-disability) .....	1,923	2,000	2,000
Adverse action appeals .....	3,466	3,600	3,600
Reduction-in-force appeals .....	188	300	300
Other .....	2,982	3,100	3,100

**Object Classification (in millions of dollars)**

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	16	17	17
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	17	18	19
12.1 Civilian personnel benefits .....	5	6	5
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services .....	4	3	4
31.0 Equipment .....	1	1	1

99.0 Direct obligations .....	30	30	32
99.0 Reimbursable obligations .....	3	3	3
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	34	35	35

**Personnel Summary**

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	196	202	202
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	26	26	26

## MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

**Federal Funds****General and special funds:****FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY TRUST FUND**

For payment to the Morris K. Udall Scholarship and Excellence in National Environmental Policy Trust Fund, pursuant to the Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992 (20 U.S.C. 5601 et seq.), \$1,996,000, to remain available until expended: *Provided*, That up to 60 percent of such funds may be transferred by the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation for the necessary expenses of the Native Nations Institute: *Provided further*, That not later than 90 days after the date of the enactment of this Act, the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation shall submit to the Committees on Appropriations a report describing the distribution of such funds. *(Independent Agencies Appropriations Act, 2002.)*

**Program and Financing (in millions of dollars)**

Identification code 95-0900-0-1-502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.3) .....	2	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	2	2
23.95 Total new obligations .....	-2	-1	-1
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	2	2	2
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	2	1	1
73.20 Total outlays (gross) .....	-2	-2	-2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	2	2

The General Fund payment to the Morris K. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Morris K. Udall Foundation. The Foundation awards scholarships, fellowships and grants, and funds activities of the Udall Center.

In 2000, Public Law 106-568 authorized the Morris K. Udall Foundation to establish training programs for professionals in health care policy and public policy, such as the Native Nations Institute (NNI). NNI, based at the University of Arizona, will provide Native Americans with leadership

and management training and analyze policies relevant to tribes.

#### ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$1,309,000, to remain available until expended. (*Independent Agencies Appropriations Act, 2002.*)

##### Unavailable Collections (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.20 Fees for services .....	1	2	2
02.80 Environmental dispute resolution fund, offsetting collections .....	1		
02.99 Total receipts and collections .....	2	2	2
Appropriations:			
05.00 Environmental dispute resolution fund .....	-2	-2	-2
05.99 Total appropriations .....	-2	-2	-2
07.99 Balance, end of year .....			

##### Program and Financing (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	4	5	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	3	
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	6	6	3
23.95 Total new obligations .....	-4	-5	-5
24.40 Unobligated balance carried forward, end of year .....	3		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	1	1
Mandatory:			
60.20 Appropriation (special fund) .....	1	2	2
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
70.00 Total new budget authority (gross) .....	3	3	3
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	3
73.10 Total new obligations .....	4	5	5
73.20 Total outlays (gross) .....	-3	-3	-3
74.40 Obligated balance, end of year .....	1	3	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	1	1
86.93 Outlays from discretionary balances .....	1		
86.97 Outlays from new mandatory authority .....	1	1	1
87.00 Total outlays (gross) .....	3	3	3
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	3	3
90.00 Outlays .....	3	3	3
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	3	2	4
92.02 Total investments, end of year: Federal securities: Par value .....	2	4	4

The U.S. Institute for Environmental Conflict Resolution is a Federal program established by P.L. 105-156 to assist

parties in resolving environmental, natural resource, and public lands conflicts. The Institute is part of the Morris K. Udall Foundation, and serves as an impartial, non-partisan institution providing professional expertise, services, and resources to all parties involved in such disputes. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental conflicts, how and when to bring all the parties to the table, and whether a third-party facilitator or mediator might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition, the Institute maintains a roster of qualified facilitators and mediators with substantial experience in environmental conflict resolution, and can help parties in selecting an appropriate neutral. (See [www.ecr.gov](http://www.ecr.gov) for more information about the Institute.)

##### Object Classification (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	3	4	4
99.9 Total new obligations .....	4	5	5

##### Personnel Summary

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	14	17	17

#### Trust Funds

##### MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

##### Unavailable Collections (in millions of dollars)

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	22	24	25
Receipts:			
02.40 General fund payments .....	2	2	2
02.41 Interest on investments .....	2	1	1
02.99 Total receipts and collections .....	4	3	3
04.00 Total: Balances and collections .....	26	27	28
Appropriations:			
05.00 Morris K. Udall Scholarship fund .....	-2	-2	-2
07.99 Balance, end of year .....	24	25	26

##### Program and Financing (in millions of dollars)

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	25	25	26
22.00 New budget authority (gross) .....	2	2	2
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	28	27	28
23.95 Total new obligations .....	-1	-1	-1
24.40 Unobligated balance carried forward, end of year .....	25	26	27
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	2	2	2
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	1	1	1

## MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross) .....	-2	-2	-2
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	1	2	2
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	26	27	32
92.02 Total investments, end of year: Federal securities: Par value .....	27	32	32

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy.

In 2001, the Foundation awarded 80 undergraduate scholarships. Eleven Native American Congressional Summer Internship Program recipients spent ten weeks in Congressional offices and the White House participating in a program created by the Udall Foundation. For the fifth year, the Foundation awarded two Graduate Fellowships to Ph.D. candidates whose dissertation topics were in the area of environmental public policy and conflict resolution and whose work contributed to the mission of the Foundation.

In 2002 and 2003, the Foundation will maintain its current level of scholarships, fellowships and internships.

## Personnel Summary

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	4	4

## NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

## Federal Funds

## General and special funds:

## OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, **[\$244,247,000]** \$263,933,000: *Provided*, That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to provide adequate storage for holdings. **[\$22,302,000]** *Provided further*, That of the funds made available, \$22,302,000 is for the electronic records archive, \$16,337,000 of which shall be available until September 30, 2004. **[1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. 552, App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Public Law 105-246, Executive Orders 11440, 10530, 11030, 12656, 12829, 12958, 13142, 13233; Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.]**

**[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operating Expenses",**

**\$1,600,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)**

## Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Records services .....	177	212	217
00.02 Archives related services .....	10	12	11
00.04 Archives II facility .....	24	22	22
00.05 Homeland security—information sharing .....			7
09.88 Reimbursable program .....	4	3	3
10.00 Total new obligations .....	215	249	260
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	15	15	15
22.00 New budget authority (gross) .....	217	250	260
23.90 Total budgetary resources available for obligation .....	232	265	275
23.95 Total new obligations .....	-215	-249	-260
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance carried forward, end of year .....	15	15	15
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	216	252	264
40.15 Appropriation (emergency) .....		2	
40.47 Portion applied to repay debt .....	-6	-7	-7
43.00 Appropriation (total discretionary) .....	210	247	257
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	6	3	3
68.10 Change in uncollected customer payments from Federal sources (unexpired) .....	1		
68.90 Spending authority from offsetting collections (total discretionary) .....	7	3	3
70.00 Total new budget authority (gross) .....	217	250	260
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	36	49	15
73.10 Total new obligations .....	215	249	260
73.20 Total outlays (gross) .....	-202	-283	-244
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-1		
74.10 Change in uncollected customer payments from Federal sources (expired) .....	1		
74.40 Obligated balance, end of year .....	49	15	31
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	183	220	228
86.93 Outlays from discretionary balances .....	19	64	17
87.00 Total outlays (gross) .....	202	283	244
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-9	-3	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts .....	3		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	210	247	257
90.00 Outlays .....	193	280	241
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	15	16	16
92.02 Total investments, end of year: Federal securities: Par value .....	16	16	16
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	203	240	250

90.00 Outlays ..... 186 273 234

The National Archives and Records Administration (NARA) provides for basic operations dealing with management of the Government's archives and records, operation of Presidential Libraries, and for the review for declassification of classified security information.

**Records services.**—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies the permanently valuable historical records of the Federal Government; the historical materials and Presidential records in Presidential Libraries; for preparing related publications and exhibit programs; and for conducting the appraisal of all Federal records.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also provides oversight for the information security program established by Executive Order 12958 as amended by Executive Order 13142 and reports annually to the President on the status of that program. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829.

NARA, in research and development collaboration with national and international partners, is building an Electronic Records Archives (ERA) that will ensure the preservation of and access to Government electronic records. The pace of technological progress makes formats in which the records are stored obsolete within a few years, threatening to make them inaccessible even if they are preserved intact. ERA will preserve electronic records, regardless of the original format, retain them indefinitely, and enable requesters to access them on computer systems now and in the future. In 2003, NARA will also continue work on the Electronic Records Management (ERM) initiative. The ERM will compliment the ERA research by piloting government-wide procedures and standards for managing electronic records.

**Archives related services.**—This activity provides for the publication of the *Federal Register*, the *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, and Presidential documents, and for a program to improve the quality of regulations and the public's access to them.

This activity also includes the administration and reference services portion for the National Historical Publications and Records Commission. This Commission makes grants nationwide to preserve and publish records that document American history.

**Archives II facility.**—Provides for construction and related services of the new archival facility which was opened to the public in 1993. Costs of construction are financed by \$302 million of federally guaranteed debt issued in 1989. Since 1994 and continuing in 2003, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

**Homeland security information sharing.**—\$7 million will be allocated for training personnel at the state and local level in the proper use and handling of classified and sensitive but unclassified homeland security information. Funding will also be used to facilitate security clearances for appropriate individuals at the state and local level, and to ensure that Federal agencies have the necessary classification authority for homeland security information.

## Object Classification (in millions of dollars)

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	66	75	80
11.3 Other than full-time permanent .....	2	2	3
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	70	79	85
12.1 Civilian personnel benefits .....	23	26	27
21.0 Travel and transportation of persons .....	1	2	3
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	7	13	13
24.0 Printing and reproduction .....	2	1	1
25.1 Advisory and assistance services .....	1	11	16
25.2 Other services .....	22	22	23
25.3 Other purchases of goods and services from Government accounts .....	6	4	6
25.4 Operation and maintenance of facilities .....	26	28	27
25.7 Operation and maintenance of equipment .....	12	9	10
26.0 Supplies and materials .....	4	6	6
31.0 Equipment .....	8	18	13
43.0 Interest and dividends .....	24	22	22
99.0 Direct obligations .....	211	246	257
99.0 Reimbursable obligations .....	4	3	3
99.9 Total new obligations .....	215	249	260

## Personnel Summary

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	1,259	1,394	1,414
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	58	67	63

## REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, **[\$39,143,000]** \$10,458,000, to remain available until expended<sup>[1]</sup>: *Provided*, That the Archivist of the United States is authorized, pursuant to 44 U.S.C. 2903, to construct a new Southeast Regional Archives on land to be acquired (Federal site), by direct payment or the provision of site improvements, from the State of Georgia or Clayton County or some other governmental authority thereof; such Federal site to be located near the campus of Clayton College and State University in Clayton County, Georgia, and abut land designated for construction of the Georgia State Archives facility, with both archival facilities co-located on a combined site. Of the funds provided in this account, \$28,500,000 shall be available until expended to be used for acquiring the Federal site, construction, and related services for building the new Federal archival facility, other related costs for improvement of the combined site which may also indirectly benefit the Georgia State Archives facility, and other necessary expenses<sup>[2]</sup>, of which \$1,250,000 is for the *Military Personnel Records Center preliminary design studies*, and \$3,250,000 is for *repairs to the Lyndon Baines Johnson Presidential Library Plaza*. (*Independent Agencies Appropriations Act, 2002.*)

<sup>[1]</sup>For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Repairs and Restoration", \$1,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 88-0302-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2) .....	87	40	10

## General and special funds—Continued

## REPAIRS AND RESTORATION—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 88-0302-0-1-804	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	23	37	37
22.00 New budget authority (gross)	102	40	10
23.90 Total budgetary resources available for obligation	125	77	47
23.95 Total new obligations	-87	-40	-10
24.40 Unobligated balance carried forward, end of year	37	37	37
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	102	39	10
40.15 Appropriation (emergency)		1	
43.00 Appropriation (total discretionary)	102	40	10
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	16	82	36
73.10 Total new obligations	87	40	10
73.20 Total outlays (gross)	-21	-85	-52
74.40 Obligated balance, end of year	82	36	-5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	9	19	5
86.93 Outlays from discretionary balances	12	67	47
87.00 Total outlays (gross)	21	85	52
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	102	40	10
90.00 Outlays	21	85	52

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide, and provides adequate storage for holdings. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings. Funding requested in 2003 will determine appropriate options for preserving and providing access to 20th century military service records. These funds will allow NARA to complete preliminary design studies and analysis, including work flow and cost estimates, for housing and access options for these massive and valuable records. Technology and facility approaches will be examined. Funding is also requested to assist with the repair of the plaza adjacent to the Lyndon Baines Johnson Presidential Library. This plaza is in need of critical repair due to water damage.

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION  
GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$6,436,000]** \$5,000,000, to remain available until expended. (*Independent Agencies Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 88-0301-0-1-804	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0)	6	6	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	6	6	5
23.90 Total budgetary resources available for obligation	6	7	5
23.95 Total new obligations	-6	-6	-5
24.40 Unobligated balance carried forward, end of year	1		

## New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	6	6	5
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	10	9	5
73.10 Total new obligations	6	6	5
73.20 Total outlays (gross)	-6	-11	-9
74.40 Obligated balance, end of year	9	5	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	2	5	5
86.93 Outlays from discretionary balances	4	5	5
87.00 Total outlays (gross)	6	11	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	6	6	5
90.00 Outlays	6	11	9

*National Historical Publications and Records Commission Grants.*—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

## Intragovernmental fund:

## RECORDS CENTER REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations	111	112	123
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	18	19	19
22.00 New budget authority (gross)	110	112	117
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	129	131	136
23.95 Total new obligations	-111	-112	-123
24.40 Unobligated balance carried forward, end of year	19	19	13
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	123	112	117
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-13		
68.90 Spending authority from offsetting collections (total discretionary)	110	112	117
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	-3	-3	-2
73.10 Total new obligations	111	112	123
73.20 Total outlays (gross)	-122	-111	-116
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	13		
74.40 Obligated balance, end of year	-3	-2	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	107	101	106
86.93 Outlays from discretionary balances	15	10	10
87.00 Total outlays (gross)	122	111	116
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-123	-112	-117



Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired) .....	13		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-1	-1	-1

The NARA Records Center Revolving Fund utilizes operations effectively by providing services on a standard price basis to Federal agency customers. The fund maintains low cost, quality storage and accession, reference, refile, and disposal services for records stored in service centers.

**Object Classification** (in millions of dollars)

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	35	36	37
11.3 Other than full-time permanent .....	3	4	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	40	42	43
12.1 Civilian personnel benefits .....	13	14	15
22.0 Transportation of things .....	1	1	1
23.1 Rental payments to GSA .....	38	40	43
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	5	4	4
25.3 Other purchases of goods and services from Government accounts .....	4	3	3
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
32.0 Land and structures .....	2	1	7
99.9 Total new obligations .....	111	112	123

**Personnel Summary**

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	1,194	1,213	1,213

**Trust Funds****NATIONAL ARCHIVES GIFT FUND****Unavailable Collections** (in millions of dollars)

Identification code 88-8127-0-7-804	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.00 National Archives gift fund .....	2	2	1
02.40 Interest on investments, National Archives gift fund .....	1		
02.99 Total receipts and collections .....	3	2	1
Appropriations:			
05.00 National Archives gift fund .....	-3	-2	-1
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 88-8127-0-7-804	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	4	2	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	8	7	7
22.00 New budget authority (gross) .....	3	2	1
23.90 Total budgetary resources available for obligation .....	11	9	8
23.95 Total new obligations .....	-4	-2	-2
24.40 Unobligated balance carried forward, end of year .....	7	7	6

**New budget authority (gross), detail:**

Mandatory:				
60.26	Appropriation (trust fund) .....	3	2	1
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	2	1	1
73.10	Total new obligations .....	4	2	2
73.20	Total outlays (gross) .....	-6	-3	-2
74.40	Obligated balance, end of year .....	1	1	1

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	3	2	1
86.98	Outlays from mandatory balances .....	3		
87.00	Total outlays (gross) .....	6	3	2

**Net budget authority and outlays:**

89.00	Budget authority .....	3	2	1
90.00	Outlays .....	4	3	2

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities:			
	Par value .....	5	4	6
92.02	Total investments, end of year: Federal securities:			
	Par value .....	4	6	6

The National Archives Trust Fund Board may solicit and accept gifts or bequests of money, securities, or other personal property, for the benefit of or in connection with the national archival and records activities administered by the National Archives and Records Administration (44 U.S.C. 2305).

In accordance with 44 U.S.C. 2112, the Bush Presidential Library received a \$4 million endowment from the Bush Library Foundation. The money was deposited in the gift fund and invested in accordance with established National Archives Trust and Gift Fund procedures. Income earned on the investment will be used to offset a portion of the Library's operation and maintenance costs.

**NATIONAL ARCHIVES TRUST FUND****Unavailable Collections** (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.80 National Archives trust fund, offsetting collections .....	16	16	15
Appropriations:			
05.00 National Archives trust fund .....	-16	-16	-15
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.01 Sales .....	10	10	9
09.02 Presidential libraries .....	6	7	7
10.00 Total new obligations .....	16	17	16
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	10	11	9
22.00 New budget authority (gross) .....	16	16	15
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	27	27	24
23.95 Total new obligations .....	-16	-17	-16
24.40 Unobligated balance carried forward, end of year .....	11	9	6

**New budget authority (gross), detail:**

Mandatory:				
69.00	Offsetting collections (cash) .....	16	16	15

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	3	2	2
73.10	Total new obligations .....	16	17	16

## NATIONAL ARCHIVES TRUST FUND—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross) .....	-16	-16	-14
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	2	2	4
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	16	16	15
86.98 Outlays from mandatory balances .....		1	1
87.00 Total outlays (gross) .....	16	16	14
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-16	-16	-15
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			-1
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	13	13	
92.02 Total investments, end of year: Federal securities: Par value .....	13		

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

## Object Classification (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	3	3	3
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....			1
25.2 Other services .....	4	3	3
25.3 Other purchases of goods and services from Government accounts .....	4	5	4
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....		1	
99.9 Total new obligations .....	16	17	16

## Personnel Summary

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	114	120	120

## NATIONAL CAPITAL PLANNING COMMISSION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 U.S.C. 71-71i), including services as authorized by 5 U.S.C. 3109, **[\$7,253,000] \$7,546,000: Provided,** That all appointed members of the Commission will be compensated at a rate not to exceed the daily equivalent of the annual rate of pay for positions at level IV of the Executive Schedule for each day such member is engaged in the actual performance of duties. (*Depart-*

*ment of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for “Salaries and Expenses” of the National Capital Planning Commission, \$758,000, to be obligated from amounts made available in Public Law 107-38.】 (*Emergency Supplemental Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	7	8	7
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	7
23.95 Total new obligations .....	-7	-8	-7
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	7	8	7
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
70.00 Total new budget authority (gross) .....	8	8	7
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	3		
73.10 Total new obligations .....	7	8	7
73.20 Total outlays (gross) .....	-11	-8	-7

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	8	8	7
86.93 Outlays from discretionary balances .....	3		
87.00 Total outlays (gross) .....	11	8	7
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1		

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	7	8	7
90.00 Outlays .....	9	8	7

The National Capital Planning Commission (NCP) is the central planning agency for the Federal government in the National Capital Region. It develops long-range plans and conducts project reviews in order to ensure the orderly development of the National Capital Region. In 2003, NCP will work with its federal partners and the District of Columbia to fully implement the National Capital Urban Design and Security Plan approved in 2002. This plan will serve as a guide to federal agencies in the design and construction of streetscape projects that will enhance the security of federal facilities and preserve the historic design of the nation's capital.

## Object Classification (in millions of dollars)

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	4	5	5
23.1 Rental payments to GSA .....	1	1	1
25.1 Advisory and assistance services .....	1	2	1
99.0 Direct obligations .....	6	8	7
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	7	8	7

## Personnel Summary

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	51	57	57

**NATIONAL COMMISSION ON LIBRARIES AND  
INFORMATION SCIENCE****Federal Funds****General and special funds:****[SALARIES AND EXPENSES]**

For necessary expenses for the National Commission on Libraries and Information Science, established by the Act of July 20, 1970 (Public Law 91-345, as amended), \$1,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	2	1	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	
23.95 Total new obligations .....	-2	-1	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	1	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	2	1
73.10 Total new obligations .....	2	1	
73.20 Total outlays (gross) .....	-1	-2	-1
74.40 Obligated balance, end of year .....	2	1	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		1	
86.93 Outlays from discretionary balances .....	-1		
87.00 Total outlays (gross) .....	1	2	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	
90.00 Outlays .....	1	2	1

The Commission has been responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, for advising the President and the Congress on implementation of national and international library and information services policies, and for providing advice on general policies about library services under the Museum and Library Services Act.

The Administration requests no funding for this agency in 2003. The Administration believes that other agencies can take on the responsibilities of the Commission that continue to be necessary. Its other activities have failed to demonstrate that their results justify their costs.

**Object Classification** (in millions of dollars)

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	
99.5 Below reporting threshold .....	1		
99.9 Total new obligations .....	2	1	

**Personnel Summary**

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	8	8	

**NATIONAL COUNCIL ON DISABILITY****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, as amended, [\$2,830,000] \$2,884,271. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	2	3	3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3	3	3
23.95 Total new obligations .....	-2	-3	-3
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	3	3	3
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	2	3
73.10 Total new obligations .....	2	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
74.40 Obligated balance, end of year .....	2	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	2	3	3

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

**Object Classification** (in millions of dollars)

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	2	2
99.9 Total new obligations .....	2	3	3

**Personnel Summary**

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	11	13	13

## NATIONAL CREDIT UNION ADMINISTRATION

## Federal Funds

## Public enterprise funds:

## OPERATING FUND

## Program and Financing (in millions of dollars)

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.01 Examination and supervision .....	85	98	97
09.03 Administration .....	43	52	52
09.99 Total reimbursable program .....	128	150	149
10.00 Total new obligations .....	128	150	149
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	-7	-33	-33
22.00 New budget authority (gross) .....	100	150	149
23.90 Total budgetary resources available for obligation	93	117	116
23.95 Total new obligations .....	-128	-150	-149
24.40 Unobligated balance carried forward, end of year .....	-33	-33	-33
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	100	150	149
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	28	56	56
73.10 Total new obligations .....	128	150	149
73.20 Total outlays (gross) .....	-100	-150	-149
74.40 Obligated balance, end of year .....	61	61	61
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	100	150	149
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-84	-100	-99
88.40 Non-Federal sources .....	-16	-50	-50
88.90 Total, offsetting collections (cash) .....	-100	-150	-149
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		1	
90.00 Outlays .....	-1	1	
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....	26	28	28
92.02 Total investments, end of year: Federal securities:			
Par value .....	28	28	28

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
90.00 Outlays .....	-1		

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) Insuring deposits of Federal credit unions, (b) chartering new Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 66.72 percent. Data relating to activities are shown below:

Item:	2001 actual	2002 est.	2003 est.
Number of new Federal credit unions chartered .....	7	6	6
Number of operating Federal credit unions .....	6230	6043	5862
Assets of Federal credit unions as of June 30 (in millions)	\$262,574	\$270,077	\$284,000

## Object Classification (in millions of dollars)

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	76	83	82
11.3 Other than full-time permanent .....	3	3	3
11.9 Total personnel compensation .....	79	86	85
12.1 Civilian personnel benefits .....	24	27	26
21.0 Travel and transportation of persons .....	12	13	13
23.3 Communications, utilities, and miscellaneous charges	3	4	4
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	8	18	19
31.0 Equipment .....	1	1	1
99.9 Total new obligations .....	128	150	149

## Personnel Summary

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	996	995	975

## CREDIT UNION SHARE INSURANCE FUND

## Program and Financing (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities .....	81	97	96
00.03 Other .....	2	2	3
00.91 Total operating expenses .....	83	99	99
01.01 Insurance Premium Rebate .....	99		100
01.02 Liquidation Expenses .....	16	16	18
01.91 Direct Program .....	115	16	118
10.00 Total new obligations .....	198	115	217
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	4,515	4,929	5,376
22.00 New budget authority (gross) .....	612	562	578
23.90 Total budgetary resources available for obligation	5,127	5,491	5,954
23.95 Total new obligations .....	-198	-115	-217
24.40 Unobligated balance carried forward, end of year .....	4,929	5,376	5,737
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	612	562	578
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	-185	-399	-393
73.10 Total new obligations .....	198	115	217
73.20 Total outlays (gross) .....	-412	-109	-212
74.40 Obligated balance, end of year .....	-399	-393	-388
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	412	109	212
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities .....	-238	-248	-207
Non-Federal sources:			
88.40 Deposit from members .....	-373	-302	-371
88.40 Recoveries on assets acquired .....		-5	
88.40 Other interest income .....	-1	-7	
88.90 Total, offsetting collections (cash) .....	-612	-562	-578
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			

90.00	Outlays .....	—200	—453	—366
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**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities:			
	Par value .....	4,346	4,543	4,916
92.02	Total investments, end of year: Federal securities:			
	Par value .....	4,543	4,916	5,321

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....	4	3	4
2150 Total guaranteed loan commitments .....	4	3	4
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	4	7	9
2231 Disbursements of new guaranteed loans .....	4	3	4
2251 Repayments and prepayments .....	—1	—1	—6
2290 Outstanding, end of year .....	7	9	7
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	7	9	7

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

**Budget program.**—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 66.72 percent for 2001, and will be 62 percent for 2002.

The extent of the program is estimated as follows:

Item:	2001 actual	2002 est.	2003 est.
Number of insured credit unions .....	10,145	9,841	9,545
Insured shares of member institutions as of June 30 (in millions of dollars) .....	\$386,624	\$402,089	\$418,173

It is estimated that approximately 3,914 State-chartered credit unions will be enrolled in the program by the end of 2002.

**Financing.**—For insurance year 2001 there was no annual insurance premium assessment. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In 2001 the income generated from the 1 percent deposit eliminated the need to assess a premium. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies. The reserve requirement was changed in 2000 due to the provisions of the Credit Union Membership Access Act (P.L. 105-219), which requires the normal operating level, an equity ratio specified by the Board, to be not less than 1.2 percent and not more than 1.5 percent. For 2001, the Board set the normal operating level at 1.3 percent prior to the beginning of the calendar year.

**Operating results.**—Anticipated net income of \$175 million will be retained in the fund, raising the balance to \$5.3 billion by the end of 2002.

**Object Classification** (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.
25.2 Other services .....	83	99	99
42.0 Insurance claims and indemnities .....	16	16	18
44.0 Refunds .....	99		100
99.9 Total new obligations .....	198	115	217

**CENTRAL LIQUIDITY FACILITY**

(INCLUDING TRANSFER OF FUNDS)

During fiscal year [2002] 2003, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall not exceed \$1,500,000,000: *Provided*, That administrative expenses of the Central Liquidity Facility shall not exceed \$309,000: *Provided further*, That \$1,000,000 shall be transferred to the Community Development Revolving Loan Fund, of which [\$650,000] \$700,000, together with amounts of principal and interest on loans repaid, shall be available until expended for loans to community development credit unions, and [\$350,000] \$300,000 shall be available until expended for technical assistance to low-income and community development credit unions. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.03 Dividends on capital stock .....	49	57	62
09.09 Operating Expenses—subtotal .....	49	57	62
Capital Investment:			
09.11 Net loans to credit unions, total Capital investment, funded .....	66	60	60
09.19 Total capital investment—subtotal .....	66	60	60
10.00 Total new obligations .....	115	117	122
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	116	119	122
23.95 Total new obligations .....	—115	—117	—122
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	115	118	121
70.00 Total new budget authority (gross) .....	116	119	122
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	115	117	122
73.20 Total outlays (gross) .....	—115	—119	—121
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	115	119	121
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Non-Federal Capital Stock Purchases .....	—63	—66	—67
88.40 Non-Federal sources .....	—52	—52	—54
88.90 Total, offsetting collections (cash) .....	—115	—118	—121
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	

**Public enterprise funds—Continued****CENTRAL LIQUIDITY FACILITY—Continued**  
(INCLUDING TRANSFER OF FUNDS)—Continued**Status of Direct Loans** (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....	20,700	1,500	1,500
1142 Unobligated direct loan limitation (—) .....	—20,700	—1,500	—1,500
1150 Total direct loan obligations .....			

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

**Object Classification** (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
33.0 Investments and loans .....	66	60	60
43.0 Interest and dividends .....	49	57	62
99.9 Total new obligations .....	115	117	122

**Personnel Summary**

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2	2	2

**COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND****Program and Financing** (in millions of dollars)

Identification code 25-4472-0-3-373	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 33.0) .....	3	5	4
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	2	1
22.00 New budget authority (gross) .....	4	4	5
23.90 Total budgetary resources available for obligation .....	5	6	6
23.95 Total new obligations .....	—3	—5	—4
24.40 Unobligated balance carried forward, end of year .....	2	1	2
<b>New budget authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
Mandatory:			
69.00 Offsetting collections (cash) .....	3	3	4
70.00 Total new budget authority (gross) .....	4	4	5
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....		1	5
73.10 Total new obligations .....	3	5	4
73.20 Total outlays (gross) .....	—2	—1	—4
74.40 Obligated balance, end of year .....	1	5	5
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	3	1	1

86.98 Outlays from mandatory balances .....			1
87.00 Total outlays (gross) .....	2	1	4
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	—1	—1	—1
88.40 Non-Federal sources .....	—3	—3	—4
88.90 Total, offsetting collections (cash) .....	—4	—4	—5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	—1	—3	—1
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value .....		2	
92.02 Total investments, end of year: Federal securities:			
Par value .....	2		1

**Status of Direct Loans** (in millions of dollars)

Identification code 25-4472-0-3-373	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	10	14	15
1150 Total direct loan obligations .....	10	14	15
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	11	10	14
1231 Disbursements: Direct loan disbursements .....	2	7	5
1251 Repayments: Repayments and prepayments .....	—3	—3	—4
1290 Outstanding, end of year .....	10	14	15

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$3 million in 2001 and plans to disburse \$6 million in 2002.

**NATIONAL EDUCATION GOALS PANEL****Federal Funds****General and special funds:****[NATIONAL EDUCATION GOALS PANEL]**

[For expenses necessary for costs associated with the termination of the National Education Goals Panel, \$400,000.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act of 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 95-2650-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 99.5) .....	1		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2		
23.95 Total new obligations .....	—1		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	2		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	1	
73.10 Total new obligations .....	1		
73.20 Total outlays (gross) .....	—2	—1	
74.40 Obligated balance, end of year .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1		

86.93	Outlays from discretionary balances .....	1	1	.....
87.00	Total outlays (gross) .....	2	1	.....
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2	.....	.....
90.00	Outlays .....	2	1	.....

The bipartisan National Education Goals Panel was an independent agency responsible for reporting on progress toward the National Education Goals. Its mission is complete, therefore no new appropriation is requested.

#### Personnel Summary

Identification code 95-2650-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	2	.....

## NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

### Federal Funds

#### General and special funds:

#### NATIONAL ENDOWMENT FOR THE ARTS GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$98,234,000]** \$100,382,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts through assistance to organizations and individuals pursuant to sections 5(c) and 5(g) of the Act, for program support, and for administering the functions of the Act, to remain available until expended: *Provided*, That funds previously appropriated to the National Endowment for the Arts "Matching Grants" account may be transferred to and merged with this account. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Unavailable Collections (in millions of dollars)

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	.....	.....	.....
Receipts:			
02.00 Gifts and donations .....	1	1	1
Appropriations:			
05.00 Gifts fund .....	-1	-1	-1
07.99 Balance, end of year .....	.....	.....	.....

#### Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Obligations by Program Activity:			
00.01 Promotion of the arts .....	81	80	80
00.03 Program Support .....	1	1	1
00.04 Salaries and Expenses .....	18	19	20
00.91 Subtotal .....	100	100	101
01.02 Permanent Authority .....	1	1	1
09.00 Reimbursable program .....	5	5	4
10.00 Total new obligations .....	106	106	106
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	3	2	1
22.00 New budget authority (gross) .....	105	105	105
22.10 Resources available from recoveries of prior year obligations .....	2	.....	.....
23.90 Total budgetary resources available for obligation	110	107	106
23.95 Total new obligations .....	-106	-106	-106
24.40 Unobligated balance carried forward, end of year .....	2	1	1

#### New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation .....	99	99	100
Mandatory:			
60.26 Appropriation (trust fund) .....	1	1	1
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	5	5	4
70.00 Total new budget authority (gross) .....	105	105	105

#### Change in obligated balances:

72.40 Obligated balance, start of year .....	101	97	96
73.10 Total new obligations .....	106	106	106
73.20 Total outlays (gross) .....	-108	-108	-107
73.40 Adjustments in expired accounts (net) .....	-1	.....	.....
73.45 Recoveries of prior year obligations .....	-2	.....	.....
74.40 Obligated balance, end of year .....	97	96	95

#### Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	35	35	40
86.93 Outlays from discretionary balances .....	72	72	66
86.97 Outlays from new mandatory authority .....	1	1	1
87.00 Total outlays (gross) .....	108	108	107

#### Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5	-5	-4

#### Net budget authority and outlays:

89.00 Budget authority .....	100	100	99
90.00 Outlays .....	103	103	103

#### Memorandum (non-add) entries:

92.02 Total investments, end of year: Federal securities:	.....	.....	.....
Par value .....	.....	.....	.....

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	99	99	98
90.00 Outlays .....	102	102	102

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent in specified fields, and State or regional organizations engaged in or concerned with the arts in service to the American public. Programs encourage individual and institutional development of the arts, education in the arts, preservation of America's artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

#### Object Classification (in millions of dollars)

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	9	9	10

## General and special funds—Continued

## NATIONAL ENDOWMENT FOR THE ARTS—Continued

## GRANTS AND ADMINISTRATION—Continued

## Object Classification (in millions of dollars)—Continued

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	11	11	12
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	81	80	80
99.0 Direct obligations .....	101	101	101
99.0 Reimbursable obligations .....	5	5	4
99.9 Total new obligations .....	106	106	106

## Personnel Summary

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	151	150	150

## CHALLENGE AMERICA ARTS FUND

## CHALLENGE AMERICA GRANTS

For necessary expenses as authorized by Public Law 89-209, as amended, \$17,000,000 for support for arts education and public outreach activities, to be administered by the National Endowment for the Arts, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 59-0400-0-1-503	2001 actual	2002 est.	2003 est.
Promotion of the Arts:			
Obligations by program activity:			
00.01 Challenge America .....	7	17	17
10.00 Total new obligations (object class 41.0) .....	7	17	17
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	7	17	17
23.95 Total new obligations .....	-7	-17	-17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation .....	7	17	17
Change in obligated balances:			
72.40 Obligated balance, start of year .....		5	13
73.10 Total new obligations .....	7	17	17
73.20 Total outlays (gross) .....	-2	-9	-16
74.40 Obligated balance, end of year .....	5	13	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority .....	2	6	6
86.93 Outlays from discretionary balances .....		4	11
87.00 Total outlays (gross) .....	2	9	16
Net budget authority and outlays:			
89.00 Budget authority .....	7	17	17
90.00 Outlays .....	2	9	16

## Federal Funds

## General and special funds:

## NATIONAL ENDOWMENT FOR THE HUMANITIES

## GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$108,382,000] \$110,771,000**, shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until expended.

## MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, **\$16,122,000**, to remain available until expended, of which **[\$12,122,000] \$10,436,000** shall be available to the National Endowment for the Humanities for the purposes of section 7(h): *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Unavailable Collections (in millions of dollars)

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.00 Gifts and donations .....	2	1	1
Appropriations:			
05.00 Grants and administration .....	-2	-1	-1
07.99 Balance, end of year .....			

## Program and Financing (in millions of dollars)

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Promotion of the humanities .....	106	106	106
00.02 Administration .....	19	21	22
09.00 Reimbursable program .....	1	1	1
10.00 Total new obligations .....	126	128	129
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year .....	4	3	4
22.00 New budget authority (gross) .....	124	128	129
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	129	131	133
23.95 Total new obligations .....	-126	-128	-129
24.40 Unobligated balance carried forward, end of year .....	3	4	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation .....	121	126	127
Mandatory:			
60.26 Appropriation (trust fund) .....	2	1	1
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00 Total new budget authority (gross) .....	124	128	129
Change in obligated balances:			
72.40 Obligated balance, start of year .....	93	97	98
73.10 Total new obligations .....	126	128	129
73.20 Total outlays (gross) .....	-121	-126	-127
73.40 Adjustments in expired accounts (net) .....	-1		
73.45 Recoveries of prior year obligations .....	-1		
74.40 Obligated balance, end of year .....	97	98	99
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority .....	120	124	122
86.93 Outlays from discretionary balances .....	1	1	4



86.97	Outlays from new mandatory authority .....	1	1	1
87.00	Total outlays (gross) .....	121	126	127
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-1	-1	-1
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	123	127	128
90.00	Outlays .....	119	125	126
<b>Memorandum (non-add) entries:</b>				
92.01	Total investments, start of year: Federal securities: Par value .....	1	1	1
92.02	Total investments, end of year: Federal securities: Par value .....	1	1	1

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	122	126	127
90.00 Outlays .....	119	124	125

The National Endowment for the Humanities supports educational and scholarly activities in the humanities, the preservation of America's cultural and intellectual resources, and opportunities for Americans to engage in lifelong learning in the humanities. In 2003, the NEH will continue with important activities including partnerships with State humanities councils, efforts to preserve brittle books and serials, the strengthening of humanities teaching and learning, and museum exhibitions, documentary media projects, and reading programs that reach popular audiences. The Endowment will also strengthen its efforts to collect, analyze, and disseminate information on the state of the humanities.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, and individuals.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

**Object Classification** (in millions of dollars)

Identification code 59-0200-0-1-503		2001 actual	2002 est.	2003 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	11	11	12
12.1	Civilian personnel benefits .....	4	4	4
23.1	Rental payments to GSA .....	2	2	2
25.2	Other services .....	1	1	1
41.0	Grants, subsidies, and contributions .....	106	106	106
99.0	Direct obligations .....	124	124	125
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....	1	3	3
99.9	Total new obligations .....	126	128	129

**Personnel Summary**

Identification code 59-0200-0-1-503		2001 actual	2002 est.	2003 est.
Direct:				
1001	Total compensable workyears: Full-time equivalent employment .....	165	170	170

Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment .....	3	5	5

**ADMINISTRATIVE PROVISION**

An administrative provision affecting this agency follows the Institute of Museum and Library Services.

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES**

**Federal Funds**

**General and special funds:**

**OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION**

For carrying out subtitle C of the Museum and Library Services Act of 1996, as amended, **[\$26,899,000]** \$29,022,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing language required.*)

**Program and Financing** (in millions of dollars)

Identification code 59-0300-0-1-503		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Assistance for museums .....	23	24	25
00.02	Administration .....	2	3	4
10.00	Total new obligations .....	25	27	29
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year .....	1	1	
22.00	New budget authority (gross) .....	25	27	29
23.90	Total budgetary resources available for obligation .....	26	28	29
23.95	Total new obligations .....	-25	-27	-29
24.40	Unobligated balance carried forward, end of year .....	1		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation .....	25	27	29
Change in obligated balances:				
72.40	Obligated balance, start of year .....	35	37	29
73.10	Total new obligations .....	25	27	29
73.20	Total outlays (gross) .....	-24	-35	-36
74.40	Obligated balance, end of year .....	37	29	22
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	24	26	22
86.93	Outlays from discretionary balances .....		10	14
87.00	Total outlays (gross) .....	24	35	36
Net budget authority and outlays:				
89.00	Budget authority .....	25	27	29
90.00	Outlays .....	24	35	36

The Office of Museum Services, within the Institute of Museum and Library Services, provides competitive grants to a broad range of museums which exhibit both living and non-living collections and to support collaborative activities between museums and libraries. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account.

**Object Classification** (in millions of dollars)

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	2
25.2 Other services .....	1	1	1

**General and special funds—Continued****OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION—  
Continued****Object Classification** (in millions of dollars)—Continued

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions .....	23	24	25
99.0 Direct obligations .....	25	26	28
99.5 Below reporting threshold .....		1	1
99.9 Total new obligations .....	25	27	29

**Personnel Summary**

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	19	21	21

**INSTITUTE OF MUSEUM AND LIBRARY SERVICES****OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION**

For carrying out subtitle B of the Museum and Library Services Act, \$181,720,000. [197,602,00: *Provided*, That of the amount provided, \$2,000,000 shall be awarded to the National Museum of African American History and Culture Plan for Action Presidential Commission, \$250,000 shall be awarded to American Village Project in Montevallo, Alabama, \$20,000 shall be awarded to Evergreen-Conecuh Public Library, Alabama, \$50,000 shall be awarded to Gordo Public Library, Pickens County Commission, Alabama, \$300,000 shall be awarded to Mobile Museum of Art, Mobile, Alabama, \$1,500,000 shall be awarded to National Museum for Women in the Arts, \$300,000 shall be awarded to Tuskegee Human and Civil Rights Multicultural Center, \$50,000 shall be awarded to Heard Museum, Phoenix, Arizona, \$800,000 shall be awarded to Children's Museum of Los Angeles, California, \$150,000 shall be awarded to Chinese American Museum, Los Angeles, California, \$750,000 shall be awarded to Natural History Museum of Los Angeles County, California, \$290,000 Santa Barbara Maritime Museum, \$25,000 Santa Maria Valley Discovery Museum, California, \$1,000,000 shall be awarded to The Fine Arts Museums of San Francisco, \$150,000 shall be awarded to Bethel Public Library, Connecticut, \$500,000 shall be awarded to Mattatuck Museum in Waterbury, Connecticut, \$250,000 shall be awarded to Museum of Aviation, Warner Robins, Georgia, \$700,000 shall be awarded to Bishops Museum in Honolulu, Hawaii, \$500,000 shall be awarded to Grout Museum in Waterloo, Iowa, \$61,000 shall be awarded to Iowa State Historical Society, \$389,000 shall be awarded to The National Audobon Society's ARK Museum in Dubuque, Iowa, \$750,000 shall be awarded to University of Idaho Performance and Education Facility, \$50,000 shall be awarded to Adler Planetarium and Astronomy Museum, \$100,000 shall be awarded to Johnson County Museum of History, Franklin, Indiana, \$125,000 shall be awarded to Plimoth Plantation, Plymouth, Massachusetts, \$1,000,000 shall be awarded to Shakespeare Rose Theater, \$150,000 shall be awarded to Springfield-Greene County Library, Springfield, Missouri, \$1,160,000 shall be awarded to Webster University, St. Louis, Missouri, \$850,000 shall be awarded to University of Mississippi Foundation, Oxford, Mississippi, \$350,000 shall be awarded to University of Mississippi, Oxford, Mississippi, \$132,000 shall be awarded to Lois Morgan Edward Memorial Library, Nashville, North Carolina, \$100,000 shall be awarded to Rocky Mount Children's Museum, \$100,000 shall be awarded to Confluence Visitor Center in Williston, North Dakota and the North Dakota State Historical Society, \$100,000 shall be awarded to Fort Mandan Visitor's Center, \$100,000 shall be awarded to Mandan-on-a-Slant Museum, \$1,000,000 shall be awarded to Franklin Pierce College, \$160,000 shall be awarded to Monmouth University, West Long Branch, New Jersey, \$100,000 shall be awarded to Princeton Public Library, Mercer County, New Jersey, \$125,000 shall be awarded to Albany Institute for History and Art, \$1,000,000 shall be awarded to Brooklyn Historical Society, New York, \$22,500 shall be awarded to Buffalo and Erie County Library System, Buffalo, New York, \$250,000 shall be awarded to Center for Jewish History, New York, New York, \$150,000 shall be awarded to Children's Museum of Manhattan, New York,

\$105,000 shall be awarded to Four County Library System, Vestal, New York, \$500,000 shall be awarded to Hunter College, New York, \$200,000 shall be awarded to Long Island Maritime Museum in West Sayville, New York, \$750,000 shall be awarded to Lower East Side Tenement Museum, New York, \$1,000,000 shall be awarded to New York Hall of Science, \$22,500 shall be awarded to NIOGA Library System of Niagara and Orleans County, New York, \$100,000 shall be awarded to The Woodstock Guild of Craftsmen, Inc., Woodstock, New York, \$100,000 shall be awarded to Clark County Historical Museum, \$40,000 shall be awarded to Cleveland Botanical Garden, Cleveland, Ohio, \$500,000 shall be awarded to Crawford Museum, Cleveland, Ohio, \$42,000 shall be awarded to Farmer's Castle Museum in Belpre, \$500,000 shall be awarded to MAPS Air Museum, Canton Ohio, \$44,000 shall be awarded to McKinley Museum, Canton, Ohio, \$50,000 shall be awarded to University of Oregon Museum of Natural History in Eugene, Oregon, \$150,000 shall be awarded to Academy of Natural Sciences in Philadelphia County, \$100,000 shall be awarded to Beaver Area Memorial Library, Beaver County, Pennsylvania, \$300,000 shall be awarded to Delaware Valley Historical Aircraft Association, \$100,000 shall be awarded to Discovery Square, Inc. in Erie, Pennsylvania, \$200,000 shall be awarded to Everhart Museum in Scranton, Pennsylvania, \$300,000 shall be awarded to National Liberty Museum in Philadelphia, Pennsylvania, \$126,000 shall be awarded to Northland Public Library Authority, Pittsburgh, Pennsylvania, \$235,000 shall be awarded to Penn Hills Public Library in Pittsburgh, Pennsylvania, \$250,000 shall be awarded to Philadelphia Zoo, \$100,000 shall be awarded to Pittsburgh Children's Museum, \$700,000 shall be awarded to Please Touch Museum at the Children's Museum of Philadelphia, Pennsylvania, \$50,000 shall be awarded to Wayne Art Center in Wayne Pennsylvania, \$50,000 shall be awarded to Bamberg County Library in Bamberg, South Carolina, \$50,000 shall be awarded to Clarendon County Library in Manning, South Carolina, \$500,000 shall be awarded to Marion Wright Edelman Public Library, Bennettsville, South Carolina, \$600,000 shall be awarded to The Children's Discovery House, Murfreesboro, Tennessee, \$150,000 shall be awarded to The International Storytelling Center in Jonesborough, Tennessee, \$500,000 shall be awarded to El Progreso Library, Uvalde, Texas, \$500,000 shall be awarded to Vietnam Archive Center, Texas Tech University, Lubbock, Texas, \$800,000 shall be awarded to Children's Museum of Virginia, Portsmouth, Virginia, \$325,000 shall be awarded to Virginia Living Museum, \$100,000 shall be awarded to Burlington City Arts in Burlington, Vermont, \$125,000 shall be awarded to Lake Champlain Science Center in Burlington, Vermont, \$175,000 shall be awarded to Vermont Historical Society in Montpelier Vermont, \$100,000 shall be awarded to Beaver Creek Reserve Education Center, Fall Creek, Wisconsin, \$500,000 shall be awarded to The Kenosha Civil War Museum in Kenosha, Wisconsin, \$75,000 shall be awarded to Village of Hawkins, Wisconsin, and \$500,000 shall be awarded to Weis Earth Science Museum in Menasha, Wisconsin.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 59-0301-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Assistance for libraries .....	163	163	175
00.02 Administration .....	5	5	7
00.91 Direct Program by Activities—Subtotal (1 level) .....	168	168	182
01.00 Subtotal, assistance for libraries and administration .....	168	168	182
01.01 Congressionally-designated projects .....	40	30	
10.00 Total new obligations .....	208	198	182
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	2	
22.00 New budget authority (gross) .....	208	198	182
23.90 Total budgetary resources available for obligation .....	211	200	182
23.95 Total new obligations .....	-208	-198	-182
24.40 Unobligated balance carried forward, end of year .....	2		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation .....	208	198	182
Change in obligated balances:				
72.40	Obligated balance, start of year .....	193	228	195
73.10	Total new obligations .....	208	198	182
73.20	Total outlays (gross) .....	-172	-231	-231
74.40	Obligated balance, end of year .....	228	195	146
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	5	6	5
86.93	Outlays from discretionary balances .....	168	225	226
87.00	Total outlays (gross) .....	172	231	231
Net budget authority and outlays:				
89.00	Budget authority .....	208	198	182
90.00	Outlays .....	172	231	231

The President's Budget includes a \$10 million initiative in National leadership grants to recruit and train librarians. The budget does not continue funding for congressionally-designated special interest projects.

State formula grants are made to assist public libraries in improving library services, promoting access to learning and information resources to users of all ages, to promote wider access to information through technology, and to support collaborative activities between museums and libraries. National leadership grants provide incentives through a national competition for libraries, either individually or in collaboration with partner organizations, to develop model programs, identify best practices to improve information access and services, and disseminate their findings.

#### Object Classification (in millions of dollars)

Identification code 59-0301-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	2	2	3
23.1 Rental payments to GSA .....			1
25.2 Other services .....	2	2	2
41.0 Grants, subsidies, and contributions .....	202	193	175
99.0 Direct obligations .....	206	197	181
99.5 Below reporting threshold .....	2	1	1
99.9 Total new obligations .....	208	198	182

#### Personnel Summary

Identification code 59-0301-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	20	23	23

#### ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

## NATIONAL LABOR RELATIONS BOARD

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management

Relations Act, 1947, as amended (29 U.S.C. 141-167), and other laws, **[\$226,438,000] \$245,680,000: *Provided***, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935 (29 U.S.C. 152), and as amended by the Labor-Management Relations Act, 1947, as amended, and as defined in section 3(f) of the Act of June 25, 1938 (29 U.S.C. 203), and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$180,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)**

#### Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Field investigation .....	181	189	195
00.02 Administrative law judge hearing .....	14	15	15
00.03 Board adjudication .....	21	22	22
00.04 Securing compliance with Board orders .....	12	12	13
00.05 Internal Review .....	1	1	1
10.00 Total new obligations .....	229	239	246
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	229	239	246
23.95 Total new obligations .....	-229	-239	-246

#### New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation .....	229	239	246
<b>Change in obligated balances:</b>				
72.40	Obligated balance, start of year .....	21	17	18
73.10	Total new obligations .....	229	239	246
73.20	Total outlays (gross) .....	— 233	— 238	— 246
74.40	Obligated balance, end of year .....	17	18	18

#### Outlays (gross), detail:

86.90	Outlays from new discretionary authority .....	214	223	230
86.93	Outlays from discretionary balances .....	19	15	16
87.00	Total outlays (gross) .....	233	238	246

#### Net budget authority and outlays:

89.00	Budget authority .....	229	239	246
90.00	Outlays .....	233	238	246

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	216	226	233
90.00	Outlays .....	220	225	233

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

#### PROGRAM STATISTICS

	2001 actual	2002 est.	2003 est.
Case intake:			
Unfair labor practice cases .....	28,118	30,000	30,000
Representation cases .....	5,440	6,005	6,005
Administrative law judges:			
Hearings closed .....	352	334	341
Decisions issued .....	422	413	421
Board adjudication:			
Contested Board decisions issued .....	536	590	601

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****PROGRAM STATISTICS—Continued**

	2001 actual	2002 est.	2003 est.
Regional director decisions .....	564	593	611
Representation election cases:			
Decisions issued .....	52	60	67
Objection rulings .....	161	163	165
Board decisions requiring court enforcement .....	111	127	114

*Field investigation.*—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Ninety-five percent of the unfair labor practice cases and 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

*Administrative law judge hearing.*—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

*Board adjudication.*—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, requires contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

*Securing compliance with Board orders.*—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

**Object Classification (in millions of dollars)**

Identification code 63-0100-0-1-505	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	134	139	141
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	140	146	148
12.1 Civilian personnel benefits .....	42	44	44
21.0 Travel and transportation of persons .....	4	4	4
23.1 Rental payments to GSA .....	22	24	28
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	13	15	14
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	2	4
99.9 Total new obligations .....	229	239	246

**Personnel Summary**

Identification code 63-0100-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1,993	1,985	1,952

**NATIONAL MEDIATION BOARD****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary to carry out the provisions of the Railway Labor Act, as amended (45 U.S.C. 151-188), including emergency boards appointed by the President, **[\$10,635,000] \$11,524,000.** (De-

partments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act, 2002; additional authorizing legislation required.)

**Program and Financing (in millions of dollars)**

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Mediator services .....	7	8	8
00.03 Arbitration services .....	3	3	3
10.00 Total new obligations .....	10	11	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	10	11	11
23.95 Total new obligations .....	-10	-11	-11
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	10	11	11
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	10	11	11
73.20 Total outlays (gross) .....	-10	-11	-11
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	9	10	10
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	10	11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	11	11
90.00 Outlays .....	10	11	11

*Mediator and alternative dispute resolution (ADR) services.*—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

Mediation and ADR cases:	2001 actual	2002 est.	2003 est.
Pending, start of year .....	89	87	87
Received during year .....	115	121	121
Closed during year .....	117	121	121
Pending, end of year .....	87	87	87

*Employee Representation.*—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

Representation cases:	2001 actual	2002 est.	2003 est.
Pending, start of year .....	15	8	8
Received during year .....	66	76	76
Closed during year .....	73	76	76
Pending, end of year .....	8	8	8
Freedom of Information Act (FOIA) requests received .....	80	70	65
Investigation cases closed .....	39	40	40

*Emergency disputes.*—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 (P.L. 102-29) amended the Railway Labor Act (RLA) by adding a new emergency dispute procedure covering disputes between a publicly

funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

Boards/panels created:	2001 actual	2002 est.	2003 est.
Emergency (sec. 160) .....	1	2	2
Emergency (sec. 159a) .....		2	2
Arbitration Boards .....	1	2	2
Arbitration Panels (PL 102-29) .....		1	1
Airline SBA Panels .....	78	90	95
ICC-LPP Panels .....	11	20	25

**Arbitration under sections 3 and 7 of the RLA.**—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

Arbitration cases:	2001 actual	2002 est.	2003 est.
Pending, start of year .....	7,189	5,819	6,245
Received during year .....	2,944	4,740	4,740
Closed during year .....	4,314	4,314	4,314
Pending, end of year .....	5,819	6,245	6,671

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#### Object Classification (in millions of dollars)

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	4	4	4
11.8 Special personal services payments .....	2	3	3
11.9 Total personnel compensation .....	6	7	7
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.0 Direct obligations .....	9	10	10
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	10	11	11

#### Personnel Summary

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	49	52	52

## NATIONAL TRANSPORTATION SAFETY BOARD

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902) [\$68,000,000] \$73,836,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Policy and direction .....	18	21	20
00.02 Safety Recommendations .....	3	3	3
00.03 Aviation safety .....	19	21	21
00.04 Surface transportation safety .....	12	13	14
00.05 Research and engineering .....	9	10	11
00.06 Academy .....	4	2	3
00.07 Administrative law judges .....	1	1	1
00.08 Emergency response funds .....		1	
01.00 Sub-total, Direct obligations .....	66	72	73
10.00 Total new obligations .....	66	72	73
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	11	11	10
22.00 New budget authority (gross) .....	66	72	73
23.90 Total budgetary resources available for obligation .....	77	83	83
23.95 Total new obligations .....	-66	-72	-73
24.40 Unobligated balance carried forward, end of year .....	11	10	10

#### New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation .....	66	72	73
40.00 Appropriation .....			
43.00 Appropriation (total discretionary) .....	66	72	73

#### Change in obligated balances:

72.40 Obligated balance, start of year .....	20	13	11
73.10 Total new obligations .....	66	72	73
73.20 Total outlays (gross) .....	-66	-73	-75
73.40 Adjustments in expired accounts (net) .....	-7		
74.40 Obligated balance, end of year .....	13	11	9

#### Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	54	65	66
86.93 Outlays from discretionary balances .....	12	8	7
87.00 Total outlays (gross) .....	66	73	75

#### Net budget authority and outlays:

89.00 Budget authority .....	66	72	73
90.00 Outlays .....	66	73	75

#### Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	63	69	70
90.00 Outlays .....	63	70	72

The National Transportation Safety Board (NTSB), as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

In 2003, the Administration requests a total funding level of \$70 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

#### Object Classification (in millions of dollars)

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	31	35	36

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****Object Classification (in millions of dollars)—Continued**

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	2	1
11.9 Total personnel compensation .....	33	38	38
12.1 Civilian personnel benefits .....	11	12	13
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	6	7	8
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	9	9	9
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1		
99.0 Direct obligations .....	65	71	73
99.5 Below reporting threshold .....	1	1	
99.9 Total new obligations .....	66	72	73

**Personnel Summary**

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	418	443	432

**EMERGENCY FUND****Program and Financing (in millions of dollars)**

Identification code 95-0311-0-1-407	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	2	2
24.40 Unobligated balance carried forward, end of year .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2003.

**NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION****Federal Funds****General and special funds****NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION**

For necessary expenses of the National Veterans Business Development Corporation as authorized under section 33(a) of the Small Business Act, as amended, **[\$4,000,000] \$2,000,000, to remain available until expended. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)**

**Program and Financing (in millions of dollars)**

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Administration .....		1	1

00.02 Outreach .....	3	1	
09.00 Reimbursable program — Administration .....	1		
09.01 Reimbursable program—Outreach .....	3		
10.00 Total new obligations .....	4	4	2

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	4	4	2
23.95 Total new obligations .....	-4	-4	-2

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.00 Appropriation .....	4	2	
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4		
70.00 Total new budget authority (gross) .....	4	4	2

**Change in obligated balances:**

73.10 Total new obligations .....	4	4	2
73.20 Total outlays (gross) .....	-4	-4	-2

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	4	4	2
--	---	---	---

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources .....	-4		

**Net budget authority and outlays:**

89.00 Budget authority .....	4	2	
90.00 Outlays .....	4	2	

The National Veterans Business Development Corporation (NVBDC) has undertaken a variety of initiatives to fulfill its mandate under P.L. 106-50. It has hired a permanent CEO and President, Major General Charles R. Henry USA (Ret), and the President has appointed the ninth, and final member of NVBDC's Board of Directors.

With its infrastructure in place, NVBDC has begun to perform outreach activities to the nation's veterans, and others, by building a world class web site. The web site will be an informational clearing house that will enable a veteran to access entrepreneurial training and technical assistance services offered by all levels of government, the private sector, community-based organizations, trade associations, and like organizations. It is also investigating partnerships with the private sector to offer veteran entrepreneurs opportunities to pursue government and private sector contracts and to sell their goods and services to each other in a secure electronic environment.

Concurrently, NVBDC is energetically pursuing a variety of paths to financial self-sufficiency. For example, it is investigating the potential for creating a venture capital fund. It has also defined separate areas for fundraising activities; individual contributions, corporate contributions, foundation contributions, events and targeted meetings with potential contributors across the country, direct mail and web site contributions.

Furthermore, NVBDC is continuing to build partnerships, and conduct outreach activities, with Federal departments and agencies, veterans serving organizations, community-based organizations, and private sector corporations.

**Object Classification (in millions of dollars)**

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		1	1
25.2 Other services .....		3	1
99.0 Direct obligations .....		4	2
99.0 Reimbursable obligations .....	4		
99.9 Total new obligations .....	4	4	2

**Personnel Summary**

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....		10	10
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	10		

**NEIGHBORHOOD REINVESTMENT CORPORATION****Federal Funds****General and special funds:****PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION**

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$105,000,000, of which \$10,000,000 shall be for a homeownership program that is used in conjunction with section 8 assistance under the United States Housing Act of 1937, as amended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

**Program and Financing (in millions of dollars)**

Identification code 82-1300-0-1-451	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	90	105	105
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	90	105	105
23.95 Total new obligations .....	-90	-105	-105
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	90	105	105
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	90	105	105
73.20 Total outlays (gross) .....	-90	-105	-105
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	90	105	105
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	90	105	105
90.00 Outlays .....	90	105	105

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWOs); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWOs; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For 2003, a program level of \$105,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

**BUDGET ACTIVITY**

(In millions of dollars)

Neighborhoods Programs:	2001 actual	2002 est.	2003 est.
1. Creation of new programs .....	2	2	2
2. Capacity building .....	32	37	38
3. Preserving affordable housing/equity capital .....	30	38	39

4. Program reviews .....	3	3	4
5. Training and informing .....	12	13	13
6. Secondary market activities .....	8	8	8
7. General administration .....	11	11	10
<b>Total corporate obligations .....</b>	<b>98</b>	<b>112</b>	<b>114</b>
<b>Sources of financing:</b>			
1. Federal appropriation .....	90	105	105
2. Reimbursements for services provided .....	11	3	3
3. Other sources .....	5	3	5
Unused balance, start of year .....	3	1	1
Net obligations incurred .....	98	112	114
Unused balance, end of year .....	4	0	0
Obligated balances, start of year .....	11	9	9
Obligated balances, end of year .....	9	9	9
<b>Net corporate outlay .....</b>	<b>98</b>	<b>112</b>	<b>114</b>

**Statement of Operations (in millions of dollars)**

Identification code 82-1300-0-1-451	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue .....	84	102	105	105
0102 Expense .....	-82	-98	-105	-105
0105 Net income or loss (-) .....	2	4		

**Balance Sheet (in millions of dollars)**

Identification code 82-1300-0-1-451	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Other Federal assets:				
1801 Cash and other monetary assets .....	14	13	13	13
1803 Property, plant and equipment, net .....	1	2	2	2
1999 Total assets .....	15	15	15	15
<b>LIABILITIES:</b>				
Non-Federal liabilities:				
2201 Accounts payable .....	3	4	4	4
2207 Other .....	8	5	5	5
2999 Total liabilities .....	11	9	9	9
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	4	6	6	6
3999 Total net position .....	4	6	6	6
4999 Total liabilities and net position .....	15	15	15	15

**Object Classification of Corporation Obligations (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
Salaries and benefits .....	20	21	23
Occupancy .....	3	3	3
Professional services .....	6	8	6
Travel and transportation of persons .....	2	3	3
Conferences and workshops .....	1	1	1
Grants and grant commitments .....	60	71	73
Other operating costs .....	6	5	5
<b>Total obligations .....</b>	<b>98</b>	<b>112</b>	<b>114</b>

**Personnel Summary**

	2001 actual	2002 est.	2003 est.
Non-Federal employees: Total compensable workyears:			
Full-time equivalent employment .....	255	256	256
Full-time equivalent of overtime and holiday hours .....	7	7	7

**NUCLEAR REGULATORY COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

representation expenses (not to exceed \$15,000), and purchase of promotional items for use in the recruitment of individuals for employment, [\$516,900,000] \$598,405,000, to remain available until expended: *Provided*, That of the amount appropriated herein, [\$23,650,000] \$24,900,000 shall be derived from the Nuclear Waste Fund: *Provided further*, that \$29,300,000 of the funds herein appropriated shall be excluded from licensee fee revenue, not withstanding 42 U.S.C. 2214: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$473,520,000] \$511,533,000 in fiscal year [2002] 2003 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2002] 2003 so as to result in a final fiscal year [2002] 2003 appropriation estimated at not more than [\$43,380,000: *Provided further*, That, notwithstanding any other provision of law, no funds made available under this or any other Act may be expended by the Commission to implement or enforce any part of 10 CFR part 35, as adopted by the Commission on October 23, 2000, with respect to diagnostic nuclear medicine, except those parts which establish training and experience requirements for persons seeking licensing as authorized users, until such time as the Commission has reexamined 10 CFR part 35 and provided a report to the Congress which explains why the burden imposed by 10 CFR part 35 could not be further reduced] \$86,852,000. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

## Unavailable Collections (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.60 Nuclear facility fees, Nuclear Regulatory Commission .....	453	479	518
Appropriations:			
05.00 Salaries and expenses .....	-448	-473	-493
05.01 Office of Inspector General .....	-6	-6	-7
05.99 Total appropriations .....	-454	-479	-500
07.99 Balance, end of year .....			18

## Program and Financing (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Nuclear Reactor Safety .....	226	279	285
00.02 Nuclear Materials Safety .....	53	69	66
00.03 Nuclear Waste Safety .....	66	73	71
00.04 International Nuclear Safety Support .....	5	5	5
00.06 Management and Support .....	158	172	171
09.01 Reimbursable program .....	5	6	6
10.00 Total new obligations .....	513	604	604
<b>Budgetary resources available for obligation:</b>			
Unobligated balance carried forward, start of year:			
21.40 Unobligated balance carried forward, start of year .....	28	25	
21.40 Unobligated balance carried forward, start of year—NWF .....		1	
21.99 Total unobligated balance carried forward, start of year .....	28	26	
22.00 New budget authority (gross) .....	504	578	604
22.10 Resources available from recoveries of prior year obligations .....	7		
23.90 Total budgetary resources available for obligation .....	539	604	604
23.95 Total new obligations .....	-513	-604	-604
Unobligated balance carried forward, end of year:			
24.40 Unobligated balance carried forward, end of year .....	25		
24.40 Unobligated balance carried forward, end of year—NWF .....	1		
24.99 Total unobligated balance carried forward, end of year .....	26		

## New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation .....	30	39	51
40.00 Appropriation—Homeland Security .....		36	29
Appropriation (special fund):			
40.20 Appropriation (NRC receipts) .....	448	473	493
40.20 Appropriation (from NWF) .....	22	24	25
43.00 Appropriation (total discretionary) .....	500	572	598
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4	6	6
70.00 Total new budget authority (gross) .....	504	578	604

## Change in obligated balances:

72.40 Obligated balance, start of year .....	99	104	148
73.10 Total new obligations .....	513	604	604
73.20 Total outlays (gross) .....	-501	-560	-598
73.45 Recoveries of prior year obligations .....	-7		
74.40 Obligated balance, end of year .....	104	148	154

## Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	383	440	460
86.93 Outlays from discretionary balances .....	116	118	138
87.00 Total outlays (gross) .....	501	560	598

## Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4	-6	-6

## Net budget authority and outlays:

89.00 Budget authority .....	500	572	598
90.00 Outlays .....	496	554	592

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	482	553	578
90.00 Outlays .....	478	535	572

**Nuclear Reactor Safety.**—A major part of the NRC's mission is to ensure that its licensees design, construct, and operate civilian reactor facilities safely. The Atomic Energy Act and the Energy Reorganization Act provide the foundation for regulating the Nation's civilian nuclear power industry. Nuclear reactor safety encompasses all NRC efforts to ensure that civilian nuclear reactor facilities and non-power reactors are operated in a manner that provides adequate protection of public health and safety and the environment, and protects against radiological sabotage and theft or diversion of special nuclear materials. These efforts include reactor licensing; reactor license renewal; operator licensing; financial assurance; inspection; performance assessment; identification and resolution of safety issues; reactor regulatory research; regulation development; operating experience evaluation; incident investigation; threat assessment; emergency response; investigation of alleged wrong doing by licensees, applicants, contractors, or vendors; imposition of enforcement sanctions for violations of NRC requirements; and reactor technical and regulatory training. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for civilian reactor facilities.

**Nuclear Materials Safety.**—Nuclear materials safety encompasses all NRC efforts to ensure that NRC-regulation aspects of nuclear fuel cycle facilities and nuclear materials activities are handled in a manner that provides adequate protection of public health and safety. These efforts include, licensing/certification, inspection, and enforcement activities; regulation and guidance development; nuclear materials research; identification and resolution of safety and safeguard issues; operating experience evaluation; incident investigation; threat assessment; emergency response; technical training; and inves-



tigation of alleged wrongdoing by licensees, applicants, certificate holders, contractors and vendors. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for nuclear fuel cycle facilities and nuclear materials activities.

**Nuclear Waste Safety.**—Nuclear waste safety encompasses the NRC's high-level waste regulatory activities associated with high-level waste disposal at the potential Yucca Mountain repository as mandated by the Nuclear Waste Policy Act, the Nuclear Waste Policy Amendments Act, and; the Energy Policy Act; NRC regulatory and oversight activities for decommissioning, which involves safely removing a facility from service and reducing residual radiation to a level that permits the property to be released for unrestricted or restricted use; the safe and secure storage and transportation of radioactive materials through the certification of spent fuel storage containers and transportation packages. Low-level radioactive waste activities associated with the disposal of waste are addressed in accordance with the Low-Level Radioactive Waste Policy Act. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for decommissioning reactors, spent fuel storage installations, and transportation of waste.

**International Nuclear Safety Support.**—International Nuclear Safety Support encompasses NRC international activities, including some that support the agency's domestic mission and others that support broader U.S. national interests. These activities include international policy formulation, export-import licensing of nuclear materials and equipment, treaty implementation, international information exchange activities, international safety and safeguards assistance, and deterring nuclear proliferation.

**Management and Support.**—Management and support encompasses NRC central policy direction, legal advice for the Commission, analysis of long-term policy issues, administrative proceedings review and advice, liaison with outside constituents and other government agencies, financial management, all administrative and logistical support, information resources management, executive management services for the Commission, personnel and training, and matters involving small and disadvantaged businesses and civil rights. In light of the September 11, 2001 terrorist attacks, NRC will continue to review and strengthen NRC's physical facilities and information technology infrastructure.

#### Object Classification (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	226	267	266
11.3 Other than full-time permanent .....	4	5	5
11.5 Other personnel compensation .....	8	9	9
11.8 Special personal services payments .....	1	2	2
11.9 Total personnel compensation .....	239	283	282
12.1 Civilian personnel benefits .....	73	84	85
21.0 Travel and transportation of persons .....	12	15	14
22.0 Transportation of things .....	1	1	2
23.1 Rental payments to GSA .....	19	22	22
23.3 Communications, utilities, and miscellaneous charges .....	8	9	9
24.0 Printing and reproduction .....	2	2	3
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services .....	67	81	79
25.3 Other purchases of goods and services from Government accounts .....	63	74	75
25.4 Operation and maintenance of facilities .....	4	5	4
25.7 Operation and maintenance of equipment .....	5	6	4
26.0 Supplies and materials .....	2	2	3
31.0 Equipment .....	9	10	11
41.0 Grants, subsidies, and contributions .....	2	2	3
99.0 Direct obligations .....	508	598	598

99.0 Reimbursable obligations .....	5	6	6
99.9 Total new obligations .....	513	604	604

#### Personnel Summary

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	2,732	2,798	2,840
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	7	15	7

#### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$6,180,000] \$7,152,000**, to remain available until expended: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$5,933,000] \$6,723,000** in fiscal year **[2002] 2003** shall be retained and be available until expended, for necessary salaries and expenses in this account notwithstanding 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2002] 2003** so as to result in a final fiscal year **[2002] 2003** appropriation estimated at not more than **[\$247,000] \$429,000**. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

#### Program and Financing (in millions of dollars)

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Inspector General .....	6	6	7
10.00 Total new obligations .....	6	6	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year .....	1	1	
22.00 New budget authority (gross) .....	6	6	7
23.90 Total budgetary resources available for obligation .....	7	7	7
23.95 Total new obligations .....	-6	-6	-7
24.40 Unobligated balance carried forward, end of year .....	1		
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund) .....	6	6	7
Change in obligated balances:			
72.40 Obligated balance, start of year .....	1	1	
73.10 Total new obligations .....	6	6	7
73.20 Total outlays (gross) .....	-6	-6	-7
74.40 Obligated balance, end of year .....	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority .....	5	5	6
86.93 Outlays from discretionary balances .....	1	1	2
87.00 Total outlays (gross) .....	6	6	7
Net budget authority and outlays:			
89.00 Budget authority .....	6	6	7
90.00 Outlays .....	6	6	7

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

#### Object Classification (in millions of dollars)

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	5

## General and special funds—Continued

## OFFICE OF INSPECTOR GENERAL—Continued

## Object Classification (in millions of dollars)—Continued

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	1	1	1
99.9 Total new obligations .....	6	6	7

## Personnel Summary

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	45	44	44

NUCLEAR WASTE TECHNICAL REVIEW  
BOARD

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, **[\$3,100,000] \$3,200,000**, to be derived from the Nuclear Waste Fund, and to remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3	3	3
23.95 Total new obligations .....	-3	-3	-3
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.20 Appropriation (from NWF) .....	3	3	3
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	3	3	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

## Object Classification (in millions of dollars)

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	3	3	3

## Personnel Summary

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	16	17	17

OCCUPATIONAL SAFETY AND HEALTH  
REVIEW COMMISSION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission (29 U.S.C. 661), **[\$8,964,000] \$10,637,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Commission review .....	4	4	4
00.02 Administrative law judge determinations .....	3	3	4
00.03 Executive direction .....	2	2	2
10.00 Total new obligations .....	10	10	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	10	10	11
23.95 Total new obligations .....	-10	-10	-11
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	10	10	11
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	2	2
73.10 Total new obligations .....	10	10	11
73.20 Total outlays (gross) .....	-9	-10	-10
74.40 Obligated balance, end of year .....	2	2	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	9	9	10
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	9	10	10
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	10	11
90.00 Outlays .....	9	10	10
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)</b>			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	9	10
90.00 Outlays .....	8	9	9

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

## SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
<b>Commission review activities:</b>			
Case pending beginning of year .....	88	67	62
New cases received .....	31	35	40
Case dispositions .....	52	40	45
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	846	840	839
New cases received .....	2,316	2,386	2,386

## Cases disposition:

After assignment but without hearing .....	2,201	2,263	2,264
Heard and decided by judge .....	121	124	124

**Object Classification** (in millions of dollars)

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
99.0 Direct obligations .....	9	9	9
99.5 Below reporting threshold .....	1	1	2
99.9 Total new obligations .....	10	10	11

**Personnel Summary**

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	67	69	69

**OFFICE OF GOVERNMENT ETHICS****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, as amended and the Ethics Reform Act of 1989, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$10,117,000] \$11,071,000.** (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	10	11	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	11	11	11
23.95 Total new obligations .....	-10	-11	-11
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	11	11	11
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	2	1
73.10 Total new obligations .....	10	11	11
73.20 Total outlays (gross) .....	-10	-11	-11
74.40 Obligated balance, end of year .....	2	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	10	10	10
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	10	11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11	11	11
90.00 Outlays .....	10	11	11

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	10	10
90.00 Outlays .....	9	10	10

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; and by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure.

**Object Classification** (in millions of dollars)

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	6	6	6
12.1 Civilian personnel benefits .....	2	3	3
23.1 Rental payments to GSA .....	1	1	1
99.0 Direct obligations .....	9	10	10
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	10	11	11

**Personnel Summary**

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	77	82	82

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, **[\$15,148,000] \$14,760,000**, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly sub-standard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 48-1100-0-1-808	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Operation of relocation office .....	5	5	6

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 48–1100–0–1–808	2001 actual	2002 est.	2003 est.
00.03 Relocation payments (housing) .....	7	8	10
00.04 Discretionary fund payments .....	2	2	2
10.00 Total new obligations .....	14	15	18
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	5	7	8
22.00 New budget authority (gross) .....	15	15	15
22.10 Resources available from recoveries of prior year obligations .....	1	1	1
23.90 Total budgetary resources available for obligation .....	21	23	24
23.95 Total new obligations .....	–14	–15	–18
24.40 Unobligated balance carried forward, end of year .....	7	8	6
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	15	15	15
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5	6	3
73.10 Total new obligations .....	14	15	18
73.20 Total outlays (gross) .....	–12	–17	–17
73.45 Recoveries of prior year obligations .....	–1	–1	–1
74.40 Obligated balance, end of year .....	6	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11	14	11
86.93 Outlays from discretionary balances .....	1	5	5
87.00 Total outlays (gross) .....	12	17	17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	15	15
90.00 Outlays .....	11	17	17

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

## Object Classification (in millions of dollars)

Identification code 48–1100–0–1–808	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	3	3	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	1	1	1
32.0 Land and structures .....	7	8	10
41.0 Grants, subsidies, and contributions .....	2	2	2
99.9 Total new obligations .....	14	15	18

## Personnel Summary

Identification code 48–1100–0–1–808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	61	61	58

## OFFICE OF SPECIAL COUNSEL

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95–454), the Whistleblower Protection Act of 1989 (Public Law 101–12), Public Law 103–424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103–353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$11,891,000] \$12,965,000.** (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

Identification code 62–0100–0–1–805	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Investigation and prosecution of reprisals for whistle blowing .....	12	13	13
10.00 Total new obligations .....	12	13	13
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	12	13	13
23.95 Total new obligations .....	–12	–13	–13
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	12	13	13
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	12	13	13
73.20 Total outlays (gross) .....	–12	–13	–13
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	12	13	13
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	12	13	13
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	12	13	13
90.00 Outlays .....	12	13	13

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11	12	12
90.00 Outlays .....	11	12	12

The Office of Special Counsel (OSC) (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board (MSPB); (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

Overall in 2001, there were more than 3,107 instances in which the assistance or action of the OSC was sought by Federal employees and other persons. Many prohibited personnel practice cases investigated by the OSC are resolved without recourse to formal proceedings before the MSPB. In 2001, the OSC obtained 76 corrective or other favorable actions, and efforts to obtain such negotiated resolutions will continue. In 2001, the OSC also filed eight enforcement ac-

tions before the MSPB Hatch Act matters. The OSC also issued 2,806 Hatch Act advisory opinions (both written and oral) to people who sought advice. During 2001, the OSC's Disclosure Unit received 380 new disclosure matters for possible referral and 15 Disclosure Unit matters were referred to agency heads for their review.

This request will enable OSC to continue its efforts to reduce its long-standing case processing backlogs. In 2001, OSC made some progress against these backlogs in reducing the number of pending prohibited personnel practice cases older than 240 days by 15 percent. OSC anticipates making more progress in 2003 so as to reduce backlogs and provide customers with prompt and timely service in accordance with the time frames laid out in 5 U.S.C. § 1214(b)(2)(A)(ii) (240 days to process prohibited personnel practice complaints) and 5 U.S.C. § 1213(b) (15 days to make an initial determination on a whistleblower disclosure).

OSC significantly revised its Strategic Plan last year, including the associated annual performance plan. The plans now place more emphasis on prioritizing cases by category and resource allocation, while improving quality. Highlights of the FY 2003 annual performance plan include: (1) implementing a procedure to assess and improve quality of OSC investigations and legal analyses; (2) devising a formal system of case handling and resource allocation according to complexity and type of case; (3) developing a system to eliminate any unnecessary steps in case-handling; (4) creating specific performance goals for the Hatch Act and Disclosure Units; (5) enhancing goals for OSC's outreach and education program; and (6) maintaining OSC's human resource and information technology programs.

The following tables display the anticipated workloads:

#### ALLEGATIONS RECEIVED

	2001 actual	2002 est.	2003 est.
Reprisal for whistleblowing .....	553	700	725
Other personnel practices .....	2480	3500	3550
Hatch Act .....	74	80	85

#### ALLEGATIONS CLOSED

	2001 actual	2002 est.	2003 est.
Reprisal for whistleblowing .....	716	870	880
Other personnel practices .....	3423	3650	3675
Hatch Act .....	113	85	90

#### Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	8	8	9
12.1 Civilian personnel benefits .....	3	3	3
23.1 Rental payments to GSA .....	1	1	1
99.0 Direct obligations .....	12	12	13
99.5 Below reporting threshold .....		1	
99.9 Total new obligations .....	12	13	13

#### Personnel Summary

Identification code 62-0100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	105	106	106

## OKLAHOMA CITY NATIONAL MEMORIAL TRUST

### Federal Funds

#### General and special funds:

#### OKLAHOMA CITY NATIONAL MEMORIAL TRUST

#### Program and Financing (in millions of dollars)

Identification code 95-4333-0-3-303	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program .....		1	1
10.00 Total new obligations (object class 25.2) .....		1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross) .....		1	1
23.90 Total budgetary resources available for obligation	1	2	2
23.95 Total new obligations .....		-1	-1
24.40 Unobligated balance carried forward, end of year .....	1	1	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....		1	1
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		1	1
73.20 Total outlays (gross) .....		-1	-1
74.40 Obligated balance, end of year .....			
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		1	1
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....		-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Oklahoma City National Memorial Act of 1997 (P.L. 105-58), established the Oklahoma City National Memorial Trust, a wholly owned government corporation, to operate the memorial to commemorate the victims of the April 19, 1995 bombing of the Alfred P. Murrah Federal Building. The Act authorized \$5 million in appropriations, subject to a non-Federal match, for the activities of the Trust, managed by the Oklahoma City Memorial Foundation. Current operations are funded by museum entrance fees and donations.

#### Object Classification (in millions of dollars)

Identification code 95-4333-0-3-303	2001 actual	2002 est.	2003 est.
25.2 Other services .....		1	1
99.0 Reimbursable obligations .....		1	1

## OTHER COMMISSIONS AND BOARDS

### Federal Funds

#### General and special funds:

#### COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

#### SALARIES AND EXPENSES

For expenses for the Commission for the Preservation of America's Heritage Abroad, [\$489,000] \$499,000, as authorized by Public Law 99-83, section 1303. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

**General and special funds—Continued****COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE  
ABROAD—Continued****SALARIES AND EXPENSES—Continued****Unavailable Collections (in millions of dollars)**

Identification code 95-9911-0-1-808	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	4	7	9
Receipts:			
02.00 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies .....		1	1
02.50 Interest, Miscellaneous trust funds, Independent agencies .....	3	1	1
02.99 Total receipts and collections .....	3	2	2
04.00 Total: Balances and collections .....	7	9	11
07.99 Balance, end of year .....	7	9	11

**Program and Financing (in millions of dollars)**

Identification code 95-9911-0-1-808	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	2		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1		
22.00 New budget authority (gross) .....	2		
23.90 Total budgetary resources available for obligation .....	3		
23.95 Total new obligations .....	-2		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1		
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	2		
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	2		
73.20 Total outlays (gross) .....	-2		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1		
86.93 Outlays from discretionary balances .....	1		
87.00 Total outlays (gross) .....	2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2		
90.00 Outlays .....	1		

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the \$499 thousand request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve sites associated with the foreign heritage of Americans by identifying sites, negotiating with foreign governments, and facilitating private efforts.

**PACIFIC CHARTER COMMISSION****Federal Funds****General and special funds:****[SALARIES AND EXPENSES]**

[For necessary expenses for the Pacific Charter Commission, as authorized by the Pacific Charter Commission Act of 2000 (Public Law 106-570), \$1,500,000, to remain available until expended.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*) additional authorizing legislation required.

**Program and Financing (in millions of dollars)**

Identification code 48-2998-0-1-154	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....		2	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		2	
23.95 Total new obligations .....		-2	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		2	
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....		2	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		2	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		2	
90.00 Outlays .....		2	

The Commission was authorized in P.L. 106-570 to carry out, either directly or through nongovernmental organizations, programs, projects, and activities to promote a consistent and coordinated foreign policy of the United States in the Asia-Pacific region. The Commission is charged with monitoring developments in countries of the Asia-Pacific region with respect to United States foreign policy toward such countries, the status of democratization, the rule of law and human rights in the region, economic relations among the United States and such countries, and activities related to terrorism and the illicit narcotics trade, and with recommending options for policies of the United States Government.

**PANAMA CANAL COMMISSION****Federal Funds****Public enterprise funds:****PANAMA CANAL REVOLVING FUND****Program and Financing (in millions of dollars)**

Identification code 95-4061-0-3-403	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.01 Reimbursable program .....	4		
09.09 Total operating expenses .....	4		
10.00 Total new obligations (object class 42.0) .....	4		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2		
22.00 New budget authority (gross) .....	2		
23.90 Total budgetary resources available for obligation .....	4		
23.95 Total new obligations .....	-4		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	2		
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	55	51	40
73.10 Total new obligations .....	4		
73.20 Total outlays (gross) .....	-8	-11	
74.40 Obligated balance, end of year .....	51	40	40
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2		
86.93 Outlays from discretionary balances .....	6	11	
87.00 Total outlays (gross) .....	8	11	

**Offsets:**

Against gross budget authority and outlays:		
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-2 .....

**Net budget authority and outlays:**

89.00	Budget authority .....	6	11
90.00	Outlays .....		

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund. In accordance with the Panama Canal Treaty, the United States transferred ownership of the Canal to the Republic of Panama on December 31, 1999. Data in the following tables are for the settlement of remaining accident and contract claims against the Commission.

**PANAMA CANAL COMMISSION DISSOLUTION FUND****Program and Financing** (in millions of dollars)

Identification code 95-4073-0-3-403	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	4	4
22.00	New budget authority (gross) .....	4	
23.90	Total budgetary resources available for obligation .....	4	4
24.40	Unobligated balance carried forward, end of year .....	4	4

**New budget authority (gross), detail:**

Mandatory:			
69.00	Offsetting collections (cash) .....	4	

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	7	
73.20	Total outlays (gross) .....	-7	

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	4	
86.98	Outlays from mandatory balances .....	3	
87.00	Total outlays (gross) .....	7	

**Offsets:**

Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources .....	-4	

**Net budget authority and outlays:**

89.00	Budget authority .....		
90.00	Outlays .....	3	

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: Federal securities: Par value .....	1	4	4
92.02	Total investments, end of year: Federal securities: Par value .....	4	4	4

Pursuant to 22 USC 3714a., Sec. 1305., there is established in the Treasury of the United States a fund known as the "Panama Canal Commission Dissolution Fund". The Fund, which became available on October 1, 1998, is being used by the Commission to operate an Office of Transition Administration. This office manages the Commission's transfer-related obligations, such as severance pay and accident and contract claims.

**Personnel Summary**

Identification code 95-4073-0-3-403		2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment .....	5	1	1

**POSTAL SERVICE****Federal Funds****General and special funds:****PAYMENT TO THE POSTAL SERVICE FUND**

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, [\$76,619,000] \$60,014,000, of which [\$47,619,000] \$31,014,000 shall not be available for obligation until October 1, [2002] 2003: *Provided*, That mail for overseas voting and mail for the blind shall continue to be free: *Provided further*, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: *Provided further*, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further*, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in fiscal year [2002] 2003. (*Postal Service Appropriations Act, 2002.*)

[For emergency expenses to the Postal Service Fund to enable the Postal Service to protect postal employees and postal customers from exposure to biohazardous material, to sanitize and screen the mail, and to replace or repair Postal Service facilities destroyed or damaged in New York City as a result of the September 11, 2001, terrorist attacks, \$500,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That of the amounts appropriated, no funds shall be obligated for the purpose of sanitizing and screening the mail until the Postal Service submits to the Committees on Appropriations, the House Committee on Government Reform, and the Senate Committee on Governmental Affairs an emergency preparedness plan to combat the threat of biological and chemical substances in the mail, including a plan for expenditure of funds in support of the emergency preparedness plan.] (*Emergency Supplemental Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 18-1001-0-1-372	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Current year .....	.....	.....	.....
00.02 Reconciliation adjustment .....	.....	.....	.....
00.03 Prior years' liabilities .....	29	29	29
00.04 Advanced Appropriation from the previous year .....	64	67	48
00.05 Terrorist Response, FY 2001 Supplemental .....	.....	175	.....
00.06 Terrorist Response, FY 2002 Supplemental .....	.....	500	.....
10.00 Total new obligations (object class 41.0) .....	93	771	77

**Budgetary resources available for obligation:**

22.00	New budget authority (gross) .....	93	596	77
22.22	Unobligated balance transferred from other accounts .....		175	
23.90	Total budgetary resources available for obligation .....	93	771	77
23.95	Total new obligations .....	-93	-771	-77

**New budget authority (gross), detail:**

Discretionary:				
Appropriation:				
40.00	Appropriation .....	29	29	29
40.00	Appropriation .....		500	
43.00	Appropriation (total discretionary) .....	29	529	29
55.00	Advance appropriation .....	64	67	48
70.00	Total new budget authority (gross) .....	93	596	77

**Change in obligated balances:**

73.10	Total new obligations .....	93	771	77
73.20	Total outlays (gross) .....	-93	-771	-77

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	93	596	77
86.93	Outlays from discretionary balances .....		175	
87.00	Total outlays (gross) .....	93	771	77

**Net budget authority and outlays:**

89.00	Budget authority .....	93	596	77
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**General and special funds—Continued****PAYMENT TO THE POSTAL SERVICE FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 18-1001-0-1-372	2001 actual	2002 est.	2003 est.
90.00 Outlays .....	93	771	77

<sup>1</sup> Represents a \$70,880,000 current year estimate and a —\$6,444,000 reconciliation adjustment.<sup>2</sup> Represents a \$66,473,000 current year estimate and a \$620,000 reconciliation adjustment.<sup>3</sup> Represents a \$56,303,000 current year estimate and a —\$8,684,000 reconciliation adjustment.

On November 20, 2001 the President released (pursuant to P.L. 107-38) \$175,000,000 from the Emergency Response Fund to the U.S. Postal Service in response to the anthrax attacks. These resources include \$100 million for an initial purchase of irradiation equipment to sanitize the mail and \$75 million for the costs of personnel protection equipment (gloves, masks, barrier creams, etc.), first response/environmental testing kits and services, site clean-up and medical goods and services, and for public education material.

In the Emergency Supplemental Act of 2002, Congress appropriated, from amounts authorized by Public Law 107-38, an additional \$500,000,000 to the Postal Service to protect postal employees and postal customers from exposure to bio-hazardous material, sanitize and screen the mail, and replace or repair Postal Service facilities destroyed or damaged in New York City as a result of the September 11, 2001, terrorist attacks. Funds are available to the Postal Service for sanitizing and screening the mail after it submits an emergency preparedness plan and an associated expenditure plan to the Congress.

Pursuant to Public Law 93-328, the 2003 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$60,014,000. This amount includes: \$48,999,000 requested for free mail for the blind and overseas voting; —\$17,985,000 as a reconciliation adjustment for 2000 actual mail volume of free mail for the blind and overseas voting; and \$29,000,000 for prior years' liability under the Revenue Forgone Reform Act of 1993. In addition to these funds, \$47,619,000 (an advance appropriation from 2002 for the 2002 costs and the 1999 reconciliation adjustment for free mail for the blind and overseas voting) will become available to the U.S. Postal Service in 2003.

**Public enterprise funds:****POSTAL SERVICE FUND****Program and Financing (in millions of dollars)**

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Reimbursable Program:			
09.01 Postal field operations .....	47,029	48,097	49,449
09.02 Transportation .....	5,065	5,203	5,132
09.03 Building occupancy .....	1,717	1,775	1,847
09.04 Supplies and services .....	3,196	3,387	3,139
09.05 Research and development .....	29	46	47
09.06 Administration and area operations .....	5,791	6,945	7,177
09.07 Interest .....	1,961	2,040	2,129
09.08 Servicewide expenses .....	447	162	2,326
09.09 Subtotal .....	65,235	67,655	71,246
09.10 Capital Investment .....	1,047	2,857	3,835
10.00 Total new obligations .....	66,282	70,512	75,081
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	69,933	72,312	79,994
22.60 Portion applied to repay debt .....	—3,651	—1,800	—4,913
23.90 Total budgetary resources available for obligation .....	66,282	70,512	75,081
23.95 Total new obligations .....	—66,282	—70,512	—75,081

**New budget authority (gross), detail:**

Mandatory:			
67.10 Authority to borrow .....	4,064	2,813	5,154
69.00 Offsetting collections (cash) .....	65,869	69,499	74,840
70.00 Total new budget authority (gross) .....	69,933	72,312	79,994

**Change in obligated balances:**

72.40 Obligated balance, start of year .....	20,640	18,751	17,749
73.10 Total new obligations .....	66,282	70,512	75,081
73.20 Total outlays (gross) .....	—68,171	—71,514	—73,392
74.40 Obligated balance, end of year .....	18,751	17,749	19,438

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	68,171	71,514	73,392
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**Offsets:**

## Against gross budget authority and outlays:

Offsetting collections (cash) from:			
88.00 Federal sources .....	—991	—1,701	—1,109
88.20 Interest on Federal securities .....	—7	—4	—4
88.40 Non-Federal sources .....	—64,871	—67,794	—73,727
88.90 Total, offsetting collections (cash) .....	—65,869	—69,499	—74,840

**Net budget authority and outlays:**

89.00 Budget authority .....	4,064	2,813	5,154
90.00 Outlays .....	2,302	2,015	—1,448

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: Federal securities:			
Par value .....	1,086	1,258	843
92.02 Total investments, end of year: Federal securities:			
Par value .....	1,258	843	843

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

**Programs.**—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and United States competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is en-



couraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

**Financing.**—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 2003, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$11.465 billion.

**Operating.**—Estimated revenue will total approximately \$74.8 billion in 2003. This includes \$74.7 billion from mail and services revenue, \$27 million from investment income, and \$31 million for revenue foregone appropriations in 2003. Total expenses are estimated at approximately \$74.2 billion in 2003.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors

of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments were made in three equal annual installments, beginning in fiscal year 1996.

The Revenue Forgone Reform Act of 1993 phased-in higher postage rates for preferred mailers during 1994 through 1999. As reimbursement for the additional revenues not collected by the Postal Service during this phase-in period and for insufficient amounts appropriated for forgone revenues on various mail classes during 1991 through 1993, the Act authorized \$1.218 billion to be paid in installments of \$29 million annually from 1994 through 2035. Congress has appropriated \$29 million annually since 1994. As of September 30, 2002, \$957 million remains to be paid—in annual increments of \$29 million over the next 33 years—to the Postal Service under this Act.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these liabilities became liabilities of the Postal Service payable out of the Postal Service Fund.

#### Statement of Operations (in millions of dollars)

Identification code 18-4020-0-3-372		2000 actual	2001 actual	2002 est.	2003 est.
0101	Revenue .....	64,581	65,869	68,824	74,840
0102	Expense .....	-64,780	-67,549	-70,173	-74,174
0105	Net income or loss (-) .....	-199	-1,680	-1,349	666

<sup>4</sup>The slowing of the economy coupled with the impact of the events of September 11 and the subsequent use of the mail as a vehicle for bio-terrorism will result in costs and negative business impacts, the magnitude of which is unknown at this time. Due to a current inability to assess the financial impact of these events, this submission reflects assumptions included in Postal Service rate case docket number R2001-1, filed on September 24, 2001, and reflecting pre-September 11th estimates. For these reasons, it is highly likely that Postal Service financial results for 2002 and 2003 will differ from the amounts presented here.

#### Object Classification (in millions of dollars)

Identification code 18-4020-0-3-372		2001 actual	2002 est.	2003 est.
Personnel compensation:				
11.1	Full-time permanent .....	27,769	28,506	28,980
11.3	Other than full-time permanent .....	4,924	5,049	5,470
11.5	Other personnel compensation .....	5,286	4,904	4,979
11.9	Total personnel compensation .....	37,979	38,459	39,429
12.1	Civilian personnel benefits .....	11,629	13,041	13,304
13.0	Benefits for former personnel .....	1,744	2,031	2,240
21.0	Travel and transportation of persons .....	208	209	210
22.0	Transportation of things .....	5,524	5,679	5,627
23.1	Rental payments to GSA .....	41	40	40
23.2	Rental payments to others .....	821	864	913
23.3	Communications, utilities, and miscellaneous charges .....	774	787	810
24.0	Printing and reproduction .....	94	85	85
25.2	Other services .....	2,700	3,287	5,383
26.0	Supplies and materials .....	1,677	1,144	984
31.0	Equipment .....	717	1,896	2,501
32.0	Land and structures .....	308	839	1,312
42.0	Insurance claims and indemnities .....	105	111	114

**Public enterprise funds—Continued****POSTAL SERVICE FUND—Continued****Object Classification** (in millions of dollars)—Continued

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
Interest and dividends:			
43.0 Interest and dividends .....	358	394	460
43.0 Interest and dividends .....	1,603	1,646	1,669
99.9 Total new obligations .....	66,282	70,512	75,081

**Personnel Summary**

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	830,516	826,000	820,872

**PRESIDIO TRUST****Federal Funds****General and special funds:****PRESIDIO TRUST FUND**

For necessary expenses to carry out title I of the Omnibus Parks and Public Lands Management Act of 1996, **[\$23,125,000]** \$22,160,000 shall be available to the Presidio Trust, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing** (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program .....	91	125	96
10.00 Total new obligations .....	91	125	96
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	82	120	66
22.00 New budget authority (gross) .....	131	71	64
23.90 Total budgetary resources available for obligation	213	191	130
23.95 Total new obligations .....	-91	-125	-96
24.40 Unobligated balance carried forward, end of year .....	120	66	34

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	24	24	22
47.00 Authority to borrow .....	10		
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections DOD .....	50	1	1
68.00 Offsetting collections (cash) Business activities	51	48	41
68.10 Change in uncollected customer payments from Federal sources (unexpired) .....	-4	-2	
68.90 Spending authority from offsetting collections (total discretionary) .....	97	47	42
70.00 Total new budget authority (gross) .....	131	71	64

**Change in obligated balances:**

72.40 Obligated balance, start of year .....	9	17	53
73.10 Total new obligations .....	91	125	96
73.20 Total outlays (gross) .....	-89	-91	-92
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	4	2	
74.40 Obligated balance, end of year .....	17	53	57

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	38	16	21
86.93 Outlays from discretionary balances .....	52	75	71
87.00 Total outlays (gross) .....	89	91	92

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-63	-12	-10

88.40 Non-Federal sources .....	-38	-37	-32
88.90 Total, offsetting collections (cash) .....	-101	-49	-42
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	4	2	

**Net budget authority and outlays:**

89.00 Budget authority .....	34	24	22
90.00 Outlays .....	-11	42	50

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: Federal securities:			
Par value .....	91	123	90
92.02 Total investments, end of year: Federal securities:			
Par value .....	123	90	50

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs** (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	33	23	21
90.00 Outlays .....	-12	41	49

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan guarantee levels .....		200	
215901 Total loan guarantee levels .....		200	
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels .....	0.46	0.12	0.13
232901 Weighted average subsidy rate .....	0.46	0.12	0.13
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels .....			
233901 Total subsidy budget authority .....			
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels .....			
234901 Total subsidy outlays .....			

The Presidio Trust is a wholly owned government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco. After this former military base was transferred to the National Park Service (NPS), the Trust was created to take over responsibility for managing the hundreds of houses, office buildings, and other facilities in an innovative manner that uses private-sector resources, but is consistent with surrounding NPS lands. This appropriation funds the operation and capital improvements of the Trust.

**Object Classification** (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	26	26	24
12.1 Civilian personnel benefits .....	8	8	7
23.3 Communications, utilities, and miscellaneous charges	4	7	6
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1	2	1
25.2 Other services .....	11	22	15
25.3 Other purchases of goods and services from Government accounts .....	4	5	5
26.0 Supplies and materials .....	11	22	10
31.0 Equipment .....	5	9	9
32.0 Land and structures .....	20	23	18
99.0 Reimbursable obligations .....	91	125	96
99.9 Total new obligations .....	91	125	96

**Personnel Summary**

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	440	470	445

**PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT****Status of Guaranteed Loans** (in millions of dollars)

Identification code 95-4332-0-3-303	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2121 Limitation available from carry-forward .....	200	200	200
2143 Uncommitted limitation carried forward .....	-200	-200	-100
2150 Total guaranteed loan commitments .....			100
2199 Guaranteed amount of guaranteed loan commitments .....			75
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....			
2231 Disbursements of new guaranteed loans .....			50
2251 Repayments and prepayments .....			-1
2290 Outstanding, end of year .....			49
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....			37

**RAILROAD RETIREMENT BOARD****Federal Funds****General and special funds:****[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY**

For payment to the [Dual Benefits Payments Account] *Federal Windfall Subsidy*, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$146,000,000] \$132,000,000**, which shall include amounts becoming available in fiscal year **[2002] 2003** pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds **[\$146,000,000] \$132,000,000**: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 60-0111-0-1-601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	156	146	132
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	160	146	132
23.95 Total new obligations .....	-156	-146	-132
23.98 Unobligated balance expiring or withdrawn .....	-4		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	160	146	132
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	156	146	132
73.20 Total outlays (gross) .....	-156	-146	-132
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	156	146	132
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	160	146	132

90.00 Outlays .....	156	146	132
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This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

**FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS**

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, **[2003] 2004**, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 60-0113-0-1-601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	327	337	354
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	327	337	354
23.95 Total new obligations .....	-327	-337	-354
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	327	337	354
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	327	337	354
73.20 Total outlays (gross) .....	-327	-337	-354
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	327	337	354
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	327	337	354
90.00 Outlays .....	327	337	354

This account funds interest on uncashed checks and income taxes on Tier I and Tier II railroad retirement benefits.

**Trust Funds****RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND****Program and Financing** (in millions of dollars)

Identification code 60-8051-0-7-603	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	118	124	124
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	118	124	124
23.95 Total new obligations .....	-118	-124	-124
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	55	100	150
60.28 Appropriation (unavailable balances) .....	54	18	
60.45 Portion precluded from obligation .....			-33
61.00 Transferred to other accounts .....	-16	-16	-16
62.50 Appropriation (total mandatory) .....	93	102	101
69.00 Offsetting collections (cash) .....	25	22	23
70.00 Total new budget authority (gross) .....	118	124	124
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	-1	-1	
73.10 Total new obligations .....	118	124	124
73.20 Total outlays (gross) .....	-118	-123	-124
74.40 Obligated balance, end of year .....	-1		

## RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 60–8051–0–7–603	2001 actual	2002 est.	2003 est.
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	118	123	124
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	– 25	– 22	– 23
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	93	102	101
90.00 Outlays .....	93	101	101

Note.—Appropriations language for the 2003 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

## WORKLOAD

	1983 actual	1990 actual	2001 actual	2002 est.	2003 est.
Unemployment claims .....	1,919,160	300,351	120,994	121,000	118,000
Cumulative workload decline (%) .....	– 84%	– 94%	– 94%	– 94%	– 94%
Sickness claims .....	411,877	269,926	191,715	189,000	179,000
Cumulative workload decline (%) .....	– 34%	– 53%	– 54%	– 54%	– 57%

## RAIL INDUSTRY PENSION FUND

## Unavailable Collections (in millions of dollars)

Identification code 60–8011–0–7–601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	16,075	18,179	553
Receipts:			
02.00 Refunds .....	– 5	– 5	– 5
02.01 Taxes .....	2,595	2,539	2,417
02.40 Interest and profits on investments in public debt securities .....	2,285	453	15
02.41 Federal payments to railroad retirement trust funds .....	229	242	254
02.80 Rail industry pension fund, offsetting collections .....	5	5	5
02.99 Total receipts and collections .....	5,109	3,234	2,686
04.00 Total: Balances and collections .....	21,184	21,413	3,239
Appropriations:			
05.00 Rail industry pension fund .....	– 3,005	– 20,860	– 3,222
05.99 Total appropriations .....	– 3,005	– 20,860	– 3,222
07.99 Balance, end of year .....	18,179	553	17

## Program and Financing (in millions of dollars)

Identification code 60–8011–0–7–601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	3,100	3,337	3,260
09.01 RRA-administrative reimbursement .....	5	5	5
10.00 Total new obligations .....	3,105	3,342	3,265
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3,105	3,342	3,265
23.95 Total new obligations .....	– 3,105	– 3,342	– 3,265
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund) .....	107	110	110
Mandatory:			
60.26 Appropriation (trust fund) .....	5,109	3,234	2,686
60.28 Appropriation (unavailable balances) .....	17,514	424	424
60.45 Portion precluded from obligation .....	– 2,213	– 3	– 3
61.00 Transferred to other accounts .....	– 17,565	– 17,565	– 17,565
62.00 Transferred from other accounts .....	97	44	43
62.50 Appropriation (total mandatory) .....	2,993	3,227	3,150

Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	5	5	5
70.00 Total new budget authority (gross) .....	3,105	3,342	3,265

## Change in obligated balances:

72.40 Obligated balance, start of year .....	240	266	266
73.10 Total new obligations .....	3,105	3,342	3,265
73.20 Total outlays (gross) .....	– 3,079	– 3,342	– 3,531
74.40 Obligated balance, end of year .....	266	266	266

## Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	112	115	115
86.97 Outlays from new mandatory authority .....	2,727	2,961	3,150
86.98 Outlays from mandatory balances .....	240	266	266
87.00 Total outlays (gross) .....	3,079	3,342	3,531

## Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	– 5	– 5	– 5

## Net budget authority and outlays:

89.00 Budget authority .....	3,100	3,337	3,260
90.00 Outlays .....	3,074	3,337	3,526

## Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value .....	22,628	24,983	819
92.02 Total investments, end of year: Federal securities:			
Par value .....	24,983	819	17

## Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,094	3,331	3,254
90.00 Outlays .....	3,068	3,331	3,520

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 86,000 individuals also receive a “windfall” benefit.

## Status of Funds (in millions of dollars)

Identification code 60–8011–0–7–601	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance .....	9	9	.....
U.S. Securities:			
0101 Par value .....	22,628	24,983	819
0102 Unrealized discounts .....	– 6,321	– 6,545	.....
0199 Total balance, start of year .....	16,315	18,445	819
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Rail Industry Pension Fund .....	– 5	– 5	– 5
1201 Taxes, Rail Industry Pension Fund .....	2,595	2,539	2,417
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund .....	2,285	453	15
1241 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund .....	229	242	254
Offsetting collections:			
1280 Offsetting collections, Rail Industry Pension Fund .....	5	5	5
1299 Income under present law .....	5,109	3,234	2,686
Cash outgo during the year:			
Current law:			
4500 Rail Industry Pension Fund .....	– 3,073	– 3,336	– 3,531
7645 Transfers, net .....	94	– 17,524	43
Unexpended balance, end of year:			
8700 Uninvested balance .....	9	.....	.....
Federal securities:			
8701 Par value .....	24,983	819	17
8702 Unrealized discounts .....	– 6,545	.....	.....
8799 Total balance, end of year .....	18,445	819	17

Object Classification (in millions of dollars)				
Identification code 60-8011-0-7-601		2001 actual	2002 est.	2003 est.
Direct obligations:				
42.0	Benefit payments .....	2,990	3,226	3,149
43.0	Interest and dividends .....	2	1	1
93.0	Administrative expenses (see separate schedule) .....	107	109	109
99.0	Direct obligations .....	3,099	3,336	3,259
99.0	Reimbursable obligations .....	5	5	5
99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	3,105	3,342	3,265

## LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$97,700,000] \$104,110,000**, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (In millions of dollars)

		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
Direct program:				
Rail Industry Pension Fund:				
	Subtotal, Rail Industry Pension Fund .....	58	62	63
Railroad Social Security Equivalent Benefit:				
	Subtotal, Railroad Social Security Equivalent Benefit .....	26	26	26
Supplemental Annuity Pension Fund:				
	Subtotal, Supplemental Annuity Pension Fund .....	2	1	.....
Railroad Unemployment Insurance Trust Fund:				
	Subtotal, Railroad Unemployment Insurance Trust Fund .....	15	15	15
	Total, direct program .....	101	104	104
	Reimbursable program .....	5	5	5
	Total new obligations .....	106	109	109
<b>Budgetary resources available for obligation:</b>				
	Offsetting collections from: Trust funds .....	-5	-5	-5
	Unobligated balance expiring .....	.....	.....	.....
	Limitation .....	101	104	104
<b>Change in unpaid obligations:</b>				
	Obligations incurred, net .....	101	104	104
	Obligated balance, start of year .....	.....	9	9
	Obligated balance, end of year .....	-9	-9	-9
	Outlays from limitation .....	92	104	104

The table below shows anticipated workloads.

	1999 actual	2000 actual	2001 actual	2002 est.	2003 est.
Pending, start of year .....	7,562	6,497	6,168	8,944	8,944
New Railroad Retirement applications ....	45,132	44,815	44,996	46,000	46,000
New Social Security certifications .....	6,108	7,833	7,156	7,000	7,000
Total dispositions (excluding partial awards) .....	52,305	52,982	49,376	53,000	53,000
Pending, end of year .....	6,497	6,168	8,944	8,944	8,944

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 actual	1990 actual	2000 actual	2001 actual	2002 est.	2003 est.
Total beneficiaries .....	1,009,500	894,196	681,779	660,112	641,300	624,800

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)				
Identification code 60-8011-0-7-601		2001 actual	2002 est.	2003 est.
Limitation Acct—Direct Obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	58	61	60
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	60	65	62
12.1	Civilian personnel benefits .....	18	20	20
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	4	4
23.3	Communications, utilities, and miscellaneous charges .....	4	4	4
25.2	Other services .....	10	9	10
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	3	1	1
93.0	Limitation on expenses .....	-100	-103	-103
99.0	Limitation acct—direct obligations .....	.....	.....	.....
Limitation Acct—Reimbursable Obligations:				
11.1	Personnel compensation: Full-time permanent .....	4	4	4
12.1	Civilian personnel benefits .....	1	1	1
93.0	Limitation on expenses .....	-5	-5	-5
99.0	Limitation acct—reimbursable obligations .....	.....	.....	.....

## Personnel Summary

Identification code 60-8011-0-7-601		2001 actual	2002 est.	2003 est.
Limitation account—direct:				
6001	Total compensable workyears: Full-time equivalent employment .....	1,051	1,054	990
Limitation account—reimbursable:				
7001	Total compensable workyears: Full-time equivalent employment .....	50	50	50

## LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than **[\$6,261,000] \$6,632,000**, to be derived from the railroad retirement accounts and railroad unemployment insurance account: *Provided*, That none of the funds made available in any other paragraph of this Act may be transferred to the Office; used to carry out any such transfer; used to provide any office space, equipment, office supplies, communications facilities or services, maintenance services, or administrative services for the Office; used to pay any salary, benefit, or award for any personnel of the Office; used to pay any other operating expense of the Office; or used to reimburse the Office for any service provided, or expense incurred, by the Office. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

		2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>				
	Operations (total new obligations) .....	6	6	6
<b>Budgetary resources available for obligation:</b>				
	Offsetting collections from trust funds .....	.....	.....	.....
	Unobligated balance expiring .....	.....	.....	.....
	Limitation .....	.....	.....	.....
<b>Change in unpaid obligations:</b>				
	Obligations incurred, net .....	6	6	6
	Obligated balance, start of year .....	.....	.....	.....
	Obligated balance, end of year .....	.....	.....	.....
	Outlays from limitation .....	6	6	6

## Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601		2001 actual	2002 est.	2003 est.
11.1	Personnel compensation: Full-time permanent .....	5	5	5

## LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued

## Object Classification (in millions of dollars)—Continued

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits .....	1	1	1
93.0 Limitation on expenses .....	-6	-6	-6
99.0 Limitation account—allocation .....			

## Personnel Summary

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
8001 Total compensable workyears: Full-time equivalent employment .....	51	54	54

## NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

## Unavailable Collections (in millions of dollars)

Identification code 60-8118-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			19,220
Receipts:			
02.21 Interest and dividends on private sector holdings .....		374	784
02.40 Earnings on investments in Federal securities .....		93	196
02.99 Total receipts and collections .....		467	980
04.00 Total: Balances and collections .....		467	20,200
Appropriations:			
05.00 National railroad retirement investment trust .....		18,753	141
05.99 Total appropriations .....		18,753	141
07.99 Balance, end of year .....		19,220	20,341

## Program and Financing (in millions of dollars)

Identification code 60-8118-0-7-601	2001 actual	2002 est.	2003 est.
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....		467	980
60.45 Portion precluded from obligation .....		-19,220	-1,121
62.00 Transferred from other accounts .....		18,753	141
62.50 Appropriation (total mandatory) .....			
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....		3,751	3,844
92.02 Total investments, end of year: Federal securities: Par value .....		3,844	4,040

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities. Railroad retirement benefits will continue to be paid as under the law in effect prior to the enactment of the Railroad Retirement and Survivors Improvement Act of 2001 until an arrangement is finalized with a non-governmental financial institution to serve as a disbursing agent. Railroad retirement benefits will be paid by the National Railroad Retirement Investment Trust once an arrangement is finalized.

## SUPPLEMENTAL ANNUITY PENSION FUND

## Unavailable Collections (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	56	56	

Receipts:			
02.00 Supplemental annuity taxes .....	68	22	
02.40 Interest and profits on investments in public debt securities .....	3	1	
02.99 Total receipts and collections .....	71	23	
04.00 Total: Balances and collections .....	127	79	
Appropriations:			
05.00 Supplemental Annuity Pension Fund .....	-71	-79	
05.99 Total appropriations .....	-71	-79	
07.99 Balance, end of year .....	56		

## Program and Financing (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	69	17	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	69	17	
23.95 Total new obligations .....	-69	-17	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.26 Appropriation (trust fund) .....	71	23	
60.28 Appropriation (unavailable balances) .....		56	
61.00 Transferred to other accounts .....	-2	-62	
62.50 Appropriation (total mandatory) .....	69	17	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	6	6	
73.10 Total new obligations .....	69	17	
73.20 Total outlays (gross) .....	-69	-23	
74.40 Obligated balance, end of year .....	6		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	63	17	
86.98 Outlays from mandatory balances .....	6	6	
87.00 Total outlays (gross) .....	69	23	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	69	17	
90.00 Outlays .....	69	23	
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities: Par value .....	61	61	
92.02 Total investments, end of year: Federal securities: Par value .....	61		

In addition to rail social security, rail industry pensions, and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25-29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Under the provisions of P.L. 107-90, the Railroad Retirement and Survivors' Improvement Act of 2001, supplemental annuities will be funded and paid by the Rail Industry Pension Fund until an arrangement is finalized with a non-governmental financial institution to serve as a disbursing agent. Supplemental annuities will be funded and paid by the National Railroad Retirement Investment Trust once an arrangement is finalized.

## Status of Funds (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance .....	1		
0101 U.S. Securities: Par value .....	61	61	
0199 Total balance, start of year .....	62	62	

Cash income during the year:			
Current law:			
Receipts:			
1200	Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB .....	68	22 .....
Offsetting receipts (intragovernmental):			
1240	Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB .....	3	1 .....
1299	Income under present law .....	71	23 .....
Cash outgo during year:			
Current law:			
4500	Supplemental Annuity Pension Fund .....	-69	-23 .....
7645	Transfers, net .....	-2	-62 .....
Unexpended balance, end of year:			
8701	Federal securities: Par value .....	61	.....
8799	Total balance, end of year .....	62	.....

## RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

## Unavailable Collections (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	1,614	1,313	388
Receipts:			
02.00 Taxes .....	2,040	2,114	2,145
02.01 Receipts transferred to Federal hospital insurance trust fund .....	-422	-406	-420
02.02 Refunds, railroad social security equivalent benefit account .....	-4	-4	-4
02.40 Interest and profits on investments in public debt securities .....	107	60	38
02.41 Income tax credits .....	98	95	100
02.42 Interest transferred to Federal hospital insurance trust fund .....	-48	-35	-35
02.43 Receipts from Federal old-age survivors insurance trust fund .....	3,273	3,675	3,641
02.44 Receipts from Federal disability insurance trust fund .....	10	188	213
02.99 Total receipts and collections .....	5,054	5,687	5,678
04.00 Total: Balances and collections .....	6,668	7,000	6,066
Appropriations:			
05.00 Rail industry social security equivalent benefit account .....	-5,355	-6,612	-5,689
05.99 Total appropriations .....	-5,355	-6,612	-5,689
07.99 Balance, end of year .....	1,313	388	377

## Program and Financing (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations .....	5,422	5,496	5,486
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	5,422	5,496	5,486
23.95 Total new obligations .....	-5,422	-5,496	-5,486
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund) .....	5,054	5,687	5,678
60.28 Appropriation (unavailable balances) .....	303	927	11
60.47 Portion applied to repay debt .....	-3,001	-3,145	-3,181
61.00 Transferred to other accounts .....	-79	-1,154	-168
62.50 Appropriation (total mandatory) .....	2,277	2,315	2,340
67.10 Authority to borrow .....	3,145	3,181	3,146
70.00 Total new budget authority (gross) .....	5,422	5,496	5,486
Change in obligated balances:			
72.40 Obligated balance, start of year .....	526	511	523
73.10 Total new obligations .....	5,422	5,496	5,486
73.20 Total outlays (gross) .....	-5,437	-5,484	-5,484
74.40 Obligated balance, end of year .....	511	523	525

## Outlays (gross), detail:

86.97	Outlays from new mandatory authority .....	4,911	4,973	4,961
86.98	Outlays from mandatory balances .....	526	511	523

87.00	Total outlays (gross) .....	5,437	5,484	5,484
Net budget authority and outlays:				
89.00	Budget authority .....	5,422	5,496	5,486
90.00	Outlays .....	5,437	5,484	5,484
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value .....	2,134	1,821	911
92.02	Total investments, end of year: Federal securities:			
	Par value .....	1,821	911	902

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. The SSEB receives monthly advances from the general fund equal to an estimate of the transfer the SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2001, \$3,145 million was advanced and \$3,001 million was repaid.

## Status of Funds (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	6	2	.....
0101 U.S. Securities: Par value .....	2,134	1,821	911
0105 Outstanding debt to Treasury .....	-3,001	-3,145	-3,181
0199 Total balance, start of year .....	-861	-1,321	-2,270
Cash income during the year:			
Current law:			
Receipts:			
1200 Railroad Soc. Sec. equivalent ben. acct., Taxes .....	2,040	2,114	2,145
1201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund .....	-422	-406	-420
1202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds .....	-4	-4	-4
Offsetting receipts (intragovernmental):			
1240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities .....	107	60	38
1241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits .....	98	95	100
1242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund .....	-48	-35	-35
1243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund .....	3,273	3,675	3,641
1244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund .....	10	188	213
1299 Income under present law .....	5,054	5,687	5,678
Cash outgo during year:			
Current law:			
4500 Railroad social security equivalent benefit account .....	-5,437	-5,484	-5,484
7645 Transfers, net .....	-77	-1,152	-168
Unexpended balance, end of year:			
8700 Uninvested balance .....	2	.....	.....
8701 Federal securities: Par value .....	1,821	911	902
8705 Outstanding debt to Treasury .....	-3,145	-3,181	-3,146
8799 Total balance, end of year .....	-1,321	-2,270	-2,244

## Object Classification (in millions of dollars)

Identification code 60-8010-0-7-601		2001 actual	2002 est.	2003 est.
42.0	Benefit payments .....	5,199	5,270	5,261
43.0	Interest and dividends .....	2	2	2
92.0	Repayment of interest on benefit advances .....	221	224	223

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—  
Continued

## Object Classification (in millions of dollars)—Continued

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.
99.9 Total new obligations .....	5,422	5,496	5,486

## RESOLUTION TRUST CORPORATION

## Federal Funds

## Public enterprise funds:

## RESOLUTION TRUST CORPORATION REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 22-4055-0-3-373	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	4		
23.98 Unobligated balance expiring or withdrawn .....	-4		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) as a temporary agency to dispose of insolvent thrift institutions. The Savings Association Insurance Fund took over responsibility for resolving failed thrifts on July 1, 1995, and the RTC's assets and liabilities were transferred to the FSLIC Resolution Fund on December 31, 1995.

Of \$18.3 billion appropriated to RTC in 1994 by the RTC Completion Act, the Thrift Depositor Protection Oversight Board determined that only \$4.6 billion was required and the excess was returned to Treasury on December 31, 1997. When the RTC terminated, the Oversight Board's primary function ceased. On October 29, 1998, the Board was abolished and its remaining responsibility to oversee the Resolution Funding Corporation (REFCORP), which provided financing for the RTC, was transferred to the Secretary of the Treasury.

## SECURITIES AND EXCHANGE COMMISSION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109 and pursuant to 5 U.S.C. 4801-02, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, [\$109,500,000 from fees collected in fiscal year 2002 to remain available until expended, and from fees collected in previous fiscal years, \$328,400,000 to remain available until expended] \$479,900,000; of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (1) such incidental ex-

penses as meals taken in the course of such attendance; (2) any travel and transportation to or from such meetings; and (3) any other related lodging or subsistence: *Provided*, That fees and charges authorized by sections [6(b)(4)] 6(b) of the Securities Act of 1933 (15 U.S.C. [77f(b)(4)]) 77f(b)), and 13(e), 14(g), and 31[(d)] of the Securities Exchange Act of 1934 (15 U.S.C. [78ee(d)]) 78m(e), 78n(g), and 78ee) shall be credited to this account as offsetting collections: *Provided further*, [That in the event that H.R. 1088, the Investor and Capital Markets Fee Relief Act, or other legislation to amend section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)), and sections 13(e), 14(g), and 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78m(e), 78n(g) and 78ee), is enacted into law prior to the date on which a regular appropriation to the Commission for fiscal year 2003 is enacted, the fees, charges, and assessments authorized by such sections, as amended, shall be deposited and credited to this account as offsetting collections: *Provided further*, That fees collected as authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) for sales transacted on, and with respect to securities registered solely on, an exchange that is initially granted registration as a national securities exchange after February 24, 2000 shall be credited to this account as offsetting collections: *Provided further*, That for purposes of collections under section 31, a security shall not be deemed registered on a national securities exchange solely because that national securities exchange continues or extends unlisted trading privileges to that security] *That not to exceed \$479,900,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2003 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2003 appropriation from the general fund estimated at not more than \$0. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

## [(RESCISSION)]

[Of the unobligated balances available under this heading, \$50,000,000 are rescinded.] *(Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$20,705,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] *(Emergency Supplemental Act, 2002.)*

## Unavailable Collections (in millions of dollars)

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	1,099	1,411	2,121
Receipts:			
02.80 Salaries and expenses .....	736	1,150	1,333
04.00 Total: Balances and collections .....	1,835	2,561	3,454
Appropriations:			
05.00 Salaries and expenses .....	-424	-440	-481
05.99 Total appropriations .....	-424	-440	-481
07.99 Balance, end of year .....	1,411	2,121	2,973

## Program and Financing (in millions of dollars)

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Full disclosure .....	60	68	69
00.02 Prevention and suppression of fraud .....	154	165	169
00.03 Supervision and regulation of securities markets .....	65	71	72
00.04 Investment management regulation .....	82	89	90
00.05 Legal and economic services .....	25	29	29
00.07 Program direction .....	44	50	51
09.01 Reimbursable program .....	1	1	1
10.00 Total new obligations .....	431	473	481
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	74	76	25
22.00 New budget authority (gross) .....	437	423	481
23.90 Total budgetary resources available for obligation .....	511	499	506



23.95	Total new obligations .....	—431	—473	—481
23.98	Unobligated balance expiring or withdrawn .....	—4		
24.40	Unobligated balance carried forward, end of year .....	76	25	25

**New budget authority (gross), detail:**

Discretionary:				
40.00	Appropriation .....		21	
40.36	Unobligated balance rescinded .....		—50	
43.00	Appropriation (total discretionary) .....		—29	
Spending authority from offsetting collections:				
68.00	Offsetting governmental collections (cash) .....	736	1,150	1,333
68.26	From offsetting collections (unavailable balances) .....	295	329	300
68.45	Portion precluded from obligation (limitation on obligations) .....	—594	—1,027	—1,152
68.90	Spending authority from offsetting collections (total discretionary) .....	437	452	481
70.00	Total new budget authority (gross) .....	437	423	481

**Change in obligated balances:**

72.40	Obligated balance, start of year .....	92	104	126
73.10	Total new obligations .....	431	473	481
73.20	Total outlays (gross) .....	—419	—451	—477
74.40	Obligated balance, end of year .....	104	126	130

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	368	411	415
86.93	Outlays from discretionary balances .....	51	40	62
87.00	Total outlays (gross) .....	419	451	477

**Offsets:**

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	—1	—1	—1
88.40	Non-Federal sources .....	—735	—1,149	—1,332
88.90	Total, offsetting collections (cash) .....	—736	—1,150	—1,333

**Net budget authority and outlays:**

89.00	Budget authority .....	—299	—727	—852
90.00	Outlays .....	—317	—699	—856

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	— 312	— 739	— 865
90.00 Outlays .....	— 330	— 711	— 869

The primary mission of the Securities and Exchange Commission (the Commission) is to administer and enforce the Federal securities laws in order to protect investors, and to maintain fair, honest, and efficient markets.

**Full disclosure.**—This program ensures that investors will be provided with material information in the public offering, trading, voting and tendering of securities. Standards of financial reporting are established and enforced to enhance the transparency, relevance, and reliability of financial reporting so that financial statements used by investors in making investment decisions are presented fairly and have credibility. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to investors and the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

**Electronic filing (EDGAR).**—The Commission's EDGAR system provides the agency with the capability for electronic receipt, analysis, and dissemination of virtually all of its full disclosure filings. Since becoming operational in 1993, EDGAR has received and successfully processed and disseminated over 4.8 million documents submitted in approximately 1.8 million separate submissions from over 28,000 companies and funds registered with the SEC.

In order to take advantage of changes in technology, lower operational costs, integrate with other SEC systems, and respond to the demands of filers and investors, the SEC decided to modernize EDGAR. This multi-year modernization will concluded in early 2002. This modernization brings Internet technology, both hardware and software, to EDGAR, with such new features as: (1) a Filing Web Site (for filing, software distribution, and assistance), (2) a security infrastructure as secure as what is used today for Internet financial transactions across the world, (3) new data formats that promote readability of documents for multiple purposes, (4) new functionality as requested by our filing community, (5) an Enterprise Data Repository for centrally housing all SEC data, and (6) a new Public Dissemination System.

The SEC is now reviewing all of its remaining paper documents to determine the feasibility and cost/benefit of incorporating those into the electronic world of EDGAR.

**SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Filings of initial 1933 Act registration statements—other than investment companies .....	745	745	745
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies ..	4,015	4,015	4,015
Filings of annual and periodic reports—other than investment companies .....	94,585	94,585	94,585
Filings of Director and Officer ownership and transaction reports .....	299,875	300,000	300,000

**Prevention and suppression of fraud.**—This program investigates and prosecutes violations of the federal securities laws, including financial fraud, illegal distribution of unregistered securities, fraudulent offerings, insider trading, market manipulation, and illegal conduct by broker-dealers and investment advisers. Enforcement actions include emergency actions halting ongoing violations, injunctions against future violations, and disgorgement orders. Financial penalties and bars from acting in a regulated capacity may also be obtained. Over \$3.0 billion in disgorgement and penalties has been collected in our actions since 1984. Because of the critical importance of criminal prosecutions as a deterrent to securities fraud, we refer cases to criminal authorities and detail staff to assist in criminal prosecutions.

**SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Investigations opened .....	570	570	570
Administrative proceedings opened .....	248	250	250
Civil actions opened .....	236	240	240

**Supervision and regulation of securities markets.**—Trading in the securities markets is regulated to protect investors against fraud and manipulation and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions. The Commission also conducts examinations of broker-dealers and inspections of transfer agents, clearing agencies, and self-regulatory organizations.

**General and special funds—Continued****SALARIES AND EXPENSES—Continued****[(RESCISSION)]—Continued****SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Review of changes in the rules and procedures of self-regulatory organizations .....	638	600	600
Inspections of self-regulatory organizations .....	31	34	34
Broker-dealer registration applications .....	617	750	750
Broker-dealer oversight and cause examinations .....	659	600	600
Transfer agent and clearing agency examinations .....	155	143	148

**Investment management regulation.**—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. Mutual funds and other investment companies manage over \$6.7 trillion for more than 55 million households. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

**SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Investment company assets inspected (\$ trillions) .....	1.1	2.6	2.0
Investment company portfolios and amendments filed .....	27,770	27,810	27,430
Investment company proxy statements filed .....	655	715	695
Investment advisers inspected .....	1,405	1,350	1,350
Investment adviser registration statements filed .....	916	1,000	1,000
Exemptive relief requests concluded .....	425	430	430
Public utility filings processed .....	127	140	160
Public utility annual and periodic reports examined .....	1,600	1,800	1,600

**Legal and economic services.**—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with Government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

**SELECTED WORKLOAD DATA**

	2001 actual	2002 est.	2003 est.
Litigation matters opened .....	273	280	285
Adjudicatory matters received .....	51	70	70
Adjudicatory matters completed .....	46	60	65
Legislative matters .....	274	320	320
Chapter 11 disclosure statements commented on .....	154	165	165
Administrative proceedings disposed by Administrative Law Judges .....	64	60	60

**Program direction.**—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating pri-

orities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

The Commission's fee schedule has been revised by the provisions of the "Investor and Capital Markets Fee Relief Act" (P.L. 107-123). This law amends fee language found in Section 6(b) of the Securities Act of 1933, Sections 13(e) 14(g) and 31 of the Securities Exchange Act of 1934, and Section 307(b) of the Trust Indenture Act of 1939. Under this law, the Section 6(b) fee rate paid by corporations to register securities with the Commission will be reduced from \$250 per \$1 million in 2001 to \$92 per \$1 million. The Section 31 transaction fee rate will be reduced from \$33.33 per \$1 million to \$15 per \$1 million. The Section 14(g) fee for proxy solicitations and statements in corporate control transactions will be reduced from \$200 per \$1 million to \$92 per \$1 million. The Section 13(e) fee for stock repurchase statements will be reduced from \$200 per \$1 million to \$92 per \$1 million. The Trust Indenture Act fee will be eliminated. Henceforth, all Commission fees will be credited to offsetting collections, with no portion credited to general revenues. The new law requires that, in fiscal years 2003-11, the Commission adjust all applicable fee rates so that, when applied to the baseline estimate of the aggregate dollar amount of relevant activities for each fiscal year, the result will be aggregate fee collections equal to a target offsetting collection amounts projected for each fiscal year. The Commission shall adjust each rate to a uniform adjusted rate in fiscal years 2012 and after.

P.L. 107-123 also granted the Commission the authority to compensate its employees in amounts comparable to the salaries paid by the federal banking agencies, in order to help ameliorate the continued difficulties that the Commission has had in attracting and retaining sufficient numbers of qualified staff. The Commission expects to make the transition once it meets the procedural steps outlined in the Act and has the budget authority to compensate its staff at the higher levels. In the interim period, many Commission staff will continue to be paid pursuant to the General Schedule, while those eligible for the special pay rates approved by Office of Personnel Management ("OPM") in 2001 will continue to be paid according to their respective special pay scales.

**Object Classification (in millions of dollars)**

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	217	245	254
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	5	4	4
11.9 Total personnel compensation .....	226	253	262
12.1 Civilian personnel benefits .....	67	72	75
21.0 Travel and transportation of persons .....	8	8	9
23.2 Rental payments to others .....	31	35	36
23.3 Communications, utilities, and miscellaneous charges .....	14	14	16
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services .....	19	21	23
25.3 Other purchases of goods and services from Government accounts .....	1	1	.....
25.4 Operation and maintenance of facilities .....	2	2	2
25.7 Operation and maintenance of equipment .....	41	44	38
26.0 Supplies and materials .....	5	4	4
31.0 Equipment .....	8	11	8
32.0 Land and structures .....	2	1	1
99.0 Direct obligations .....	430	472	480

99.5	Below reporting threshold .....	1	1	1
99.9	Total new obligations .....	431	473	481

**Personnel Summary**

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	2,935	2,996	2,996
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	1	1	1

**Public enterprise funds:****INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION****Program and Financing** (in millions of dollars)

Identification code 50-4068-0-3-376	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1,000	1,000	1,000
24.40 Unobligated balance carried forward, end of year .....	1,000	1,000	1,000
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

**SMITHSONIAN INSTITUTION****Federal Funds****General and special funds:****SALARIES AND EXPENSES****(INCLUDING RECISSION)**

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed 30 years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to five replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees, **[\$399,253,000] \$468,462,000**, of which not to exceed **[\$37,508,000] \$52,884,000** for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of the American Indian, *security improvements*, and the repatriation of skeletal remains program shall remain available until expended, and including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: *Provided further*, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments for long term and swing space, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to the extent that federally supported activities are housed in the 900 H Street, N.W. building in the District of Columbia: *Provided further*, That this use of Federal appropriations shall not be construed as debt service, a Federal guarantee of, a transfer of risk to, or an obligation of, the Federal Government: *Provided further*, That no appropriated

funds may be used to service debt which is incurred to finance the costs of acquiring the 900 H Street building or of planning, designing, and constructing improvements to such building. *From unobligated balances of prior year appropriations \$14,100,000 is rescinded. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

**[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Salaries and Expenses" of the Smithsonian Institution, \$21,707,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)**

**Program and Financing** (in millions of dollars)

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.01 Exhibits .....	41	39	41
00.02 Education .....	21	21	22
00.03 Collections .....	52	52	53
00.04 Research .....	73	73	73
00.05 Facilities .....	93	96	110
00.06 Security .....	43	67	68
00.07 Information Technology .....	29	38	48
00.08 Finance/General Administration .....	50	54	54
09.01 Reimbursable program .....	2	4	2
10.00 Total new obligations .....	404	444	471

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	16	22	34
22.00 New budget authority (gross) .....	410	442	457
22.10 Resources available from recoveries of prior year obligations .....		14	
23.90 Total budgetary resources available for obligation	426	478	491
23.95 Total new obligations .....	-404	-444	-471
24.40 Unobligated balance carried forward, end of year .....	22	34	20

<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	407	440	469
40.36 Unobligated balance rescinded .....			-14
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....	-1		
43.00 Appropriation (total discretionary) .....	406	440	455
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	2	2	2
68.10 Change in uncollected customer payments from Federal sources (unexpired) .....	2		
68.90 Spending authority from offsetting collections (total discretionary) .....	4	2	2
70.00 Total new budget authority (gross) .....	410	442	457

<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	68	67	49
73.10 Total new obligations .....	404	444	471
73.20 Total outlays (gross) .....	-403	-448	-455
73.45 Recoveries of prior year obligations .....		-14	
74.00 Change in uncollected customer payments from Federal sources (unexpired) .....	-2		
74.40 Obligated balance, end of year .....	67	49	63

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	353	387	400
86.93 Outlays from discretionary balances .....	50	61	55
87.00 Total outlays (gross) .....	403	448	455

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired) .....	-2		

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	406	440	455
90.00 Outlays .....	401	446	453

**General and special funds—Continued****SALARIES AND EXPENSES—Continued**

(INCLUDING RECISSION)—Continued

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	387	421	435
90.00 Outlays .....	382	427	433

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves for reference and study purposes over one hundred and forty million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 16 museums; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

**Object Classification (in millions of dollars)**

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	202	218	221
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	8	9	9
11.9 Total personnel compensation .....	214	231	234
12.1 Civilian personnel benefits .....	70	78	81
21.0 Travel and transportation of persons .....	4	4	4
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	7	7	7
23.3 Communications, utilities, and miscellaneous charges .....	39	34	45
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	32	50	60
25.3 Other purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	17	13	13
31.0 Equipment .....	14	13	13
32.0 Land and structures .....	1	6	8
99.0 Direct obligations .....	402	440	469
99.0 Reimbursable obligations .....	2	4	2
99.9 Total new obligations .....	404	444	471

**Personnel Summary**

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	4,031	4,514	4,574
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	9	9	9

Note:—2001 actual personnel number does not include 216 work years at Smithsonian Tropical Research Institute.

**MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)****Program and Financing (in millions of dollars)**

Identification code 33-0102-0-1-503	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

This account supports a program of grants to U.S. universities, museums, and other institutions of higher learning, paid for by excess U.S.-owned foreign currencies. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

**REPAIR, RESTORATION AND ALTERATION OF FACILITIES**

For necessary expenses of maintenance, repair, restoration, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), *including necessary personnel*, including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, **[\$67,900,000] \$81,300,000**, to remain available until expended, of which **[\$10,000,000] \$16,750,000** is provided for maintenance, repair, rehabilitation and alteration of facilities at the National Zoological Park: *Provided*, That contracts awarded for environmental systems, protection systems, and repair or restoration of facilities of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	63	69	80
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	14	9	8
22.00 New budget authority (gross) .....	58	68	81
23.90 Total budgetary resources available for obligation	72	77	89
23.95 Total new obligations .....	—63	—69	—80
24.40 Unobligated balance carried forward, end of year .....	9	8	9
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	58	68	81
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	51	55	61
73.10 Total new obligations .....	63	69	80
73.20 Total outlays (gross) .....	—58	—63	—61
74.40 Obligated balance, end of year .....	55	61	80
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	15	17	20
86.93 Outlays from discretionary balances .....	43	46	41
87.00 Total outlays (gross) .....	58	63	61
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	58	68	81
90.00 Outlays .....	58	63	61

This account encompasses maintenance, repairs, restorations, code compliance changes, minor construction, alter-

ations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. Current long-term projects supported by the Administration in this account include ongoing renovations at the National Museum of Natural History, the Patent Office Building, and the National Zoological Park.

**Object Classification** (in millions of dollars)

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....		2	3
12.1 Civilian personnel benefits .....			1
25.2 Other services .....	62	66	75
26.0 Supplies and materials .....	1	1	1
99.9 Total new obligations .....	63	69	80

**Personnel Summary**

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....		37	60

**CONSTRUCTION**

For necessary expenses for construction, **[\$30,000,000]** including necessary personnel, \$12,000,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

**Program and Financing** (in millions of dollars)

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
00.06 National Museum of the American Indian .....	44	29	12
00.07 Natural History East Court Building .....	1		
00.08 National Zoological Park Agriculture Exhibit .....	1	4	
00.10 Smithsonian Astrophysical Observ.- Hilo Building .....		5	
00.12 Museum Support Center, Pod 5 .....			2
10.00 Total new obligations .....	46	38	14
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	48	12	4
22.00 New budget authority (gross) .....	10	30	12
23.90 Total budgetary resources available for obligation .....	58	42	16
23.95 Total new obligations .....	-46	-38	-14
24.40 Unobligated balance carried forward, end of year .....	12	4	2
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	10	30	12
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	7	43	42
73.10 Total new obligations .....	46	38	14
73.20 Total outlays (gross) .....	-10	-39	-14
74.40 Obligated balance, end of year .....	43	42	42
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	6	2
86.93 Outlays from discretionary balances .....	8	33	12
87.00 Total outlays (gross) .....	10	39	14
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	10	30	12
90.00 Outlays .....	10	39	14

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education. The 2003 budget request provides funds for the construction of the National Museum of the American

Indian on the Mall and the design of the Museum Support Center Pod 5.

**Object Classification** (in millions of dollars)

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services .....	46	38	13
99.9 Total new obligations .....	46	38	14

**Personnel Summary**

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....			5

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS****OPERATIONS AND MAINTENANCE**

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$15,000,000]** \$17,102,000. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Operations and Maintenance" of the John F. Kennedy Center for the Performing Arts, \$4,310,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

**Program and Financing** (in millions of dollars)

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	14	19	16
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	14	19	16
23.95 Total new obligations .....	-14	-19	-16
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	14	19	16
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	4	3	3
73.10 Total new obligations .....	14	19	16
73.20 Total outlays (gross) .....	-15	-18	-16
74.40 Obligated balance, end of year .....	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	12	15	13
86.93 Outlays from discretionary balances .....	3	3	4
87.00 Total outlays (gross) .....	15	18	16
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	14	19	16
90.00 Outlays .....	15	18	16

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

**Object Classification** (in millions of dollars)

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	7	12	9
99.0 Direct obligations .....	13	18	15

**General and special funds—Continued****JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS—Continued****OPERATIONS AND MAINTENANCE—Continued****Object Classification** (in millions of dollars)—Continued

Identification code 33–0302–0–1–503	2001 actual	2002 est.	2003 est.
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	14	19	16

**Personnel Summary**

Identification code 33–0302–0–1–503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	53	55	55

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS****CONSTRUCTION**

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$19,000,000]** \$17,000,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 33–0303–0–1–503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	36	30	17
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	26	11	.....
22.00 New budget authority (gross) .....	20	19	17
22.10 Resources available from recoveries of prior year obligations .....	2	.....	.....
23.90 Total budgetary resources available for obligation .....	48	30	17
23.95 Total new obligations .....	–36	–30	–17
24.40 Unobligated balance carried forward, end of year .....	11	.....	.....
<b>New budget authority (gross), detail:</b>			
40.00 Discretionary:			
40.00 Appropriation .....	20	19	17
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	9	29	27
73.10 Total new obligations .....	36	30	17
73.20 Total outlays (gross) .....	–14	–32	–20
73.45 Recoveries of prior year obligations .....	–2	.....	.....
74.40 Obligated balance, end of year .....	29	27	24
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	14	11	10
86.93 Outlays from discretionary balances .....	.....	21	10
87.00 Total outlays (gross) .....	14	32	20
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	20	19	17
90.00 Outlays .....	14	32	20

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities. The Kennedy Center plans to continue Phase II of the renovation of the interior of the presidential memorial.

**NATIONAL GALLERY OF ART****SALARIES AND EXPENSES**

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$68,967,000]** \$81,864,000, of which not to exceed **[\$3,026,000]** \$827,000 for the special exhibition program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for “Salaries and Expenses” of the National Gallery of Art, \$2,148,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 33–0200–0–1–503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	67	75	82
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	68	75	82
23.95 Total new obligations .....	–67	–75	–82
<b>New budget authority (gross), detail:</b>			
40.00 Discretionary:			
40.00 Appropriation .....	68	75	82
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	6	7	7
73.10 Total new obligations .....	67	75	82
73.20 Total outlays (gross) .....	–66	–76	–82
74.40 Obligated balance, end of year .....	7	7	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	61	69	76
86.93 Outlays from discretionary balances .....	5	7	6
87.00 Total outlays (gross) .....	66	76	82
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	68	75	82
90.00 Outlays .....	66	76	82
<b>Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs</b> (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	65	71	78
90.00 Outlays .....	63	72	78

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

## Object Classification (in millions of dollars)

Identification code 33-0200-0-1-503	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	35	38	40
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	4	4
11.9 Total personnel compensation .....	38	43	45
12.1 Civilian personnel benefits .....	12	15	16
22.0 Transportation of things .....	1	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	5	5	6
25.2 Other services .....	7	6	4
25.4 Operation and maintenance of facilities .....	1	1	6
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	1	2	3
99.9 Total new obligations .....	67	75	82

## Personnel Summary

Identification code 33-0200-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	753	845	845

## NATIONAL GALLERY OF ART

## REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, **[\$14,220,000]** \$16,230,000, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

## Program and Financing (in millions of dollars)

Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	10	16	16
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	3	4	2
22.00 New budget authority (gross) .....	11	14	16
23.90 Total budgetary resources available for obligation .....	14	18	18
23.95 Total new obligations .....	-10	-16	-16
24.40 Unobligated balance carried forward, end of year .....	4	2	2
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	11	14	16
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	5	8	8
73.10 Total new obligations .....	10	16	16
73.20 Total outlays (gross) .....	-7	-16	-17
74.40 Obligated balance, end of year .....	8	8	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	5	3
86.93 Outlays from discretionary balances .....	5	11	14
87.00 Total outlays (gross) .....	7	16	17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	11	14	16
90.00 Outlays .....	7	16	17

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and design. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

## Object Classification (in millions of dollars)

Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services .....	.....	1	1
31.0 Equipment .....	1	.....	.....
32.0 Land and structures .....	9	14	15
99.0 Direct obligations .....	10	15	16
99.5 Below reporting threshold .....	.....	1	.....
99.9 Total new obligations .....	10	16	16

## Personnel Summary

Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2	3	3

## WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

## SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$7,796,000]** \$8,708,000. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

## Program and Financing (in millions of dollars)

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	7	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	7	8	8
23.95 Total new obligations .....	-7	-8	-8
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	7	8	8
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	2	2	3
73.10 Total new obligations .....	7	8	8
73.20 Total outlays (gross) .....	-7	-8	-8
74.40 Obligated balance, end of year .....	2	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	5	5	5
86.93 Outlays from discretionary balances .....	2	3	3
87.00 Total outlays (gross) .....	7	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	7	8	8
90.00 Outlays .....	7	8	8

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

## Object Classification (in millions of dollars)

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	2	3	3
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total new obligations .....	7	8	8

**General and special funds—Continued****WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS—Continued****SALARIES AND EXPENSES—Continued****Personnel Summary**

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment .....	45	50	50

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS****PAYMENT TO ENDOWMENT FUND****Program and Financing (in millions of dollars)**

Identification code 33-0401-0-1-503	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	5		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	5		
23.95 Total new obligations .....	-5		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	5		
<b>Change in obligated balances:</b>			
73.10 Total new obligations .....	5		
73.20 Total outlays (gross) .....	-5		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5		
90.00 Outlays .....	5		

Endowment funds were placed in investments approved by the Board of Trustees of the Woodrow Wilson International Center for Scholars. Income earned from the investments will be used to support the programs of the Center that the Board of Trustees and the Director of the Center deem appropriate.

**STATE JUSTICE INSTITUTE****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

[For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1992 (Public Law 102-572; 106 Stat. 4515-4516), \$3,000,000: *Provided*, That not to exceed \$2,500 shall be available for official reception and representation expenses.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

**Program and Financing (in millions of dollars)**

Identification code 48-0052-0-1-752	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	7	3	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	2	2
22.00 New budget authority (gross) .....	7	3	
23.90 Total budgetary resources available for obligation .....	9	5	2
23.95 Total new obligations .....	-7	-3	
24.40 Unobligated balance carried forward, end of year .....	2	2	2

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	7	3	
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	8	10	9
73.10 Total new obligations .....	7	3	
73.20 Total outlays (gross) .....	-5	-4	-1
74.40 Obligated balance, end of year .....	10	9	8

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	5	2	
86.93 Outlays from discretionary balances .....		2	1
87.00 Total outlays (gross) .....	5	4	1

**Net budget authority and outlays:**

89.00 Budget authority .....	7	3	
90.00 Outlays .....	7	4	1

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States.

**TENNESSEE VALLEY AUTHORITY****Federal Funds****Public enterprise funds:****TENNESSEE VALLEY AUTHORITY FUND****Program and Financing (in millions of dollars)**

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
Power program:			
09.01 Power program: Operating expenses .....	5,424	5,783	5,830
09.02 Power program: Capital expenditures .....	1,269	1,811	1,177
09.09 Total power program .....	6,693	7,594	7,007
10.00 Total new obligations .....	6,693	7,594	7,007
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	283	323	330
22.00 New budget authority (gross) .....	6,733	7,601	6,979
23.90 Total budgetary resources available for obligation .....	7,016	7,924	7,309
23.95 Total new obligations .....	-6,693	-7,594	-7,007
24.40 Unobligated balance carried forward, end of year .....	323	330	302
<b>New budget authority (gross), detail:</b>			
Mandatory:			
67.10 Authority to borrow .....		300	
69.00 Offsetting collections (cash) .....	7,398	7,430	7,288
69.27 Capital transfer to general fund .....	-55	-50	-48
69.47 Portion applied to repay debt .....	-610	-50	-252
69.53 Portion substituted for borrowing authority .....		-29	-9
69.90 Spending authority from offsetting collections (total mandatory) .....	6,733	7,301	6,979
70.00 Total new budget authority (gross) .....	6,733	7,601	6,979
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	800	757	741
73.10 Total new obligations .....	6,693	7,594	7,007
73.20 Total outlays (gross) .....	-6,736	-7,610	-6,987
74.40 Obligated balance, end of year .....	757	741	761
<b>Outlays (gross), detail:</b>			
86.93 Outlays from discretionary balances .....	8	2	1
86.97 Outlays from new mandatory authority .....	6,647	7,301	6,902
86.98 Outlays from mandatory balances .....	81	307	84
87.00 Total outlays (gross) .....	6,736	7,610	6,987
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-72	-82	-83



88.40	Non-Federal sources .....	-7,326	-7,348	-7,205
88.90	Total, offsetting collections (cash) .....	-7,398	-7,430	-7,288
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	-665	171	-309
90.00	Outlays .....	-662	180	-301

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

#### Status of Direct Loans (in millions of dollars)

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	13	18	19
1150 Total direct loan obligations .....	13	18	19
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	53	51	57
1231 Disbursements: Direct loan disbursements .....	12	18	19
1251 Repayments: Repayments and prepayments .....	-13	-12	-16
1263 Write-offs for default: Direct loans .....	-1		-1
1290 Outstanding, end of year .....	51	57	59

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. The agency finances its program primarily from proceeds available from current power operations and borrowings against future power revenues.

**TVA's nonpower programs.**—As a Federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 201 counties in seven States.

TVA has a statutory obligation to operate 54 dams and reservoirs to regulate stream-flow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and manage 550,000 hectares (1.4 million acres) of land; provide services and education to watershed communities; operate public recreation areas; and, meet Federal regulatory law requirements. The Budget proposes that in 2003, these services be funded entirely by TVA's power revenues, user fees and sources other than appropriations.

**TVA's Power Program.**—TVA's role as the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States is being reviewed as the Nation considers ways to restructure the electric power industry. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$100,000,000 in 2003. Power generating facilities are financed from power proceeds and borrowings. The Budget reflects a number of important initiatives TVA is undertaken to prepare TVA for changes that are occurring in the electric power industry. The initiatives are designed to give TVA's customers better service, greater freedom of choice, and continued access to economical power. TVA will renegotiate its sales contracts with the municipal utilities and cooperatives it serves. It will work with the Federal Energy Regulatory Commission and

the Department of Energy to improve the nation's transmission system. It will continue to work with independent power producers to tie into TVA's transmission network and thus give TVA customers a wider range of choice. It will revise its power pricing policies to encourage its power distributors to introduce pricing systems such as time-of-day pricing which encourage cost-effective energy conservation.

**Financing.**—Amounts estimated to become available in 2003 are to be derived from power revenues and receipts of \$7,275 million.

**Operating results and financial conditions.**—Payments to the Treasury from power proceeds in 2003 are estimated at \$48 million—\$28 million as a dividend (return on the appropriation investment in the power program) and \$20 million as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to decrease by \$252 million during 2003.

Total assets are estimated to increase by \$105 million during 2003. The estimate of liabilities at September 30, 2003, is \$62 million more than the estimate at September 30, 2002. Total Government equity at September 30, 2003, is estimated to be \$43 million greater than that at September 2002. This change includes the net income from power operations, less payments to the Treasury.

#### Statement of Operations (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue .....	6,740	6,999	7,111	7,275
0102 Expense .....	-6,716	-10,310	-7,011	-7,175
0105 Net income or loss (-) .....	24	-3,311	100	100

#### Balance Sheet (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	172	166	160	160
Investments in US securities:				
1106 Receivables, net .....	11	36	15	15
Non-Federal assets:				
1201 Investments in non-Federal securities, net .....	840	724	772	841
1206 Receivables, net .....	676	684	791	728
1207 Advances and prepayments .....	4	4	4	4
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross .....	189	161	201	187
1603 Allowance for estimated uncollectible loans and interest (-) .....	-13	-12	-12	-12
1604 Direct loans and interest receivable, net .....	176	149	189	175
1699 Value of assets related to direct loans .....	176	149	189	175
Other Federal assets:				
1801 Cash and other monetary assets .....	2,647	1,884	1,377	1,323
1802 Inventories and related properties .....	386	438	459	463
1803 Property, plant and equipment, net .....	29,053	26,358	26,775	26,938
1999 Total assets .....	33,965	30,443	30,542	30,647
<b>LIABILITIES:</b>				
Federal liabilities:				
2101 Accounts payable .....	93	209	200	200
2102 Interest payable .....	2			
Non-Federal liabilities:				
2201 Accounts payable .....	452	555	573	573
2202 Interest payable .....	436	389	398	435
2203 Debt .....	25,985	25,375	25,325	25,073
2207 Other .....	2,046	2,467	2,561	2,838
2999 Total liabilities .....	29,014	28,995	29,057	29,119
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	4,951	1,448	1,485	1,528
3999 Total net position .....	4,951	1,448	1,485	1,528

**Public enterprise funds—Continued****TENNESSEE VALLEY AUTHORITY FUND—Continued****Balance Sheet** (in millions of dollars)—Continued

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
4999 Total liabilities and net position .....	33,965	30,443	30,542	30,647

**Object Classification** (in millions of dollars)

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent .....	836	949	875
11.5 Other personnel compensation .....	83	94	87
11.9 Total personnel compensation .....	919	1,043	962
12.1 Civilian personnel benefits .....	309	351	323
21.0 Travel and transportation of persons .....	19	22	20
22.0 Transportation of things .....	211	239	221
23.2 Rental payments to others .....	108	123	113
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	1,838	2,085	1,926
25.2 Other services .....	1,135	1,288	1,187
25.7 Operation and maintenance of equipment .....	248	281	260
26.0 Supplies and materials .....	10	11	10
31.0 Equipment .....	99	112	104
32.0 Land and structures .....	4	5	4
33.0 Investments and loans .....	-122	-138	-128
41.0 Grants, subsidies, and contributions .....	315	357	330
43.0 Interest and dividends .....	1,599	1,814	1,674
99.9 Total new obligations .....	6,693	7,594	7,007

**Personnel Summary**

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment .....	13,178	13,100	13,200

**UNITED MINE WORKERS OF AMERICA  
BENEFIT FUNDS****Trust Funds****UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND****Unavailable Collections** (in millions of dollars)

Identification code 95-8295-0-7-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....			
Receipts:			
02.00 Premiums, combined benefit fund & 1992 pension plan, UMWA .....	150	143	138
02.40 Transfers from abandoned mine reclamation fund .....	182	90	70
02.99 Total receipts and collections .....	332	233	208
Appropriations:			
05.00 United mine workers of America 1992 benefit plan .....	-31	-31	-32
05.01 United mine workers of America combined benefit fund .....	-301	-202	-176
05.99 Total appropriations .....	-332	-233	-208
07.99 Balance, end of year .....			

Note.—The unavailable collections table (above) includes entries that pertain both to the combined benefit fund and the 1992 benefit plan.

**Program and Financing** (in millions of dollars)

Identification code 95-8295-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0) .....	301	202	176
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	301	202	176

23.95 Total new obligations .....	-301	-202	-176
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**New budget authority (gross), detail:**

Mandatory:			
60.26 Appropriation (trust fund) .....	301	202	176

**Change in obligated balances:**

73.10 Total new obligations .....	301	202	176
73.20 Total outlays (gross) .....	-301	-202	-176

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	301	202	176
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**Net budget authority and outlays:**

89.00 Budget authority .....	301	202	176
90.00 Outlays .....	301	202	176

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-funded United Mine Workers pension fund; and transfers from the Abandoned Mine Land Reclamation fund.

**UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN****Program and Financing** (in millions of dollars)

Identification code 95-8260-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0) .....	31	31	32
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	31	31	32
23.95 Total new obligations .....	-31	-31	-32

**New budget authority (gross), detail:**

Mandatory:			
60.26 Appropriation (trust fund) .....	31	31	32

**Change in obligated balances:**

73.10 Total new obligations .....	31	31	32
73.20 Total outlays (gross) .....	-31	-31	-32

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	31	31	32
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**Net budget authority and outlays:**

89.00 Budget authority .....	31	31	32
90.00 Outlays .....	31	31	32

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America.

**UNITED STATES ENRICHMENT  
CORPORATION****Federal Funds****Public enterprise funds:****UNITED STATES ENRICHMENT CORPORATION FUND****Program and Financing (in millions of dollars)**

Identification code 95-4054-0-3-271	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	478	1,239	1,306
22.00 New budget authority (gross)	37	67	71
22.40 Capital transfer from general fund	725		
23.90 Total budgetary resources available for obligation	1,239	1,306	1,377
24.40 Unobligated balance carried forward, end of year	1,239	1,306	1,377
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash)	37	67	71
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year	1	1	1
74.40 Obligated balance, end of year	1	1	1
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities	-37	-67	-71
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-37	-67	-71
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: Federal securities:			
Par value	508	1,242	1,309
92.02 Total investments, end of year: Federal securities:			
Par value	1,242	1,309	1,380

The funds in this account may be used without further appropriation only for paying any remaining expenses associated with the transfer of ownership of the United States Enrichment Corporation to private investors. These expenses are estimated to total less than \$1 million. There are no other authorized uses for these funds.

**UNITED STATES HOLOCAUST MEMORIAL  
MUSEUM****Federal Funds****General and special funds:****HOLOCAUST MEMORIAL MUSEUM**

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), **[\$36,028,000]** **\$39,867,000**, of which \$1,900,000 for the museum's repair and rehabilitation program and \$1,264,000 for the museum's exhibitions program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

**Program and Financing (in millions of dollars)**

Identification code 95-3300-0-1-808	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations	33	37	40
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1	3	3
22.00 New budget authority (gross)	35	37	40
23.90 Total budgetary resources available for obligation	36	40	43
23.95 Total new obligations	-33	-37	-40
23.98 Unobligated balance expiring or withdrawn	-1		

24.40	Unobligated balance carried forward, end of year	3	3	3
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**New budget authority (gross), detail:**

Discretionary:				
40.00	Appropriation	35	37	40

**Change in obligated balances:**

72.40	Obligated balance, start of year	9	11	12
73.10	Total new obligations	33	37	40
73.20	Total outlays (gross)	-31	-36	-38
74.40	Obligated balance, end of year	11	12	13

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority	26	27	28
86.93	Outlays from discretionary balances	5	9	10
87.00	Total outlays (gross)	31	36	38

**Net budget authority and outlays:**

89.00	Budget authority	35	37	40
90.00	Outlays	30	36	38

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)**

	2001 actual	2002 est.	2003 est.
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	34	36	39
90.00 Outlays .....	29	35	37

The Museum operates a permanent living memorial to the victims of the Holocaust. The memorial museum, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

**Object Classification (in millions of dollars)**

Identification code 95-3300-0-1-808		2001 actual	2002 est.	2003 est.
Personnel compensation:				
11.1	Full-time permanent .....	12	13	14
11.3	Other than full-time permanent .....	1	1	1
		<hr/>	<hr/>	<hr/>
11.9	Total personnel compensation .....	13	14	15
12.1	Civilian personnel benefits .....	4	4	5
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	2	3	3
25.2	Other services .....	10	10	11
25.4	Operation and maintenance of facilities .....		2	2
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	1	1
		<hr/>	<hr/>	<hr/>
99.9	Total new obligations .....	33	37	40

**Personnel Summary**

Identification code 95-3300-0-1-808		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment .....	231	247	248

**UNITED STATES INSTITUTE OF PEACE****Federal Funds****General and special funds:****OPERATING EXPENSES**

For necessary expenses of the United States Institute of Peace as authorized in the United States Institute of Peace Act, **[\$15,104,000]** **\$16,200,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

**General and special funds—Continued****OPERATING EXPENSES—Continued****Program and Financing** (in millions of dollars)

Identification code 95–1300–0–1–153	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....	15	15	16
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	15	15	16
23.95 Total new obligations .....	–15	–15	–16
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	15	15	16
<b>Change in obligated balances:</b>			
72.40 Obligated balance, start of year .....	1	1	1
73.10 Total new obligations .....	15	15	16
73.20 Total outlays (gross) .....	–15	–15	–15
74.40 Obligated balance, end of year .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	14	14	15
86.93 Outlays from discretionary balances .....	1	1	1
87.00 Total outlays (gross) .....	15	15	15
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	15	15	16
90.00 Outlays .....	15	15	16

The United States Institute of Peace was established by Congress to help strengthen the Nation's capacity to promote peaceful resolution of international conflicts. Program activity includes policy assessments for the Executive and Legislative Branches; conflict resolution training for foreign affairs professionals; facilitation of dialogue among parties to conflicts; summer institutes and educational materials for teachers at high school and undergraduate levels; grants and fellowships; publications; a research library; a national student essay contest; and, other programs to increase public understanding about the nature of international conflicts.

**Object Classification** (in millions of dollars)

Identification code 95–1300–0–1–153	2001 actual	2002 est.	2003 est.
11.8 Personnel compensation: Special personal services payments .....	4	5	6
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
25.2 Other services .....	3	3	2
41.0 Grants, subsidies, and contributions .....	6	5	6
99.9 Total new obligations .....	15	15	16

**UNITED STATES—CANADA ALASKA RAIL COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

【For necessary expenses of the “United States-Canada Alaska Rail Commission”, as authorized by title III of Public Law 106–520, \$2,000,000, to remain available until expended.】 (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

**Program and Financing** (in millions of dollars)

Identification code 48–2993–0–1–401	2001 actual	2002 est.	2003 est.
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	2	.....

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	2	2	.....

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	2	.....
86.93 Outlays from discretionary balances .....	2	.....
87.00 Total outlays (gross) .....	4	.....

**Net budget authority and outlays:**

89.00 Budget authority .....	2	2	.....
90.00 Outlays .....	4	.....	.....

The Commission was authorized by the Congress in 2000 to study the feasibility and advisability of linking the rail system in Alaska to the nearest appropriate point on the North American continental rail system.

**VIETNAM EDUCATION FOUNDATION****Federal Funds****General and special funds:****VIETNAM DEBT REPAYMENT FUND****Unavailable Collections** (in millions of dollars)

Identification code 95–5365–0–2–154	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year .....	.....	.....	.....
Receipts:			
02.40 Vietnam debt repayment fund .....	.....	5	5
Appropriations:			
05.00 Vietnam debt repayment fund .....	.....	–5	–5
07.99 Balance, end of year .....	.....	.....	.....

**Program and Financing** (in millions of dollars)

Identification code 95–5365–0–2–154	2001 actual	2002 est.	2003 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 25.2) .....	.....	1	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	.....	.....	4
22.00 New budget authority (gross) .....	.....	5	5
23.90 Total budgetary resources available for obligation .....	.....	5	9
23.95 Total new obligations .....	.....	–1	–2
24.40 Unobligated balance carried forward, end of year .....	.....	4	7

**New budget authority (gross), detail:**

Mandatory:			
60.20 Appropriation (special fund) .....	.....	5	5

**Change in obligated balances:**

73.10 Total new obligations .....	.....	1	2
73.20 Total outlays (gross) .....	.....	–1	–2

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	.....	1	.....
86.98 Outlays from mandatory balances .....	.....	.....	2
87.00 Total outlays (gross) .....	.....	1	2

**Net budget authority and outlays:**

89.00 Budget authority .....	.....	5	5
90.00 Outlays .....	.....	1	2

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions. The Act also authorized

the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting re-

ceipts. Beginning with 2002, and each subsequent year through 2018, \$5 million of the amounts deposited into the fund (or accrued interest) each year shall be available to the Foundation.