

OTHER INDEPENDENT AGENCIES

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), **[\$3,189,000] \$3,310,000: Provided**, That none of these funds shall be available for compensation of level V of the Executive Schedule or higher positions. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-2300-0-1-303	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	3
Change in unpaid obligations:			
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Council provides independent advice to the President and the Congress relating to the national historic preservation program.

Object Classification (in millions of dollars)

Identification code 95-2300-0-1-303	2000 actual	2001 est.	2002 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 95-2300-0-1-303	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	36	34	34

APPALACHIAN REGIONAL COMMISSION

Federal Funds

General and special funds:

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended *notwithstanding section 405 of said Act, and*, for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian

Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$66,400,000] \$66,289,920**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 46-0200-0-1-452	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
Appalachian regional development programs:			
01.01 Appalachian development highway system	5	2
01.02 Area development program	52	80	76
01.03 Local development district and technical assistance program	6	6	6
01.91 Total Appalachian Regional Development Programs	63	88	82
Salaries and expenses:			
02.01 Federal Co-chairman and staff	1	1	1
02.02 Administrative expenses	3	3	3
02.91 Total salaries and expenses	4	4	4
10.00 Total new obligations	67	92	86
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	20	26	17
22.00 New budget authority (gross)	66	77	66
22.10 Resources available from recoveries of prior year obligations	7	6	3
23.90 Total budgetary resources available for obligation	93	109	86
23.95 Total new obligations	-67	-92	-86
24.40 Unobligated balance carried forward, end of year	26	17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	66	66	66
40.15 Appropriation (emergency)	11
43.00 Appropriation (total discretionary)	66	77	66
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	292	220	191
72.99 Obligated balance, start of year	292	220	191
73.10 Total new obligations	67	92	86
73.20 Total outlays (gross)	-132	-115	-107
73.45 Recoveries of prior year obligations	-7	-6	-3
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	220	191	167
74.99 Obligated balance, end of year	220	191	167
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	15	22
86.93 Outlays from discretionary balances	126	100	85
87.00 Total outlays (gross)	132	115	107
Net budget authority and outlays:			
89.00 Budget authority	66	77	66
90.00 Outlays	132	115	107

This appropriation supports a unique Federal-State partnership as it creates opportunities for self-sustaining economic development and improved quality of life for the roughly 22 million people of Appalachia. Investments made throughout this 13-state region include the nation's only highway system explicitly authorized by Congress to foster economic development, and area development. Under the area development

General and special funds—Continued

APPALACHIAN REGIONAL COMMISSION—Continued

program, member States recommend projects with a special focus on distressed counties.

Appalachian development highway system.—The Appalachian development highway system (ADHS), including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. Studies have found that the ADHS to be cost-beneficial, generating significant economic impacts.

Since FY 1999, funding for the ADHS has been provided predominantly from the Highway Trust Fund. The Transportation Equity Act for the 21st Century (TEA21) authorized \$2.25 billion for the construction of the ADHS and local access road projects under Section 201 of the Appalachian Regional Development Act. TEA21 authorizes \$450 million annually to be appropriated out of the Highway Trust Fund for each of fiscal years 1999 through 2003. The ARC exercises programmatic and administrative control over these funds as it did when a direct appropriation was received.

The cumulative status of the system of roads follows:

Development systems miles (Prefinanced miles included) (cumulative):	2000 actual	2001 est.*	2002 est.*
Miles placed under construction	2,483	2,503	2,523
Miles completed	2,331	2,346	2,358
Access Roads (cumulative):			
Miles approved	898	908	918
Miles completed	871	880	890
Funds committed (cumulative-in millions of dollars):			
Development highway	5,240	5,684	6,127
Access roads	229	231	233
Administration and other	47	49	51
Totals	5,516	5,964	6,411
Prefinanced by States (\$ millions)	92	135	125
Annual obligations (\$ millions)	447	644	450

*Includes TEA21 funds.

Area development program.—Area development funds are allocated by formula to each of the 13 member-States. This funding supports projects that promote sustainable regional development, with assistance targeted at the most distressed and underdeveloped counties.

The Appalachian Regional Development Reform Act of 1998 reflects the ARC's prior policy of targeting resources to the region's most distressed counties. Since FY 1999 roughly half of all ARC program funding has supported projects in such counties. These counties represent about one quarter of the region's total and contain roughly 10% of the region's population.

The area development program funds projects which advance the goals and objectives of ARC's strategic plan. This strategic plan commits ARC to achieving five broad goals, each of which is undergirded by several specific objectives. These five goals are: (1) Appalachian residents will have the skills and knowledge necessary to compete in today's global economy; (2) Appalachian communities will have the physical infrastructure necessary for self-sustaining economic development and improved quality of life; (3) the people of Appalachia will have the vision and capacity to mobilize and work together for sustained economic progress and improvement of their communities; (4) Appalachian residents will have access to financial and technical resources to help build dynamic and self-sustaining local economies; and, (5) Appalachian residents will have access to affordable, quality health care. The Commission has taken aggressive steps to ensure that the area development program will make progress on accomplishing these goals, to better target resources to those com-

munities with the greatest needs, and to increase flexibility in project submission.

Each Governor submits for Commission approval an annual strategy statement detailing the areas of emphasis within the Region. All project applications submitted by the Governors to address these priorities, describe the goals and objectives, and projected inputs, outputs, and outcomes. During and after the project implementation cycle, projected outputs and outcomes are compared to actual results.

In addition to the regular allocation of area development funds, ARC also identifies regional problems and opportunities and sets aside resources for special initiatives. The ongoing entrepreneurship initiative seeks to ensure that Appalachian communities have the commitment and resources to help entrepreneurs start and expand local businesses. To date, this initiative has funded 169 projects, providing nearly \$14 million in ARC funds and leveraging over \$13 million in additional funds from other sources. Fifty projects that have been completed report the creation of 249 new businesses, and creation or retention of 587 jobs in the region. It is estimated that the 119 on-going programs will create 486 new businesses and create or retain 3,585 jobs in the region.

The budget for 2002 provides \$56 million for area development.

The approximate project workload follows:

	2000 actual	2001 est.	2002 est.
Area development projects	449	475	475

Local development districts and technical assistance programs.—The multi-county local development districts (LDDs) assist local governments throughout Appalachia in planning and working together on a regional basis. LLD funding from the ARC provides a cost-share with member governments, enabling local professional staff to help plan, initiate, and implement projects at the grass roots level. Technical assistance serves to strengthen the state and local governments, LDDs and non-profit organizations in the Region. TEA21 added seven new counties to the Region, for a total of 406. This increased the number of LDDs served from 69 to 71. The Budget provides \$5 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

	2000 actual	2001 est.	2002 est.
Planning districts aided	71	71	71
Technical assistance projects	22	24	24

Salaries and expenses.—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of programs serving the Appalachian Region across the Federal Government. Since 1989, the Office of the Federal Co-Chairman has included an Inspector General.

In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States. The budget provides a total of \$4 million for salaries and expenses.

Object Classification (in millions of dollars)

Identification code 46-0200-0-1-452	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	3	3	3
41.0 Grants, subsidies, and contributions	21	27	25
99.0 Subtotal, direct obligations	25	31	29
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	41	60	56
99.0 Subtotal, allocation account	42	61	57

99.9	Total new obligations	67	92	86
Obligations are distributed as follows:				
	Appalachian Regional Commission	38	53	41
	Department of Agriculture	17	21	17
	Department of Commerce	7	8	7
	Department of Defense	0	0	0
	Department of Education	1	1	1
	Department of Energy	1	1	1
	Department of Health and Human Services	0	0	0
	Department of Housing and Urban Development	6	10	8
	Department of Interior	0	0	0
	Department of Transportation	5	11	8
	Environmental Protection Agency	0	1	1
	Tennessee Valley Authority	1	3	2

Personnel Summary

Identification code 46-0200-0-1-452	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	10	11	11

Trust Funds**MISCELLANEOUS TRUST FUNDS****Unavailable Collections** (in millions of dollars)

Identification code 46-9971-0-7-452	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.20 Fees for services, Appalachian Regional Commission	3	3	3
02.40 General fund contributions, Appalachian Regional Commission	3	3	3
02.99 Total receipts and collections	6	6	6
Appropriations:			
05.00 Miscellaneous trust funds	-6	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 46-9971-0-7-452	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	6	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	6	6	6
23.90 Total budgetary resources available for obligation	7	7	7
23.95 Total new obligations	-6	-6	-6
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	6	6	6
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-5	-5	-5
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	5	5	5
86.98 Outlays from mandatory balances	1	1	1
87.00 Total outlays (gross)	5	5	5
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	7	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

Identification code 46-9971-0-7-452	2000 actual	2001 est.	2002 est.
11.8 Personnel compensation: Special personal services payments	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	1	1	1
99.9 Total new obligations	6	6	6

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$4,795,000] \$5,015,000: Provided,** That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (*Department of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346.*)

Program and Financing (in millions of dollars)

Identification code 95-3200-0-1-751	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	5	5	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	5	5
23.95 Total new obligations	-5	-5	-5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	5
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	5	5	5
73.20 Total outlays (gross)	-4	-5	-5
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	
74.99 Obligated balance, end of year	1	1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	5	5
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)	4	5	5
Net budget authority and outlays:			
89.00 Budget authority	5	5	5
90.00 Outlays	4	5	5

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Since that time, the Access Board has been the only independent Federal agency whose primary mission is accessibility for people with disabilities. The Access Board has responsibility under four major pieces of legislation: the Architectural Barriers Act of 1968 (ABA); the Americans with Disabilities Act of 1990 (ADA); the Tele-

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

communications Act of 1996; and section 508 of the Rehabilitation Act.

The Access Board's first major responsibility was to enforce the ABA, ensuring accessibility in facilities built, altered, or leased using certain Federal funds. In fiscal year 2001, the Board will continue to process, investigate, and resolve complaints of noncompliance. The Access Board has a proven record of voluntary, amicable resolution of access issues.

Under the Americans with Disabilities Act (ADA), the Access Board gained responsibility for two major public roles: to develop minimum accessibility guidelines for places of public accommodation, commercial facilities, State and local government facilities, and transportation vehicles and facilities, all of which are covered under the ADA; and to offer training and technical assistance to individuals and organizations throughout the country on removing architectural, transportation and communication barriers.

In pursuing these responsibilities under the ADA, the Board uses citizens' advisory committees, negotiated rule-making, and other communication channels to encourage the public's full participation in the Federal rulemaking process for developing its ADA Accessibility Guidelines (ADAAG). In addition, the Board is working with the building industry toward the development of a single set of minimum accessibility guidelines, using ADAAG as the basis.

Under the Telecommunications Act, the Access Board is charged with developing accessibility guidelines for telecommunications equipment and customer premises equipment, in conjunction with the Federal Communications Commission. The Telecommunications Act requires that such equipment be "designed, developed, and fabricated to be accessible to and usable by individuals with disabilities, if readily achievable."

Section 508 of the Rehabilitation Act of 1973, as amended, requires that when Federal departments or agencies develop, procure, maintain, or use electronic and information technology, they shall ensure that the technology is accessible to people with disabilities, unless an undue burden would be imposed on the department or agency. In the Rehabilitation Act Amendments of 1998 (Title IV of the Workforce Investment Act of 1998) the Board was given the responsibility to develop the standards that electronic and information technology must meet to be accessible to people with disabilities.

Consistent with the Government Performance and Results Act, (GPRA) the Access Board has adopted the following mission statement to guide its programs: *The Board is the catalyst for achieving an accessible America.* This statement recognizes that achieving an accessible America requires bringing together public and private sectors. The Board has established long range goals that aim to bring together public and private sectors for achieving an accessible America. The Board's long-range goals are to:

- Take a leadership role in the development of codes and standards for accessibility
- Work in partnership with Federal agencies and others to make the Federal government a model of compliance with accessibility standards
- Be known as the leading source of information about accessibility and disseminate that information to our customers in effective ways

In FY 2002, the Board will continue to work on its major goal of taking a leadership role in the development of codes and standards for accessibility. The board plans to complete work on guidelines for outdoor developed areas, and publish notices of proposed rulemaking on public rights-of-ways and passenger vessels. Following its second goal, the Board will continue work with other Federal agencies to identify and

publicize best practices in compliance with the Architectural Barriers Act. In line with its third goal, the Board will be in position to make better use of its web pages to disseminate information to the public. Finally, the Board will continue to use its website to efficiently distribute information about compliance with section 508.

Object Classification (in millions of dollars)

Identification code	95-3200-0-1-751	2000 actual	2001 est.	2002 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.5	Below reporting threshold	2	2	2
99.9	Total new obligations	5	5	5

Personnel Summary

Identification code	95-3200-0-1-751	2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	30	30	30

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**Trust Funds****BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND****Unavailable Collections** (in millions of dollars)

Identification code	95-8281-0-7-502	2000 actual	2001 est.	2002 est.
01.99	Balance, start of year			
	Receipts:			
02.40	Interest on investments, Barry Goldwater Scholarship and Excellence in Edu	4	4	4
	Appropriations:			
05.00	Barry Goldwater Scholarship and Excellence in Education Foundation	-4	-4	-4
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code	95-8281-0-7-502	2000 actual	2001 est.	2002 est.
	Obligations by program activity:			
10.00	Total new obligations (object class 41.0)	3	3	3
	Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	61	62	63
22.00	New budget authority (gross)	4	4	4
23.90	Total budgetary resources available for obligation	65	66	67
23.95	Total new obligations	-3	-3	-3
24.40	Unobligated balance carried forward, end of year	62	63	64
	New budget authority (gross), detail:			
	Mandatory:			
60.27	Appropriation (trust fund, indefinite)	4	4	4
	Change in unpaid obligations:			
73.10	Total new obligations	3	3	3
73.20	Total outlays (gross)	-3	-3	-3
	Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	3	3	3
	Net budget authority and outlays:			
89.00	Budget authority	4	4	4
90.00	Outlays	3	3	3
	Memorandum (non-add) entries:			
92.01	Total investments, start of year: Federal securities: Par value	61	63	63
92.02	Total investments, end of year: Federal securities: Par value	63	63	63

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering. The Foundation awards approximately 300 scholarships each fiscal year.

Personnel Summary

Identification code 95-8281-0-7-502		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	2	2	2

BROADCASTING BOARD OF GOVERNORS**Federal Funds****General and special funds:****INTERNATIONAL BROADCASTING OPERATIONS**

For expenses necessary to enable the Broadcasting Board of Governors, as authorized, to carry out international communication activities, **[\$398,971,000] \$428,234,000**, of which not to exceed \$16,000 may be used for official receptions within the United States as authorized, not to exceed \$35,000 may be used for representation abroad as authorized, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, notwithstanding any other provision of law, not to exceed \$2,000,000 in receipts from advertising and revenue from business ventures, not to exceed \$500,000 in receipts from co-operating international organizations, and not to exceed \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, to remain available until expended for carrying out authorized purposes. (*Departments of State and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 95-0206-0-1-154		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
00.01	Broadcasting Board of Governors	391	408	433
01.00	Subtotal, direct obligations	391	408	433
09.01	Reimbursable program	1	1	1
10.00	Total new obligations	392	409	434
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	18	5	5
22.00	New budget authority (gross)	375	409	429
22.10	Resources available from recoveries of prior year obligations	4		
23.90	Total budgetary resources available for obligation	397	414	434
23.95	Total new obligations	-392	-409	-434
24.40	Unobligated balance carried forward, end of year	5	5	

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	388	409	428
40.36	Unobligated balance rescinded	-15		
40.76	Reduction pursuant to P.L. 106-113	-1		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)		-1	
42.00	Transferred from other accounts	2		
43.00	Appropriation (total discretionary)	374	408	428
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00	Total new budget authority (gross)	375	409	429

Change in unpaid obligations:

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	45	87	73
72.99	Obligated balance, start of year	45	87	73

73.10	Total new obligations	392	409	434
73.20	Total outlays (gross)	-346	-423	-430
73.45	Recoveries of prior year obligations	-4		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	87	73	77
74.99	Obligated balance, end of year	87	73	77

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	322	344	361
86.93	Outlays from discretionary balances	24	79	69
87.00	Total outlays (gross)	346	423	430

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00	Budget authority	374	408	428
90.00	Outlays	345	422	429

This appropriation provides operational funding for the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia, and the necessary engineering and technical, program and administrative support activities.

Funding for Radio and Television Broadcasting to Cuba is provided in a separate account.

Object Classification (in millions of dollars)

Identification code 95-0206-0-1-154		2000 actual	2001 est.	2002 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	118	124	134
11.3	Other than full-time permanent	5	5	6
11.5	Other personnel compensation	8	8	9
11.9	Total personnel compensation	131	137	149
12.1	Civilian personnel benefits	30	31	34
21.0	Travel and transportation of persons	5	5	5
22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	17	17	17
23.2	Rental payments to others	16	16	16
23.3	Communications, utilities, and miscellaneous charges	32	31	34
25.2	Other services	33	40	45
25.4	Operation and maintenance of facilities	3	3	3
25.5	Research and development contracts	2	2	2
25.7	Operation and maintenance of equipment	3	3	3
26.0	Supplies and materials	15	15	15
31.0	Equipment	12	12	12
41.0	Grants, subsidies, and contributions	90	94	96
99.0	Subtotal, direct obligations	391	408	433
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	392	409	434

Personnel Summary

Identification code 95-0206-0-1-154		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	2,279	2,314	2,385

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized, **[\$20,358,000] \$16,900,000**, to remain available until expended, as authorized. (*Departments of State and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

General and special funds—Continued**BROADCASTING CAPITAL IMPROVEMENTS—Continued****Program and Financing (in millions of dollars)**

Identification code 95-0204-0-1-154	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 New construction	1		4
00.02 Upgrade of existing relay station capabilities	1	7	1
00.03 Maintenance, improvements, replacement and repair	14	11	10
00.04 Broadcast, facility leases and rentals		1	1
00.05 Satellite and terrestrial feed systems	2	1	1
10.00 Total new obligations	18	20	17
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		
22.00 New budget authority (gross)	10	20	17
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	18	20	17
23.95 Total new obligations	-18	-20	-17
24.00 Unobligated balance carried forward, end of year			
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	11	20	17
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	10	20	17
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	49	39	43
72.99 Obligated balance, start of year	49	39	43
73.10 Total new obligations	18	20	17
73.20 Total outlays (gross)	-25	-16	-26
73.45 Recoveries of prior year obligations	-4		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	39	43	34
74.99 Obligated balance, end of year	39	43	34
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	6	5
86.93 Outlays from discretionary balances	22	10	21
87.00 Total outlays (gross)	25	16	26
Net budget authority and outlays:			
89.00 Budget authority	10	20	17
90.00 Outlays	25	16	26

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's world-wide transmission network.

Upgrade of existing relay station capabilities.—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

Major improvements, replacements and repairs.—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain and enhancing security requirements.

Satellite and terrestrial feed systems.—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

Object Classification (in millions of dollars)

Identification code 95-0204-0-1-154	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services	2	2	1
25.2 Other services	1	1	
26.0 Supplies and materials	1	2	2

31.0 Equipment	14	14	13
32.0 Land and structures		1	1
99.9 Total new obligations	18	20	17

BROADCASTING TO CUBA

For necessary expenses to enable the Broadcasting Board of Governors to carry out broadcasting to Cuba, including the purchase, rent, construction, and improvement of facilities for radio and television transmission and reception, and purchase, *lease*, and installation of necessary equipment (*including aerostats*) for radio and television transmission and reception, **[\$22,095,000] \$24,872,000**, to remain available until expended. (*Departments of State and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 95-0208-0-1-154	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	24	24	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	
22.00 New budget authority (gross)	22	22	25
23.90 Total budgetary resources available for obligation	25	24	25
23.95 Total new obligations	-24	-24	-25
24.00 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	22	22	25
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	7	9	5
72.99 Obligated balance, start of year	7	9	5
73.10 Total new obligations	24	24	25
73.20 Total outlays (gross)	-21	-28	-25
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	9	5	5
74.99 Obligated balance, end of year	9	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	18	18	20
86.93 Outlays from discretionary balances	3	10	5
87.00 Total outlays (gross)	21	28	25
Net budget authority and outlays:			
89.00 Budget authority	22	22	25
90.00 Outlays	21	28	25

This account provides funding for Radio Marti and TV Marti. Radio Marti currently broadcasts 162 hours a week to provide news and information to the people of Cuba. TV Marti is currently broadcasting 31.5 hours per week via UHF.

Object Classification (in millions of dollars)

Identification code 95-0208-0-1-154	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	10	11	11
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	4	4	5
31.0 Equipment	2		
99.9 Total new obligations	24	24	25

Personnel Summary

Identification code 95-0208-0-1-154	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	151	163	163

BUYING POWER MAINTENANCE**Program and Financing** (in millions of dollars)

Identification code 95-1147-0-1-154	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	4
22.22 Unobligated balance transferred from other accounts	4		
23.90 Total budgetary resources available for obligation	4	4	4
23.95 Total new obligations			
24.40 Unobligated balance carried forward, end of year	4	4	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations will be deposited into this account to be available to offset future losses.

Trust Funds**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND****Program and Financing** (in millions of dollars)

Identification code 95-8285-0-7-602	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1	
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)		1	
60.28 Appropriation (unavailable balances)		3	
60.45 Portion precluded from obligation	-3	-3	
62.00 Transferred from other accounts	3		
62.50 Appropriation (total mandatory)		1	
Net budget authority and outlays:			
89.00 Budget authority		1	
90.00 Outlays			

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International broadcasting operations account.

CENTRAL INTELLIGENCE AGENCY**Federal Funds****General and special funds:****CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND**

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, **[\$216,000,000] \$212,000,000.** (*Department of Defense Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 56-3400-0-1-054	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	209	216	212
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	209	216	212
23.95 Total new obligations	-209	-216	-212
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	209	216	212
Change in unpaid obligations:			
73.10 Total new obligations	209	216	212
73.20 Total outlays (gross)	-209	-216	-212
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	209	216	212
Net budget authority and outlays:			
89.00 Budget authority	209	216	212
90.00 Outlays	209	216	212

This appropriation provides for payment to the Fund: (a) for interest on the unfunded liability; (b) for the cost of annuity disbursements attributable to military service; (c) for the amount of normal costs not met by employee and employer contributions; and (d) for financing, in 30 equal installments, the unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The request for 2002 includes the twenty-fifth installment for the unfunded liability created by the liberalized benefits authorized by Public Law 94-522, and the appropriate annual installments for salary increases authorized in prior years.

Object Classification (in millions of dollars)

Identification code 56-3400-0-1-054	2000 actual	2001 est.	2002 est.
12.1 Civilian personnel benefits	77	81	79
13.0 Benefits for former personnel	132	135	133
99.9 Total new obligations	209	216	212

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD**Federal Funds****General and special funds:****CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD
SALARIES AND EXPENSES**

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, and for services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, **[\$7,500,000, \$5,000,000] \$7,621,000, \$5,121,000** of which to remain available until September 30, **[2001] 2002** and **\$2,500,000** of which to remain available until September 30, **[2002] 2003: Provided**, That the Chemical Safety and Hazard Investigation Board shall have not more than three career Senior Executive Service positions: *Provided further*, That, *hereafter*, there shall be an Inspector General at the Board who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: *Provided further*, That an individual appointed to the position of Inspector General of the Federal Emergency Management Agency (FEMA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: *Provided further*, That the Inspector General of the Board shall utilize personnel of the Office of Inspector General of FEMA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within

General and special funds—Continued**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD—Continued****SALARIES AND EXPENSES—Continued**

the Board. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.)

Program and Financing (in millions of dollars)

Identification code 95-3850-0-1-304	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 Total new obligations	-8	-8	-8
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	8	8
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3	3	3
72.99 Obligated balance, start of year	3	3	3
73.10 Total new obligations	8	8	8
73.20 Total outlays (gross)	-8	-10	-9
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	3	3	3
74.99 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	8	8
86.93 Outlays from discretionary balances	2	2	1
87.00 Total outlays (gross)	8	10	9
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	8	10	9

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in FY 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; and advising the President and Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board submitted a separate request to Congress and OMB concurrently, of \$9.0 million for FY 2002.

Object Classification (in millions of dollars)

Identification code 95-3850-0-1-304	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	3	4
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	1	1	1
25.2 Other services	2	2	2
25.3 Purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Subtotal, direct obligations	7	7	8
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	8	8	8

Personnel Summary

Identification code 95-3850-0-1-304	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	26	40	40

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION**Trust Funds****CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION****Unavailable Collections** (in millions of dollars)

Identification code 76-8187-0-7-502	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations	1	1	1
Appropriations:			
05.00 Christopher Columbus Fellowship Foundation	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 76-8187-0-7-502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	6	6
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	8	7	7
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	6	6	6
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	1	1	1
Change in unpaid obligations:			
73.10 Total new obligations	1	1	1
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	2	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	2	1	1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	7	6	5
92.02 Total investments, end of year: Federal securities: Par value	6	5	5

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund. The trust fund will be used to operate the Foundation's programs.

The Foundation will support programs totaling \$1 million in 2001 and 2002. The Foundation supports three competitive programs rewarding individuals and communities who develop innovative approaches to solving problems.

Personnel Summary

Identification code 76-8187-0-7-502	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	1

COMMISSION OF FINE ARTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses made necessary by the Act establishing a Commission of Fine Arts (40 U.S.C. 104), **[\$1,078,000] \$1,274,000: Provided**, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-2600-0-1-451	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
Change in unpaid obligations:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Commission advises the President, Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the National Capital.

Personnel Summary

Identification code 95-2600-0-1-451	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	7	8	8

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956(a)), as amended, \$7,000,000. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-2602-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	7	7	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	7	7
23.95 Total new obligations	-7	-7	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	7	7
Change in unpaid obligations:			
73.10 Total new obligations	7	7	7
73.20 Total outlays (gross)	-7	-7	-7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	7	7

Net budget authority and outlays:

89.00 Budget authority	7	7	7
90.00 Outlays	7	7	7

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

COMMISSION ON CIVIL RIGHTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, **[\$8,900,000] \$9,096,000: Provided**, That not to exceed \$50,000 may be used to employ consultants: *Provided further*, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 95-1900-0-1-751	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	9	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	9
23.95 Total new obligations	-9	-9	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	9	9
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year		1	1
72.99 Obligated balance, start of year		1	1
73.10 Total new obligations	9	9	9
73.20 Total outlays (gross)	-8	-8	-9
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	8	8
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)	8	8	9
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	9	8	9

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

to Government officials, organizations, and the public. The Commission issues publications and public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

Object Classification (in millions of dollars)

Identification code	2000 actual	2001 est.	2002 est.
95-1900-0-1-751			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	2	2	2
99.9 Total new obligations	9	9	9

Personnel Summary

Identification code	2000 actual	2001 est.	2002 est.
95-1900-0-1-751			
1001 Total compensable workyears: Full-time equivalent employment	75	76	76

COMMISSION ON OCEAN POLICY**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For the necessary expenses of the Commission on Ocean Policy, pursuant to S. 2327 as passed the Senate, \$1,000,000, to remain available until expended: *Provided, That the* The Commission shall present to the Congress within 18 months of appointment its recommendations for a national ocean policy. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code	2000 actual	2001 est.	2002 est.
48-2955-0-1-306			
Obligations by program activity:			
10.00 Total new obligations (object class 25.1)		1	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	4
22.00 New budget authority (gross)		1	
23.90 Total budgetary resources available for obligation	4	5	4
23.95 Total new obligations		-1	-2
24.40 Unobligated balance carried forward, end of year	4	4	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		1	
Change in unpaid obligations:			
73.10 Total new obligations		1	2
73.20 Total outlays (gross)		-1	-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	
86.93 Outlays from discretionary balances			2
87.00 Total outlays (gross)		1	2
Net budget authority and outlays:			
89.00 Budget authority		1	
90.00 Outlays		1	2

The Commission on Ocean Policy was established to make recommendations for a coordinated and comprehensive na-

tional ocean policy. Findings and recommendations are to be submitted to the President and Congress within 18 months of establishment.

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by [the Act of June 23, 1971,] Public Law 92-28, [\$4,158,000] \$4,498,000. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code	2000 actual	2001 est.	2002 est.
95-2000-0-1-505			
Obligations by program activity:			
10.00 Total new obligations	3	4	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	4	4
23.95 Total new obligations	-3	-4	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	4	4
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1		
72.99 Obligated balance, start of year	1		
73.10 Total new obligations	3	4	4
73.20 Total outlays (gross)	-4	-4	-4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	4	4
86.93 Outlays from discretionary balances	1		
87.00 Total outlays (gross)	4	4	4
Net budget authority and outlays:			
89.00 Budget authority	3	4	4
90.00 Outlays	3	4	4

The Committee for Purchase From People Who Are Blind or Severely Disabled administers the Javits-Wagner-O'Day (JWOD) Act of 1971, as amended. Its primary objective is to use the purchasing power of the Federal Government to provide people who are blind or have other severe disabilities with employment and training that will develop and improve job skills as well as prepare them for employment options outside the JWOD Program. In 2002, the Committee's goal is to employ approximately 43,000 people who are blind or have other severe disabilities in 750 producing nonprofit agencies. The Committee's duties include promoting the program; determining which products and services are suitable for Government procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such products and services; determining the fair market price for products and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 2002 the Committee's goal is to have sales of \$1.7 billion.

The Committee staff's responsibilities include promoting and assessing the overall programs; supervising the selection and assignment of new products and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance. The increased resources proposed

for 2002 would enable the Committee to continue its marketing efforts, which are essential to protecting jobs for people with disabilities involved in supplying commercial-type products such as office supplies to Federal customers under the JWOD Program. The education functions to be supported by these funds would focus on informing Federal purchase card holders about JWOD products and working with private sector distributors of those products, including e-commerce vendors.

Object Classification (in millions of dollars)

Identification code 95-2000-0-1-505	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
24.0 Printing and reproduction	1	1	1
99.0 Subtotal, direct obligations	1	3	2
99.5 Below reporting threshold	2	1	2
99.9 Total new obligations	3	4	4

Personnel Summary

Identification code 95-2000-0-1-505	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	20	29	29

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

General and special funds:

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109, **[\$68,000,000] \$70,400,000**, including not to exceed **[\$1,000] \$2,000** for official reception and representation expenses. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a) of P.L. 106-387.*)

Program and Financing (in millions of dollars)

Identification code 95-1400-0-1-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Market surveillance, analysis, and research	11	11	11
00.02 Enforcement	25	27	29
00.03 Trading and markets	19	21	21
00.04 Proceedings	3	3	3
00.05 General counsel	5	6	6
10.00 Total new obligations	63	68	70
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	63	68	70
23.95 Total new obligations	-63	-68	-70
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	63	68	70
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	8	9	9
72.99 Obligated balance, start of year	8	9	9
73.10 Total new obligations	63	68	70
73.20 Total outlays (gross)	-62	-68	-70
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	9	9	9
74.99 Obligated balance, end of year	9	9	9

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	56	60	62
86.93 Outlays from discretionary balances	6	7	8
87.00 Total outlays (gross)	62	68	70

Net budget authority and outlays:

89.00 Budget authority	63	68	70
90.00 Outlays	62	68	70

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

The Administration proposes additional dollar resources above the fiscal year 2001 level for the Commission. These resources contribute to the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, these funds would provide the Commission with enforcement and surveillance resources to respond to the continued growth and use of complex trading and derivative instruments.

Market surveillance, analysis and research.—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	2000 actual	2001 est.	2002 est.
Trader and broker reports analyzed (thousands)	49,900	52,400	55,000
Market surveillance reports prepared	2,332	2,380	2,380
Review every request for approval of products and rule changes within 45 days and respond	NA	90%	90%

Enforcement.—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

	2000 actual	2001 est.	2002 est.
Investigations:			
Opened	117	169	119
Completed	162	157	131
Cases:			
Opened	53	40	40
Completed	81	40	40

Trading and markets.—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates

General and special funds—Continued**COMMODITY FUTURES TRADING COMMISSION—Continued**

with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	2000 actual	2001 est.	2002 est.
Oversight audits of registrants	41	50	35
Review self-regulatory organization rules	1,412	730	700
Review adequacy of self-regulatory organization disciplinary actions	807	800	700
Audits of clearing organizations and firms handling customer money	27	30	20
Written requests for regulatory exemptive relief granted	218	210	190
Reparations:			
Cases pending (beginning balance)	89	64	64
Cases received/forwarded	116	100	100
Cases dismissed, settled, or disposed	141	100	100
Cases pending (ending balance)	64	64	64

General Counsel.—The Office of the General Counsel provides legal services and support to the Commission's program divisions, including engaging in defensive, appellate, and amicus litigation; assisting the Commission in the performance of its adjudicatory functions; drafting regulations; interpreting the Commodity Exchange Act; and providing no-action letters and opinions to the public.

Object Classification (in millions of dollars)

Identification code 95-1400-0-1-376	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	34	38	38
11.3 Other than full-time permanent	3	3	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	38	42	41
12.1 Civilian personnel benefits	9	9	9
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	8	8	8
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.2 Other services	3	4	6
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	2	3
99.9 Total new obligations	63	68	70

Personnel Summary

Identification code 95-1400-0-1-376	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	556	567	510

CONSUMER PRODUCT SAFETY COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, **[\$52,500,000]** \$54,200,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.)

Program and Financing (in millions of dollars)

Identification code 61-0100-0-1-554	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Reducing product hazards to children and families	41	43	45

00.02 Identifying and researching product hazards	8	9	9
09.01 Reimbursable program	3	3	3
10.00 Total new obligations	52	55	57

Budgetary resources available for obligation:

22.00 New budget authority (gross)	52	55	57
23.95 Total new obligations	-52	-55	-57

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation		52	54
40.05 Appropriation (indefinite)	49		
43.00 Appropriation (total discretionary)	49	52	54
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	3	3
70.00 Total new budget authority (gross)	52	55	57

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	7	8	7
72.99 Obligated balance, start of year	7	8	7
73.10 Total new obligations	52	55	57
73.20 Total outlays (gross)	-51	-56	-57
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	8	7	7
74.99 Obligated balance, end of year	8	7	7

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	46	50	52
86.93 Outlays from discretionary balances	5	6	5
87.00 Total outlays (gross)	51	56	57

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-3	-3

Net budget authority and outlays:

89.00 Budget authority	49	52	54
90.00 Outlays	47	53	54

The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

Object Classification (in millions of dollars)

Identification code 61-0100-0-1-554	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	29	32	33
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	31	34	35
12.1 Civilian personnel benefits	6	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	4	4
25.3 Purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	1		
31.0 Equipment	2		
99.0 Subtotal, direct obligations	48	51	53
99.0 Reimbursable obligations	3	3	3
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	52	55	57

Personnel Summary

Identification code 61-0100-0-1-554	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	468	480	480

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

General and special funds:

NATIONAL AND COMMUNITY SERVICE PROGRAMS

OPERATING EXPENSES

(INCLUDING TRANSFER AND RESCISSION OF FUNDS)

For necessary expenses for the Corporation for National and Community Service (referred to in the matter under this heading as the "Corporation") in carrying out programs, activities, and initiatives under the National and Community Service Act of 1990 (referred to in the matter under this heading as the "Act") (42 U.S.C. 12501 et seq.), \$458,500,000 \$411,480,000, to remain available until September 30, 2003: *Provided*, That not more than \$31,000,000 shall be available for administrative expenses authorized under section 501(a)(4) of the Act (42 U.S.C. 12671(a)(4)) with not less than \$2,000,000 targeted for the acquisition of a cost accounting system for the Corporation's financial management system, an integrated grants management system that provides comprehensive financial management information for all Corporation grants and cooperative agreements, and the establishment, operation and maintenance of a central archives serving as the repository for all grant, cooperative agreement, and related documents, without regard to the provisions of section 501(a)(4)(B) of the Act: *Provided further*, That not more than \$2,500 shall be for official reception and representation expenses: *Provided further*, That not more than \$70,000,000 \$10,000,000, to remain available without fiscal year limitation, shall be transferred to the National Service Trust account [for educational awards authorized under subtitle D of title I of the Act (42 U.S.C. 12601 et seq.), of which not to exceed \$5,000,000] to provide *Silver Scholarships* of \$1,000 for the qualified tuition and related expenses, as defined under section 117 of the Internal Revenue Code of 1986, of each individual designated by a senior volunteer who serves 500 hours in one year (or a prorated amount for a volunteer who completes at least 250 hours but less than 500 hours in one year based on compelling personal circumstances) in a mentoring or tutoring program approved by a state commission on national and community service, Indian tribe, or other organization pursuant to rules promulgated by the Corporation: *Provided further*, That of amounts previously transferred to the National Service Trust, \$7,500,000 shall be available for national service scholarships for high school students performing community service: *Provided further*, That [not more than \$231,000,000] of the amount [provided] available under this heading [shall be available] for grants under the National Service Trust program authorized under subtitle C of title I of the Act (42 U.S.C. 12571 et seq.) (relating to activities including the AmeriCorps program), [of which] not more than \$45,000,000 \$47,000,000 may be used to administer, reimburse, or support any national service program authorized under section 121(d)(2) of such Act (42 U.S.C. 12581(d)(2)); [and not more than \$25,000,000 may be made available to activities dedicated to developing computer and information technology skills for students and teachers in low-income communities] not more than \$7,000,000 shall be available to support organizations operating projects under the AmeriCorps Education Awards program without subjecting such organizations to the administrative cost, matching fund, and participant benefit requirements of section 121(d) and (e), section 131(e), and section 140(a), (d), and (e) of such Act; and not more than \$7,000,000 shall be available to support organizations operating projects under the AmeriCorps Promise Fellows Program without subjecting such organizations to the administrative cost, matching fund, and participant benefit requirements of section 121(d) and (e), section 131(e), and section 140(a), (d), and (e) of such Act: *Provided further*, That not more than \$10,000,000 of the funds made available under this heading shall be made available for the Points of Light Foundation for activities authorized under title III of the Act (42 U.S.C. 12661 et seq.): *Provided further*, That no funds shall be available for national service programs run by Federal agencies authorized under section 121(b) of such Act (42 U.S.C. 12571(b)): *Provided further*, That to the maximum extent feasible, funds appropriated under subtitle C of title I of the Act shall be provided in a manner that is consistent with the recommendations of peer review panels in order to ensure that priority is given to programs that demonstrate quality, innovation, replicability, and sustainability: *Provided further*, That not more than \$21,000,000 of the funds made available under this heading shall be available for the Civilian Com-

munity Corps authorized under subtitle E of title I of the Act (42 U.S.C. 12611 et seq.): *Provided further*, That not more than \$43,000,000 shall be available for school-based and community-based service-learning programs authorized under subtitle B of title I of the Act (42 U.S.C. 12521 et seq.): *Provided further*, That not more than \$28,500,000 \$47,000,000 shall be available for quality and innovation activities authorized under subtitle H of title I of the Act (42 U.S.C. 12853 et seq.): *Provided further*, That not more than \$5,000,000 shall be available for audits and other evaluations authorized under section 179 of the Act (42 U.S.C. 12639): *Provided further*, That to the maximum extent practicable, the Corporation shall increase significantly the level of matching funds and in-kind contributions provided by the private sector, shall expand significantly the number of educational awards provided under subtitle D of title I, and shall reduce the total Federal costs per participant in all programs: *Provided further*, That of amounts available in the National Service Trust account from previous appropriations Acts, \$30,000,000 shall be rescinded, of which not more than \$10,000,000 shall be available for grants of up to \$1,000 per senior volunteer, 55 years of age or older, to state commissions on national and community service, Indian tribes, and nonprofit organizations to support the *Silver Scholarship* program pursuant to rules promulgated by the Corporation, with any payments to volunteers subject to the rule described in section 418 of the Domestic Volunteer Service Act of 1973, and of which not more than \$15,000,000 shall be available for grants to support the *Veterans Mission for Youth* Program: *Provided further*, That not more than \$7,500,000 of the funds made available under this heading shall be made available to America's Promise—The Alliance for Youth, Inc. only to support efforts to mobilize individuals, groups, and organizations to build and strengthen the character and competence of the Nation's youth: *Provided further*, That not more than \$5,000,000 of the funds made available under this heading shall be made available to the Communities In Schools, Inc. to support dropout prevention activities: *Provided further*, That not more than \$2,500,000 of the funds made available under this heading shall be made available to the Parents as Teachers National Center, Inc. to support childhood parent education and family support activities: *Provided further*, That not more than \$2,500,000 of the funds made available under this heading shall be made available to the Boys and Girls Clubs of America to establish an innovative outreach program designed to meet the special needs of youth in public and Native American housing communities: *Provided further*, That not more than \$1,500,000 of the funds made available under this heading shall be made available to the Youth Life Foundation to meet the needs of children living in insecure environments.] (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.)

Program and Financing (in millions of dollars)

Identification code 95-2720-0-1-506	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 National Service Trust	70	70	10
00.02 AmeriCorps grants	293	258	282
00.03 Innovation assistance and other activities	36	34	25
00.04 Evaluation	6	5	5
00.05 National Civilian Community Corps	17	22	21
00.06 Learn and Serve America	46	43	43
00.07 NCSA program administration	31	31	31
00.08 Points of Light Foundation	7	10	10
00.09 America's Promise		7	7
00.10 Communities in Schools, Boys & Girls Clubs, etc.		12	
00.14 Silver Scholarships			10
00.15 Veterans Mission for Youth			15
10.00 Total new obligations	506	492	459
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	168	96	61
22.00 New budget authority (gross)	433	457	411
23.90 Total budgetary resources available for obligation	601	553	472
23.95 Total new obligations	-506	-492	-459
24.40 Unobligated balance carried forward, end of year	96	61	13
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	434	458	411
40.76 Reduction pursuant to P.L. 106-113	-1		

General and special funds—Continued**OPERATING EXPENSES—Continued**

(INCLUDING TRANSFER AND RESCISSION OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–2720–0–1–506	2000 actual	2001 est.	2002 est.
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)		–1	
43.00 Appropriation (total discretionary)	433	457	411
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	398	518	511
72.99 Obligated balance, start of year	398	518	511
73.10 Total new obligations	506	492	459
73.20 Total outlays (gross)	–386	–498	–436
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	518	511	534
74.99 Obligated balance, end of year	518	511	534
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	122	124	112
86.93 Outlays from discretionary balances	264	374	324
87.00 Total outlays (gross)	386	498	436
Net budget authority and outlays:			
89.00 Budget authority	433	457	411
90.00 Outlays	386	498	436

The Corporation for National and Community Service works with non-profits, faith-based groups, schools, and other civic organizations to engage Americans of all ages and backgrounds in community-based service which addresses the Nation's educational, human, public safety, and environmental needs to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

National Service Trust.—The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

AmeriCorps grants.—With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address problems they identify by using the skills of individuals serving in National Service positions.

Innovation, assistance, and other activities.—This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding.

Evaluation.—This activity supports studies of the impact and effectiveness of Corporation programs.

National Civilian Community Corps.—This residential National Service program provides unique service opportunities for members and communities.

Learn and Serve America.—Through grants to State educational agencies, colleges and consortia of colleges and non-profit organizations, and other means, opportunities will be provided to students to participate in service learning activities.

NCSA program administration.—These funds will be provided to State Commissions to develop National Service plans and manage these activities within their States and will be used by the Corporation to administer these activities.

Points of Light Foundation.—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

America's Promise.—A grant will be provided to this non-government, nonprofit 501(c)(3) entity to enable it to mobilize individuals, groups and organizations to build and strengthen the character and competence of the Nation's youth.

Silver Scholarships.—These funds will allow older Americans to volunteer 500 hours of service tutoring and mentoring students in after-school programs in exchange for a \$1,000 scholarship that can be deposited in an education savings account for use by their children, grandchildren or another child.

Veterans Mission for Youth.—These funds will provide matching grants to community organizations that connect veterans and retired military personnel with America's youth through mentoring, tutoring, after-school and other programs.

Object Classification (in millions of dollars)

Identification code 95–2720–0–1–506	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	17	18	19
11.3 Other than full-time permanent	5	6	6
11.9 Total personnel compensation	22	24	25
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	18	19	19
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	386	369	395
92.0 National Service Trust	70	70	10
99.9 Total new obligations	506	492	459

Personnel Summary

Identification code 95–2720–0–1–506	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	288	288	288

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, [\$303,850,000: *Provided*, That none of the funds made available to the Corporation for National and Community Service in this Act for activities authorized by part E of title II of the Domestic Volunteer Service Act of 1973 shall be used to provide stipends or other monetary incentives to volunteers or volunteer leaders whose incomes exceed 125 percent of the national poverty level] \$316,850,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106–554.*)

Program and Financing (in millions of dollars)

Identification code 95–0103–0–1–506	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Volunteers in Service to America	81	83	82
00.03 National Senior Service Corps	183	189	203
00.05 Program support	31	32	32
09.01 Reimbursable program	6	7	7
10.00 Total new obligations	301	311	324
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	301	311	324
23.95 Total new obligations	–301	–311	–324
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	296	304	317
40.76 Reduction pursuant to P.L. 106–113	–1		
43.00 Appropriation (total discretionary)	295	304	317
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	6	7	7

70.00	Total new budget authority (gross)	301	311	324
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	152	154	158
72.99	Obligated balance, start of year	152	154	158
73.10	Total new obligations	301	311	324
73.20	Total outlays (gross)	-299	-307	-319
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	154	158	163
74.99	Obligated balance, end of year	154	158	163
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	168	177	185
86.93	Outlays from discretionary balances	131	130	134
87.00	Total outlays (gross)	299	307	319
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-2	-2	-2
88.40	Non-Federal sources	-4	-5	-5
88.90	Total, offsetting collections (cash)	-6	-7	-7
Net budget authority and outlays:				
89.00	Budget authority	295	304	317
90.00	Outlays	293	300	312

Volunteers in Service to America.—The AmeriCorps*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

National Senior Service Corps.—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including helping children learn to read and working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

Program support.—Costs of program direction and administration are financed by this activity.

Object Classification (in millions of dollars)

Identification code 95-0103-0-1-506		2000 actual	2001 est.	2002 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	17	18	18
11.8	Special personal services payments	41	47	47
11.9	Total personnel compensation	58	65	65
12.1	Civilian personnel benefits	5	5	5
21.0	Travel and transportation of persons	5	5	5
23.1	Rental payments to GSA	4	4	4
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.2	Other services	14	15	15
41.0	Grants, subsidies, and contributions	207	208	221
99.0	Subtotal, direct obligations	295	304	317
99.0	Reimbursable obligations	6	7	7
99.9	Total new obligations	301	311	324

Personnel Summary

Identification code 95-0103-0-1-506		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	313	313	313

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, \$5,000,000,

which shall be available for obligation through September 30, [2002]. 2003 (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.)

Program and Financing (in millions of dollars)

Identification code 95-2721-0-1-506		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations	4	6	5
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	5	5	5
23.95	Total new obligations	-4	-6	-5
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	4	5	5
40.15	Appropriation (emergency) P.L. 106-246	1		
43.00	Appropriation (total discretionary)	5	5	5
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	2	3	3
72.99	Obligated balance, start of year	2	3	3
73.10	Total new obligations	4	6	5
73.20	Total outlays (gross)	-3	-6	-5
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	3	3	3
74.99	Obligated balance, end of year	3	3	3
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	3	3
86.93	Outlays from discretionary balances	1	2	3
87.00	Total outlays (gross)	3	6	5
Net budget authority and outlays:				
89.00	Budget authority	5	6	5
90.00	Outlays	3	6	5

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 95-2721-0-1-506	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
25.2 Other services	2	3	2
99.0 Subtotal, direct obligations	3	5	4
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	4	6	5

Personnel Summary

Identification code 95-2721-0-1-506		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	11	21	21

Trust Funds

GIFTS AND CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

Identification code 95-9972-0-7-506		2000 actual	2001 est.	2002 est.
01.99	Balance, start of year			
Receipts:				
02.40	Interest on investment	24	25	20

GIFTS AND CONTRIBUTIONS—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 95-9972-0-7-506	2000 actual	2001 est.	2002 est.
02.41 Payment from the general fund	70	70	10
02.99 Total receipts and collections	94	95	30
Appropriations:			
05.00 Gifts and contributions	-94	-95	-30
05.99 Total appropriations	-94	-95	-30
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-9972-0-7-506	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	72	84	78
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	380	320	301
22.00 New budget authority (gross)	13	65	30
23.90 Total budgetary resources available for obligation	393	385	331
23.95 Total new obligations	-72	-84	-78
24.40 Unobligated balance carried forward, end of year	320	301	253

New budget authority (gross), detail:

Discretionary:			
40.27 Appropriation (trust fund, indefinite)	70	70	10
40.36 Unobligated balance rescinded	-81	-30	
43.00 Appropriation (total discretionary)	-11	40	10
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	24	25	20
70.00 Total new budget authority (gross)	13	65	30

Change in unpaid obligations:

73.10 Total new obligations	72	84	78
73.20 Total outlays (gross)	-72	-84	-78

Outlays (gross), detail:

86.93 Outlays from discretionary balances	72	77	67
86.97 Outlays from new mandatory authority		7	5
86.98 Outlays from mandatory balances			6
87.00 Total outlays (gross)	72	84	78

Net budget authority and outlays:

89.00 Budget authority	13	65	30
90.00 Outlays	72	84	78

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	380	321	328
92.02 Total investments, end of year: Federal securities: Par value	321	328	253

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

General and special funds:

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting, [as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal

year 2003, \$365,000,000: *Provided*, That no funds made available to the Corporation for Public Broadcasting by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That in addition to the amounts provided above, \$20,000,000, to remain available until expended, shall be for digitalization, pending enactment of authorizing legislation] \$20,000,000 for costs related to digital program production, development, and distribution, associated with the transition of public broadcasting to digital broadcasting, to be awarded as determined by the Corporation in consultation with public radio and television licensees or permittees, or their designated representatives. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 20-0151-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 General programming and system support	300	340	350
00.02 Digital transition		20	20
00.03 Satellite replacement	17		
10.00 Total new obligations (object class 41.0)	317	360	370

Budgetary resources available for obligation:

22.00 New budget authority (gross)	316	360	370
23.95 Total new obligations	-317	-360	-370

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation—Digital		20	20
Advance appropriation:			
55.00 Advance appropriation—General Programming ...	300	340	350
55.00 Advance appropriation—Satellite	17		
55.76 Reduction pursuant to P.L. 106-113	-1		
55.90 Advance appropriation (total discretionary)	316	340	350
70.00 Total new budget authority (gross)	316	360	370

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year			18
72.99 Obligated balance, start of year			18
73.10 Total new obligations	317	360	370
73.20 Total outlays (gross)	-316	-342	-357
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year		18	31
74.99 Obligated balance, end of year		18	31

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	316	342	352
86.93 Outlays from discretionary balances			5
87.00 Total outlays (gross)	316	342	357

Net budget authority and outlays:

89.00 Budget authority	316	360	370
90.00 Outlays	316	342	357

General programming.—The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition and general operations. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance. By custom, the appropriation for the Corporation has been enacted two years in advance. For 2002 and 2003, appro-

priations of \$350 million and \$365 million were enacted in the 2000 and 2001 appropriations acts, respectively.

Consistent with the Administration's policy on limiting the use of advance appropriations, the Administration is not proposing advance appropriations for 2004. Rather, the Administration proposes that the Corporation receive appropriations like other programs that receive Federal assistance. Therefore, a 2004 funding request for the Corporation will be proposed in the FY 2004 President's Budget.

Public broadcasting assists in the educational and cultural development of our Nation. Funding for the Corporation facilitates the provision of universally available educational, non-commercial public telecommunications services that meet the needs of local communities across the country.

Digital transition.—In April 1997, the Federal Communications Commission issued regulations requiring broadcasters to transition from analog to digital broadcasting. Public broadcasters must convert to digital by May 1, 2003. Funds made available to the Corporation will facilitate public broadcasters' transition to digital broadcasting. The Corporation's funding will be used in coordination with funds made available to the Commerce Department. Commerce Department funding will be targeted for digital transmission equipment, while the Corporation's funding will support necessary investments related to digital program production, development and distribution associated with the transition of public broadcasters to digital broadcasting.

COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by 38 U.S.C. 7251–7298, [\$12,445,000] \$13,221,000, of which \$895,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102–229. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106–377.*)

Program and Financing (in millions of dollars)

Identification code 95–0300–0–1–705	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	11	12	13
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	12	13
23.95 Total new obligations	–11	–12	–13
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	11	12	13
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	11	12	13
73.20 Total outlays (gross)	–11	–12	–13
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	11	12
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	11	12	13

Net budget authority and outlays:

89.00 Budget authority	11	12	13
90.00 Outlays	11	12	13

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251–7292 (1988) established the United States Court of Veterans Appeals (renamed United States Court of Appeals for Veterans Claims as of March 1, 1999, Public Law 105–368) under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

Pro bono program.—The Legal Services Corporation administers a grant program to provide pro bono representation and legal assistance to claimants who file appeals with the Court. Congress funds the grant program through the Court's appropriation. To maintain impartiality, the Court does not administer the program or comment on the program's budget estimate.

Practice registration fees.—38 U.S.C. § 7285 established a fund, which receives no appropriations, that will be used by the U.S. Court of Appeals for Veterans Claims to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

Object Classification (in millions of dollars)

Identification code 95–0300–0–1–705	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	6	6	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	2	2
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Subtotal, direct obligations	10	11	12
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	11	12	13

Personnel Summary

Identification code 95–0300–0–1–705	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	79	88	88

Trust Funds**COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND****Unavailable Collections** (in millions of dollars)

Identification code 95-8290-0-7-705	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	4	5	5
Receipts:			
02.40 Employing agency contributions	1	1	1
04.00 Total: Balances and collections	5	5	6
07.99 Balance, end of year	5	5	6

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 2.2 percent of their salaries for survivor annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Appeals for Veterans Claims.

COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

Federal Funds**General and special funds:**

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER
SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

(INCLUDING TRANSFER OF FUNDS)

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712), **[\$112,527,000,] \$147,300,000, of which \$13,015,000 shall remain available until expended, and of which not to exceed \$5,000 is for official receptions related to offender and defendant support programs; of which [\$67,521,000] \$94,112,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to supervision of adults subject to protection orders or provision of services for or related to such persons; [\$18,778,000] \$20,829,000 shall be transferred to the Public Defender Service; and [\$26,228,000] \$32,359,000 shall be available to the Pretrial Services Agency: *Provided*, [That of the amount provided under this heading, \$17,854,000 shall be used to improve pretrial defendant and post-conviction offender supervision, enhance drug testing and sanctions-based treatment programs and other treatment services, expand intermediate sanctions and offender re-entry programs, continue planning and design proposals for a residential Sanctions Center and improve administrative infrastructure, including information technology; and \$836,000 of the \$17,854,000 referred to in this proviso is for the Public Defender Service: *Provided further*,] That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, [That notwithstanding section 446 of the District of Columbia Home Rule Act or any provision of subchapter III of chapter 13 of title 31, United States Code, the use of interest earned on the Federal payment made to the District of Columbia Offender Supervision, Defender, and Court Services Agency under the District of Columbia Appropriations Act, 1998, by the Agency during fiscal years 1998 and 1999 shall not constitute a violation of such Act or such subchapter.] *That notwithstanding chapter 12 of title 40, United States Code, the Director may acquire by purchase, lease, condemnation, or donation, and renovate as necessary, Building Number 17, 1900 Massachusetts Avenue, Southeast Washington, District of Columbia, to house or supervise offenders and defendants, with funds made available by this Act: Provided further,***

That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs, and equipment and vocational training services to educate and train offenders and defendants. (District of Columbia Appropriations Act, 2001.)

Program and Financing (in millions of dollars)

Identification code 95-1734-0-1-752	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Community Supervision Program	56	67	94
00.02 Pretrial Services Agency	20	26	32
00.03 Public Defender Service	16	19	21
10.00 Total new obligations	92	112	147
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	93	112	147
23.95 Total new obligations	-92	-112	-147
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	93	112	147
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2	28	22
72.99 Obligated balance, start of year	2	28	22
73.10 Total new obligations	92	112	147
73.20 Total outlays (gross)	-66	-118	-140
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	28	22	29
74.99 Obligated balance, end of year	28	22	29
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	64	90	118
86.93 Outlays from discretionary balances	2	28	22
87.00 Total outlays (gross)	66	118	140
Net budget authority and outlays:			
89.00 Budget authority	93	112	147
90.00 Outlays	66	118	140

The National Capital Revitalization and Self-Government Improvement Act established the Court Services and Offender Supervision Agency for the District of Columbia as an independent Federal agency, which has assumed the District of Columbia pretrial services, adult probation, and parole supervision functions. The mission of the Agency, including the D.C. Pretrial Services Agency, is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community. The D.C. Public Defender Service provides legal representation services within the District of Columbia, and transmits its budget with that of the Agency, yet operates as an independent District of Columbia agency, as required by law.

The Agency's budget supports the Community Supervision Program, the Pretrial Services Agency, and the Public Defender Service.

Community Supervision Program.—This activity provides supervision of adult offenders on probation, parole or supervised release, consistent with a proven crime prevention strategy that integrates supervision, routine drug testing, treatment, and graduated sanctions. For 2002, \$21,736,000 is requested to improve the re-entry process for transitioning parolees from prison into the community, provide immediate and graduated sanctions to offenders who violate conditions of their release, provide substance abuse and mental health treatment and assessment services for offenders under supervision, increase drug testing collection capabilities in supervision field units, and improve and support the automated case management system. Of this amount, \$13,015,000 is requested for the renovation and repair of a residential Re-Entry and Sanctions Center.

Pretrial Services Agency.—This activity assists the trial and appellate levels of both the Federal and local courts in determining eligibility for pretrial release by providing background information on all arrestees. The Pretrial Services Agency is further responsible for supervising conditions of release and reporting on compliance to the court. For 2002, \$3,350,000 is requested to reduce pretrial defendant general supervision caseloads, provided additional substance abuse and mental health treatment and assessment services for pretrial defendants under supervision, and to improve and support the automated case management system.

Public Defender Service.—This activity provides legal representation to defendants and provides support in the form of training, consultation and legal reference services to members of the local bar appointed as counsel in criminal, juvenile, and mental health cases involving indigent individuals. For 2002, \$1,019,000 is requested to provide legal services and assistance to individuals under community-based criminal justice supervision (probation, parole, pretrial release) in order to reduce recidivism and reduce the number of people subject to revocation of release and re-incarceration.

In 2002, the Court Services and Offender Supervision Agency will continue to work closely with all elements of the District of Columbia and Federal criminal justice, courts, corrections, and rehabilitation systems to improve offender supervision and court services programs, policy, and practice.

Object Classification (in millions of dollars)

Identification code 95-1734-0-1-752	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent		46	52
11.8 Special personal services payments		14	16
11.9 Total personnel compensation		60	68
12.1 Civilian personnel benefits		13	15
21.0 Travel and transportation of persons		1	1
23.2 Rental payments to others		8	9
23.3 Communications, utilities, and miscellaneous charges		4	4
25.1 Advisory and assistance services		1	4
25.2 Other services		15	22
25.3 Purchases of goods and services from Government accounts		1	1
25.4 Operation and maintenance of facilities		2	1
26.0 Supplies and materials		1	1
31.0 Equipment		4	5
32.0 Land and structures		2	16
41.0 Grants, subsidies, and contributions	92		
99.9 Total new obligations	92	112	147

Personnel Summary

Identification code 95-1734-0-1-752	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment		1,095	1,167

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, \$18,500,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 95-3900-0-1-053	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	17	19	19
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	17	18	19
23.90 Total budgetary resources available for obligation	19	20	21
23.95 Total new obligations	-17	-19	-19
24.40 Unobligated balance carried forward, end of year	2	2	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		18	19
40.05 Appropriation (indefinite)	17		
43.00 Appropriation (total discretionary)	17	18	19
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	7	7	8
72.99 Obligated balance, start of year	7	7	8
73.10 Total new obligations	17	19	19
73.20 Total outlays (gross)	-17	-18	-18
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	7	8	8
74.99 Obligated balance, end of year	7	8	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	11	11
86.93 Outlays from discretionary balances	7	7	7
87.00 Total outlays (gross)	17	18	18
Net budget authority and outlays:			
89.00 Budget authority	17	18	19
90.00 Outlays	17	18	18

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE) (as defined in Public Law 100-456). The Board also reviews the design of new DOE defense nuclear facilities, and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. In addition, the National Defense Authorization Act for 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to ensure that both public and employee health and safety are adequately protected.

Object Classification (in millions of dollars)

Identification code 95-3900-0-1-053	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	2	3	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services	1	1	1
99.9 Total new obligations	17	19	19

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Personnel Summary**

Identification code 95-3900-0-1-053	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	94	99	105

DELTA REGIONAL AUTHORITY**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses [to establish] of the Delta Regional Authority [and] to carry out its activities, [\$20,000,000] as authorized by the *Delta Regional Authority Act of 2000*, \$19,992,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 95-0750-0-1-452	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct Program Activity		3	3
10.00 Total new obligations		3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			17
22.00 New budget authority (gross)		20	20
23.90 Total budgetary resources available for obligation		20	37
23.95 Total new obligations		-3	-3
24.40 Unobligated balance carried forward, end of year		17	34
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		20	20
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year			1
72.99 Obligated balance, start of year			1
73.10 Total new obligations		3	3
73.20 Total outlays (gross)		-2	-6
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year		1	
74.99 Obligated balance, end of year		1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		2	2
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)		2	6
Net budget authority and outlays:			
89.00 Budget authority		20	20
90.00 Outlays		2	6

The Delta Regional Authority (DRA), authorized by P.L. 106-554, was established to assist an eight-state, 236-county region of demonstrated distress in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies.

The DRA was created as a Federal-State partnership modeled after other regional development agencies. DRA will focus on: basic public infrastructure in distressed counties and isolated areas of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

Object Classification (in millions of dollars)

Identification code 95-0750-0-1-452	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent		1	1
41.0 Grants, subsidies, and contributions		2	2
99.9 Total new obligations		3	3

Personnel Summary

Identification code 95-0750-0-1-452	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment		5	5

DENALI COMMISSION**Federal Funds****General and special funds:****DENALI COMMISSION**

For expenses of the Denali Commission including the purchase, construction and acquisition of plant and capital equipment as necessary and other expenses, [\$30,000,000] \$29,939,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 95-1200-0-1-452	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
01.01 Direct Program Activity	20	28	22
09.00 Reimbursable program		17	8
10.00 Total new obligations	20	45	30
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	11
22.00 New budget authority (gross)	20	55	30
23.90 Total budgetary resources available for obligation	21	56	41
23.95 Total new obligations	-20	-45	-30
24.40 Unobligated balance carried forward, end of year	1	11	11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	30	30
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		25	
70.00 Total new budget authority (gross)	20	55	30
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	18		8
72.99 Obligated balance, start of year	18		8
73.10 Total new obligations	20	45	30
73.20 Total outlays (gross)	-38	-37	-38
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year		8	
74.99 Obligated balance, end of year		8	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	20	37	20
86.93 Outlays from discretionary balances	18		18
87.00 Total outlays (gross)	38	37	38
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-25	
Net budget authority and outlays:			
89.00 Budget authority	20	30	30
90.00 Outlays	38	12	38

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105-277) to promote sustainable

rural infrastructure development, to provide job training and other economic development services in rural communities with a focus on distressed communities, and to deliver services in the most cost-effective manner practicable in the State of Alaska. The Denali Commission is composed of 7 members with a Federal Cochairperson. The Commission is required to develop an annual work plan that ensures coordination of State and Federal agencies for cost-shared and sustainable utilities and infrastructure related projects that promote health, safety, and economic self-sufficiency throughout rural Alaska under a statutory overhead ceiling of not more than 5 percent.

Object Classification (in millions of dollars)

Identification code 95-1200-0-1-452	2000 actual	2001 est.	2002 est.
41.0 Direct obligations: Grants, subsidies, and contributions	19	27	21
99.0 Reimbursable obligations: Subtotal, reimbursable obligations		17	8
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	20	45	30

Personnel Summary

Identification code 95-1200-0-1-452	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	2	4	6

Trust Funds**DENALI COMMISSION TRUST FUND****Program and Financing** (in millions of dollars)

Identification code 95-8056-0-7-452	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
01.01 Direct Program Activity	5	11	11
10.00 Total new obligations	5	11	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	11	11
23.95 Total new obligations	-5	-11	-11
New budget authority (gross), detail:			
Discretionary:			
40.27 Appropriation (trust fund, indefinite)	5	11	11
Change in unpaid obligations:			
73.10 Total new obligations	5	11	11
73.20 Total outlays (gross)	-5	-11	-11
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	11	11
Net budget authority and outlays:			
89.00 Budget authority	5	10	11
90.00 Outlays	5	10	11

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the Oil Spill Liability Trust Fund to the Denali Commission. The Denali Commission, in consultation with the Coast Guard, developed a program in which these funds are to be used to repair or replace bulk fuel storage tanks in Alaska which are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

Object Classification (in millions of dollars)

Identification code 95-8056-0-7-452	2000 actual	2001 est.	2002 est.
41.0 Direct obligations: Grants, subsidies, and contributions	5	10	10
99.5 Below reporting threshold		1	1
99.9 Total new obligations	5	11	11

DISTRICT OF COLUMBIA**DISTRICT OF COLUMBIA COURTS****Federal Funds****General and special funds:****FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS**

For salaries and expenses for the District of Columbia Courts, **[\$105,000,000] \$111,238,000**, to be allocated as follows: for the District of Columbia Court of Appeals, **[\$7,409,000] \$8,003,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, **[\$71,121,000] \$66,091,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$17,890,000; \$5,255,000** to finance a pay adjustment of 8.48 percent for nonjudicial employees] **\$31,149,000**, of which not to exceed \$1,500 is for official reception and representation expenses; and **[\$3,325,000**, including \$825,000 for roofing repairs to the facility commonly referred to as the Old Courthouse and located at 451 Indiana Avenue, Northwest,] **\$5,995,000** to remain available until September 30, **[2002] 2003**, for capital improvements for District of Columbia courthouse facilities: *Provided*, That none of the funds in this Act or in any other Act shall be available for the purchase, installation, or operation of an Integrated Justice Information System until a detailed plan and design has been submitted by the courts [and approved by] to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives[.] (*District of Columbia Appropriations Act, 2001.*)

[For an additional amount for the District of Columbia courts for capital repairs necessitated by the recent fire damage to the courthouse facilities, \$350,000, to remain available until September 30, 2002, and for an additional amount for such repairs for the Superior Court of the District of Columbia, \$50,000]: *Provided further*, That after providing notice to the Committees on Appropriations of the Senate and House of Representatives, the District of Columbia courts may reallocate not more than \$1,000,000 of the funds provided under this heading [under the District of Columbia Appropriations Act, 2001,] among the items and entities funded under such heading [for the costs of such repairs]. (*Division A, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-1712-0-1-806	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Court of Appeals	7	8	8
00.02 Superior Court	67	75	66
00.03 Court System	16	18	31
00.04 Capital improvements	6	6	6
10.00 Total new obligations (object class 41.0)	96	107	111
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	2
22.00 New budget authority (gross)	100	105	111
23.90 Total budgetary resources available for obligation	100	109	113

General and special funds—Continued**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 95-1712-0-1-806		2000 actual	2001 est.	2002 est.
23.95	Total new obligations	-96	-107	-111
24.40	Unobligated balance carried forward, end of year	4	2	2
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	100	105	111
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	3	13	13
72.99	Obligated balance, start of year	3	13	13
73.10	Total new obligations	96	107	111
73.20	Total outlays (gross)	-86	-107	-114
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	13	13	10
74.99	Obligated balance, end of year	13	13	10
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	86	93	99
86.93	Outlays from discretionary balances		14	15
87.00	Total outlays (gross)	86	107	114
Net budget authority and outlays:				
89.00	Budget authority	100	105	111
90.00	Outlays	86	107	114

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts beginning in 1998. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court and the Court System. Beginning in 1999, the Federal Government also provides funds for capital improvements. Beginning in 2000, funding for Defender Services in the District of Columbia Courts is provided through a separate account.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding District Courts operations. The President's recommended level of \$111 million includes: \$105 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia and the District of Columbia Court System operations; and \$6 million for capital improvements for District courthouse facilities. Under a separate transmittal to Congress, the District Courts are requesting \$152 million; \$106 million for operations and \$46 million for capital improvements.

DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code, and payments for counsel authorized under section 21-2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), [\$34,387,000] \$34,311,000, to remain available until expended: *Provided*, That the funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the [\$3,325,000] \$5,995,000 provided under such heading for capital improvements for District of Columbia courthouse facilities) may also be used for payments under this heading: *Provided*

further, That, in addition to the funds provided under this heading, the Joint Committee on Judicial Administration in the District of Columbia shall use funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the [\$3,325,000] \$5,995,000 provided under such heading for capital improvements for District of Columbia courthouse facilities), to make payments described under this heading for obligations incurred during any fiscal year: *Provided further*, That such funds shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further*, That notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives[: *Provided further*, That the District of Columbia Courts shall implement the recommendations in the General Accounting Office Report GAO/AIMD/OGC-99-226 regarding payments to court-appointed attorneys and shall report quarterly to the Office of Management and Budget and to the House and Senate Appropriations Committees on the status of these reforms]. (*District of Columbia Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-1736-0-1-806		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations (object class 25.2)	30	38	34
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		4	
22.00	New budget authority (gross)	34	34	34
23.90	Total budgetary resources available for obligation	34	38	34
23.95	Total new obligations	-30	-38	-34
24.40	Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	34	34	34
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year		4	3
72.99	Obligated balance, start of year		4	3
73.10	Total new obligations	30	38	34
73.20	Total outlays (gross)	-26	-39	-34
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	4	3	3
74.99	Obligated balance, end of year	4	3	3
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	26	31	31
86.93	Outlays from discretionary balances		8	3
87.00	Total outlays (gross)	26	39	34
Net budget authority and outlays:				
89.00	Budget authority	34	34	34
90.00	Outlays	24	39	34

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program provides

for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as: transcripts of court proceedings; expert witness testimony; foreign and sign language interpretation; and investigations and genetic testing. The President's recommended funding level for Defender Services is \$34 million. Under a separate transmittal to Congress, the Courts are requesting \$40 million for Defender Services.

CRIME VICTIMS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 20-1759-0-1-806	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct Program Activity		18	
10.00 Total new obligations (object class 41.0)		18	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		18	
23.95 Total new obligations		—18	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		18	
Change in unpaid obligations:			
73.10 Total new obligations		18	
73.20 Total outlays (gross)		—18	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		18	
Net budget authority and outlays:			
89.00 Budget authority		18	
90.00 Outlays		18	

The \$18 million unobligated balance remaining in the D.C. Crime Victims Compensation Fund at the end of 2000 was made available to the D.C. Courts in 2001 for direct compensation to crime victims and for other purposes.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 20-1713-0-1-752	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)	5	5	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	5	5
23.95 Total new obligations	—5	—5	—5
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	5	5	5
Change in unpaid obligations:			
73.10 Total new obligations	5	5	5
73.20 Total outlays (gross)	—5	—5	—5
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	5	5	5
Net budget authority and outlays:			
89.00 Budget authority	5	5	5
90.00 Outlays	5	5	5

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), requires

the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize: the original unfunded liability over 30 years; the net experience gain or loss over 10 years; any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8212-0-7-602	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	65	65	65
Receipts:			
02.00 Deductions from employees salaries	1		
02.40 Interest earnings	4	5	5
02.41 Amortization payment	5	5	5
02.99 Total receipts and collections	10	10	10
04.00 Total: Balances and collections	75	75	75
Appropriations:			
05.00 District of Columbia Judicial Retirement and Survivors Annuity Fund	—10	—10	—11
07.99 Balance, end of year	65	65	64

Program and Financing (in millions of dollars)

Identification code 20-8212-0-7-602	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Retirement Payments	6	10	11
10.00 Total new obligations (object class 13.0)	6	10	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	74	78	78
22.00 New budget authority (gross)	10	10	11
23.90 Total budgetary resources available for obligation	84	88	89
23.95 Total new obligations	—6	—10	—11
24.40 Unobligated balance carried forward, end of year	78	78	78
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	10	10	11
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1		3
72.99 Obligated balance, start of year	1		3
73.10 Total new obligations	6	10	11
73.20 Total outlays (gross)	—6	—7	—7
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year		3	7
74.99 Obligated balance, end of year		3	7
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	6	7	7
Net budget authority and outlays:			
89.00 Budget authority	10	10	11
90.00 Outlays	5	7	7

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	77	81	86

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS
ANNUITY FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8212-0-7-602	2000 actual	2001 est.	2002 est.
92.02 Total investments, end of year: Federal securities: Par value	81	86	61

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; the proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; any income earned from investment of the assets in public debt securities; and amounts appropriated to the fund.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA CORRECTIONS
TRUSTEE OPERATIONS

For salaries and expenses of the District of Columbia Corrections Trustee, [\$134,200,000] \$32,700,000 for the administration and operation of correctional facilities and for the administrative operating costs of the Office of the Corrections Trustee, as authorized by section 11202 of the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712) of which \$1,000,000 is to fund an initiative to improve case processing in the District of Columbia criminal justice system, \$2,500,000 to remain available until September 30, 2003 for building renovation required to accommodate functions transferred from the Lorton Correctional Complex, and \$2,000,000 to remain available until September 30, 2003, to be transferred to the appropriate agency for the closing of the sewage treatment plant and the removal of underground storage tanks at the Lorton Correctional Complex: *Provided*, That notwithstanding any other provision of law, funds appropriated in this Act for the District of Columbia Corrections Trustee shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies[: *Provided further*, That in addition to the funds provided under this heading, the District of Columbia Corrections Trustee may use any remaining interest earned on the Federal payment made to the Trustee under the District of Columbia Appropriations Act, 1998, to carry out the activities funded under this heading]. (*District of Columbia Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-1735-0-1-806	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	175	134	33
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	175	134	33
23.95 Total new obligations	-175	-134	-33
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	176	134	33
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	175	134	33
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	6	23	13
72.99 Obligated balance, start of year	6	23	13
73.10 Total new obligations	175	134	33
73.20 Total outlays (gross)	-158	-144	-43

Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	23	13	3
74.99 Obligated balance, end of year	23	13	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	158	121	30
86.93 Outlays from discretionary balances		23	13
87.00 Total outlays (gross)	158	144	43
Net budget authority and outlays:			
89.00 Budget authority	175	134	33
90.00 Outlays	158	144	43

The National Capital Revitalization and Self-Government Improvement Act requires that the adult felon population of the District of Columbia be transferred to the Federal Prison System over the next several years. To assist in this transition, the Act established a Corrections Trustee to provide financial oversight of, and assistance to, the District of Columbia Department of Corrections during this period. The Corrections Trustee also provides funding to the D.C. Department of Corrections associated with the prisoner population that will eventually be transferred to the Federal Prison System. The current D.C. felon inmate population is approximately 4,800, in addition to 3,200 inmates who have already been transferred permanently to the Federal Prison System. The remaining inmates will be transferred to the Federal Prison System when the D.C. prison facilities at Lorton, Virginia, are closed or by December 31, 2001, whichever is earlier.

In 2002, the Corrections Trustee will continue to work with the D.C. Department of Corrections to close the last remaining facility at the Lorton Correctional Complex. By no later than December 31, 2001, all D.C. adult felon inmates will have been transferred to the Federal Bureau of Prisons. After the final transfer of inmates, the remaining property at the Lorton Correctional Complex will be vacated and prepared for transfer to Fairfax County. Included in preparatory work will be the closing of the sewerage treatment plant and the removal of underground storage tanks. Lorton-based functions that will continue will be relocated from Lorton to a new location in the District of Columbia.

In an effort to assist the District of Columbia Criminal Justice System, the Corrections Trustee, in consultation with the D.C. Criminal Justice Coordinating Council, will continue to fund an initiative, not to exceed \$1 million, to review improvements in inmate case processing in the District's criminal justice system.

It is anticipated that 2002 will be the final year of operation for the D.C. Corrections Trustee as all responsibility for D.C. felons will have been transferred to the Federal Bureau of Prisons on or before December 31, 2001.

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

Federal Funds

General and special funds:

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia for a nationwide program to be administered by the Mayor for District of Columbia resident tuition support, \$17,000,000, to remain available until expended: *Provided*, That such funds may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, usable at both public and private institutions for higher education[: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit and such other factors as may be authorized]. (*District of Columbia Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 20-1736-0-1-502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	17	17	17
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	17	17	17
23.95 Total new obligations	-17	-17	-17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	17	17
Change in unpaid obligations:			
73.10 Total new obligations	17	17	17
73.20 Total outlays (gross)	-17	-17	-17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	17	17	17
Net budget authority and outlays:			
89.00 Budget authority	17	17	17
90.00 Outlays	17	17	17

The Resident Tuition Support program equalizes postsecondary education opportunities for students from the District of Columbia by enabling them to attend any public college in the Nation at in-State tuition prices or to receive scholarships to attend private colleges in the D.C. metropolitan area.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

[FEDERAL PAYMENT FOR INCENTIVES FOR ADOPTION OF CHILDREN]

[The paragraph under the heading "Federal Payment for Incentives for Adoption of Children" in Public Law 106-113, approved November 29, 1999 (113 Stat. 1501), is amended to read as follows: "For a Federal payment to the District of Columbia to create incentives to promote the adoption of children in the District of Columbia foster care system, \$5,000,000: *Provided*, That such funds shall remain available until September 30, 2002, and shall be used to carry out all of the provisions of title 38, except for section 3808, of the Fiscal Year 2001 Budget Support Act of 2000, D.C. Bill 13-679, enrolled June 12, 2000.".]

[FEDERAL PAYMENT TO THE CHIEF FINANCIAL OFFICER OF THE DISTRICT OF COLUMBIA]

[For a Federal payment to the Chief Financial Officer of the District of Columbia, \$1,250,000, of which \$250,000 shall be for payment to a mentoring program and for hotline services; \$250,000 shall be for payment to a youth development program with a character building curriculum; \$250,000 shall be for payment to a basic values training program; and \$500,000, to remain available until expended, shall be for the design, construction, and maintenance of a trash rack system to be installed at the Hickey Run stormwater outfall.]

[FEDERAL PAYMENT FOR COMMERCIAL REVITALIZATION PROGRAM]

[For a Federal payment to the District of Columbia, \$1,500,000, to remain available until expended, for the Mayor, in consultation with the Council of the District of Columbia, to provide offsets against local taxes for a commercial revitalization program, such program to provide financial inducements, including loans, grants, offsets to local taxes and other instruments that promote commercial revitalization in Enterprise Zones and low and moderate income areas in the District of Columbia: *Provided*, That in carrying out such a program, the Mayor shall use Federal commercial revitalization proposals introduced in Congress as a guideline: *Provided further*, That not later than 180 days after the date of the enactment of this Act, the Mayor shall report to the Committees on Appropriations of the Senate and House of Representatives on the progress made in carrying out the commercial revitalization program.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC SCHOOLS]

[For a Federal payment to the District of Columbia Public Schools, \$500,000: *Provided*, That \$250,000 of said amount shall be used for

a program to reduce school violence: *Provided further*, That \$250,000 of said amount shall be used for a program to enhance the reading skills of District public school students.]

[FEDERAL PAYMENT TO THE METROPOLITAN POLICE DEPARTMENT]

[For a Federal payment to the Metropolitan Police Department, \$100,000: *Provided*, That said funds shall be used to fund a youth safe haven police mini-station for mentoring high risk youth.]

[FEDERAL CONTRIBUTION TO COVENANT HOUSE WASHINGTON]

[For a Federal contribution to Covenant House Washington for a contribution to the construction in Southeast Washington of a new community service center for homeless, runaway and at-risk youth, \$500,000.]

[For a Federal payment to the Washington Interfaith Network to reimburse the Network for costs incurred in carrying out preconstruction activities at the former Fort Dupont Dwellings and Additions, \$1,000,000: *Provided*, That such activities may include architectural and engineering studies, property appraisals, environmental assessments, grading and excavation, landscaping, paving, and the installation of curbs, gutters, sidewalks, sewer lines, and other utilities: *Provided further*, That the Secretary of the Treasury shall make such payment only after the Network has received matching funds from private sources (including funds provided through loans) to carry out such activities in an aggregate amount which is equal to the amount of such payment (as certified by the Inspector General of the District of Columbia) and has provided the Secretary of the Treasury with a request for reimbursement which contains documentation certified by the Inspector General of the District of Columbia showing that the Network carried out the activities and that the costs incurred in carrying out the activities were equal to or less than the amount of the reimbursement requested: *Provided further*, That none of the funds provided under this heading may be obligated or expended after December 31, 2001 (without regard to whether the activities involved were carried out prior to such date).]

[FEDERAL PAYMENT FOR PLAN TO SIMPLIFY EMPLOYEE COMPENSATION SYSTEMS]

[For a Federal payment to the Mayor of the District of Columbia for a contract for the study and development of a plan to simplify the compensation systems, schedules, and work rules applicable to employees of the District government, \$250,000: *Provided*, That under the terms of the contract the plan shall include (at a minimum) a review of the current compensation systems, schedules, and work rules applicable to such employees; a review of the best practices regarding the compensation systems, schedules, and work rules of State and local governments and other appropriate organizations; a proposal for simplifying the systems, schedules, and rules applicable to employees of the District government; and the development of strategies for implementing such proposal, including an identification of any statutory, contractual, or other barriers to implementing the proposal and an estimated time frame for implementing the proposal: *Provided further*, That under the terms of the contract the contractor shall submit the plan to the Mayor and to the Committees on Appropriations of the House of Representatives and Senate: *Provided further*, That the Mayor shall develop a proposed solicitation for the contract not later than 90 days after the date of the enactment of this Act and shall submit a copy of the proposed solicitation to the Comptroller General for review at least 90 days prior to the issuance of such solicitation: *Provided further*, That not later than 45 days after receiving the proposed solicitation from the Mayor, the Comptroller General shall review the solicitation to ensure that it adequately addresses all of the necessary elements described under this heading and report to the Committees on Appropriations of the House of Representatives and Senate on the results of this review: *Provided further*, That for purposes of this contract the term "District government" has the meaning given such term in section 305(5) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (sec. 47-393(5), D.C. Code), except that such term shall not include the courts of the District of Columbia and shall include the District of Columbia Financial Responsibility and Management Assistance Authority.]

[METRO RAIL CONSTRUCTION]

[For the Washington Metropolitan Area Transit Authority (WMATA), a contribution of \$25,000,000, to remain available until expended, to design and build a Metrorail station located at New York and Florida Avenues, Northeast: *Provided*, That prior to the

General and special funds—Continued**[METRORAIL CONSTRUCTION]—Continued**

release of said funds from the U.S. Treasury, the District of Columbia shall set aside an additional \$25,000,000 for this project in its Fiscal Year 2001 Budget and Financial Plan and, further, shall establish a special taxing district for the neighborhood of the proposed Metro-rail station to provide \$25,000,000: *Provided further*, That the requirements of 49 U.S.C. 5309(a)(2) shall apply to this project.]

[FEDERAL PAYMENT FOR BROWNFIELD REMEDIATION]

[For a Federal payment to the District of Columbia, \$3,450,000 for environmental and infrastructure costs at Poplar Point: *Provided*, That of said amount, \$2,150,000 shall be available for environmental assessment, site remediation, and wetlands restoration of the 11 acres of real property under the jurisdiction of the District of Columbia: *Provided further*, That no more than \$1,300,000 shall be used for infrastructure costs for an entrance to Anacostia Park: *Provided further*, That none of said funds shall be used by the District of Columbia to purchase private property in the Poplar Point area.]

[PRESIDENTIAL INAUGURATION]

[For a payment to the District of Columbia to reimburse the District for expenses incurred in connection with Presidential inauguration activities, \$5,961,000, as authorized by section 737(b) of the District of Columbia Home Rule Act, approved December 24, 1973 (87 Stat. 824; D.C. Code, sec. 1-1132), which shall be apportioned by the Chief Financial Officer within the various appropriation headings in this Act.]

[CHILDREN'S NATIONAL MEDICAL CENTER]

[For a Federal contribution to the Children's National Medical Center in the District of Columbia, \$500,000 to be used for the network of satellite pediatric health clinics for children and families in underserved neighborhoods and communities in the District of Columbia.]

[CHILD ADVOCACY CENTER]

[For a Federal contribution to the Child Advocacy Center for its Safe Shores program, \$500,000.]

[ST. COLETTA OF GREATER WASHINGTON EXPANSION PROJECT]

[For a Federal contribution to St. Coletta of Greater Washington, Inc. for costs associated with the establishment of a day program and comprehensive case management services for mentally retarded and multiple-handicapped adolescents and adults in the District of Columbia, including property acquisition and construction, \$1,000,000.]

[DISTRICT OF COLUMBIA SPECIAL OLYMPICS]

[For a Federal contribution to the District of Columbia Special Olympics, \$250,000.] (*District of Columbia Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 20-1707-0-1-806	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.08 City and National Museums	2		
00.11 Public Education		1	
00.15 Metro improvements		25	
00.17 Y2K	43		
00.19 Metropolitan Police Department	5		
00.21 Georgetown Waterfront Park	1		
00.28 Children's Medical Center	2	1	
00.29 National Capital Revitalization Corporation	25		
00.44 Adoption incentives	5		
00.49 Chief Financial Officer		1	
00.50 Commercial Revitalization Program		2	
00.51 Covenant House		1	
00.52 Washington Interfaith Network		1	
00.54 Poplar Point brownfield remediation		3	
00.55 Presidential Inauguration expenses		6	
00.56 Child Advocacy Center		1	
00.57 St. Coletta		1	
10.00 Total new obligations (object class 41.0)	83	43	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	49		
22.00 New budget authority (gross)	34	43	

23.90 Total budgetary resources available for obligation	83	43	
23.95 Total new obligations	-83	-43	

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	15	43	
40.15 Appropriation (emergency)	4		
41.00 Transferred to General Services Administration	-7		
42.00 Transferred from Y2K emergency fund	21		
43.00 Appropriation (total discretionary)	33	43	
50.00 Reappropriation	1		
70.00 Total new budget authority (gross)	34	43	

Change in unpaid obligations:

73.10 Total new obligations	83	43	
73.20 Total outlays (gross)	-83	-43	

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	34	43	
86.93 Outlays from discretionary balances	49		
87.00 Total outlays (gross)	83	43	

Net budget authority and outlays:

89.00 Budget authority	34	43	
90.00 Outlays	85	43	

The 2001 District of Columbia Appropriations Act included \$43 million for related economic development projects and initiatives for the District. The 2002 Budget does not include additional economic development funding for the District.

FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND**Unavailable Collections (in millions of dollars)**

Identification code 20-1714-0-1-601	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	614	651	703
Receipts:			
02.80 Federal supplemental District of Columbia Pension Fund, offsetting collections	37	52	68
04.00 Total: Balances and collections	651	703	771
Appropriations:			
05.00 Federal supplemental District of Columbia Pension Fund			
07.99 Balance, end of year	651	703	771

Program and Financing (in millions of dollars)

Identification code 20-1714-0-1-601	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Mandatory:			
60.05 Appropriation (indefinite)	182	197	207
60.45 Portion precluded from obligation	-182	-197	-207
69.00 Offsetting collections (cash)	37	52	68
69.45 Portion precluded from obligation (limitation on obligations)	-37	-52	-68
Offsets:			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities	-37	-52	-68
Net budget authority and outlays:			
89.00 Budget authority	-37	-52	-68
90.00 Outlays	-37	-52	-68
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	614	833	1,082
92.02 Total investments, end of year: Federal securities: Par value	833	1,082	1,357

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established

the Federal Supplemental District of Columbia Pension Fund (Supplemental Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers after the District of Columbia Federal Pension Liability Trust Fund has been depleted, and to pay any necessary expenses to administer the fund. The Supplemental Fund consists of: amounts deposited into the fund; any amount appropriated to the fund; and any income earned from investment of the assets in public debt securities. The Act requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the Supplemental Fund. Annual payments consist of amounts necessary to amortize: the original unfunded liability over 30 years; the net experience gain or loss over 10 years; and any other changes in actuarial liability over 20 years, and, amounts necessary to fund covered administrative expenses for the year.

Public enterprise funds:

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 20-4446-0-4-806	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.00 Reimbursable program	17	10	10
10.00 Total new obligations (object class 23.3)	17	10	10
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7		
22.00 New budget authority (gross)	10	10	10
23.90 Total budgetary resources available for obligation	17	10	10
23.95 Total new obligations	-17	-10	-10
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	10	10	10
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	12	11	11
72.99 Obligated balance, start of year	12	11	11
73.10 Total new obligations	17	10	10
73.20 Total outlays (gross)	-18	-10	-10
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	11	11	11
74.99 Obligated balance, end of year	11	11	11
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	10	10	10
86.98 Outlays from mandatory balances	8		
87.00 Total outlays (gross)	18	10	10
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-10	-10	-10
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	8		

Object Classification (in millions of dollars)

Identification code 20-4446-0-4-806	2000 actual	2001 est.	2002 est.
23.3 Communications, utilities, and miscellaneous charges	17	10	10
99.0 Subtotal, reimbursable obligations	17	10	10

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the DC Water and Sewer Authority,

DCWASA) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency was to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. Even if all agencies did not submit payment on time, Treasury was directed to pay the Government-wide bill, making up the difference from a permanent, indefinite appropriation account, which was then to be reimbursed by the appropriate agencies.

The 2001 Consolidated Appropriations Act amended this system by repealing the permanent, indefinite appropriation account, by directing Treasury to draw down agency funds for payment of water and sewer bills, by requiring agency Inspectors General to analyze how promptly the user agency makes its payment, and by making these amendments retroactive to 1990.

Trust Funds

DISTRICT OF COLUMBIA FEDERAL PENSION LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8230-0-7-601	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	3,185	3,184	2,919
Receipts:			
02.20 Proceeds from the sale of pension assets	3		
02.21 Interest earnings	177	172	156
02.99 Total receipts and collections	180	172	156
04.00 Total: Balances and collections	3,365	3,356	3,075
Appropriations:			
05.00 Federal pension liability trust fund	-181	-437	-451
07.99 Balance, end of year	3,184	2,919	2,624

Program and Financing (in millions of dollars)

Identification code 20-8230-0-7-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Retirement Payments	420	437	451
10.00 Total new obligations (object class 13.0)	420	437	451
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	239		
22.00 New budget authority (gross)	181	437	451
23.90 Total budgetary resources available for obligation	420	437	451
23.95 Total new obligations	-420	-437	-451
24.40			
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	181	437	451
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	36	42	42
72.99 Obligated balance, start of year	36	42	42
73.10 Total new obligations	420	437	451
73.20 Total outlays (gross)	-414	-437	-451
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	42	42	42
74.99 Obligated balance, end of year	42	42	42
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	181	437	451
86.98 Outlays from mandatory balances	233		
87.00 Total outlays (gross)	414	437	451
Net budget authority and outlays:			
89.00 Budget authority	181	437	451

DISTRICT OF COLUMBIA FEDERAL PENSION LIABILITY TRUST FUND—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20–8230–0–7–601		2000 actual	2001 est.	2002 est.
90.00	Outlays	414	437	451
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	3,232	2,999	2,734
92.02	Total investments, end of year: Federal securities: Par value	2,999	2,734	2,439

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Federal Pension Liability Trust Fund (Trust Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers, and to pay any necessary expenses to administer the Trust Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Trust Fund consists of the proceeds of accumulated pension assets transferred from the District of Columbia during 1999 and liquidated, pursuant to the Act, and any income earned from investment of the assets in public debt securities.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Governmental receipts:			
20–086300 District of Columbia court fees		18	
General Fund Governmental receipts		18	

GENERAL PROVISIONS

GENERAL PROVISIONS

SEC. 101. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 102. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: *Provided*, That in the case of the Council of the District of Columbia, funds may be expended with the authorization of the chair of the Council.

SEC. 103. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of judgments that have been entered against the District of Columbia government: *Provided*, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947 (70 Stat. 78; Public Law 84–460; D.C. Code, sec. 47–1812.11(c)(3)).

[SEC. 104. (a) REQUIRING MAYOR TO MAINTAIN INDEX.—Effective with respect to fiscal year 2001 and each succeeding fiscal year, the Mayor of the District of Columbia shall maintain an index of all employment personal services and consulting contracts in effect on behalf of the District government, and shall include in the index specific information on any severance clause in effect under any such contract.

(b) PUBLIC INSPECTION.—The index maintained under subsection (a) shall be kept available for public inspection during regular business hours.

(c) CONTRACTS EXEMPTED.—Subsection (a) shall not apply with respect to any collective bargaining agreement or any contract entered into pursuant to such a collective bargaining agreement.

(d) DISTRICT GOVERNMENT DEFINED.—In this section, the term “District government” means the government of the District of Columbia, including—

(1) any department, agency or instrumentality of the government of the District of Columbia;

(2) any independent agency of the District of Columbia established under part F of title IV of the District of Columbia Home Rule Act or any other agency, board, or commission established by the Mayor or the Council;

(3) the Council of the District of Columbia;

(4) any other agency, public authority, or public benefit corporation which has the authority to receive monies directly or indirectly from the District of Columbia (other than monies received from the sale of goods, the provision of services, or the loaning of funds to the District of Columbia); and

(5) the District of Columbia Financial Responsibility and Management Assistance Authority.

(e) No payment shall be made pursuant to any such contract subject to subsection (a), nor any severance payment made under such contract, if a copy of the contract has not been filed in the index. Interested parties may file copies of their contract or severance agreement in the index on their own behalf.]

SEC. [105] 104. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. [106] 105. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. [107] 106. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, salary, past work experience, and salary history are not available for inspection by the House and Senate Committees on Appropriations, the House Committee on Government Reform, the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative.

SEC. [108] 107. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making payments authorized by the District of Columbia Revenue Recovery Act of 1977 (D.C. Law 2–20; D.C. Code, sec. 47–421 et seq.).

SEC. [109] 108. No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. [110] 109. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: *Provided*, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Columbia and the Congress the actual borrowings and spending progress compared with projections.

SEC. [111] 110. (a) None of the funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2001] 2002, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for an agency through a reprogramming of funds which: (1) creates new programs; (2) eliminates a program, project, or responsibility center; (3) establishes or changes allocations specifically denied, limited or increased by Congress in this Act; (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted; (5) reestablishes through reprogramming any program or project previously deferred through reprogramming; (6) augments existing programs, projects, or responsibility centers through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less; or (7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center; unless the Committees on Appropriations of both the Senate and House of Representatives are notified in writing 30 days in advance of any reprogramming as set forth in this section.

(b) None of the local funds contained in this Act may be available for obligation or expenditure for an agency through a reprogramming of funds which transfers any local funds from one appropriation to another unless the Committees on Appropriations of the Senate and House of Representatives are notified in writing 30 days in advance of the transfer, except that in no event may the amount of any funds transferred exceed 2 percent of the local funds in the appropriation.

SEC. [112] 111. Consistent with the provisions of 31 U.S.C. 1301(a), appropriations under this Act shall be applied only to the objects for which the appropriations were made except as otherwise provided by law.

SEC. [113] 112. Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Law 2-139; D.C. Code, sec. 1-601.1 et seq.), enacted pursuant to section 422(3) of the District of Columbia Home Rule Act (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(3)), shall apply with respect to the compensation of District of Columbia employees: *Provided*, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5, United States Code.

SEC. [114] 113. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, [2001] 2002, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year [2001] 2002 revenue estimates as of the end of the first quarter of fiscal year [2001] 2002. These estimates shall be used in the budget request for the fiscal year ending September 30, [2002] 2003. The officially revised estimates at midyear shall be used for the midyear report.

SEC. [115] 114. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985 (D.C. Law 6-85; D.C. Code, sec. 1-1183.3), except that the District of Columbia government or any agency thereof may renew or extend sole source contracts for which competition is not feasible or practical: *Provided*, That the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated rules and procedures [and said determination has been reviewed and approved by the District of Columbia Financial Responsibility and Management Assistance Authority].

SEC. [116] 115. For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), the term "program, project, and activity" shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: *Provided*, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. [117] 116. In the event a sequestration order is issued pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: *Provided*, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act that are not specifically exempted from sequestration by such Act.

SEC. [118] 117. ACCEPTANCE AND USE OF GIFTS. (a) APPROVAL BY MAYOR.—

(1) IN GENERAL.—An entity of the District of Columbia government may accept and use a gift or donation during fiscal year [2001] 2002 if—

(A) the Mayor approves the acceptance and use of the gift or donation (except as provided in paragraph (2)); and

(B) the entity uses the gift or donation to carry out its authorized functions or duties.

(2) EXCEPTION FOR COUNCIL AND COURTS.—The Council of the District of Columbia and the District of Columbia courts may accept and use gifts without prior approval by the Mayor.

(b) RECORDS AND PUBLIC INSPECTION.—Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection

(a), and shall make such records available for audit and public inspection.

(c) INDEPENDENT AGENCIES INCLUDED.—For the purposes of this section, the term "entity of the District of Columbia government" includes an independent agency of the District of Columbia.

(d) EXCEPTION FOR BOARD OF EDUCATION.—This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. [119] 118. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3-171; D.C. Code, sec. 1-113(d)).

[SEC. 120. (a) MODIFICATION OF CONTRACTING REQUIREMENTS.—

(1) CONTRACTS SUBJECT TO NOTICE REQUIREMENTS.—Section 2204(c)(1)(A) of the District of Columbia School Reform Act (sec. 31-2853.14(c)(1)(A), D.C. Code) is amended to read as follows:

"(A) NOTICE REQUIREMENT FOR PROCUREMENT CONTRACTS.—

"(i) IN GENERAL.—Except in the case of an emergency (as determined by the eligible chartering authority of a public charter school), with respect to any procurement contract proposed to be awarded by the public charter school and having a value equal to or exceeding \$25,000, the school shall publish a notice of a request for proposals in the District of Columbia Register and newspapers of general circulation not less than 7 days prior to the award of the contract.

"(ii) EXCEPTION FOR CERTAIN CONTRACTS.—The notice requirement of clause (i) shall not apply with respect to any contract for the lease or purchase of real property by a public charter school, any employment contract for a staff member of a public charter school, or any management contract entered into by a public charter school and the management company designated in its charter or its petition for a revised charter."

(2) SUBMISSION OF CONTRACTS TO ELIGIBLE CHARTERING AUTHORITY.—Section 2204(c)(1)(B) of such Act (sec. 31-2853.14(c)(1)(B), D.C. Code) is amended—

(A) in the heading, by striking "AUTHORITY" and inserting "ELIGIBLE CHARTERING AUTHORITY";

(B) in clause (i), by striking "Authority" and inserting "eligible chartering authority"; and

(C) by amending clause (ii) to read as follows:

"(ii) EFFECTIVE DATE OF CONTRACT.—A contract described in subparagraph (A) shall become effective on the date that is 10 days after the date the school makes the submission under clause (i) with respect to the contract, or the effective date specified in the contract, whichever is later."

(b) CLARIFICATION OF APPLICATION OF SCHOOL REFORM ACT.—

(1) WAIVER OF DUPLICATE AND CONFLICTING PROVISIONS.—Section 2210 of such Act (sec. 31-2853.20, D.C. Code) is amended by adding at the end the following new subsection:

"(d) WAIVER OF APPLICATION OF DUPLICATE AND CONFLICTING PROVISIONS.—Notwithstanding any other provision of law, and except as otherwise provided in this title, no provision of any law regarding the establishment, administration, or operation of public charter schools in the District of Columbia shall apply with respect to a public charter school or an eligible chartering authority to the extent that the provision duplicates or is inconsistent with any provision of this title."

(2) EFFECTIVE DATE.—The amendments made by this subsection shall take effect as if included in the enactment of the District of Columbia School Reform Act of 1995.

(c) LICENSING REQUIREMENTS FOR PRESCHOOL OR PREKINDERGARTEN PROGRAMS.—

(1) IN GENERAL.—Section 2204(c) of such Act (sec. 31-2853.14(c), D.C. Code) is amended by adding at the end the following new paragraph:

"(18) LICENSING AS CHILD DEVELOPMENT CENTER.—A public charter school which offers a preschool or prekindergarten program shall be subject to the same child care licensing requirements (if any) which apply to a District of Columbia public school which offers such a program."

(2) CONFORMING AMENDMENTS.—(A) Section 2202 of such Act (sec. 31-2853.12, D.C. Code) is amended by striking clause (17).

(B) Section 2203(h)(2) of such Act (sec. 31-2853.13(h)(2), D.C. Code) is amended by striking "(17)".

GENERAL PROVISIONS—Continued

(d) Section 2403 of the District of Columbia School Reform Act of 1995 (sec. 31–2853.43, D.C. Code) is amended by adding at the end the following new subsection:

“(c) ASSIGNMENT OF PAYMENTS.—A public charter school may assign any payments made to the school under this section to a financial institution for use as collateral to secure a loan or for the repayment of a loan.”.

(e) Section 2210 of the District of Columbia School Reform Act of 1995 (sec. 31–2853.20, D.C. Code), as amended by subsection (b), is further amended by adding at the end the following new subsection:

“(e) PARTICIPATION IN GSA PROGRAMS.—

“(1) IN GENERAL.—Notwithstanding any provision of this Act or any other provision of law, a public charter school may acquire goods and services through the General Services Administration and may participate in programs of the Administration in the same manner and to the same extent as any entity of the District of Columbia government.

“(2) PARTICIPATION BY CERTAIN ORGANIZATIONS.—A public charter school may delegate to a nonprofit, tax-exempt organization in the District of Columbia the public charter school’s authority under paragraph (1).”.]

SEC. [121] 119. REPORTING REQUIREMENTS FOR THE DISTRICT OF COLUMBIA PUBLIC SCHOOLS AND THE UNIVERSITY OF THE DISTRICT OF COLUMBIA. (a) The Superintendent of the District of Columbia Public Schools (DCPS) and the University of the District of Columbia (UDC) shall each submit to the Committees on Appropriations of the House of Representatives and Senate, the Committee on Government Reform of the House of Representatives, and the Committee on Governmental Affairs of the Senate no later than 15 calendar days after the end of each quarter a report that sets forth—

(1) current quarter expenditures and obligations, year-to-date expenditures and obligations, and total fiscal year expenditure projections versus budget broken out on the basis of control center, responsibility center, and object class, and for all funds, non-appropriated funds, and capital financing;

(2) a list of each account for which spending is frozen and the amount of funds frozen, broken out by control center, responsibility center, detailed object, and for all funding sources;

(3) a list of all active contracts in excess of \$10,000 annually, which contains the name of each contractor; the budget to which the contract is charged, broken out on the basis of control center, responsibility center, and agency reporting code; and contract identifying codes used by DCPS and UDC; payments made in the last quarter and year-to-date, the total amount of the contract and total payments made for the contract and any modifications, extensions, renewals; and specific modifications made to each contract in the last month;

(4) all reprogramming requests and reports that are required to be, and have been, submitted to the Board of Education;

(5) all reprogramming requests and reports that have been made by UDC within the last quarter in compliance with applicable law; and

(6) changes made in the last quarter to the organizational structure of DCPS and UDC, displaying for each entity previous and current control centers and responsibility centers, the names of the organizational entities that have been changed, the name of the staff member supervising each entity affected, and the reasons for the structural change.

(b) The Superintendent of DCPS and UDC shall annually compile an accurate and verifiable report on the positions and employees in the public school system and the university, respectively. The annual report shall—

(1) set forth the number of validated schedule A positions in the District of Columbia public schools and UDC for fiscal year [2001] 2002, and thereafter on full-time equivalent basis, including a compilation of all positions by control center, responsibility center, funding source, position type, position title, pay plan, grade, and annual salary;

(2) set forth a compilation of all employees in the District of Columbia public schools and UDC as of the preceding December 31, verified as to its accuracy in accordance with the functions that each employee actually performs, by control center, responsibility center, agency reporting code, program (including funding source), activity, location for accounting purposes, job title, grade and classification, annual salary, and position control number; and

(3) be submitted to the Congress, the Mayor, the District of Columbia Council, and the Consensus Commission[, and the Authority,] not later than February 15 of each year.

(c) No later than November 1, [2000] 2001, or within 30 calendar days after the date of the enactment of this Act, whichever occurs later, and each succeeding year, the Superintendent of DCPS and UDC shall submit to the appropriate congressional committees, the Mayor, the District of Columbia Council, and the Consensus Commission, [and the District of Columbia Financial Responsibility and Management Assistance Authority,] a revised appropriated funds operating budget for the public school system and UDC for such fiscal year: (1) that is in the total amount of the approved appropriation and that realigns budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures; and (2) that is in the format of the budget that the Superintendent of DCPS and UDC submit to the Mayor of the District of Columbia for inclusion in the Mayor’s budget submission to the Council of the District of Columbia pursuant to section 442 of the District of Columbia Home Rule Act (Public Law 93–198; D.C. Code, sec. 47–301).

SEC. [122] 120. (a) None of the funds contained in this Act may be made available to pay the fees of an attorney who represents a party who prevails in an action or any attorney who defends any action, including an administrative proceeding, brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) if—

(1) the hourly rate of compensation of the attorney exceeds 250 percent of the hourly rate of compensation under section 11–2604(a), District of Columbia Code; or

(2) the maximum amount of compensation of the attorney exceeds 250 percent of the maximum amount of compensation under section 11–2604(b)(1), District of Columbia Code, except that compensation and reimbursement in excess of such maximum may be approved for extended or complex representation in accordance with section 11–2604(c), District of Columbia Code; and

(3) in no case may the compensation limits in paragraphs (1) and (2) exceed \$2,500.

(b) Notwithstanding the preceding subsection, if the Mayor and the Superintendent of the District of Columbia Public Schools concur in a Memorandum of Understanding setting forth a new rate and amount of compensation, then such new rates shall apply in lieu of the rates set forth in the preceding subsection to both the attorney who represents the prevailing party and the attorney who defends the action.

SEC. [123] 121. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. [124] 122. None of the funds made available in this Act may be used to implement or enforce the Health Care Benefits Expansion Act of 1992 (D.C. Law 9–114; D.C. Code, sec. 36–1401 et seq.) or to otherwise implement or enforce any system of registration of unmarried, cohabiting couples (whether homosexual, heterosexual, or lesbian), including but not limited to registration for the purpose of extending employment, health, or governmental benefits to such couples on the same basis that such benefits are extended to legally married couples.

[SEC. 125. The District of Columbia Financial Responsibility and Management Assistance Authority, acting on behalf of the District of Columbia Public Schools (DCPS) in formulating the DCPS budget, the Board of Trustees of the University of the District of Columbia, the Board of Library Trustees, and the Board of Governors of the University of the District of Columbia School of Law shall vote on and approve the respective annual or revised budgets for such entities before submission to the Mayor of the District of Columbia for inclusion in the Mayor’s budget submission to the Council of the District of Columbia in accordance with section 442 of the District of Columbia Home Rule Act (Public Law 93–198; D.C. Code, sec. 47–301), or before submitting their respective budgets directly to the Council.]

SEC. [126. (a)] 123. ACCEPTANCE AND USE OF GRANTS NOT INCLUDED IN CEILING.—

[(1)] (a) IN GENERAL.—Notwithstanding any other provision of this Act, the Mayor, in consultation with the Chief Financial Officer, during a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (Public Law 104–8; 109 Stat. 152), may accept, obligate, and expend Federal, private, and other grants received

by the District government that are not reflected in the amounts appropriated in this Act.

[(2) REQUIREMENT OF CHIEF FINANCIAL OFFICER REPORT AND AUTHORITY APPROVAL.]—No such Federal, private, or other grant may be accepted, obligated, or expended pursuant to paragraph (1) until—

(A) the Chief Financial Officer of the District of Columbia submits to the Authority a report setting forth detailed information regarding such grant; and

(B) the Authority has reviewed and approved the acceptance, obligation, and expenditure of such grant in accordance with review and approval procedures consistent with the provisions of the District of Columbia Financial Responsibility and Management Assistance Act of 1995.]

[(3)] (b) PROHIBITION ON SPENDING IN ANTICIPATION OF APPROVAL OR RECEIPT.—No amount may be obligated or expended from the general fund or other funds of the District government in anticipation of [the approval or receipt of a grant under paragraph (2)(B) of this subsection or in anticipation of] the approval or receipt of a Federal, private, or other grant [not subject to such paragraph].

[(4)] (c) QUARTERLY REPORTS.—The Chief Financial Officer of the District of Columbia shall prepare a quarterly report setting forth detailed information regarding all Federal, private, and other grants subject to this subsection. Each such report shall be submitted to the Council of the District of Columbia, and to the Committees on Appropriations of the House of Representatives and the Senate, not later than 15 days after the end of the quarter covered by the report.

[(b) REPORT ON EXPENDITURES BY FINANCIAL RESPONSIBILITY AND MANAGEMENT ASSISTANCE AUTHORITY.]—Not later than 20 calendar days after the end of each fiscal quarter starting October 1, 2000, the Authority shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Government Reform of the House, and the Committee on Governmental Affairs of the Senate providing an itemized accounting of all non-appropriated funds obligated or expended by the Authority for the quarter. The report shall include information on the date, amount, purpose, and vendor name, and a description of the services or goods provided with respect to the expenditures of such funds.]

[SEC. 127. If a department or agency of the government of the District of Columbia is under the administration of a court-appointed receiver or other court-appointed official during fiscal year 2001 or any succeeding fiscal year, the receiver or official shall prepare and submit to the Mayor, for inclusion in the annual budget of the District of Columbia for the year, annual estimates of the expenditures and appropriations necessary for the maintenance and operation of the department or agency. All such estimates shall be forwarded by the Mayor to the Council, for its action pursuant to sections 446 and 603(c) of the District of Columbia Home Rule Act, without revision but subject to the Mayor's recommendations. Notwithstanding any provision of the District of Columbia Home Rule Act (87 Stat. 774; Public Law 93-198), the Council may comment or make recommendations concerning such annual estimates but shall have no authority under such Act to revise such estimates.]

SEC. [128] 124. (a) RESTRICTIONS ON USE OF OFFICIAL VEHICLES.—Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this paragraph, the term "official duties" does not include travel between the officer's or employee's residence and workplace (except: (1) in the case of an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department; (2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day; (3) the Mayor of the District of Columbia; and (4) the Chairman of the Council of the District of Columbia).

(b) **INVENTORY OF VEHICLES.**—The Chief Financial Officer of the District of Columbia shall submit, by November 15, [2000] 2001, an inventory, as of September 30, [2000] 2001, of all vehicles owned, leased or operated by the District of Columbia government. The inventory shall include, but not be limited to, the department to which the vehicle is assigned; the year and make of the vehicle; the acquisition date and cost; the general condition of the vehicle; annual oper-

ating and maintenance costs; current mileage; and whether the vehicle is allowed to be taken home by a District officer or employee and if so, the officer or employee's title and resident location.

[SEC. 129. (a) SOURCE OF PAYMENT FOR EMPLOYEES DETAILED WITHIN GOVERNMENT.]—For purposes of determining the amount of funds expended by any entity within the District of Columbia government during fiscal year 2001 and each succeeding fiscal year, any expenditures of the District government attributable to any officer or employee of the District government who provides services which are within the authority and jurisdiction of the entity (including any portion of the compensation paid to the officer or employee attributable to the time spent in providing such services) shall be treated as expenditures made from the entity's budget, without regard to whether the officer or employee is assigned to the entity or otherwise treated as an officer or employee of the entity.

(b) **MODIFICATION OF REDUCTION IN FORCE PROCEDURES.**—Section 2408 of the District of Columbia Government Comprehensive Merit Personnel Act of 1978, effective March 3, 1979 (D.C. Law 2-139; D.C. Code, sec. 1-625.7), is amended as follows:

(1) Subsection (a) is amended by striking "September 30, 2000" and inserting "September 30, 2000, and each subsequent fiscal year".

(2) Subsection (b) is amended by striking "Prior to February 1, 2000" and inserting "Prior to February 1 of each year".

(3) Subsection (i) is amended by striking "March 1, 2000" and inserting "March 1 of each year".

(4) Subsection (k) is amended by striking "September 1, 2000" and inserting "September 1 of each year".]

[(c)] SEC. 125. No officer or employee of the District of Columbia government (including any independent agency of the District but excluding [the District of Columbia Financial Responsibility and Management Assistance Authority,] the Metropolitan Police Department[, and the Office of the Chief Technology Officer]) may enter into an agreement in excess of \$2,500 for the procurement of goods or services on behalf of any entity of the District government until the officer or employee has conducted an analysis of how the procurement of the goods and services involved under the applicable regulations and procedures of the District government would differ from the procurement of the goods and services involved under the Federal supply schedule and other applicable regulations and procedures of the General Services Administration, including an analysis of any differences in the costs to be incurred and the time required to obtain the goods or services.

SEC. [130] 126. Notwithstanding any other provision of law, not later than 120 days after the date that a District of Columbia Public Schools (DCPS) student is referred for evaluation or assessment—

(1) the District of Columbia Board of Education, or its successor, and DCPS shall assess or evaluate a student who may have a disability and who may require special education services; and

(2) if a student is classified as having a disability, as defined in section 101(a)(1) of the Individuals with Disabilities Education Act (84 Stat. 175; 20 U.S.C. 1401(a)(1)) or in section 7(8) of the Rehabilitation Act of 1973 (87 Stat. 359; 29 U.S.C. 706(8)), the Board and DCPS shall place that student in an appropriate program of special education services.

SEC. [131] 127. (a) COMPLIANCE WITH BUY AMERICAN ACT.—None of the funds made available in this Act may be expended by an entity unless the entity agrees that in expending the funds the entity will comply with the Buy American Act (41 U.S.C. 10a-10c).

(b) **SENSE OF THE CONGRESS; REQUIREMENT REGARDING NOTICE.**—

(1) **PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.**—In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available in this Act, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products to the greatest extent practicable.

(2) **NOTICE TO RECIPIENTS OF ASSISTANCE.**—In providing financial assistance using funds made available in this Act, the head of each agency of the Federal or District of Columbia government shall provide to each recipient of the assistance a notice describing the statement made in paragraph (1) by the Congress.

(c) **PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.**—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person

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shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. [132] 128. None of the funds contained in this Act may be used for purposes of the annual independent audit of the District of Columbia government [(including the District of Columbia Financial Responsibility and Management Assistance Authority)] for fiscal year [2001] 2002 unless—

(1) the audit is conducted by the Inspector General of the District of Columbia pursuant to section 208(a)(4) of the District of Columbia Procurement Practices Act of 1985 (D.C. Code, sec. 1–1182.8(a)(4)); and

(2) the audit includes a comparison of audited actual year-end results with the revenues submitted in the budget document for such year and the appropriations enacted into law for such year.

SEC. [133] 129. None of the funds contained in this Act may be used by the District of Columbia Corporation Counsel or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

SEC. [134] 130. None of the funds contained in this Act may be used to transfer or confine inmates classified above the medium security level, as defined by the Federal Bureau of Prisons classification instrument, to the Northeast Ohio Correctional Center located in Youngstown, Ohio.

[SEC. 135. Subsection 3(e) of Public Law 104–21 (D.C. Code sec. 7–134.2(e)) is amended to read as follows:

“(e) INSPECTOR GENERAL AUDIT.—Not later than February 1, 2001, and each February 1 thereafter, the Inspector General of the District of Columbia shall audit the financial statements of the District of Columbia Highway Trust Fund for the preceding fiscal year and shall submit to Congress a report on the results of such audit. Not later than May 31, 2001, and each May 31 thereafter, the Inspector General shall examine the statements forecasting the conditions and operations of the Trust Fund for the next 5 fiscal years commencing on the previous October 1 and shall submit to Congress a report on the results of such examination.”.]

SEC. [136] 131. No later than November 1, [2000] 2001, or within 30 calendar days after the date of the enactment of this Act, whichever occurs later, the Chief Financial Officer of the District of Columbia shall submit to the appropriate committees of Congress[, and the Mayor[, and the District of Columbia Financial Responsibility and Management Assistance Authority] a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (Public Law 93–198; D.C. Code, sec. 47–301), for all agencies of the District of Columbia government for such fiscal year that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal-services, respectively, with anticipated actual expenditures.

SEC. [137] 132. (a) None of the funds contained in this Act may be used for any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

(b) Any individual or entity who receives any funds contained in this Act and who carries out any program described in subsection (a) shall account for all funds used for such program separately from any funds contained in this Act.

SEC. [138] 133. (a) RESTRICTIONS ON LEASES.—Upon the expiration of the 60-day period that begins on the date of the enactment of this Act, none of the funds contained in this Act may be used to make rental payments under a lease for the use of real property by the District of Columbia government (including any independent agency of the District) unless the lease and an abstract of the lease have been filed (by the District of Columbia or any other party to the lease) with the central office of the Deputy Mayor for Economic Development, in an indexed registry available for public inspection.

(b) ADDITIONAL RESTRICTIONS ON CURRENT LEASES.—

(1) IN GENERAL.—Upon the expiration of the 60-day period that begins on the date of the enactment of this Act, in the case of a lease described in paragraph (3), none of the funds contained in this Act may be used to make rental payments under the lease unless the lease is included in periodic reports submitted by the Mayor and Council of the District of Columbia to the Committees

on Appropriations of the House of Representatives and Senate describing for each such lease the following information:

(A) The location of the property involved, the name of the owners of record according to the land records of the District of Columbia, the name of the lessors according to the lease, the rate of payment under the lease, the period of time covered by the lease, and the conditions under which the lease may be terminated.

(B) The extent to which the property is or is not occupied by the District of Columbia government as of the end of the reporting period involved.

(C) If the property is not occupied and utilized by the District government as of the end of the reporting period involved, a plan for occupying and utilizing the property (including construction or renovation work) or a status statement regarding any efforts by the District to terminate or renegotiate the lease.

(2) TIMING OF REPORTS.—The reports described in paragraph (1) shall be submitted for each calendar quarter (beginning with the quarter ending December 31, [2000] 2001) not later than 20 days after the end of the quarter involved, plus an initial report submitted not later than 60 days after the date of the enactment of this Act, which shall provide information as of the date of the enactment of this Act.

(3) LEASES DESCRIBED.—A lease described in this paragraph is a lease in effect as of the date of the enactment of this Act for the use of real property by the District of Columbia government (including any independent agency of the District) which is not being occupied by the District government (including any independent agency of the District) as of such date or during the 60-day period which begins on the date of the enactment of this Act.

SEC. [139] 134. (a) MANAGEMENT OF EXISTING DISTRICT GOVERNMENT PROPERTY.—Upon the expiration of the 60-day period that begins on the date of the enactment of this Act, none of the funds contained in this Act may be used to enter into a lease (or to make rental payments under such a lease) for the use of real property by the District of Columbia government (including any independent agency of the District) or to purchase real property for the use of the District of Columbia government (including any independent agency of the District) or to manage real property for the use of the District of Columbia (including any independent agency of the District) unless the following conditions are met:

(1) The Mayor and Council of the District of Columbia certify to the Committees on Appropriations of the House of Representatives and Senate that existing real property available to the District (whether leased or owned by the District government) is not suitable for the purposes intended.

(2) Notwithstanding any other provisions of law, there is made available for sale or lease all real property of the District of Columbia that the Mayor from time-to-time determines is surplus to the needs of the District of Columbia, unless a majority of the members of the Council override the Mayor's determination during the 30-day period which begins on the date the determination is published.

(3) The Mayor and Council implement a program for the periodic survey of all District property to determine if it is surplus to the needs of the District.

(4) The Mayor and Council within 60 days of the date of the enactment of this Act have filed with the Committees on Appropriations of the House of Representatives and Senate, the Committee on Government Reform of the House of Representatives, and the Committee on Governmental Affairs of the Senate a report which provides a comprehensive plan for the management of District of Columbia real property assets, and are proceeding with the implementation of the plan.

(b) TERMINATION OF PROVISIONS.—If the District of Columbia enacts legislation to reform the practices and procedures governing the entering into of leases for the use of real property by the District of Columbia government and the disposition of surplus real property of the District government, the provisions of subsection (a) shall cease to be effective upon the effective date of the legislation.

SEC. [140] 135. None of the funds contained in this Act may be used after the expiration of the 60-day period that begins on the date of the enactment of this Act to pay the salary of any chief financial officer of any office of the District of Columbia government (including [the District of Columbia Financial Responsibility and Management Assistance Authority and] any independent agency of the District) who has not filed a certification with the Mayor and

the Chief Financial Officer of the District of Columbia that the officer understands the duties and restrictions applicable to the officer and the officer's agency as a result of this Act (and the amendments made by this Act), including any duty to prepare a report requested either in the Act or in any of the reports accompanying the Act and the deadline by which each report must be submitted, and the District's Chief Financial Officer shall provide to the Committees on Appropriations of the Senate and the House of Representatives by the tenth day after the end of each quarter a summary list showing each report, the due date and the date submitted to the Committees.

SEC. [141] 136. The proposed budget of the government of the District of Columbia for fiscal year [2002] 2003 that is submitted by the District to Congress shall specify potential adjustments that might become necessary in the event that the operational improvements savings, including managed competition, and management reform savings achieved by the District during the year do not meet the level of management savings projected by the District under the proposed budget.

SEC. [142] 137. In submitting any document showing the budget for an office of the District of Columbia government (including an independent agency of the District) that contains a category of activities labeled as "other", "miscellaneous", or a similar general, non-descriptive term, the document shall include a description of the types of activities covered in the category and a detailed breakdown of the amount allocated for each such activity.

SEC. [143] 138. (a) None of the funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 802) or any tetrahydrocannabinols derivative.

(b) The Legalization of Marijuana for Medical Treatment Initiative of 1998, also known as Initiative 59, approved by the electors of the District of Columbia on November 3, 1998, shall not take effect.

SEC. [144] 139. Notwithstanding any other provision of law, the Mayor of the District of Columbia is hereby solely authorized to allocate the District's limitation amount of qualified zone academy bonds (established pursuant to 26 U.S.C. 1397E) among qualified zone academies within the District.

[SEC. 145. (a) Section 11232 of the Balanced Budget Act of 1997 (sec. 24–1232, D.C. Code) is amended—

(1) by redesignating subsections (f) through (i) as subsections (g) through (j); and

(2) by inserting after subsection (e) the following new subsection: "(f) TREATMENT AS FEDERAL EMPLOYEES.—

"(1) IN GENERAL.—The Trustee and employees of the Trustee who are not covered under subsection (e) shall be treated as employees of the Federal Government solely for purposes of the following provisions of title 5, United States Code:

"(A) Chapter 83 (relating to retirement).

"(B) Chapter 84 (relating to the Federal Employees' Retirement System).

"(C) Chapter 87 (relating to life insurance).

"(D) Chapter 89 (relating to health insurance).

"(2) EFFECTIVE DATES OF COVERAGE.—The effective dates of coverage of the provisions of paragraph (1) are as follows:

"(A) In the case of the Trustee and employees of the Office of the Trustee and the Office of Adult Probation, August 5, 1997, or the date of appointment, whichever is later.

"(B) In the case of employees of the Office of Parole, October 11, 1998, or the date of appointment, whichever is later.

"(C) In the case of employees of the Pretrial Services Agency, January 3, 1999, or the date of appointment, whichever is later.

"(3) RATE OF CONTRIBUTIONS.—The Trustee shall make contributions under the provisions referred to in paragraph (1) at the same rates applicable to agencies of the Federal Government.

"(4) REGULATIONS.—The Office of Personnel Management shall issue such regulations as are necessary to carry out this subsection."

(b) The amendment made by subsection (a) shall take effect as if included in the enactment of title XI of the Balanced Budget Act of 1997.]

[SEC. 146. It is the sense of the Congress that the District of Columbia Financial Responsibility and Management Assistance Authority should quickly complete the sale of the Franklin School property, a property which has been vacant for over 20 years.]

SEC. [147] 140. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

[SEC. 148. (a) Chapter 23 of title 11, District of Columbia, is hereby repealed.

(b) The table of chapters for title 11, District of Columbia, is amended by striking the item relating to chapter 23.

(c) The amendments made by this section shall take effect on the date on which legislation enacted by the Council of the District of Columbia to establish the Office of the Chief Medical Examiner in the executive branch of the government of the District of Columbia takes effect.]

PROMPT PAYMENT OF APPOINTED COUNSEL

[SEC. 149. (a) ASSESSMENT OF INTEREST FOR DELAYED PAYMENTS.—If the Superior Court of the District of Columbia or the District of Columbia Court of Appeals does not make a payment described in subsection (b) prior to the expiration of the 45-day period which begins on the date the Court receives a completed voucher for a claim for the payment, interest shall be assessed against the amount of the payment which would otherwise be made to take into account the period which begins on the day after the expiration of such 45-day period and which ends on the day the Court makes the payment.

(b) PAYMENTS DESCRIBED.—A payment described in this subsection is—

(1) a payment authorized under section 11–2604 and section 11–2605, D.C. Code (relating to representation provided under the District of Columbia Criminal Justice Act);

(2) a payment for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code; or

(3) a payment for counsel authorized under section 21–2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986).

(c) STANDARDS FOR SUBMISSION OF COMPLETED VOUCHERS.—The chief judges of the Superior Court of the District of Columbia and the District of Columbia Court of Appeals shall establish standards and criteria for determining whether vouchers submitted for claims for payments described in subsection (b) are complete, and shall publish and make such standards and criteria available to attorneys who practice before such Courts.

(d) RULE OF CONSTRUCTION.—Nothing in this section shall be construed to require the assessment of interest against any claim (or portion of any claim) which is denied by the Court involved.

(e) EFFECTIVE DATE.—This section] SEC. 141. Section 149 of Division A, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106–554 shall apply with respect to claims received by the Superior Court of the District of Columbia or the District of Columbia Court of Appeals [after the expiration of the 90-day period which begins on the date of the enactment of this Act] during fiscal year 2002, and claims received previously that remain unpaid at the end of fiscal year 2001 and would have qualified for interest payment under such section 149.

[SEC. 150. (a) Effective 120 days after the date of the enactment of this Act, it shall be unlawful for any person to distribute any needle or syringe for the hypodermic injection of any illegal drug in any area of the District of Columbia which is within 1,000 feet of a public or private elementary or secondary school (including a public charter school). It is stipulated that based on a survey by the Metropolitan Police Department of the District of Columbia that sites at 4th Street Northeast and Rhode Island Avenue Northeast, Southern Avenue Southeast and Central Avenue Southeast, 1st Street Southeast and M Street Southeast, 21st Street Northeast and H Street Northeast, Minnesota Avenue Northeast and Clay Place Northeast, and 15th Street Southeast and Ives Street Southeast are outside the 1,000-foot perimeter. Sites at North Capitol Street and New York Avenue Northeast, Division Avenue Northeast and Foote Street Northeast, Georgia Avenue Northwest and New Hampshire Avenue Northwest, and 15th Street Northeast and A Street Northeast are found to be within the 1,000-foot perimeter.

(b) The Public Housing Police of the District of Columbia Housing Authority shall prepare a monthly report on activity involving illegal drugs at or near any public housing site where a needle exchange

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program is conducted, and shall submit such reports to the Executive Director of the District of Columbia Housing Authority, who shall submit them to the Committees on Appropriations of the House of Representatives and Senate. The Executive Director shall ascertain any concerns of the residents of any public housing site about any needle exchange program conducted on or near the site, and this information shall be included in these reports. The District of Columbia Government shall take appropriate action to require relocation of any such program if so recommended by the police or by a significant number of residents of such site.]

FEDERAL CONTRIBUTION FOR ENFORCEMENT OF LAW BANNING POSSESSION OF TOBACCO PRODUCTS BY MINORS

SEC. [151] 142. (a) CONTRIBUTION.—There is hereby appropriated a Federal contribution of \$100,000 to the Metropolitan Police Department of the District of Columbia, effective upon the enactment by the District of Columbia of a law which reads as follows:

“SECTION 1. BAN ON POSSESSION OF TOBACCO PRODUCTS BY MINORS.

“(a) IN GENERAL.—It shall be unlawful for any individual under 18 years of age to possess any cigarette or other tobacco product in the District of Columbia.

“(b) EXCEPTIONS.—

“(1) POSSESSION IN COURSE OF EMPLOYMENT.—Subsection (a) shall not apply with respect to an individual making a delivery of cigarettes or tobacco products in pursuance of employment.

“(2) PARTICIPATION IN LAW ENFORCEMENT OPERATION.—Subsection (a) shall not apply with respect to an individual possessing products in the course of a valid, supervised law enforcement operation.

“(c) PENALTIES.—Any individual who violates subsection (a) shall be subject to the following penalties:

“(1) For any violation, the individual may be required to perform community service or attend a tobacco cessation program.

“(2) Upon the first violation, the individual shall be subject to a civil penalty not to exceed \$50.

“(3) Upon the second and each subsequent violation, the individual shall be subject to a civil penalty not to exceed \$100.

“(4) Upon the third and each subsequent violation, the individual may have his or her driving privileges in the District of Columbia suspended for a period of 90 consecutive days.”

(b) USE OF CONTRIBUTION.—The Metropolitan Police Department shall use the contribution made under subsection (a) to enforce the law referred to in such subsection.

SEC. [152] 143. Nothing in this Act bars the District of Columbia Corporation Counsel from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

[SEC. 153. (a) Nothing in the Federal Grant and Cooperative Agreements Act of 1977 (31 U.S.C. 6301 et seq.) may be construed to prohibit the Administrator of the Environmental Protection Agency from negotiating and entering into cooperative agreements and grants authorized by law which affect real property of the Federal Government in the District of Columbia if the principal purpose of the cooperative agreement or grant is to provide comparable benefits for Federal and non-Federal properties in the District of Columbia.

(b) Subsection (a) shall apply with respect to fiscal year 2001 and each succeeding fiscal year.]

[SEC. 154. (a) IN GENERAL.—The District of Columbia Home Rule Act, as amended by section 159(a) of this Act, is further amended by inserting after section 450A the following new section:

“COMPREHENSIVE FINANCIAL MANAGEMENT POLICY

“SEC. 450B. (a) COMPREHENSIVE FINANCIAL MANAGEMENT POLICY.—The District of Columbia shall conduct its financial management in accordance with a comprehensive financial management policy.

“(b) CONTENTS OF POLICY.—The comprehensive financial management policy shall include, but not be limited to, the following:

“(1) A cash management policy.

“(2) A debt management policy.

“(3) A financial asset management policy.

“(4) An emergency reserve management policy in accordance with section 450A(a).

“(5) A contingency reserve management policy in accordance with section 450A(b).

“(6) A policy for determining real property tax exemptions for the District of Columbia.

“(c) ANNUAL REVIEW.—The comprehensive financial management policy shall be reviewed at the end of each fiscal year by the Chief Financial Officer who shall—

“(1) not later than July 1 of each year, submit any proposed changes in the policy to the Mayor and (in the case of a fiscal year which is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995) the District of Columbia Financial Responsibility and Management Assistance Authority (Authority) for review;

“(2) not later than August 1 of each year, after consideration of any comments received under paragraph (1), submit the changes to the Council of the District of Columbia (Council) for approval; and

“(3) not later than September 1 of each year, notify the Committees on Appropriations of the Senate and House of Representatives, the Committee on Government Reform of the House of Representatives, and the Committee on Governmental Affairs of the Senate of any changes enacted by the Council.

“(d) PROCEDURE FOR DEVELOPMENT OF FIRST COMPREHENSIVE FINANCIAL MANAGEMENT POLICY.—

“(1) CHIEF FINANCIAL OFFICER.—Not later than April 1, 2001, the Chief Financial Officer shall submit to the Mayor an initial proposed comprehensive financial management policy for the District of Columbia pursuant to this section.

“(2) COUNCIL.—Following review and comment by the Mayor, not later than May 1, 2001, the Chief Financial Officer shall submit the proposed financial management policy to the Council for its prompt review and adoption.

“(3) AUTHORITY.—Upon adoption of the financial management policy under paragraph (2), the Council shall immediately submit the policy to the Authority for a review of not to exceed 30 days.

“(4) CONGRESS.—Following review of the financial management policy by the Authority under paragraph (3), the Authority shall submit the policy to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Government Reform of the House of Representatives, and the Committee on Governmental Affairs of the Senate for review, and the policy shall take effect 30 days after the date the policy is submitted under this paragraph.”

(b) CLERICAL AMENDMENT.—The table of contents for the District of Columbia Home Rule Act is amended by inserting after the item relating to section 450A the following new item:

“Sec. 450B. Comprehensive financial management policy.”

(c) EFFECTIVE DATE.—This section and the amendments made by this section shall take effect on October 1, 2000.]

[APPOINTMENT AND DUTIES OF CHIEF FINANCIAL OFFICER]

[SEC. 155. (a) APPOINTMENT AND DISMISSAL.—Section 424(b) of the District of Columbia Home Rule Act (sec. 47–317.2, D.C. Code) is amended—

(1) in paragraph (1)(B), by adding at the end the following: “Upon confirmation by the Council, the name of the Chief Financial Officer shall be submitted to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives for a 30-day period of review and comment before the appointment takes effect.”; and

(2) in paragraph (2)(B), by striking the period at the end and inserting the following: “upon dismissal by the Mayor and approval of that dismissal by a ⅔ vote of the Council. Upon approval of the dismissal by the Council, notice of the dismissal shall be submitted to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives for a 30-day period of review and comment before the dismissal takes effect.”

(b) FUNCTIONS.—

(1) IN GENERAL.—Section 424(c) of such Act (sec. 47–317.3, D.C. Code) is amended—

(A) in the heading, by striking “DURING A CONTROL YEAR”;

(B) in the matter preceding paragraph (1), by striking “During a control year, the Chief Financial Officer” and inserting “The Chief Financial Officer”;

(C) in paragraph (1), by striking “Preparing” and inserting “During a control year, preparing”;

(D) in paragraph (3), by striking “Assuring” and inserting “During a control year, assuring”;

(E) in paragraph (5), by striking “With the approval” and all that follows through “the Council—” and inserting “Preparing and submitting to the Mayor and the Council, with the approval of the Authority during a control year—”;

(F) in paragraph (11), by striking “or the Authority” and inserting “(or by the Authority during a control year)”; and

(G) by adding at the end the following new paragraphs:

“(18) Exercising responsibility for the administration and supervision of the District of Columbia Treasurer (except that the Chief Financial Officer may delegate any portion of such responsibility as the Chief Financial Officer considers appropriate and consistent with efficiency).

“(19) Administering all borrowing programs of the District government for the issuance of long-term and short-term indebtedness.

“(20) Administering the cash management program of the District government, including the investment of surplus funds in governmental and non-governmental interest-bearing securities and accounts.

“(21) Administering the centralized District government payroll and retirement systems.

“(22) Governing the accounting policies and systems applicable to the District government.

“(23) Preparing appropriate annual, quarterly, and monthly financial reports of the accounting and financial operations of the District government.

“(24) Not later than 120 days after the end of each fiscal year, preparing the complete financial statement and report on the activities of the District government for such fiscal year, for the use of the Mayor under section 448(a)(4).”

(2) CONFORMING AMENDMENTS.—Section 424 of such Act (sec. 47–317.1 et seq., D.C. Code) is amended—

(A) by striking subsection (d);

(B) in subsection (e)(2), by striking “or subsection (d)”; and

(C) by redesignating subsections (e) and (f) as subsections (d) and (e), respectively.】

SEC. 【156】 144. (a) Notwithstanding the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Law 2–139; D.C. Code 1–601.1 et seq.), or any other District of Columbia law, statute, regulation, the provisions of the District of Columbia Personnel Manual, or the provisions of any collective bargaining agreement, employees of the District of Columbia government will only receive compensation for overtime work in excess of 40 hours per week (or other applicable tour of duty) of work actually performed, in accordance with the provisions of the Fair Labor Standards Act, 29 U.S.C. § 201 et seq.

(b) Subsection (a) of this section shall be effective December 27, 1996. The Resolution and Order of the District of Columbia Financial Responsibility and Management Assistance Authority, dated December 27, 1996, is hereby ratified and approved and shall be given full force and effect.

【SEC. 157. (a) IN GENERAL.—Notwithstanding section 503 of Public Law 100–71 and as provided in subsection (b), the Court Services and Offender Supervision Agency for the District of Columbia (in this section referred to as the “agency”) may implement and administer the Drug Free Workplace Program of the agency, dated July 28, 2000, for employment applicants of the agency.

(b) EFFECTIVE PERIOD.—The waiver provided by subsection (a) shall—

(1) take effect on enactment; and

(2) terminate on the date the Department of Health and Human Services approves the drug program of the agency pursuant to section 503 of Public Law 100–71 or 12 months after the date referred to in paragraph (1), whichever is later.】

【SEC. 158. Commencing October 1, 2000, the Mayor of the District of Columbia shall submit to the Senate and House Committees on Appropriations, the Senate Governmental Affairs Committee, and the House Government Reform Committee quarterly reports addressing the following issues: (1) crime, including the homicide rate, implementation of community policing, the number of police officers on local beats, and the closing down of open-air drug markets; (2) access to drug abuse treatment, including the number of treatment slots, the number of people served, the number of people on waiting lists, and the effectiveness of treatment programs; (3) management of parolees and pre-trial violent offenders, including the number of halfway house escapes and steps taken to improve monitoring and supervision of halfway house residents to reduce the number of escapes to be provided in consultation with the Court Services and Offender Supervision Agency; (4) education, including access to special education

services and student achievement to be provided in consultation with the District of Columbia Public Schools; (5) improvement in basic District services, including rat control and abatement; (6) application for and management of Federal grants, including the number and type of grants for which the District was eligible but failed to apply and the number and type of grants awarded to the District but which the District failed to spend the amounts received; and (7) indicators of child well-being.】

【RESERVE FUNDS】

【SEC. 159. (a) ESTABLISHMENT OF RESERVE FUNDS.—

(1) IN GENERAL.—The District of Columbia Home Rule Act is amended by inserting after section 450 the following new section:

“RESERVE FUNDS

“SEC. 450A. (a) EMERGENCY RESERVE FUND.—

“(1) IN GENERAL.—There is established an emergency cash reserve fund (in this subsection referred to as the ‘emergency reserve fund’) as an interest-bearing account (separate from other accounts in the General Fund) into which the Mayor shall deposit in cash not later than February 15 of each fiscal year (or not later than October 1, 2000, in the case of fiscal year 2001) such amount as may be required to maintain a balance in the fund of at least 4 percent of the total budget appropriated for operating expenditures for such fiscal year which is derived from local funds (or, in the case of fiscal years prior to fiscal year 2004, such amount as may be required to maintain a balance in the fund of at least the minimum emergency reserve balance for such fiscal year, as determined under paragraph (2)).

“(2) DETERMINATION OF MINIMUM EMERGENCY RESERVE BALANCE.—

“(A) IN GENERAL.—The ‘minimum emergency reserve balance’ with respect to a fiscal year is the amount equal to the applicable percentage of the total budget appropriated for operating expenditures for such fiscal year which is derived from local funds.

“(B) APPLICABLE PERCENTAGE DEFINED.—In subparagraph (A), the ‘applicable percentage’ with respect to a fiscal year means the following:

“(i) For fiscal year 2001, 1 percent.

“(ii) For fiscal year 2002, 2 percent.

“(iii) For fiscal year 2003, 3 percent.

“(3) INTEREST.—Interest earned on the emergency reserve fund shall remain in the account and shall only be withdrawn in accordance with paragraph (4).

“(4) CRITERIA FOR USE OF AMOUNTS IN EMERGENCY RESERVE FUND.—The Chief Financial Officer, in consultation with the Mayor, shall develop a policy to govern the emergency reserve fund which shall include (but which may not be limited to) the following requirements:

“(A) The emergency reserve fund may be used to provide for unanticipated and nonrecurring extraordinary needs of an emergency nature, including a natural disaster or calamity as defined by section 102 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 100–707) or unexpected obligations by Federal law.

“(B) The emergency reserve fund may also be used in the event of a State of Emergency as declared by the Mayor pursuant to section 5 of the District of Columbia Public Emergency Act of 1980 (sec. 6–1504, D.C. Code).

“(C) The emergency reserve fund may not be used to fund—

“(i) any department, agency, or office of the Government of the District of Columbia which is administered by a receiver or other official appointed by a court;

“(ii) shortfalls in any projected reductions which are included in the budget proposed by the District of Columbia for the fiscal year; or

“(iii) settlements and judgments made by or against the Government of the District of Columbia.

“(5) ALLOCATION OF EMERGENCY CASH RESERVE FUNDS.—Funds may be allocated from the emergency reserve fund only after—

“(A) an analysis has been prepared by the Chief Financial Officer of the availability of other sources of funding to carry out the purposes of the allocation and the impact of such allocation on the balance and integrity of the emergency reserve fund; and

“(B) with respect to fiscal years beginning with fiscal year 2005, the contingency reserve fund established by subsection (b) has been projected by the Chief Financial Officer to be exhausted at the time of the allocation.

GENERAL PROVISIONS—Continued

“(6) NOTICE.—The Mayor, the Council, and (in the case of a fiscal year which is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995) the District of Columbia Financial Responsibility and Management Assistance Authority shall notify the Committees on Appropriations of the Senate and House of Representatives in writing not more than 30 days after the expenditure of funds from the emergency reserve fund.

“(7) REPLENISHMENT.—The District of Columbia shall appropriate sufficient funds each fiscal year in the budget process to replenish any amounts allocated from the emergency reserve fund during the preceding fiscal year by the following fiscal year. Once the emergency reserve equals 4 percent of total budget appropriated from local funds for operating expenditures for the fiscal year, the District of Columbia shall appropriate sufficient funds each fiscal year in the budget process to replenish any amounts allocated from the emergency reserve fund during the preceding year to maintain a balance of at least 4 percent of total funds appropriated from local funds for operating expenditures by the following fiscal year.

“(b) CONTINGENCY RESERVE FUND.—

“(1) IN GENERAL.—There is established a contingency cash reserve fund (in this subsection referred to as the ‘contingency reserve fund’) as an interest-bearing account (separate from other accounts in the General Fund) into which the Mayor shall deposit in cash not later than October 1 of each fiscal year (beginning with fiscal year 2005) such amount as may be required to maintain a balance in the fund of at least 3 percent of the total budget appropriated for operating expenditures for such fiscal year which is derived from local funds (or, in the case of fiscal years prior to fiscal year 2007, such amount as may be required to maintain a balance in the fund of at least the minimum contingency reserve balance for such fiscal year, as determined under paragraph (2)).

“(2) DETERMINATION OF MINIMUM CONTINGENCY RESERVE BALANCE.—

“(A) IN GENERAL.—The ‘minimum contingency reserve balance’ with respect to a fiscal year is the amount equal to the applicable percentage of the total budget appropriated from local funds for operating expenditures for such fiscal year which is derived from local funds.

“(B) APPLICABLE PERCENTAGE DEFINED.—In subparagraph (A), the ‘applicable percentage’ with respect to a fiscal year means the following:

“(i) For fiscal year 2005, 1 percent.

“(ii) For fiscal year 2006, 2 percent.

“(3) INTEREST.—Interest earned on the contingency reserve fund shall remain in the account and may only be withdrawn in accordance with paragraph (4).

“(4) CRITERIA FOR USE OF AMOUNTS IN CONTINGENCY RESERVE FUND.—The Chief Financial Officer, in consultation with the Mayor, shall develop a policy governing the use of the contingency reserve fund which shall include (but which may not be limited to) the following requirements:

“(A) The contingency reserve fund may only be used to provide for nonrecurring or unforeseen needs that arise during the fiscal year, including expenses associated with unforeseen weather or other natural disasters, unexpected obligations created by Federal law or new public safety or health needs or requirements that have been identified after the budget process has occurred, or opportunities to achieve cost savings.

“(B) The contingency reserve fund may be used, if needed, to cover revenue shortfalls experienced by the District government for 3 consecutive months (based on a 2 month rolling average) that are 5 percent or more below the budget forecast.

“(C) The contingency reserve fund may not be used to fund any shortfalls in any projected reductions which are included in the budget proposed by the District of Columbia for the fiscal year.

“(5) ALLOCATION OF CONTINGENCY CASH RESERVE.—Funds may be allocated from the contingency reserve fund only after an analysis has been prepared by the Chief Financial Officer of the availability of other sources of funding to carry out the purposes of the allocation and the impact of such allocation on the balance and integrity of the contingency reserve fund.

“(6) REPLENISHMENT.—The District of Columbia shall appropriate sufficient funds each fiscal year in the budget process to replenish

any amounts allocated from the contingency reserve fund during the preceding fiscal year by the following fiscal year. Once the contingency reserve equals 3 percent of total funds appropriated from local funds for operating expenditures, the District of Columbia shall appropriate sufficient funds each fiscal year in the budget process to replenish any amounts allocated from the contingency reserve fund during the preceding year to maintain a balance of at least 3 percent of total funds appropriated from local funds for operating expenditures by the following fiscal year.

“(c) QUARTERLY REPORTS.—The Chief Financial Officer shall submit a quarterly report to the Mayor, the Council, the District of Columbia Financial Responsibility and Management Assistance Authority (in the case of a fiscal year which is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995), and the Committees on Appropriations of the Senate and House of Representatives that includes a monthly statement on the balance and activities of the contingency and emergency reserve funds.”.

(2) CLERICAL AMENDMENT.—The table of contents for the District of Columbia Home Rule Act is amended by inserting after the item relating to section 450 the following new item:

“Sec. 450A. Reserve funds.”.

(b) CONFORMING AMENDMENTS.—

(1) CURRENT RESERVE FUND.—Section 202(j) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (sec. 47–392.2(j), D.C. Code) is amended—

(A) in paragraph (1), by striking “Beginning with fiscal year 2000, the plan or budget submitted pursuant to this Act” and inserting “For each of the fiscal years 2000 through 2004, the budget of the District government for the fiscal year”; and

(B) by adding at the end the following new paragraph:

“(4) REPLENISHMENT.—Any amount of the reserve funds which is expended in one fiscal year shall be replenished in the reserve funds from the following fiscal year appropriations to maintain the \$150,000,000 balance.”.

(2) POSITIVE FUND BALANCE.—Section 202(k) of such Act (sec. 47–392.2(k), D.C. Code) is repealed.

(c) EFFECTIVE DATE.—This section and the amendments made by this section shall take effect on October 1, 2000.】

【TREATMENT OF REVENUE BONDS SECURED BY TOBACCO SETTLEMENT PAYMENTS】

【SEC. 160. (a) PERMITTING COUNCIL TO DELEGATE AUTHORITY TO ISSUE BONDS.—

(1) IN GENERAL.—Section 490 of the District of Columbia Home Rule Act (sec. 47–334, D.C. Code) is amended—

(A) by redesignating subsections (i) through (m) as subsections (j) through (n), respectively; and

(B) by inserting after subsection (h) the following new subsection:

“(i)(1) The Council may delegate to the District of Columbia Tobacco Settlement Financing Corporation (hereafter in this subsection referred to as the “Corporation”) established pursuant to the Tobacco Settlement Financing Act of 2000 the authority of the Council under subsection (a) to issue revenue bonds, notes, and other obligations which are used to borrow money to finance or assist in the financing or refinancing of capital projects and other undertakings of the District of Columbia and which are payable solely from and secured by payments under the Master Tobacco Settlement Agreement. The Corporation may exercise authority delegated to it by the Council as described in the first sentence of this paragraph (whether such delegation is made before or after the date of the enactment of this subsection) only in accordance with this subsection and the provisions of the Tobacco Settlement Financing Act of 2000.

“(2) Revenue bonds, notes, and other obligations issued by the Corporation under a delegation of authority described in paragraph (1) shall be issued by resolution of the Corporation, and any such resolution shall not be considered to be an act of the Council.

“(3) The fourth sentence of section 446 shall not apply to—

“(A) any amount (including the amount of any accrued interest or premium) obligated or expended from the proceeds of the sale of any revenue bond, note, or other obligation issued pursuant to this subsection;

“(B) any amount obligated or expended for the payment of the principal of, interest on, or any premium for any revenue bond, note, or other obligation issued pursuant to this subsection;

“(C) any amount obligated or expended to secure any revenue bond, note, or other obligation issued pursuant to this subsection; or

“(D) any amount obligated or expended for repair, maintenance, and capital improvements to facilities financed pursuant to this subsection.

“(4) In this subsection, the term ‘Master Tobacco Settlement Agreement’ means the settlement agreement (and related documents), as may be amended from time to time, entered into on November 23, 1998, by the District of Columbia and leading United States tobacco product manufacturers.”

(2) CONFORMING AMENDMENT.—The fourth sentence of section 446 of such Act (sec. 47–304, D.C. Code) is amended by striking “and (h)(3)” and inserting “(h)(3), and (i)(3)”.

(b) WAIVER OF CONGRESSIONAL REVIEW PERIOD FOR TOBACCO SETTLEMENT FINANCING ACT.—Notwithstanding section 602(c)(1) of the District of Columbia Home Rule Act (sec. 1–233(c)(1), D.C. Code), the Tobacco Settlement Financing Act of 2000 (title XXXVII of D.C. Act 13–375, as amended by section 8(e) of D.C. Act 13–387) shall take effect on the date of the enactment of such Act or the date of the enactment of this Act, whichever is later.】

【SEC. 161. Section 603(e) of the Student Loan Marketing Association Reorganization Act of 1996 (Public Law 104–208; 110 Stat. 3009–293), as amended by section 153 of the District of Columbia Appropriations Act, 2000, is amended—

(1) by amending the second sentence of paragraph (2)(B) to read as follows: “Of such amounts and proceeds, \$5,000,000 shall be set aside for a credit enhancement fund for public charter schools in the District of Columbia, to be administered and disbursed in accordance with paragraph (3).”; and

(2) by adding at the end the following new paragraph:

“(3) CREDIT ENHANCEMENT FUND FOR PUBLIC CHARTER SCHOOLS.—

“(A) DISTRIBUTION OF AMOUNTS.—Of the amounts in the credit enhancement fund established under paragraph (2)(B)—

“(i) 50 percent shall be used to make grants under subparagraph (B); and

“(ii) 50 percent shall be used to make grants under subparagraph (C).

“(B) GRANTS TO ELIGIBLE NONPROFIT CORPORATIONS.—

“(i) IN GENERAL.—Using the amounts described in subparagraph (A)(i), not later than 1 year after the date of the enactment of the District of Columbia Appropriations Act, 2001, the Mayor of the District of Columbia shall make and disburse grants to eligible nonprofit corporations to carry out the purposes described in subparagraph (E).

“(ii) ADMINISTRATION.—The Mayor shall administer the program of grants under this subparagraph, except that if the committee described in subparagraph (C)(iii) is in operation and is fully functional prior to the date the Mayor makes the grants, the Mayor may delegate the administration of the program to the committee.

“(C) OTHER GRANTS.—

“(i) IN GENERAL.—Using the amounts described in subparagraph (A)(ii), the Mayor of the District of Columbia shall make grants to entities to carry out the purposes described in subparagraph (E).

“(ii) PARTICIPATION OF SCHOOLS.—A public charter school in the District of Columbia may receive a grant under this subparagraph to carry out the purposes described in subparagraph (E) in the same manner as other entities receiving grants to carry out such activities.

“(iii) ADMINISTRATION THROUGH COMMITTEE.—The Mayor shall carry out this subparagraph through the committee appointed by the Mayor under the second sentence of paragraph (2)(B) (as in effect prior to the enactment of the District of Columbia Appropriations Act, 2001). The committee may enter into an agreement with a third party to carry out its responsibilities under this subparagraph.

“(iv) CAP ON ADMINISTRATIVE COSTS.—Not more than 10 percent of the funds available for grants under this subparagraph may be used to cover the administrative costs of making grants under this subparagraph.

“(D) SPECIAL RULE REGARDING ELIGIBILITY OF NONPROFIT CORPORATIONS.—In order to be eligible to receive a grant under this paragraph, a nonprofit corporation must provide appropriate certification to the Mayor or to the committee described in subparagraph (C)(iii) (as the case may be) that it is duly authorized by two or more public charter schools in the District of Columbia

to act on their behalf in obtaining financing (or in assisting them in obtaining financing) to cover the costs of activities described in subparagraph (E)(i).

“(E) PURPOSES OF GRANTS.—

“(i) IN GENERAL.—The recipient of a grant under this paragraph shall use the funds provided under the grant to carry out activities to assist public charter schools in the District of Columbia in—

“(I) obtaining financing to acquire interests in real property (including by purchase, lease, or donation), including financing to cover planning, development, and other incidental costs;

“(II) obtaining financing for construction of facilities or the renovation, repair, or alteration of existing property or facilities (including the purchase or replacement of fixtures and equipment), including financing to cover planning, development, and other incidental costs; and

“(III) enhancing the availability of loans (including mortgages) and bonds.

“(ii) NO DIRECT FUNDING FOR SCHOOLS.—Funds provided under a grant under this subparagraph may not be used by a recipient to make direct loans or grants to public charter schools.”.】

SEC. 【162】 145. (a) EXCLUSIVE AUTHORITY OF MAYOR.—Notwithstanding section 451 of the District of Columbia Home Rule Act or any other provision of District of Columbia or Federal law to the contrary, the Mayor of the District of Columbia shall have the exclusive authority to approve and execute leases of the Washington Marina and the Washington municipal fish wharf with the existing lessees thereof for an initial term of 30 years, together with such other terms and conditions (including renewal options) as the Mayor deems appropriate.

(b) DEFINITIONS.—In this section—

(1) the term “Washington Marina” means the portions of Federal property in the Southwest quadrant of the District of Columbia within Lot 848 in Square 473, the unassessed Federal real property adjacent to Lot 848 in Square 473, and riparian rights appurtenant thereto; and

(2) the term “Washington municipal fish wharf” means the water frontage on the Potomac River lying south of Water Street between 11th and 12th Streets, including the buildings and wharves thereon.

【SEC. 163. Section 11201(g)(4)(A) of the National Capital Revitalization and Self-Government Improvement Act of 1997 (D.C. Code, sec. 24–1201(g)(4)(A)) is amended—

(1) by redesignating clauses (vi) through (ix) as clauses (vii) through (x), respectively; and

(2) by inserting after clause (v) the following:

“(vi) immediately upon completing the remediation required under clause (ii) (but in no event later than June 1, 2003), transfer any property located south of Silverbrooke Road which is identified for use for educational purposes in the Fairfax County reuse plan to the County, without consideration, subject to the condition that the County use the property only for educational purposes.”.】

【SEC. 164. (a) Section 208(a) of the District of Columbia Procurement Practices Act of 1985 (sec. 1–1182.8(a), D.C. Code) is amended—

(1) in paragraph (4)(A), by striking “the same auditor” and inserting “the same auditor, except as may be provided in paragraph (5); and

(2) by adding at the end the following new paragraph:

“(5) Notwithstanding paragraph (4)(A), an auditor who is a subcontractor to the auditor who audited the financial statement and report described in paragraph (3)(H) for a fiscal year may audit the financial statement and report for any succeeding fiscal year (as either the prime auditor or as a subcontractor to another auditor) if—

“(A) such subcontractor is not a signatory to the statement and report for the previous fiscal year;

“(B) the prime auditor reviewed and approved the work of the subcontractor on the statement and report for the previous fiscal year; and

“(C) the subcontractor is not an employee of the prime contractor or of an entity owned, managed, or controlled by the prime contractor.”.

(b) The amendment made by subsection (a) shall apply with respect to financial statements and reports for activities of the District of Columbia Government for fiscal years beginning with fiscal year 2001.】

GENERAL PROVISIONS—Continued

[SEC. 165. Section 11201(g) of the National Capital Revitalization and Self-Government Improvement Act of 1997 (D.C. Code, sec. 24–1201(g)) is amended by adding at the end the following new paragraph:

“(6) MEADOWOOD FARM LAND EXCHANGE.—

“(A) IN GENERAL.—If, not later than January 15, 2001, Fairfax County, Virginia, agrees to convey fee simple title to the property on Mason Neck in excess of 800 acres depicted on the map dated June 2000, on file in the Office of the Director of the Bureau of Land Management, Eastern States (hereafter in this paragraph referred to as ‘Meadowood Farm’) to the Secretary of the Interior, then the Administrator of General Services shall agree to convey to Fairfax County, Virginia, fee simple title to the property located at the Lorton Correctional Complex north of Silverbrook Road, and consisting of more than 200 acres identified in the Fairfax County Reuse Plan, dated July 26, 1999, as land available for residential development in Land Units 1 and 2 (hereafter in this paragraph referred to as the ‘Laurel Hill Residential Land’), the actual exchange to occur no later than December 31, 2001.

“(B) TERMS AND CONDITIONS.—(i) When Fairfax County transfers fee simple title to Meadowood Farm to the Secretary of the Interior, the Administrator of General Services shall simultaneously transfer to the County the Laurel Hill Residential Land.

“(ii) The transfer of property to Fairfax County, Virginia, under clause (i) shall be subject to such terms and conditions that the Administrator of General Services considers to be appropriate to protect the interests of the United States.

“(iii) Any proceeds derived from the sale of the Laurel Hill Residential Land by Fairfax County that exceed the County’s cost of acquiring, financing (which shall be deemed a County cost from the time of financing of the Meadowood Farm acquisition to the receipt of proceeds of the sale or sales of the Laurel Hill Residential Land until such time as the proceeds of such sale or sales exceed the acquisition and financing costs of Meadowood Farm to the County), preparing, and conveying Meadowood Farm and costs incurred for improving, preparing, and conveying the Laurel Hill Residential Land shall be remitted to the United States and deposited into the special fund established pursuant to paragraph (4)(A)(viii).

“(C) MANAGEMENT OF PROPERTY.—The property transferred to the Secretary of the Interior under this section shall be managed by the Bureau of Land Management for public use and recreation purposes.”.]

[SEC. 166. Section 158(b) of the District of Columbia Appropriations Act, 2000 (Public Law 106–113; 113 Stat. 1527) is amended to read as follows:

“(b) SOURCE OF FUNDS; TRANSFER.—An amount not to exceed \$5,000,000 from the National Highway System funds apportioned to the District of Columbia under section 104 of title 23, United States Code, may be used for purposes of carrying out the project under subsection (a).”.]

[SEC. 167. The explanatory language contained in the Joint Explanatory Statement of the Committee of Conference for District of Columbia Appropriations contained in the Conference Report to accompany H.R. 4942 of the 106th Congress shall be considered to constitute a joint explanatory statement of a committee of conference for the provisions in this Act. References in this joint statement to the conference agreement mean the provisions in this Act, references to the House bill mean the House passed version of H.R. 4942, and references to the Senate bill mean the Senate passed amendment to H.R. 4942.] (*District of Columbia Appropriations Act, 2001.*)

[GENERAL PROVISIONS—THIS CHAPTER]

[SEC. 401. (a) Section 106(b) of the District of Columbia Public Works Act of 1954 (sec. 43–1552(b), DC Code), as amended by section 133 of the District of Columbia Appropriations Act, 1990, is amended—

(1) in the third sentence of paragraph (1), by striking “United States Treasury and” and all that follows through “by the”; and

(2) by adding at the end the following new paragraph:

“(5) Not later than the 15th day of the month following each quarter (beginning with the first quarter of fiscal year 2001), the inspector

general of each Federal department, establishment, or agency receiving water services from the District of Columbia shall submit a report to the Committees on Appropriations of the House of Representatives and Senate analyzing the promptness of payment with respect to the services furnished to such department, establishment, or agency.”.

(b) Section 212(b) of the District of Columbia Public Works Act of 1954 (sec. 43–1612(b), DC Code), as amended by section 133 of the District of Columbia Appropriations Act, 1990, is amended—

(1) in the third sentence of paragraph (1), by striking “United States Treasury and” and all that follows through “by the”; and

(2) by adding at the end the following new paragraph:

“(5) Not later than the 15th day of the month following each quarter (beginning with the first quarter of fiscal year 2001), the inspector general of each Federal department, establishment, or agency receiving sanitary sewer services from the District of Columbia shall submit a report to the Committees on Appropriations of the House of Representatives and Senate analyzing the promptness of payment with respect to the services furnished to such department, establishment, or agency.”.

(c) The amendments made by this section shall take effect as if included in the enactment of section 133 of the District of Columbia Appropriations Act, 1990.]

[SEC. 402. (a) The Act entitled “An Act donating certain Lots in the City of Washington for Schools for Colored Children in the District of Columbia”, approved July 28, 1866 (14 Stat. 343), is amended by striking the second sentence.

(b) Section 319 of the Revised Statutes of the United States relating to the District of Columbia and Post Roads (sec. 31–206, D.C. Code) is repealed.]

[SEC. 403. RESTRICTIONS ON USE OF ANNUAL UNOBLIGATED BALANCE IN D.C. CRIME VICTIMS COMPENSATION FUND. (a) IN GENERAL.—Section 16(d) of the Victims of Violent Crime Compensation Act of 1996 (sec. 3–435(d), D.C. Code), as added by section 160(d) of the District of Columbia Appropriations Act, 2000, is amended to read as follows:

“(d) Any unobligated balance existing in the Fund in excess of \$250,000 as of the end of each fiscal year (beginning with fiscal year 2000) may be used only in accordance with a plan developed by the District of Columbia and approved by the Committees on Appropriations of the Senate and House of Representatives, the Committee on Government Reform of the House of Representatives, and the Committee on Governmental Affairs of the Senate, and not less than 80 percent of such balance shall be used for direct compensation payments to crime victims through the Fund under this section and in accordance with this Act.”.

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall take effect September 30, 2000.]

[SEC. 404. (a) Notwithstanding any provision of the District of Columbia Appropriations Act, 2001, the District of Columbia may fund the programs identified under the heading “Reserve” in H.R. 4942, One Hundred Sixth Congress, as introduced, subject to the conditions described under such heading and upon certification by the District of Columbia Financial Responsibility and Management Assistance Authority to the Committees on Appropriations of the Senate and House of Representatives that the Chief Financial Officer of the District of Columbia, the Mayor of the District of Columbia, and the Council of the District of Columbia have identified and implemented such spending reductions as may be necessary to ensure that the District of Columbia will not have a budget deficit for fiscal year 2001.

(b)(1) Notwithstanding any provision of the District of Columbia Appropriations Act, 2001, the use by the District of the funds described in paragraph (2) for Pay-As-You-Go Capital Funds shall be optional.

(2) The funds described in this paragraph are funds set aside for the reserve established by section 202(j) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (as amended by section 148 of the District of Columbia Appropriations Act, 2000) which are not used for purposes of any reserve funds established under the District of Columbia Appropriations Act, 2001, or any amendments made by such Act.

(c)(1) The Mayor of the District of Columbia shall deposit the annual interest savings resulting from debt reductions using the proceeds of the tobacco securitization program into the emergency reserve fund established under section 450A of the District of Columbia Home Rule Act (as added by section 159 of the District of Columbia Appropriations Act, 2001).

(2) This subsection shall apply with respect to fiscal year 2001 and each succeeding fiscal year until the requirements of section 450A of the District of Columbia Home Rule Act have been met.]

SEC. [405] 146. Notwithstanding any provision of the District of Columbia Appropriations Act, [2001] 2002, quarterly disbursements shall be calculated and paid to District of Columbia public charter schools during fiscal year [2001] 2002 in accordance with section 107a(b) of the Uniform Per Student Funding Formula for Public Schools and Public Charter Schools and Tax Conformity Clarification Amendment Act of 1998 (sec. 31–2906.1(b), DC Code), as amended by the Enrollment Integrity Act.

[SEC. 406. (a) The provisions of H.R. 5547 (as enacted into law by H.R. 4942 of the 106th Congress) are repealed and shall be deemed for all purposes (including section 1(b) of H.R. 4942) to have never been enacted.

(b) The repeal made by this section shall take effect as if included in H.R. 4942 of the 106th Congress on the date of its enactment.] *(Division A, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106–554.)*

EQUAL EMPLOYMENT OPPORTUNITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621–634), the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; and not to exceed \$30,000,000 for payments to State and local enforcement agencies for services to the Commission pursuant to title VII of the Civil Rights Act of 1964, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, [\$303,864,000] \$310,405,624: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,500 from available funds. *(Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106–553.)*

Program and Financing (in millions of dollars)

Identification code 45–0100–0–1–751	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Executive direction and program support	39	44	47
00.02 Enforcement	214	229	233
00.03 State and local grants	28	30	30
10.00 Total new obligations	281	303	310
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	281	303	310
23.95 Total new obligations	–281	–303	–310
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	282	304	310
40.76 Reduction pursuant to P.L. 106–113	–1		
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)		–1	
43.00 Appropriation (total discretionary)	281	303	310
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	58	48	45
72.99 Obligated balance, start of year	58	48	45
73.10 Total new obligations	281	303	310
73.20 Total outlays (gross)	–290	–306	–315
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	48	45	40
74.99 Obligated balance, end of year	48	45	40
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	244	264	270

86.93	Outlays from discretionary balances	46	42	45
87.00	Total outlays (gross)	290	306	315
Net budget authority and outlays:				
89.00	Budget authority	281	303	310
90.00	Outlays	290	306	315

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or handicap status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

WORKFLOW ANALYSIS

Title VII:	2000 actual	2001 est.	2002 est.
Only:			
Charges filed	49,394	49,475	49,475
Charges resolved	56,975	53,754	54,411
With concurrents:			
Charges filed	59,588	59,686	59,686
Charges resolved	69,042	65,139	65,935
Age Discrimination in Employment Act:			
Only:			
Charges filed	8,417	8,431	8,431
Charges resolved	8,888	8,386	8,488
With concurrents:			
Charges filed	16,008	16,034	16,034
Charges resolved	17,917	16,904	17,111
Equal Pay Act:			
Only:			
Charges filed	55	55	55
Charges resolved	112	106	107
With concurrents:			
Charges filed	1,270	1,272	1,272
Charges resolved	1,508	1,423	1,440
Americans with Disabilities Act:			
Only:			
Charges filed	10,193	10,210	10,210
Charges resolved	13,289	12,538	12,691
With concurrents:			
Charges filed	15,864	15,890	15,890
Charges resolved	20,400	19,247	19,482
Total:			
Charges filed	79,896	80,027	80,027
Charges resolved	93,672	88,377	89,456

Totals for all charges do not equal the sum of all statutes because many charge filings allege issues/bases under more than one statute.

The EEOC's budget supports three activities:

Executive direction and support.—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency. EEOC will continue to enhance support to front-line staff to improve the efficiency and effectiveness of service to the public during 2002.

Enforcement.—This activity resolves charges of employment discrimination filed with the Commission and pursues litigation to enforce compliance with Title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991. In 2002, EEOC will continue its commitment to reduce charge inventories through a Comprehensive Enforcement Program that ensures collaboration between investigatory and legal staff in all phases of the Commission's work, including outreach, intake, and investigation, to expedite charge resolution; and, when cases are not settled through mediation, to ensure that these, and other older and more complex cases, are addressed in a fair and efficient manner. The increase for 2002 will enable EEOC to maintain its core enforcement programs

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

in the private and federal sectors, continuing progress in delivering fair and efficient service to the public.

State and local grants.—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints. For 2002, the agency will continue working with State and Local Fair Employment Practices Agencies and Tribal Employment Rights Organizations to improve employment discrimination charge processing and other approaches for addressing workplace discrimination.

Object Classification (in millions of dollars)

Identification code 45-0100-0-1-751	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	147	154	162
11.3 Other than full-time permanent	3	4	4
11.5 Other personnel compensation	14	14	14
11.9 Total personnel compensation	164	172	180
12.1 Civilian personnel benefits	36	36	39
21.0 Travel and transportation of persons	4	3	3
23.1 Rental payments to GSA	23	25	25
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services	17	28	24
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	28	30	30
99.9 Total new obligations	281	303	310

Personnel Summary

Identification code 45-0100-0-1-751	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	2,852	3,055	3,055

Public enterprise funds:**EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING
REVOLVING FUND****Program and Financing** (in millions of dollars)

Identification code 45-4019-0-4-751	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	5	5	5
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3	3	3
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3	3	3

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-3	-3	-3

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

**EXPORT-IMPORT BANK OF THE UNITED
STATES****Federal Funds****Credit accounts:****EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT**

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act that has detonated a nuclear explosive after the date of the enactment of this Act.

SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, [\$865,000,000] \$633,323,000 to remain available until September 30, [2004], 2005: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such sums shall remain available until September 30, [2019] 2020 for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years [2001,] 2002, 2003, [and] 2004, and 2005: *Provided further*, That none of the funds appropriated by this Act or any prior Act appropriating funds for foreign operations, export financing, or related programs for tied-aid credits or grants may be used for any other purpose except through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State or any agency or national thereof.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, [\$62,000,000] \$65,000,000: *Provided*, That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, [2001] 2002. (*Foreign Operations, Export Financing, and*

Related Programs Appropriation Act, 2001, as enacted by section 101(a) of P.L. 106-429.)

General Fund Credit Receipt Accounts (in millions of dollars)

Identification code 83-0100-0-1-155	2000 actual	2001 est.	2002 est.
0101 Export-Import Bank loans, negative subsidies	12	15	11
0102 Export-Import Bank loans, downward reestimates of subsidies	2,236	2,894

Program and Financing (in millions of dollars)

Identification code 83-0100-0-1-155	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct loan subsidy and grants	12	29	37
00.02 Guaranteed loan subsidy	890	965	696
00.03 Guaranteed loan modifications	35	19	19
00.04 Direct loan modifications	1	1	1
00.05 Reestimate of direct loan subsidy	505	511
00.06 Interest on reestimates of direct loan subsidy	328	251
00.07 Reestimates of loan guarantee subsidy	682	98
00.08 Interest on reestimates of loan guarantee subsidy	148	59
00.09 Administrative expenses	55	62	65
10.00 Total new obligations	2,656	1,995	818

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	480	358	297
22.00 New budget authority (gross)	2,474	1,844	698
22.10 Resources available from recoveries of prior year obligations	67	90	90
22.21 Unobligated balance transferred to other accounts	-7
23.90 Total budgetary resources available for obligation	3,014	2,292	1,085
23.95 Total new obligations	-2,656	-1,995	-818
24.40 Unobligated balance carried forward, end of year	358	297	267

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation	759	865	633
40.00 Appropriation	55	62	65
40.76 Reduction pursuant to P.L. 106-113	-3
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2
43.00 Appropriation (total discretionary)	811	925	698
Mandatory:			
60.05 Appropriation (indefinite)	1,663	919
70.00 Total new budget authority (gross)	2,474	1,844	698

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1,265	1,226	1,436
72.99 Obligated balance, start of year	1,265	1,226	1,436
73.10 Total new obligations	2,656	1,995	818
73.20 Total outlays (gross)	-2,539	-1,695	-765
73.40 Adjustments in expired accounts (net)	-89
73.45 Recoveries of prior year obligations	-67	-90	-90
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1,226	1,436	1,399
74.99 Obligated balance, end of year	1,226	1,436	1,399

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	261	330	258
86.93 Outlays from discretionary balances	615	446	508
86.97 Outlays from new mandatory authority	1,663	919
87.00 Total outlays (gross)	2,539	1,695	765

Net budget authority and outlays:

89.00 Budget authority	2,474	1,844	698
90.00 Outlays	2,539	1,695	765

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 83-0100-0-1-155	2000 actual	2001 est.	2002 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loans	933	75	62

1150 Direct Loans: Tied Aid War Chest	151	60	90
1159 Total direct loan levels	1,084	135	152
Direct loan subsidy (in percent):			
1320 Direct loans	1.39	12.53	14.52
1320 Direct Loans: Tied Aid War Chest	24.13	33.33	30.00
1329 Weighted average subsidy rate	1.39	21.77	25.66
Direct loan subsidy budget authority:			
1330 Direct loans	13	10	9
1330 Direct Loans: Tied Aid War Chest	36	20	30
1330 Subsidy budget authority upward re-estimate	833	762
1330 Subsidy budget authority downward re-estimate	-946	-624
1339 Total subsidy budget authority	-64	168	39
Direct loan subsidy outlays:			
1340 Direct loans	77	47	28
1340 Direct Loans: Tied Aid War Chest	3
1340 Subsidy outlays upward re-estimate	833	762
1340 Subsidy outlays downward re-estimate	-946	-624
1349 Total subsidy outlays	-33	185	28
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantees	11,705	13,181	11,335
2159 Total loan guarantee levels	11,705	13,181	11,335
Guaranteed loan subsidy (in percent):			
2320 Guaranteed Loans	7.90	7.45	6.32
2329 Weighted average subsidy rate	7.90	7.45	6.32
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority	925	983	716
2330 Subsidy budget authority upward re-estimate	830	157
2330 Subsidy budget authority downward re-estimate	-1,290	-2,270
2339 Total subsidy budget authority	465	-1,130	716
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays	749	742	671
2340 Subsidy outlays upward re-estimate	830	157
2340 Subsidy outlays downward re-estimate	-1,290	-2,270
2349 Total subsidy outlays	289	-1,371	671
Administrative expense data:			
3510 Budget authority	55	62	65
3590 Outlays	47	53	55

The purpose of the Export-Import Bank (Eximbank) is to aid in the financing and promotion of U.S. exports. To accomplish its objectives, the bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The bank provides its export credit support through direct loan, loan guarantee and insurance programs. The bank is actively assisting small- and medium-sized businesses.

The 2002 budget proposes a 25 percent decrease in program budget resources, in part to reflect lower estimates of international lending risk. Within the proposed program budget, Export-Import Bank can continue to support exporters facing subsidized competition through policy changes that further target assistance on exporters who cannot obtain private sector financing when competing with foreign subsidies.

The bank's request for administrative expenses for 2002 is \$65 million.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Credit accounts—Continued**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued****ADMINISTRATIVE EXPENSES—Continued****Object Classification (in millions of dollars)**

Identification code 83-0100-0-1-155	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	27	29	32
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	11	13	13
26.0 Supplies and materials	1	2	1
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	2,601	1,933	753
99.9 Total new obligations	2,656	1,995	818

Personnel Summary

Identification code 83-0100-0-1-155	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	404	434	434

DEBT REDUCTION FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 83-4028-0-3-155	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Payment to liquidating account	7	26	24
00.02 Interest on Treasury borrowing	7	6	3
00.03 Subsidy for Debt Reduction	11	50
00.05 Reestimates of direct loan subsidy	15
10.00 Total new obligations	40	82	27

Budgetary resources available for obligation:

22.00 New financing authority (gross)	42	83	28
22.40 Capital transfer to general fund	-2	-1	-1
23.90 Total budgetary resources available for obligation	40	82	27
23.95 Total new obligations	-40	-82	-27

New financing authority (gross), detail:

Mandatory:			
67.15 Authority to borrow (indefinite)	3
Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	19	7	4
68.00 Offsetting collections (Debt Reduction)	20	76	24
68.90 Spending authority from offsetting collections (total discretionary)	39	83	28
70.00 Total new financing authority (gross)	42	83	28

Change in unpaid obligations:

73.10 Total new obligations	40	82	27
73.20 Total financing disbursements (gross)	-40	-82	-27
87.00 Total financing disbursements (gross)	40	82	27

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-20	-76	-24
Non-Federal sources:			
88.40 Non-Federal sources—Principal	-13	-1	-1
88.40 Non-Federal sources—Interest	-6	-6	-3
88.90 Total, offsetting collections (cash)	-39	-83	-28

Net financing authority and financing disbursements:

89.00 Financing authority	3
90.00 Financing disbursements	3	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 83-4028-0-3-155	2000 actual	2001 est.	2002 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	108	102	127
1233 Disbursements: Purchase of loans assets from a liquidating account	7	26	24
1251 Repayments: Repayments and prepayments	-13	-1	-1
1290 Outstanding, end of year	102	127	150

Balance Sheet (in millions of dollars)

Identification code 83-4028-0-3-155	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	108	102	127	150
1405 Allowance for subsidy cost (-)	-97	-42	-118	-142
1499 Net present value of assets related to direct loans	11	60	9	8
1999 Total assets	11	60	9	8
LIABILITIES:				
2103 Federal liabilities: Debt	11	60	9	8
2999 Total liabilities	11	60	9	8
4999 Total liabilities and net position	11	60	9	8

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 83-4161-0-3-155	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct loans	933	135	152
00.02 Interest on Treasury borrowing	915	533	532
00.91 Direct Program by Activities—Subtotal (1 level)	1,848	668	684
08.01 Payment to negative subsidy receipt account	11	13	10
08.02 Downward reestimates paid to receipt accounts	682	353
08.04 Interest on downward reestimates paid to receipt accounts	264	271
08.91 Direct Program by Activities—Subtotal (1 level)	957	637	10
10.00 Total new obligations	2,805	1,305	694

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1,586	890
22.00 New financing authority (gross)	2,184	3,568	1,507
22.60 Portion applied to repay debt	-75	-3,153	-815
23.90 Total budgetary resources available for obligation	3,695	1,305	692
23.95 Total new obligations	-2,805	-1,305	-694
24.40 Unobligated balance carried forward, end of year	890

New financing authority (gross), detail:

Mandatory:			
67.15 Authority to borrow (indefinite)	1,411
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	2,202	2,174	1,523
68.10 Change in receivables from program account	-18	-17	-16
68.90 Spending authority from offsetting collections (total discretionary)	2,184	2,157	1,507
70.00 Total new financing authority (gross)	2,184	3,568	1,507

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3,373	3,374	2,033

72.95	Uncollected customer payments from program account, start of year	— 214	— 196	— 179
72.99	Obligated balance, start of year	3,159	3,178	1,854
73.10	Total new obligations	2,805	1,305	694
73.20	Total financing disbursements (gross)	— 2,786	— 2,629	— 2,045
	Unpaid obligations, end of year:			
74.40	Unpaid obligations, end of year	3,374	2,033	666
74.95	Uncollected customer payments from program account, end of year	— 196	— 179	— 163
74.99	Obligated balance, end of year	3,178	1,854	503
87.00	Total financing disbursements (gross)	2,786	2,629	2,045
Offsets:				
	Against gross financing authority and financing disbursements:			
	Offsetting collections (cash) from:			
	Federal sources:			
88.00	Federal sources: upward reestimate	— 833	— 762
88.00	Federal sources: payment from program account	— 80	— 47	— 28
88.25	Interest on uninvested funds	— 177	— 127	— 100
	Non-Federal sources:			
88.40	Repayments and prepayments	— 710	— 738	— 816
88.40	Fees and interest on loans	— 402	— 500	— 579
88.90	Total, offsetting collections (cash)	— 2,202	— 2,174	— 1,523
	Against gross financing authority only:			
88.95	Change in receivables from program accounts	18	17	16
Net financing authority and financing disbursements:				
89.00	Financing authority		1,411
90.00	Financing disbursements	585	455	522

Status of Direct Loans (in millions of dollars)

Identification code 83-4161-0-3-155	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	933	135	152
1150 Total direct loan obligations	933	135	152
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6,253	6,666	7,386
1231 Disbursements: Direct loan disbursements	1,123	1,458	1,513
1251 Repayments: Repayments and prepayments	— 710	— 738	— 816
1290 Outstanding, end of year	6,666	7,386	8,083

Balance Sheet (in millions of dollars)

Identification code 83-4161-0-3-155	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	1,550	890
	Net value of assets related to post-1991 direct loans receivable:			
1401 Direct loans receivable, gross	6,252	6,666	7,386	8,083
1402 Interest receivable	88	97	106	100
1405 Allowance for subsidy cost (—)	— 816	— 1,193	— 915	— 1,001
1499 Net present value of assets related to direct loans	5,524	5,570	6,577	7,182
1803 Other Federal assets: Property, plant and equipment, net	1	1	1	1
1999 Total assets	7,075	6,461	6,578	7,183
LIABILITIES:				
	Federal liabilities:			
2102 Interest payable	425
2103 Debt	6,537	6,461	6,578	7,183
2104 Resources payable to Treasury	113
2999 Total liabilities	7,075	6,461	6,578	7,183
4999 Total liabilities and net position	7,075	6,461	6,578	7,183

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2002.

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 83-4162-0-3-155	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Guarantee claims	454	364	464
08.01 Payment to negative subsidy receipt account	1	2	1
08.02 Downward reestimates paid to receipt accounts	873	1,618
08.04 Interest on downward reestimates paid to receipt accounts	417	652
08.91 Direct Program by Activities—Subtotal (1 level)	1,291	2,272	1
10.00 Total new obligations	1,745	2,636	465
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4,312	5,331	4,734
22.00 New financing authority (gross)	2,764	2,038	1,649
23.90 Total budgetary resources available for obligation	7,076	7,369	6,383
23.95 Total new obligations	— 1,745	— 2,636	— 465
24.40 Unobligated balance carried forward, end of year	5,331	4,734	5,918

New financing authority (gross), detail:

Discretionary:			
47.05 Authority to borrow (indefinite)	155
47.90 Authority to borrow (total discretionary)	155
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,609	2,038	1,649
70.00 Total new financing authority (gross)	2,764	2,038	1,649

Change in unpaid obligations:

73.10 Total new obligations	1,745	2,636	465
73.20 Total financing disbursements (gross)	— 1,745	— 2,635	— 465
87.00 Total financing disbursements (gross)	1,745	2,635	465

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from program account	— 749	— 742	— 671
88.00 Federal sources: upward reestimate	— 830	— 157
88.25 Interest on uninvested funds	— 315	— 375	— 400
88.40 Fees and premiums	— 715	— 764	— 578
88.90 Total, offsetting collections (cash)	— 2,609	— 2,038	— 1,649

Net financing authority and financing disbursements:

89.00 Financing authority	155
90.00 Financing disbursements	— 864	597	— 1,184

Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4162-0-3-155	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	11,705	13,181	11,335
2150 Total guaranteed loan commitments	11,705	13,181	11,335
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	24,151	28,678	32,929
2231 Disbursements of new guaranteed loans	10,930	10,448	10,858
2251 Repayments and prepayments	— 5,949	— 5,833	— 10,676
2263 Adjustments: Terminations for default that result in claim payments	— 454	— 364	— 464
2290 Outstanding, end of year	28,678	32,929	32,647

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	28,678	32,929	32,647
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from

Credit accounts—Continued**EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT—Continued**

the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2002.

Balance Sheet (in millions of dollars)

Identification code 83-4162-0-3-155	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	4,312	5,331	4,818	5,918
1206 Non-Federal assets: Receivables, net	1,471	1,461		
1999 Total assets	5,783	6,792	4,818	5,918
LIABILITIES:				
Federal liabilities:				
2103 Debt	66	222		
2104 Resources payable to Treasury	460	1,686		
Non-Federal liabilities:				
2204 Liabilities for loan guarantees	5,257	4,884	4,818	5,918
2999 Total liabilities	5,783	6,792	4,818	5,918
4999 Total liabilities and net position	5,783	6,792	4,818	5,918

Public enterprise funds:**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4027-0-3-155	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.06 Claim payments, gross	48	32	12
10.00 Total new obligations (object class 33.0)	48	32	12
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,053	1,087	
22.00 New budget authority (gross)	1,082	662	397
22.10 Resources available from recoveries of prior year obligations	255		
Capital transfer to general fund:			
22.40 Capital transfer to general fund	-1,000	-1,691	-373
22.40 Capital transfer to general fund (Debt Reduction)		-26	-12
22.70 Balance of authority to borrow withdrawn	-255		
23.90 Total budgetary resources available for obligation	1,135	32	12
23.95 Total new obligations	-48	-32	-12
24.40 Unobligated balance carried forward, end of year	1,087		

New budget authority (gross), detail:

Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	1,082	636	373
69.00 Offsetting collections (Debt Reduction)		26	24
69.90 Spending authority from offsetting collections (total mandatory)	1,082	662	397

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	255		
72.99 Obligated balance, start of year	255		
73.10 Total new obligations	48	32	12
73.20 Total outlays (gross)	-48	-32	-12
73.45 Recoveries of prior year obligations	-255		

Outlays (gross), detail:

86.98 Outlays from mandatory balances	48	32	
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources: Debt Reduction	-21	-26	-24

88.20 Interest on Federal securities	-90	-82	
Non-Federal sources:			
88.40 Loans repaid	-729	-367	-373
88.40 Interest and fee revenue from loans	-183	-187	
88.40 Guarantee fees	-59		
88.90 Total, offsetting collections (cash)	-1,082	-662	-397

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1,034	-630	-397

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	1,017	1,064	
92.02 Total investments, end of year: Federal securities:			
Par value	1,064		

Status of Direct Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2000 actual	2001 est.	2002 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5,169	4,460	3,554
Repayments:			
Repayments and prepayments:			
1251 Repayments and prepayments	-657	-367	-349
1251 Repayments and prepayments: Debt Reduction	-21	-26	-24
1264 Write-offs for default: Other adjustments, net	-31	-513	
1290 Outstanding, end of year	4,460	3,554	3,181

Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2000 actual	2001 est.	2002 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,214	1,104	813
2251 Repayments and prepayments	-110	-291	-240
2290 Outstanding, end of year	1,104	813	573
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,104	813	573

DATA ON DIRECT LOANS

(In millions of dollars)

	2000 actual	2001 est.	2002 est.
Undisbursed loan authorizations, end of year	2,612	1,084	599
Credit authorizations	933	75	152
Credit cancellations	206	223	83
Loan disbursements	1,017	1,381	554
Capitalized interest	231	75	75
Loan principal repayments	1,394	1,151	1,139
Loan write-offs	31	31	35
Loans outstanding, end of year	9,948	10,222	9,677

DATA ON GUARANTEES

(In millions of dollars)

	2000 actual	2001 est.	2002 est.
Undisbursed balance, end of year	8,343	9,152	8,023
Authorizations	8,413	8,999	6,911
Cancellations	765	800	440
Shipments	9,390	7,389	7,600
Repayments	4,767	4,648	7,798
Outstanding balance, end of year	28,602	31,343	31,145

DATA ON INSURANCE

(In millions of dollars)

	2000 actual	2001 est.	2002 est.
Undisbursed balance, end of year	6,677	8,087	9,086
Authorizations	3,291	4,182	4,423
Cancellations	295	309	167
Shipments	1,749	2,463	3,257
Repayments	1,954	1,549	3,342
Outstanding balance, end of year	1,181	2,095	2,010

DATA ON GRANT PORTION OF TIED-AID CREDIT

(In millions of dollars)

	2000 actual	2001 est.	2002 est.
Grant portion of tied-aid credit	0	12	12
Estimated outlays	3	7	7

POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY

(In millions of dollars)

	1999 actual	2000 actual	2001 est.	2002 est.
Statutory authority	75,000	75,000	75,000	75,000
Charges against authority:				
Loan Program:				
Loans Outstanding	10,126	9,948	10,222	9,677
Loans Undisbursed	2,902	2,612	1,084	599
Outstanding Claims	4,511	4,233	4,868	5,599
Subtotal	17,539	16,793	16,174	15,875
Export guarantees and insurance program:				
Export Credit Insurance	6,816	7,858	10,181	11,095
Export Credit Guarantees	34,063	36,944	40,495	39,168
Subtotal	40,879	44,802	50,676	50,263
Total Charges against authority	58,418	61,595	66,850	66,138
Unused Authority	16,582	13,405	8,150	8,862

Operating results and financial condition.—The bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury, and the bank is authorized to borrow up to \$6 billion from the Treasury. The bank pays interest on such borrowings.

The bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The bank's net operating income was \$346 million in 2000. Total Government deficit in the corporation was \$173 million on September 30, 2000.

Statement of Operations (in millions of dollars)

Identification code 83-4027-0-3-155	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	546	212	150	100
0105 Net income or loss (-)	546	212	150	100

Balance Sheet (in millions of dollars)

Identification code 83-4027-0-3-155	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	55	24	837	
Investments in US securities:				
1102 Treasury securities, par	1,017	1,064		
1206 Non-Federal assets: Receivables, net	5			
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
Direct loans, gross:				
1601 Direct loans, gross	5,169	4,479	3,580	3,205
1601 Direct loans, gross reduction in Face Value		-21	-26	-24
1602 Interest receivable	45	48		

1603 Allowance for estimated uncollectible loans and interest (-)	-3,514	-3,566	-3,000	-2,617
1699 Value of assets related to direct loans	1,700	940	554	564
1701 Defaulted guaranteed loans, gross	765	1,367	1,105	573
1702 Interest receivable	6	2	4	2
1703 Allowance for estimated uncollectible loans and interest (-)	-300	-722	-500	-259
1704 Defaulted guaranteed loans and interest receivable, net	471	647	609	316
1799 Value of assets related to loan guarantees	471	647	609	316
1999 Total assets	3,248	2,675	2,000	880
LIABILITIES:				
Non-Federal liabilities:				
2202 Interest payable	1	2	1	1
2203 Debt	215	187	150	137
2204 Liabilities for loan guarantees	43	32	28	25
2207 Other	448	365	325	185
2999 Total liabilities	707	586	504	348
NET POSITION:				
3100 Appropriated capital	54			
Cumulative results of operations:				
3300 Cumulative results of operations	3,502	2,089	2,066	1,102
3300 Cumulative results of operations [Debt Reduction]	-1,015		-570	-570
3999 Total net position	2,541	2,089	1,496	532
4999 Total liabilities and net position	3,248	2,675	2,000	880

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Offsetting receipts from the public:			
83-272710 Export-Import Bank loans, Negative subsidies	12	15	11
83-272730 Export-Import Bank loans, Downward reestimates of subsidies	2,236	2,894	
General Fund Offsetting receipts from the public	2,248	2,909	11

FARM CREDIT ADMINISTRATION

Federal Funds

Public enterprise funds:

LIMITATION OF ADMINISTRATIVE EXPENSES

Not to exceed **[\$36,800,000] \$36,700,000** (from assessments collected from farm credit institutions and from the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a) of P.L. 106-387.*)

Program and Financing (in millions of dollars)

Identification code 78-4131-0-3-351	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.00 Reimbursable program	35	38	39

Public enterprise funds—Continued

LIMITATION OF ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 78-4131-0-3-351	2000 actual	2001 est.	2002 est.
10.00 Total new obligations	35	38	39
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	9	9
22.00 New budget authority (gross)	36	37	38
23.90 Total budgetary resources available for obligation	45	46	47
23.95 Total new obligations	-35	-38	-39
24.40 Unobligated balance carried forward, end of year	9	9	8
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	36	37	38
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	6	8	8
72.99 Obligated balance, start of year	6	8	8
73.10 Total new obligations	35	38	39
73.20 Total outlays (gross)	-32	-37	-38
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	8	8	8
74.99 Obligated balance, end of year	8	8	8
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	32	37	38
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-36	-37	-38
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-4		
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	14	17	17
92.02 Total investments, end of year: Federal securities: Par value	17	17	17

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness. The System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Since 1990, the FCA also performs annual examinations of the Federal Agricultural Mortgage Corporation. In addition, FCA annually examines The National Consumer Cooperative Bank and its affiliate, The NCCB Development Corporation.

As of October 1, 2000, the System was comprised of six Farm Credit Banks, one Agricultural Credit Bank, 158 associations, four service corporations, the Federal Farm Credit Bank Funding Corporation, the Farm Credit System Financial Assistance Corporation, and the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank makes loans to agricultural, aquatic, and public utility cooperatives and other persons or organizations owned by or having transactions with such cooperatives.

Assessments based upon estimated administrative expenses are collected from institutions in the System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

Object Classification (in millions of dollars)

Identification code 78-4131-0-3-351	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	21	23	24
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	23	26	27
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	2	3	2
25.2 Other services	3	2	3
31.0 Equipment	2	1	1
99.9 Total new obligations	35	38	39

Personnel Summary

Identification code 78-4131-0-3-351	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	287	302	294

FARM CREDIT SYSTEM FINANCIAL
ASSISTANCE CORPORATIONFINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND,
LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.02 Interest expenses	79	71	71
10.00 Total new obligations (object class 43.0)	79	71	71
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,166	1,272	1,338
22.00 New budget authority (gross)	275	137	143
22.60 Portion applied to repay debt	-89		
23.90 Total budgetary resources available for obligation	1,352	1,409	1,481
23.95 Total new obligations	-79	-71	-71
24.40 Unobligated balance carried forward, end of year	1,272	1,338	1,409
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	275	137	143
Change in unpaid obligations:			
73.10 Total new obligations	79	71	71
73.20 Total outlays (gross)	-79	-71	-71
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	79	71	71
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-57	-53	-57
88.40 Non-Federal sources	-218	-84	-86
88.90 Total, offsetting collections (cash)	-275	-137	-143
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-196	-66	-72
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	659	629	629
92.02 Total investments, end of year: Federal securities: Par value	629	629	659

Status of Direct Loans (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 est.	2002 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	900	883	868

1251	Repayments: Repayments and prepayments	— 17	— 15	— 15
1290	Outstanding, end of year	883	868	853

Statement of Operations (in millions of dollars)

Identification code 78-4134-0-3-351	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	70	70	70	71
0102 Expense	-70	-70	-70	-71
0191 Total revenues	70	70	70	71
0192 Total expenses	-70	-70	-70	-71

Balance Sheet (in millions of dollars)

Identification code 78-4134-0-3-351	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par	619	530	530	610
1106 Receivables, net	259	259	259	217
Non-Federal assets: Investments in non-				
Federal securities, net				
1201	268	268	268	261
1901 Other Federal assets: Other assets	22	22	22	14
1999 Total assets	1,168	1,079	1,079	1,102
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	285	285	285	307
2202 Interest payable	17	17	17	17
2203 Debt	863	774	774	774
2207 Other	3	3	3	4
2999 Total liabilities	1,168	1,079	1,079	1,102
4999 Total liabilities and net position	1,168	1,079	1,079	1,102

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to System institutions experiencing financial difficulties. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued. Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC was re-classified from a Government-sponsored enterprise to a Federal entity beginning in 1993, when most of the private capital in FAC, provided by the System, was rebated from the FAC Trust Fund pursuant to the Reconciliation and Agriculture Appropriations Acts of 1989.

Trust Funds**FINANCIAL ASSISTANCE CORPORATION TRUST FUND****Unavailable Collections** (in millions of dollars)

Identification code 78-8202-0-7-351	2000 actual	2001 est.	2002 est.
Receipts:			
02.40 Interest on investments	7	6	6
Appropriations:			
05.00 Financial assistance corporation trust fund	-7	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 78-8202-0-7-351	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	106	113	119
22.00 New budget authority (gross)	7	6	6
23.90 Total budgetary resources available for obligation	113	119	125
24.40 Unobligated balance carried forward, end of year	113	119	125

New budget authority (gross), detail:

Mandatory:			
60.27 Appropriation (trust fund, indefinite)	7	6	6

Net budget authority and outlays:

89.00 Budget authority	7	6	6
90.00 Outlays			

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	109	142	109
92.02 Total investments, end of year: Federal securities:			
Par value	142	109	100

The Trust Fund is available to pay the principle of any Financial Assistance Corporation bonds used to fund financial assistance to the extent the assisted bank is unable to repay the bonds, and is also available for other purposes as provided under the Farm Credit Act of 1987.

FARM CREDIT SYSTEM INSURANCE CORPORATION**Federal Funds****Public enterprise funds:****FARM CREDIT SYSTEM INSURANCE FUND****Program and Financing** (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.00 Reimbursable program	2	2	2
10.00 Total new obligations	2	2	2

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1,458	1,539	1,655
22.00 New budget authority (gross)	83	118	126
23.90 Total budgetary resources available for obligation	1,541	1,657	1,781
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	1,539	1,655	1,779

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	83	118	126
69.90 Spending authority from offsetting collections (total mandatory)	83	118	126

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	-24	-22	-22
Obligated balance, start of year			
72.99	-24	-22	-22
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	-22	-22	-22
74.99 Obligated balance, end of year	-22	-22	-22

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	2	2	2
--	---	---	---

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-55	-89	-102
88.40 Non-Federal sources	-28	-29	-24
88.90 Total, offsetting collections (cash)	-83	-118	-126

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-81	-116	-124

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	1,376	1,521	1,617

Public enterprise funds—Continued

FARM CREDIT SYSTEM INSURANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 78-4171-0-3-351	2000 actual	2001 est.	2002 est.
92.02 Total investments, end of year: Federal securities: Par value	1,521	1,617	1,711

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same members as the Farm Credit Administration Board of Directors. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of accruing and non-accruing loans outstanding in each bank and its affiliated associations' loan portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Directors to be actuarially sound to maintain the Insurance Fund. The Insurance Fund was at the secure base amount at September 30, 2000. Also in September, the Corporation's Board reduced premiums beginning in January 2001 to zero for all loan categories.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation, and satisfy defaults by System institutions on obligations issued by the FAC after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated before 2006.

Statement of Operations (in millions of dollars)

Identification code 78-4171-0-3-351	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	121	101	95	101
0102 Expense	-12	-13	-14	-15
0105 Net income or loss (-)	109	88	81	86

Balance Sheet (in millions of dollars)

Identification code 78-4171-0-3-351	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par	1,376	1,521	1,617	1,711
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable	24	28	24	25
1206 Premium receivable	35	1		
1901 Other Federal assets: Other assets	67	51	54	57
1999 Total assets	1,502	1,601	1,695	1,793
LIABILITIES:				
2207 Non-Federal liabilities: Other	157	167	179	192
2999 Total liabilities	157	167	179	192
NET POSITION:				
3100 Appropriated capital	1,345	1,434	1,516	1,601
3999 Total net position	1,345	1,434	1,516	1,601
4999 Total liabilities and net position	1,502	1,601	1,695	1,793

Object Classification (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 est.	2002 est.
11.1 Reimbursable obligations: Personnel compensation:			
Full-time permanent	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code 78-4171-0-3-351	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	10	10	10

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-5902; not to exceed \$600,000 for land and structure; not to exceed \$500,000 for improvement and care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed 16) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, **[\$230,000,000] \$248,545,000**, of which not to exceed \$300,000 shall remain available until September 30, **[2002] 2003**, for research and policy studies: *Provided*, That **[\$200,146,000] \$218,757,000** of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[2001] 2002** so as to result in a final fiscal year **[2001] 2002** appropriation estimated at **[\$29,854,000] \$29,788,000**: *Provided further*, That any offsetting collections received in excess of **[\$200,146,000] \$218,757,000** in fiscal year **[2001] 2002** shall remain available until expended, but shall not be available for obligation until October 1, **[2001] 2002**. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 27-0100-0-1-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Licensing	24	30	30
00.05 Year 2000 Compliance	2		
01.00 Total direct program	26	30	30
09.00 Reimbursable program	238	273	279
10.00 Total new obligations	264	303	309
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	17	
22.00 New budget authority (gross)	268	289	309
22.21 Unobligated balance transferred to other accounts		-2	
23.90 Total budgetary resources available for obligation	280	304	309
23.95 Total new obligations	-264	-303	-309
24.40 Unobligated balance carried forward, end of year	17		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	24	30	30
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (reimbursable Federal)	1	1	1
68.00 Cost of conducting spectrum auctions	51	58	59
68.00 Spending authority from offsetting collections (regulatory fees)	192	200	219

68.90	Spending authority from offsetting collections (total discretionary)	244	259	279
70.00	Total new budget authority (gross)	268	289	309
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	58	43	43
72.99	Obligated balance, start of year	58	43	43
73.10	New Obligations	264	303	309
73.20	Total outlays (gross)	-269	-301	-320
73.40	Adjustments in expired accounts (net)	-4		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	43	43	32
74.99	Obligated balance, end of year	43	43	32
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	250	287	307
86.93	Outlays from discretionary balances	19	14	13
87.00	Total outlays (gross)	269	301	320
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	-1
88.40	Cost of conducting spectrum auctions	-51	-58	-59
88.45	Regulatory Fees	-192	-200	-219
88.90	Total, offsetting collections (cash)	-244	-259	-279

Net budget authority and outlays:				
89.00	Budget authority	24	30	30
90.00	Outlays	24	42	41

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority	24	30	30
Outlays	25	42	41
Legislative proposal, subject to PAYGO:			
Budget Authority			2
Outlays			2
Total:			
Budget Authority	24	30	32
Outlays	25	42	43

Licensing.—This activity includes the authorization or licensing of radio stations, telecommunications equipment, radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with licensing activities.

Competition.—This activity includes formal inquiries, rule making proceedings to establish or amend the Commission's rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers, economic studies and analyses, and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with activities to promote competition in the public interest.

Enforcement.—This activity includes enforcement of the Commission's rules, regulations and authorizations—including investigations, inspections, compliance monitoring and sanctions of all types. It also includes the receipt and disposition of formal complaints regarding common carrier rates and services; the review and acceptance/rejection of carrier tariffs; and the review, prescription and audit of carrier accounting practices. Additionally, it also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

Consumer Information Services.—This activity includes the publication and dissemination of Commission decisions and

actions, and related activities; public reference and library services; the duplication and dissemination of Commission records and databases; the receipt and disposition of public inquiries and informal consumer complaints; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with consumer information activities.

Spectrum Management.—This activity includes management of the electromagnetic spectrum as mandated by the Communications Act of 1934 as amended. Spectrum management includes the structure and processes for allocating, assigning, licensing, and regulating the use of this scarce resource to the private sector and state and local governments in a way that promotes competition while ensuring that the public interest is best served. In order to manage spectrum in both an efficient and equitable manner, the Commission evaluates needs; prepares economic, technical and engineering studies; coordinates with Federal agencies; develops cross-border sharing arrangements; and represents U.S. interests in international fora. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with spectrum management activities.

Object Classification (in millions of dollars)

Identification code 27-0100-0-1-376	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	16	16
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	14	18	18
12.1 Civilian personnel benefits	3	4	4
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
25.3 Purchases of goods and services from Government accounts	1		
25.7 Operation and maintenance of equipment	3	2	2
99.0 Subtotal, direct obligations	26	30	30
99.0 Reimbursable obligations	238	273	279
99.9 Total new obligations	264	303	309

Personnel Summary

Identification code 27-0100-0-1-376	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	198	220	216
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,727	1,747	1,751

SALARIES AND EXPENSES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 27-0100-4-1-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Analog Spectrum Lease Fee Implementation			2
01.00 Total direct program			2
10.00 Total new obligations (object class 25.2)			2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			2
23.95 Total new obligations			-2

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 27-0100-4-1-376	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Mandatory:			
62.00 Transferred from other accounts			2
Change in unpaid obligations:			
73.10 New Obligations			2
73.20 Total outlays (gross)			-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			2
Net budget authority and outlays:			
89.00 Budget authority			2
90.00 Outlays			2

PIONEER'S PREFERENCE SETTLEMENT**Program and Financing (in millions of dollars)**

Identification code 27-1000-0-1-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct Program Activity	125		
10.00 Total new obligations (object class 41.0)	125		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	125		
23.95 Total new obligations	-125		
New budget authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	125		
Change in unpaid obligations:			
73.10 Total new obligations	125		
73.20 Total outlays (gross)	-125		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	125		
Net budget authority and outlays:			
89.00 Budget authority	125		
90.00 Outlays	125		

On June 8, 2000, the Commission awarded Qualcomm, Inc. a transferable Auction Discount Voucher (ADV) in the amount of \$125,273,878, in satisfaction of the court's mandate in *Qualcomm Incorporated v. FCC*, 181 F.3d 1370 (D.C. Cir. 1999). This Auction Discount Voucher is structured to work in the same manner as an auction bidding credit. The budget records an outlay and a debt in the year it was issued. It may be used by Qualcomm or its transferee, in whole or in part, to adjust a winning bid in any spectrum auction for which short form applications have been accepted prior to June 8, 2003, subject to terms and conditions set forth in the Commission's Order. When it is used, the budget will record a collection and reduction in debt. See *Qualcomm Incorporated Petition for Declaratory Ruling Giving Effect to the Mandate of the District of Columbia Circuit Court of Appeals*, Order, FCC 00-189 (rel June 8, 2000).

UNIVERSAL SERVICE FUND**Unavailable Collections (in millions of dollars)**

Identification code 27-5183-0-2-376	2000 actual	2001 est.	2002 est.
Receipts:			
02.00 Universal service fund	4,547	5,599	5,638

Appropriations:			
05.00 Universal service fund	-4,547	-5,599	-5,638
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 27-5183-0-2-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	4,506	5,613	5,637
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	140	181	167
22.00 New budget authority (gross)	4,547	5,599	5,638
23.90 Total budgetary resources available for obligation	4,687	5,780	5,805
23.95 Total new obligations	-4,506	-5,613	-5,637
24.40 Unobligated balance carried forward, end of year	181	167	168
New budget authority (gross), detail:			
Mandatory:			
60.25 Appropriation (special fund, indefinite)	4,547	5,599	5,638
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1,339	1,771	901
72.99 Obligated balance, start of year	1,339	1,771	901
73.10 Total new obligations	4,506	5,613	5,637
73.20 Total outlays (gross)	-4,074	-6,483	-5,468
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1,771	901	1,071
74.99 Obligated balance, end of year	1,771	901	1,071
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2,595	4,531	4,400
86.98 Outlays from mandatory balances	1,479	1,952	1,068
87.00 Total outlays (gross)	4,074	6,483	5,468
Net budget authority and outlays:			
89.00 Budget authority	4,547	5,599	5,638
90.00 Outlays	4,073	6,483	5,468

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, telecommunications carriers that provide interstate telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions are used to provide services eligible for universal service support as prescribed by the FCC. Telecommunications carriers receive a credit towards their contribution by providing discount service to schools, libraries, and health care providers. Support will also be provided to carriers offering services in high cost areas of the United States and to carriers offering services to low income consumers.

ANALOG SPECTRUM LEASE FEE*(Legislative proposal, subject to PAYGO)***Unavailable Collections (in millions of dollars)**

Identification code 27-5444-0-2-376	2000 actual	2001 est.	2002 est.
Receipts:			
02.60 Legislative proposal subject to PAYGO			2
Appropriations:			
05.00 Analog spectrum lease program, legislative proposal subject to PAYGO			-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 27-5444-4-2-376	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund, definite)			2
61.00 Transferred to other accounts			-2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Administration will propose legislation authorizing the FCC to establish a lease fee on the use of analog spectrum by commercial television broadcasters. The FCC will promulgate a rulemaking to apportion the aggregate fee amount among broadcasters. Upon return of its analog spectrum license to the FCC, an individual broadcaster is exempt from the fee. The FCC will be authorized to recover up to \$2 million from the fee collections in 2002 in order to cover the costs of developing and implementing the fee program. (See General Fund Receipts section for estimated fee collections.)

Credit accounts:

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-0300-0-1-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.05 Reestimates of direct loan subsidy	22	7	
00.06 Interest on reestimates of direct loan subsidy	6	3	
00.09 Administrative Expenses	6	8	8
10.00 Total new obligations	34	18	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1,821	
22.00 New budget authority (gross)	1,855		8
22.40 Capital transfer to general fund		-1,803	
23.90 Total budgetary resources available for obligation	1,855	18	8
23.95 Total new obligations	-34	-18	-8
24.40 Unobligated balance carried forward, end of year	1,821		
New budget authority (gross), detail:			
Mandatory:			
60.05 Appropriation (indefinite)			8
62.50 Appropriation (total mandatory)			8
69.00 Offsetting collections (cash)	1,855	12,219	
69.27 Capital transfer to general fund		-12,219	
69.90 Spending authority from offsetting collections (total mandatory)	1,855		
70.00 Total new budget authority (gross)	1,855		8
Change in unpaid obligations:			
73.10 Total new obligations	34	18	8
73.20 Total outlays (gross)	-34	-18	-8
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	34		8
86.98 Outlays from mandatory balances		18	
87.00 Total outlays (gross)	34	18	8
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,855	-12,219	
Net budget authority and outlays:			
89.00 Budget authority		-12,219	8
90.00 Outlays	-1,822	-12,201	8

This program provides for direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses are being purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 27-0300-0-1-376	2000 actual	2001 est.	2002 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loan levels	1		
1159 Total direct loan levels	1		
Direct loan subsidy (in percent):			
1320 Subsidy rate	8.25	0.00	0.00
1329 Weighted average subsidy rate	8.25	0.00	0.00
Direct loan subsidy budget authority:			
1330 Upward Reestimate	28	10	
1330 Downward Reestimate	-1,855	-12,219	
1339 Total subsidy budget authority	-1,827	-12,209	
Direct loan subsidy outlays:			
1340 Upward Reestimates	28	10	
1340 Downward Reestimates	-1,855	-12,219	
1349 Total subsidy outlays	-1,827	-12,209	
Administrative expense data:			
3510 Budget authority	6		8
3580 Outlays from balances	6	8	
3590 Outlays from new authority			8

Object Classification (in millions of dollars)

Identification code 27-0300-0-1-376	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	5	7	7
41.0 Grants, subsidies, and contributions	28	10	
99.9 Total new obligations	34	18	8

Personnel Summary

Identification code 27-0300-0-1-376	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	8	8	8

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-4133-0-3-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Operating expenses:			
00.01 Direct loans	1		
00.02 Interest Paid to Treasury	369	345	1,154
00.05 IVDS Restructuring		8	
00.91 Direct Program by Activities—Subtotal (1 level)	370	353	1,154
08.02 Downward subsidy reestimate	1,523	9,625	
08.04 Interest on downward reestimate	332	2,594	
08.91 Direct Program by Activities—Subtotal (1 level)	1,855	12,219	
10.00 Total new obligations	2,225	12,572	1,154

Credit accounts—Continued**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 27-4133-0-3-376	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	40	10	
22.00 New financing authority (gross)	2,615	12,562	1,154
22.60 Portion applied to repay debt	-420		
23.90 Total budgetary resources available for obligation	2,235	12,572	1,154
23.95 Total new obligations	-2,225	-12,572	-1,154
24.40 Unobligated balance carried forward, end of year	10		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	2,209	12,454	
Offsetting collections (cash):			
69.00 Offsetting collections (Re-estimate)	22	7	
69.00 Offsetting collections (Int- reestimate)	6	3	
69.00 Offsetting collections (Payment on loans)	338	98	94
69.00 Offsetting collections (Int-Treasury)	25		
69.00 Other Treasury collections	435		
69.00 Other Treasury collections (Auction 35 receipts)			16,942
69.47 Portion applied to repay debt	-420		-15,882
69.90 Spending authority from offsetting collections (total mandatory)	406	108	1,154
70.00 Total new financing authority (gross)	2,615	12,562	1,154
Change in unpaid obligations:			
73.10 Total new obligations	2,225	12,572	1,154
73.20 Total financing disbursements (gross)	-2,225	-12,572	-1,154
87.00 Total financing disbursements (gross)	2,225	12,572	1,154
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Program account: total revised subsidy	-28	-10	
88.00 Other Treasury collections	-435		
88.25 Interest on uninvested funds	-25		
Non-Federal sources:			
Non-Federal sources:			
88.40 Interest received on loans	-101	-60	-56
88.40 Principal received on loans	-71	-38	-38
88.40 Recoveries	-166		-16,942
88.90 Total, offsetting collections (cash)	-826	-108	-17,036
Net financing authority and financing disbursements:			
89.00 Financing authority	1,789	12,454	-15,882
90.00 Financing disbursements	1,399	12,464	-15,882

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Status of Direct Loans (in millions of dollars)

Identification code 27-4133-0-3-376	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	1		
1150 Total direct loan obligations	1		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	8,243	8,177	8,139
1231 Disbursements: Direct loan disbursements	1		
1251 Repayments: Repayments and prepayments	-67	-38	-38
1290 Outstanding, end of year	8,177	8,139	8,101

Balance Sheet (in millions of dollars)

Identification code 27-4133-0-3-376	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	8,287	8,177	8,139	8,101
1402 Interest receivable	372	430	140	
1405 Allowance for subsidy cost (-)	-4,761	-4,286	-4,138	-6,221
1499 Net present value of assets related to direct loans	3,898	4,321	4,141	1,880
1901 Other Federal assets: Other assets	41			
1999 Total assets	3,939	4,321	4,141	1,880
LIABILITIES:				
Federal liabilities:				
2103 Resources payable to Treasury	3,939	5,307	17,761	1,879
2105 Other Debt		4,285		
2999 Total liabilities	3,939	9,592	17,761	1,879
4999 Total liabilities and net position	3,939	9,592	17,761	1,879

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Offsetting receipts from the public:			
27-089900 Analog spectrum lease fee: Legislative proposal, subject to PAYGO			198
27-242900 Fees for services	28	28	28
27-247400 Auction receipts	150	1,572	4,360
Legislative proposal, subject to PAYGO			-2,600
General Fund Offsetting receipts from the public	178	1,600	1,986

AUCTION RECEIPTS*(Legislative proposal, subject to PAYGO)*

The Administration will propose legislation regarding the auction of spectrum currently assigned to television channels 60-69 (747-762 and 777-792 MHz) and 52-59 (698-746 MHz). The legislation will: promote clearing the spectrum in channels 60-69 for new wireless services in a manner that ensures incumbent broadcasters are fairly compensated; shift the statutory deadline for the 60-69 (700 MHz) auction from 2000 to 2004; and shift the statutory deadline for the auction of channels 52-59 from 2002 to 2006.

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks, and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assess-

ment rates for insured financial institutions semi-annually to maintain the reserves of the BIF and SAIF at 1.25 percent of total insured deposits.

Federal Funds**Public enterprise funds:****BANK INSURANCE FUND****Unavailable Collections** (in millions of dollars)

Identification code 51-4064-0-3-373	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	1		
Appropriations:			
Appropriations:			
05.00 Bank Insurance Fund	-1		
05.00 Legislative proposal not subject to PAYGO			5
05.00 Legislative proposal subject to PAYGO			92
05.99 Total appropriations	-1		97
07.99 Balance, end of year			97

Program and Financing (in millions of dollars)

Identification code 51-4064-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Administrative expenses:			
00.02 Insurance	73	83	76
00.03 Supervision	499	519	512
00.04 Receivership Management	169	136	122
00.05 General and Administrative	87	78	63
00.91 Total Administrative Expenses	828	816	773
Capital investment:			
01.01 Working Capital Outlays	438	788	720
01.02 Case resolution losses	403	122	130
01.03 Premiums on investments	28	36	36
01.91 Total Capital Investment	869	946	886
10.00 Total new obligations	1,697	1,762	1,659
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	28,173	29,081	29,549
22.00 New budget authority (gross)	2,605	2,230	2,612
23.90 Total budgetary resources available for obligation	30,778	31,311	32,161
23.95 Total new obligations	-1,697	-1,762	-1,659
24.40 Unobligated balance carried forward, end of year	29,081	29,549	30,502

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	2,630	2,256	2,638
69.26 From offsetting collections (unavailable balances)	1		
69.61 Transferred to other accounts	-26	-26	-26
69.90 Spending authority from offsetting collections (total mandatory)	2,605	2,230	2,612

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	684	659	921
72.99 Obligated balance, start of year	684	659	921
73.10 Total new obligations	1,697	1,762	1,659
73.20 Total outlays (gross)	-1,721	-1,500	-2,443
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	659	921	137
74.99 Obligated balance, end of year	659	921	137

Outlays (gross), detail:

86.98 Outlays from mandatory balances	1,721	1,500	2,443
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-1,891	-1,717	-1,790
Non-Federal sources:			
88.40 Asset recoveries	-690	-500	-807
88.40 Premium assessments	-49	-39	-41
88.90 Total, offsetting collections (cash)	-2,630	-2,256	-2,638

Net budget authority and outlays:

89.00 Budget authority	-25	-26	-26
90.00 Outlays	-909	-756	-195

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	28,359	29,326	29,024
92.02 Total investments, end of year: Federal securities:			
Par value	29,326	29,024	29,314

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority	-25	-26	-26
Outlays	-909	-756	-195
Legislative proposal, not subject to PAYGO:			
Budget Authority			-5
Outlays			-5
Legislative proposal, subject to PAYGO:			
Budget Authority			-92
Outlays			-92
Total:			
Budget Authority	-25	-26	-123
Outlays	-909	-756	-292

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by insured banks. The revolving fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks, as well as for the payment of administrative and insurance expenses. As of September 2000, BIF's fund balance totaled \$30 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

Object Classification (in millions of dollars)

Identification code 51-4064-0-3-373	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	377	423	421
12.1 Civilian personnel benefits	125	132	132
21.0 Travel and transportation of persons	43	42	40
22.0 Transportation of things	1		
23.2 Rental payments to others	41	40	39
23.3 Communications, utilities, and miscellaneous charges	25	22	22
24.0 Printing and reproduction	3	2	2
25.2 Other services	129	80	67
26.0 Supplies and materials	5	6	6
31.0 Equipment	72	63	39
32.0 Land and structures	7	6	5
Insurance claims and indemnities:			
42.0 Working Capital Outlays	438	788	720
42.0 Net Resolution Expenses (Losses)	403	122	130
42.0 Premiums on Investments	28	36	36
99.9 Total new obligations	1,697	1,762	1,659

¹Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

Personnel Summary

Identification code 51-4064-0-3-373	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	5,283	5,173	5,033

Public enterprise funds—Continued**BANK INSURANCE FUND***(Legislative proposal, not subject to PAYGO)***Program and Financing** (in millions of dollars)

Identification code 51-4064-2-3-373	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)			5
69.26 From offsetting collections (unavailable balances)			-5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-5
Net budget authority and outlays:			
89.00 Budget authority			-5
90.00 Outlays			-5

BANK INSURANCE FUND*(Legislative proposal, subject to PAYGO)***Program and Financing** (in millions of dollars)

Identification code 51-4064-4-3-373	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)			92
69.26 From offsetting collections (unavailable balances)			-92
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-92
Net budget authority and outlays:			
89.00 Budget authority			-92
90.00 Outlays			-92

The Administration proposes to require the FDIC and the Federal Reserve to recover their, respective costs for supervision and regulation of state-chartered banks and bank holding companies. In establishing the amount of the proposed collections, the appropriate Federal banking agency shall allow an appropriate credit for fees paid to state bank supervisory agencies. Additionally, the appropriate Federal regulators will not recover supervision and regulation costs from banks with less than \$100 million in assets. This proposal will increase interest income and premium income collected by the Bank Insurance Fund for 2001-2005; these two effects are shown separately above.

SAVINGS ASSOCIATION INSURANCE FUND**Program and Financing** (in millions of dollars)

Identification code 51-4066-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Operating Expenses:			
09.01 Insurance	12	11	10
09.02 Supervision	65	67	64
09.03 Receivership management	18	18	17
09.04 General and administrative	7	9	9
Capital investment:			
09.10 Working capital outlays	36	360	360
09.11 Net case resolutions (losses)	3	58	65
09.13 Premium on Treasury Investments	32	26	24
10.00 Total new obligations	173	549	549
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9,874	10,437	10,554

22.00 New budget authority (gross)	735	666	903
23.90 Total budgetary resources available for obligation	10,609	11,103	11,457
23.95 Total new obligations	-173	-549	-549
24.40 Unobligated balance carried forward, end of year	10,437	10,554	10,908

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	739	670	907
69.61 Transferred to other accounts	-4	-4	-4
69.90 Spending authority from offsetting collections (total mandatory)	735	666	903

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	203	198	189
72.99 Obligated balance, start of year	203	198	189
73.10 Total new obligations	173	549	549
73.20 Total outlays (gross)	-177	-558	-659
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	198	189	79
74.99 Obligated balance, end of year	198	189	79

Outlays (gross), detail:

86.98 Outlays from mandatory balances	177	558	659
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-657	-569	-593
Non-Federal sources:			
88.40 Asset recoveries	-62	-81	-291
88.40 Premium assessments	-20	-20	-23
88.90 Total, offsetting collections (cash)	-739	-670	-907

Net budget authority and outlays:

89.00 Budget authority	-4	-4	-4
90.00 Outlays	-563	-112	-248

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	10,144	10,747	10,909
92.02 Total investments, end of year: Federal securities:			
Par value	10,747	10,909	10,987

The SAIF insures depository institutions formerly insured by the Federal Savings and Loan Insurance Corporation. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The Deposit Insurance Funds Act of 1996 imposed a special assessment to bring SAIF's reserves up to 1.25 percent of insured deposits. By the end of 1998, SAIF's reserve ratio reached 1.39 percent. However, on January 1, 1999, FDIC was required by law to transfer all funds in the SAIF above 1.25 percent to a Special Reserve. Approximately \$1 billion was transferred. The Gramm Leach Bliley Act of 1999 eliminated the Special Reserve. Approximately \$1 billion was transferred to the SAIF on November 12, 1999. The transfer increased the reserve ratio to 1.45 percent. As of September 30, 2000, the reserve ratio was 1.45 percent.

Object Classification (in millions of dollars)

Identification code 51-4066-0-3-373	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	52	55	55
12.1 Civilian personnel benefits	17	17	17
21.0 Travel and transportation of persons	6	5	5
23.2 Rental payments to others	6	5	5
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	15	10	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	8	5
32.0 Land and structures	1	1	1
Insurance claims and indemnities:			
42.0 Net case resolutions	3	58	65
42.0 Working Capital Outlays	36	360	360
42.0 Premiums on U.S. Treasury Investment	32	26	24

99.0	Subtotal, reimbursable obligations	173	549	549
99.9	Total new obligations	173	549	549

¹ Total obligations include expenses incurred on behalf of receiverships.**Personnel Summary**

Identification code 51-4066-0-3-373	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	721	672	653

FSLIC RESOLUTION FUND**Program and Financing** (in millions of dollars)

Identification code 51-4065-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.02 Receivership management	128	97	93
09.03 General and administrative	22	14	13
09.04 Litigation expenses	80	97	97
09.06 Assistance agreement payments	15		
Capital investment:			
09.11 Liquidity advances	65	40	15
09.14 Interest expense—RTC debt	1,049	1,639	1,276
09.16 Miscellaneous	20	27	5
10.00 Total new obligations	1,379	1,914	1,499
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2,841	3,422	3,306
22.00 New budget authority (gross)	2,770	1,798	1,236
22.40 Capital transfer to general fund	-811		
23.90 Total budgetary resources available for obligation	4,800	5,220	4,542
23.95 Total new obligations	-1,379	-1,914	-1,499
24.40 Unobligated balance carried forward, end of year	3,422	3,306	3,043
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	2,774	1,802	1,240
69.61 Transferred to other accounts	-4	-4	-4
69.90 Spending authority from offsetting collections (total mandatory)	2,770	1,798	1,236
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	29	29	25
72.99 Obligated balance, start of year	29	29	25
73.10 Total new obligations	1,379	1,914	1,499
73.20 Total outlays (gross)	-1,378	-1,918	-1,502
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	29	25	22
74.99 Obligated balance, end of year	29	25	22
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1,378	1,918	1,502
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-136	-132	-138
Non-Federal sources:			
88.40 Asset recoveries (FRF-FSLIC)	-55	-4	-3
88.40 Asset recoveries (FRF-RTC)	-1,288	-381	-25
88.40 Corporate-owned assets	-121	-100	-46
88.40 Securitization releases	-1,112	-1,134	-1,023
88.40 Equity partnerships	-61	-51	-5
88.40 Other Collections	-1		
88.90 Total, offsetting collections (cash)	-2,774	-1,802	-1,240
Net budget authority and outlays:			
89.00 Budget authority	-4	-4	-4
90.00 Outlays	-1,396	116	262
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	2,304	2,508	2,750

92.02 Total investments, end of year: Federal securities:			
Par value	2,508	2,750	2,970

Status of Direct Loans (in millions of dollars)

Identification code 51-4065-0-3-373	2000 actual	2001 est.	2002 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	11	4	
1251 Repayments: Repayments and prepayments	-7	-4	
1290 Outstanding, end of year	4		

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by SAIF members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation.

Object Classification (in millions of dollars)

Identification code 51-4065-0-3-373	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	65	52	52
12.1 Civilian personnel benefits	21	16	16
21.0 Travel and transportation of persons	3	5	5
23.2 Rental payments to others	7	5	5
23.3 Communications, utilities, and miscellaneous charges	5	3	3
24.0 Printing and reproduction	1		
25.2 Other services	45	20	18
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	8	5
32.0 Land and structures	1	1	1
Insurance claims and indemnities:			
42.0 Liquidity Advances	65	40	15
42.0 REFCORP Payments	1,049	1,639	1,276
42.0 Assistance Transaction Expenditures	15		
42.0 Litigation Expenses	80	97	97
42.0 Other	20	27	5
99.9 Total new obligations	1,379	1,914	1,499

¹ Total obligations include expenses incurred on behalf of receiverships.**Personnel Summary**

Identification code 51-4065-0-3-373	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	844	647	627

FDIC—OFFICE OF INSPECTOR GENERAL
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$33,660,000, to be derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 51-4595-0-4-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.00 Reimbursable program	29	33	34

Public enterprise funds—Continued**FDIC—OFFICE OF INSPECTOR GENERAL—Continued**
(INCLUDING TRANSFER OF FUNDS)—Continued**Program and Financing** (in millions of dollars)—Continued

Identification code 51-4595-0-4-373	2000 actual	2001 est.	2002 est.
10.00 Total new obligations	29	33	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	9	9
22.00 New budget authority (gross)	34	34	34
23.90 Total budgetary resources available for obligation	39	43	43
23.95 Total new obligations	-29	-33	-34
24.40 Unobligated balance carried forward, end of year	9	9	9
New budget authority (gross), detail:			
Mandatory:			
69.62 Transferred from other accounts	34	34	34
69.90 Spending authority from offsetting collections (total mandatory)	34	34	34
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2	1	2
72.99 Obligated balance, start of year	2	1	2
73.10 Total new obligations	29	33	34
73.20 Total outlays (gross)	-30	-34	-34
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	2	1
74.99 Obligated balance, end of year	1	2	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	30	34	34
Net budget authority and outlays:			
89.00 Budget authority	34	34	34
90.00 Outlays	30	34	34

FDIC's Office of Inspector General (OIG) is an independent unit within the Corporation that conducts audits and investigations of corporate activities and assists the Corporation in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (Public Law 100-504). The Resolution Trust Corporation Completion Act, enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act, thus, added FDIC to the establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's first appropriation was for its fiscal year 1998 expenses. The OIG's appropriations are derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund.

Object Classification (in millions of dollars)

Identification code 51-4595-0-4-373	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	20	20	23
12.1 Civilian personnel benefits	6	7	7
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	1	4	2
31.0 Equipment	1	1	1
99.9 Total new obligations	29	33	34

¹ Includes obligations that are recoverable from receiverships.

Personnel Summary

Identification code 51-4595-0-4-373	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	227	227	225

FEDERAL DRUG CONTROL PROGRAMS**Federal Funds****General and special funds:****HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, **[\$206,500,000]** **\$206,305,000** for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas, of which no less than 51 percent shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of the enactment of this Act: *Provided*, That up to 49 percent, to remain available until September 30, **[2002]** **2003**, may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided further*, That, of this latter amount, **[\$1,800,000]** *not less than \$2,100,000* shall be used for auditing services¹: *Provided further*, That HDTAs designated as of September 30, 2000, shall be funded at fiscal year 2000 levels unless the Director submits to the Committees, and the Committees approve, justification for changes in those levels based on clearly articulated priorities for the HIDTA program, as well as published ONDCP performance measures of effectiveness¹ and activities. (*Executive Office Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 11-1070-0-1-802	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.02 Grants to State and local law enforcement agencies	154	170	204
00.03 Auditing services and activities	2	2	2
10.00 Total new obligations	156	172	206
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	157	172	206
23.95 Total new obligations	-156	-172	-206
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	192	206	206
40.76 Reduction pursuant to P.L. 106-113	-1		
41.00 Transferred to other accounts	-33	-34	
43.00 Appropriation (total discretionary)	157	172	206
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	132	146	157
72.99 Obligated balance, start of year	132	146	157
73.10 Total new obligations	156	172	206
73.20 Total outlays (gross)	-143	-161	-223
73.40 Adjustments in expired accounts (net)	1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	146	157	141
74.99 Obligated balance, end of year	146	157	141
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	40	55	66
86.93 Outlays from discretionary balances	103	106	157
87.00 Total outlays (gross)	143	161	223
Net budget authority and outlays:			
89.00 Budget authority	157	172	206
90.00 Outlays	143	161	223

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105-277, to provide assistance to Federal, State and local law enforcement entities operating in those areas most adversely affected by drug trafficking. Since January, 1990, counties in 28 areas have been designated as HDTAs: New York; Los Angeles; Miami; Houston; Balti-

more/Washington, DC; Puerto Rico/Virgin Islands; Southwest Border, which includes South Texas, West Texas, New Mexico, Arizona and Southern California; Chicago; Atlanta; Philadelphia/Camden; Gulf Coast (Alabama, Louisiana, and Mississippi); Lake County (Indiana); Midwest (Iowa, Kansas, Missouri, Nebraska, North Dakota, and South Dakota); Pacific Northwest (Washington); Rocky Mountain (Colorado, Utah, and Wyoming); Northern California (San Francisco Bay area); South Eastern Michigan; Appalachia (Kentucky, Tennessee, and West Virginia); Central Florida; Milwaukee; North Texas; Central Valley California; Hawaii; New England (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont); Ohio; Oregon; Northern Florida; and Nevada.

Funds made available under the HIDTA program are disbursed at the discretion of the Director of the Office of National Drug Control Policy for joint local, State, and Federal initiatives.

The HIDTA program provides funding to enhance and coordinate drug-control activities among State, local and Federal law enforcement agencies participating in designated High Intensity Drug Trafficking Areas. Funding for State and local law enforcement agencies is provided through grants from ONDCP. Funding for Federal agencies is provided through transfers to those agencies. All funding in the HIDTA program is awarded at the discretion of the Director of ONDCP, based on a review of proposed budgets submitted by the HIDTAs. Estimates for the 2002 transfers to Federal agencies cannot be determined until proposed budgets for that year are reviewed.

The HIDTA appropriation also provides funding for services and activities related to auditing State and local grants and Federal transfers.

Object Classification (in millions of dollars)

Identification code 11-1070-0-1-802	2000 actual	2001 est.	2002 est.
25.2 Other services	2	2	2
41.0 Grants, subsidies, and contributions	154	170	204
99.9 Total new obligations	156	172	206

SPECIAL FORFEITURE FUND

(INCLUDING TRANSFER OF FUNDS)

For activities to support a national anti-drug campaign for youth, and other purposes, authorized by Public Law 105-277, [§233,600,000] \$247,600,000, to remain available until expended: *Provided*, That such funds may be transferred to other Federal departments and agencies to carry out such activities: *Provided further*, That of the funds provided, of which \$185,000,000 shall be to support a national media campaign, as authorized in the Drug-Free Media Campaign Act of 1998: *Provided further*, That of the funds provided, \$3,300,000, of which \$3,000,000 shall be made available [to the United States Olympic Committee's anti-doping program no later than 30 days after the enactment of this Act: *Provided further*, That of the funds provided, \$40,000,000] by grant or other appropriate transfer to the United States Anti-Doping Agency for their anti-doping efforts; of which \$50,600,000 shall be to continue a program of matching grants to drug-free communities, as authorized in the Drug-Free Communities Act of 1997: *Provided further*, That of the funds provided, of which \$1,000,000 shall be available to the National Drug Court Institute; of which \$5,000,000 shall be for a Parents for a Drug-Free Future program; and of which \$3,000,000 shall be for the Counterdrug Intelligence Executive Secretariat: *Provided*, That such funds may be transferred to other Federal departments and agencies to carry out such activities. (Executive Office Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 11-1460-0-1-802	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 National Youth Anti-Drug Media Campaign	188	185	185

00.02 Drug-Free Communities Program	30	40	51
00.03 National Drug Court Institute	1	1	1
00.04 Chronic Users Study	5		
00.05 Counterdrug Intelligence Executive Secretariat		3	3
00.06 Anti-Doping Program		3	3
00.07 Metro Intelligence Center		1	
00.08 Parents for a Drug-Free Future			5
10.00 Total new obligations	223	233	248

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	20	3	3
22.00 New budget authority (gross)	205	233	248
23.90 Total budgetary resources available for obligation	225	236	251
23.95 Total new obligations	-223	-233	-248
24.40 Unobligated balance carried forward, end of year	3	3	3

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	216	234	248
40.76 Reduction pursuant to P.L. 106-113	-1		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)		-1	
41.00 Transferred to other accounts	-10		
43.00 Appropriation (total discretionary)	206	233	248

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	164	184	196
72.99 Obligated balance, start of year	164	184	196
73.10 Total new obligations	223	233	248
73.20 Total outlays (gross)	-203	-222	-294
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	184	196	150
74.99 Obligated balance, end of year	184	196	150

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	126	140	149
86.93 Outlays from discretionary balances	77	82	145
87.00 Total outlays (gross)	203	222	294

Net budget authority and outlays:

89.00 Budget authority	205	233	248
90.00 Outlays	203	222	294

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105-277, established the Special Forfeiture Fund to be administered by the Director of the Office of National Drug Control Policy. The monies appropriated to the Fund support high-priority drug control programs and may be transferred to drug control agencies.

For 2002, funds appropriated to this account will be used for the following activities:

National Youth Anti-Drug Media Campaign.—The National Youth Anti-Drug Media Campaign is an integrated advertising and communications campaign harnessing the power of the media and other organizations to educate America's youth to reject illegal drugs.

Drug-Free Communities Program.—The Drug-Free Communities program provides grants to local community coalitions to support expansion of their efforts to reduce substance abuse among our youth.

National Drug Court Institute.—The National Drug Court Institute facilitates the growth of the drug court movement by: promoting and disseminating education, research and scholarship concerning drug court programs and providing a comprehensive drug court training series for practitioners.

Counterdrug Intelligence Executive Secretariat.—The Counterdrug Intelligence Executive Secretariat provides staff support to the Counterdrug Intelligence Coordinating Group (CDICG), an interagency body established to oversee and improve coordination of counterdrug intelligence programs.

General and special funds—Continued**SPECIAL FORFEITURE FUND—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Anti-Doping Support.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic sports.

Parents for a Drug-Free Future.—The Parents for a Drug-Free Future program will enable parents to implement effective family-based prevention to help children stay free of substance abuse and integrate parent efforts into a comprehensive drug abuse prevention program.

Object Classification (in millions of dollars)

Identification code 11-1460-0-1-802	2000 actual	2001 est.	2002 est.
25.2 Other services	194	194	193
41.0 Grants, subsidies, and contributions	29	39	55
99.9 Total new obligations	223	233	248

FEDERAL ELECTION COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended, **[\$40,500,000]** **\$41,411,000**, of which no less than **[\$4,689,500]** **\$4,453,000** shall be available for internal automated data processing systems, and of which not to exceed \$5,000 shall be available for reception and representation expenses. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-1600-0-1-808	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	38	40	41
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	38	40	41
23.95 Total new obligations	-38	-40	-41
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	38	40	41
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	8	8	6
72.99 Obligated balance, start of year	8	8	6
73.10 Total new obligations	38	40	41
73.20 Total outlays (gross)	-40	-40	-42
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	8	6	6
74.99 Obligated balance, end of year	8	6	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	32	35	36
86.93 Outlays from discretionary balances	6	6	6
87.00 Total outlays (gross)	40	40	42
Net budget authority and outlays:			
89.00 Budget authority	38	40	41
90.00 Outlays	38	40	42

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

Object Classification (in millions of dollars)

Identification code 95-1600-0-1-808	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	21	22	23
12.1 Civilian personnel benefits	5	6	6
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	4	3
25.3 Purchases of goods and services from Government accounts	1		
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1		1
99.9 Total new obligations	38	40	41

Personnel Summary

Identification code 95-1600-0-1-808	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	343	357	357

**FEDERAL FINANCIAL INSTITUTIONS
EXAMINATION COUNCIL APPRAISAL
SUBCOMMITTEE****Federal Funds****General and special funds:****REGISTRY FEES****Unavailable Collections** (in millions of dollars)

Identification code 95-5026-0-2-376	2000 actual	2001 est.	2002 est.
Receipts:			
02.00 Registry fees, Appraisal subcommittee	2	2	2
Appropriations:			
05.00 Registry fees	-2	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5026-0-2-376	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Administrative expenses	1	1	1
00.02 Grants, subsidies and contributions	1	1	1
10.00 Total new obligations	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	4
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	5	6	6
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
60.25 Appropriation (special fund, indefinite)	2	2	2
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1		
72.99 Obligated balance, start of year	1		
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-3	-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	3	2	2

Net budget authority and outlays:			
89.00	Budget authority	2	2
90.00	Outlays	1	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and, (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry.

Object Classification (in millions of dollars)

Identification code 95-5026-0-2-376	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code 95-5026-0-2-376	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

FEDERAL HOUSING FINANCE BOARD

Federal Funds

Public enterprise funds:

FEDERAL HOUSING FINANCE BOARD

Program and Financing (in millions of dollars)

Identification code 95-4039-0-3-371	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Operating Expenses	19	24	25
10.00 Total new obligations	19	24	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1
22.00 New budget authority (gross)	19	23	25
23.90 Total budgetary resources available for obligation	20	24	25
23.95 Total new obligations	-19	-24	-25
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	19	23	25

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	4	4	4
72.99 Obligated balance, start of year	4	4	4
73.10 Total new obligations	19	24	25
73.20 Total outlays (gross)	-18	-23	-25
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	4	4	4
74.99 Obligated balance, end of year	4	4	4

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	14	19	21
86.98 Outlays from mandatory balances	4	4	4
87.00 Total outlays (gross)	18	23	25

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-19	-23	-25

Net budget authority and outlays:

89.00 Budget authority
90.00 Outlays	-1

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise the Banks; (3) to ensure that the Banks carry out their housing finance mission; and, (4) to ensure the Banks remain adequately capitalized and able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member board of directors. The board of directors is composed of the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and, (4) use the United States mail in the same manner and under the same conditions as a department or agency of the United States.

Object Classification (in millions of dollars)

Identification code 95-4039-0-3-371	2000 actual	2001 est.	2002 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	10	11	12
11.3 Other than full-time permanent	1	1
11.5 Other personnel compensation	1
11.9 Total personnel compensation	11	12	13
12.1 Civilian personnel benefits	2	3	3
13.0 Benefits for former personnel	1
21.0 Travel and transportation of persons	1	1
23.2 Rental payments to others	1	1	2
25.1 Advisory and assistance services	1	3	3
31.0 Equipment	2	2
99.0 Subtotal, reimbursable obligations	16	22	24
99.5 Below reporting threshold	3	2	1
99.9 Total new obligations	19	24	25

Public enterprise funds—Continued**FEDERAL HOUSING FINANCE BOARD—Continued****Personnel Summary**

Identification code 95-4039-0-3-371		2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment	108	115	118

FEDERAL LABOR RELATIONS AUTHORITY**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, and rental of conference rooms in the District of Columbia and elsewhere, **[\$25,058,000]** **\$26,378,000: Provided**, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: **Provided further**, That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 54-0100-0-1-805		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
00.01	Federal labor relations authority	12	13	13
00.02	Office of the general counsel	11	11	12
00.03	Federal service impasses panel	1	1	1
10.00	Total new obligations	24	25	26
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	24	25	26
23.95	Total new obligations	-24	-25	-26
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	24	25	26
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	3	3	3
72.99	Obligated balance, start of year	3	3	3
73.10	Total new obligations	24	25	26
73.20	Total outlays (gross)	-24	-25	-26
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	3	3	3
74.99	Obligated balance, end of year	3	3	3
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	22	23	24
86.93	Outlays from discretionary balances	2	2	2
87.00	Total outlays (gross)	24	25	26
Net budget authority and outlays:				
89.00	Budget authority	24	25	26
90.00	Outlays	24	25	26

The Federal Labor Relations Authority (FLRA): (1) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute;

(2) decides major policy issues; (3) prescribes regulations; and, (4) disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in case-related interventions and training and facilitation of labor-management relationships in its unified Collaboration and Alternative Dispute Resolution Program. Approximately 1400 case-related intervention services were conducted in 2000. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; and trains the parties on rights and responsibilities under the Federal Labor Relations Management Statute. In 2000, the FLRA conducted over 300 programs involving over 12,000 employees, union representatives, arbitrators, and other practitioners.

The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

Authority.—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, administrative law judges hold hearings on unfair labor practice complaints, issue reports, and make recommendations to the Authority to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Case dispositions are reflected in the following table:

CASE DISPOSITIONS

	2000 actual	2001 est.	2002 est.
Arbitration appeals	143	142	142
Negotiability appeals	76	79	79
Representation appeals/requests for review	19	17	17
Unfair labor practice appeals	57	58	58

Office of the General Counsel.—The functions of this office include: (1) investigating all allegations of unfair labor practices filed and the processing of all representation petitions received; (2) exercising final authority over the issuance and prosecution of all complaints; (3) supervising and conducting elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; (4) conducting all hearings to resolve disputed issues in representation cases; (5) preparing final decisions and orders in these cases; and, (6) directing and supervising all employees of the regional offices. Case dispositions are reflected in the following table:

CASE DISPOSITIONS

	2000 actual	2001 est.	2002 est.
Unfair labor practice cases:			
Investigations	5,707	5,700	5,700
Complaints prosecuted	35	40	40
Complaints voluntarily settled	281	250	250
Appeals	401	485	485
Representation cases:			
Investigations	1,112	679	650
Elections/hearings	195	190	190

Federal Service Impasses Panel.—The functions of the Panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978 and other statutes. The

Panel uses a variety of procedures including factfinding and arbitration.

CASE DISPOSITIONS

	2000 actual	2001 est.	2002 est.
Impasse resolutions	177	170	170

Object Classification (in millions of dollars)

Identification code 54-0100-0-1-805	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	16	17
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	15	17	18
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
99.0 Subtotal, direct obligations	23	25	26
99.5 Below reporting threshold	1		
99.9 Total new obligations	24	25	26

Personnel Summary

Identification code 54-0100-0-1-805	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	212	215	215

FEDERAL MARITIME COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. App. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, **[\$15,500,000] \$16,449,842: Provided**, That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 65-0100-0-1-403	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Formal proceedings	4	5	5
00.04 Operational and administrative	4	3	4
00.06 Trade Analysis	3	2	3
00.07 Consumer Complaints and Licensing	2	3	2
00.08 Enforcement	2	3	2
10.00 Total new obligations	15	16	16

Budgetary resources available for obligation:

22.00 New budget authority (gross)	15	16	16
23.95 Total new obligations	-15	-16	-16

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	14	16	16
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	15	16	16
70.00 Total new budget authority (gross)	15	16	16

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	15	16	16
73.20 Total outlays (gross)	-14	-15	-15
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	15	15	15
86.93 Outlays from discretionary balances	1		1
87.00 Total outlays (gross)	14	15	15

Net budget authority and outlays:

89.00 Budget authority	15	16	16
90.00 Outlays	15	15	15

The Federal Maritime Commission (the Commission) regulates the international waterborne commerce of the United States. In addition, the Commission has responsibility for: licensing and bonding ocean transportation intermediaries and assuring that vessel owners or operators establish financial responsibility to pay judgments for death or injury to passengers for nonperformance of a cruise on voyages from U.S. ports. Major program areas for 2002 are: carrying out investigations of foreign trade practices under the Foreign Shipping Practices Act; maintaining equitable trading conditions in U.S. ocean commerce; ensuring compliance with applicable shipping statutes; pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes; and, reviewing ocean carrier operational and pricing agreements to guard against excessively anticompetitive effects.

Object Classification (in millions of dollars)

Identification code 65-0100-0-1-403	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	10
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services	1	1	1
99.0 Subtotal, direct obligations	14	15	15
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	15	16	16

Personnel Summary

Identification code 65-0100-0-1-403	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	128	131	135

FEDERAL MEDIATION AND CONCILIATION SERVICE**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Federal Mediation and Conciliation Service to carry out the functions vested in it by the Labor Management Relations Act, 1947 (29 U.S.C. 171-180, 182-183), including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a); and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, Public Law 95-454 (5 U.S.C. ch. 71), **[\$38,200,000] \$39,482,000**, including \$1,500,000, to remain available through September 30, **[2002] 2003**, for activities

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

authorized by the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a): *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information	27	28	29
00.02 Arbitration services	1	1	1
00.03 Management and administrative support	7	7	7
00.04 Labor-management cooperation project	2	2	2
00.91 Total direct program	37	38	39
01.01 Reimbursable program	2	2	2
10.00 Total new obligations	39	40	41
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	39	40	41
23.90 Total budgetary resources available for obligation	40	41	42
23.95 Total new obligations	-39	-40	-41
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	37	38	39
68.00 Spending authority from offsetting collections: Offsetting governmental collections	2	2	2
70.00 Total new budget authority (gross)	39	40	41
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	6	6	7
72.99 Obligated balance, start of year	6	6	7
73.10 Total new obligations	39	40	41
73.20 Total outlays (gross)	-37	-39	-41
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	6	7	8
74.99 Obligated balance, end of year	6	7	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	35	37	38
86.93 Outlays from discretionary balances	3	3	5
87.00 Total outlays (gross)	37	39	41
Offsets:			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections from the public	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	37	38	39
90.00 Outlays	36	37	39

The Federal Mediation and Conciliation Service (FMCS or the Service) provides assistance to parties in labor disputes

in industries affecting commerce through conciliation and mediation.

Dispute mediation.—The Service assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

MEDIATION WORKLOAD DATA

	1998 actual	1999 actual	2000 actual	2001 estimate	2002 estimate
Cases in process at beginning of year	7,183	8,267	8,172	7,009	7,409
Mediation assignments	20,844	19,200	19,574	20,400	20,400
Mediation assignments closed	20,256	19,295	20,737	20,000	20,000
Cases in process at end of year	7,771	8,172	7,009	7,409	7,809
Total mediation conferences conducted	5,643	6,188	6,321	6,300	6,300

Preventive mediation, public information, and educational activities.—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in public information and educational activities such as lectures, seminars, and conferences.

Arbitration services.—The Service assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	1998 actual	1999 actual	2000 actual	2001 estimate	2002 estimate
Number of panels issued	31,295	19,490	18,833	19,209	19,401
Number of arbitrators appointed	10,391	9,558	8,829	9,005	9,095

Management and administrative support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-management cooperation project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—The Service assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	1998 actual	1999 actual	2000 actual	2001 estimate	2002 estimate
Number of ADR Cases	51	590	798	800	800

Object Classification (in millions of dollars)

Identification code 93-0100-0-1-505	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	22	22	24
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	3	2	3
23.1 Rental payments to GSA	4	4	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1

25.2	Other services	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	1	2	1
99.0	Subtotal, direct obligations	38	38	41
99.5	Below reporting threshold	1	2	
99.9	Total new obligations	39	40	41

Personnel Summary

Identification code 93-0100-0-1-505	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	279	286	286
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	6	6	6

**FEDERAL MINE SAFETY AND HEALTH
REVIEW COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Federal Mine Safety and Health Review Commission (30 U.S.C. 801 et seq.), **[\$6,320,000] \$6,939,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-2800-0-1-554	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Commission review	4	4	4
00.02 Administrative law judge determinations	3	3	3
10.00 Total new obligations	6	6	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	7
23.95 Total new obligations	-6	-6	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	6	7
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	6	6	7
73.20 Total outlays (gross)	-7	-6	-7
73.40 Adjustments in expired accounts (net)	1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	6
86.93 Outlays from discretionary balances	2	1	1
87.00 Total outlays (gross)	7	6	7
Net budget authority and outlays:			
89.00 Budget authority	6	6	7
90.00 Outlays	7	6	7

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Commission review activities:			
Cases pending beginning of year	22	34	27
Cases called for review	73	59	60
Cases decided	61	66	66
Administrative law judge activities:			
Cases pending beginning of year	1,516	1,563	1,363
New cases received	2,371	2,250	2,350
Cases decided	2,324	2,450	2,500

Object Classification (in millions of dollars)

Identification code 95-2800-0-1-554	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1		1
99.9 Total new obligations	6	6	7

Personnel Summary

Identification code 95-2800-0-1-554	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	43	50	50

**FEDERAL RETIREMENT THRIFT INVESTMENT
BOARD****Federal Funds****General and special funds:****PROGRAM EXPENSES****Unavailable Collections (in millions of dollars)**

Identification code 26-5290-0-2-602	2000 actual	2001 est.	2002 est.
Receipts:			
02.20 Reimbursement for program expenses	102	106	83
Appropriations:			
05.00 Program expenses	-102	-106	-83
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 26-5290-0-2-602	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Administrative expenses	104	106	83
10.00 Total new obligations	104	106	83
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	102	106	83
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	104	106	83
23.95 Total new obligations	-104	-106	-83
New budget authority (gross), detail:			
Mandatory:			
60.25 Appropriation (special fund, indefinite)	102	106	83
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	39	58	21
72.99 Obligated balance, start of year	39	58	21
73.10 Total new obligations	104	106	83
73.20 Total outlays (gross)	-83	-143	-87
73.45 Recoveries of prior year obligations	-2		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	58	21	17
74.99 Obligated balance, end of year	58	21	17
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	48	85	66

General and special funds—Continued**PROGRAM EXPENSES—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 26–5290–0–2–602	2000 actual	2001 est.	2002 est.
86.98 Outlays from mandatory balances	35	58	21
87.00 Total outlays (gross)	83	143	87
Net budget authority and outlays:			
89.00 Budget authority	102	106	83
90.00 Outlays	83	143	87

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

Object Classification (in millions of dollars)

Identification code 26–5290–0–2–602	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	7	7
11.3 Other than full-time permanent		1	1
11.9 Total personnel compensation	6	8	8
12.1 Civilian personnel benefits	1	2	2
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	2		
24.0 Printing and reproduction	5	7	3
25.2 Other services	5	9	12
25.3 Purchases of goods and services from Government accounts	49	55	53
31.0 Equipment	33	23	2
99.0 Subtotal, direct obligations	103	106	82
99.5 Below reporting threshold	1		1
99.9 Total new obligations	104	106	83

Personnel Summary

Identification code 26–5290–0–2–602	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	94	107	112

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal employees are eligible to contribute to the Fund. However, only those employees covered by the Federal Employees' Retirement System have their contributions matched by employing agencies in accordance with the formulas prescribed by law. Employees are currently entitled to select how contributions are distributed among three investment funds: a U.S. Government securities investment fund; a common stock index investment fund; and, a fixed income index investment fund. A small capitalization stock index investment fund and an international stock index fund are planned for implementation during 2001.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

(In millions of dollars)

	2000 actual	2001 est.	2002 est.
Thrift Savings Fund investment balance, start of year	82,016	96,415	107,304
Receipts during the year:			
Employee contributions	6,070	6,557	7,800
Contributions on behalf of employees ¹	2,881	3,036	3,276
Earnings ²	8,917	4,760	14,006
Total receipts	17,868	14,353	25,082
Outlays during the year:			
Withdrawals	2,848	2,790	3,232
Loans to employees	538	531	616
Administrative expenses	83	143	87
Total outlays	3,469	3,464	3,935
Thrift Savings Fund investment balance, end of year ³	96,415	107,304	128,451

¹ 2000 Employer contributions included \$654 million in automatic contributions for FERS employees and \$2,227 million in matching contributions for FERS employees.

² 2000 Earnings included: return on investments in Government securities—\$1,953 million; return on investments in non-government instruments—\$6,770 million; interest on loans—\$191 million; and agency payments for lost earnings—\$3 million.

³ Investment fund balances at 9/30/2000 were: Government Securities Investment Fund—\$31,777 million; Barclays Equity Index Fund—\$60,706 million; and Barclays U.S. Debt Index Fund—\$3,933 million.

FEDERAL TRADE COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$2,000 for official reception and representation expenses, **[\$145,254,000] \$156,270,000: Provided,** That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: *Provided further,* That, notwithstanding section 3302(b) of title 31, United States Code, not to exceed **[\$145,254,000] \$156,270,000** of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. [18(a)] 18a) shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further,* That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2001] 2002**, so as to result in a final fiscal year **[2001] 2002** appropriation from the general fund estimated at not more than \$0, to remain available until expended: *Provided further,* That none of the funds made available to the Federal Trade Commission shall be available for obligation for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102–242; 105 Stat. 2282–2285). (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106–553.*)

Unavailable Collections (in millions of dollars)

Identification code 29–0100–0–1–376	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	22	3	15
Receipts:			
02.80 Salaries and expenses, offsetting collections	107	160	208
04.00 Total: Balances and collections	129	163	223
Appropriations:			
05.00 Salaries and expenses	–126	–148	–157
05.99 Total appropriations	–126	–148	–157
07.99 Balance, end of year	3	15	66

Program and Financing (in millions of dollars)

Identification code 29-0100-0-1-376		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Reimbursable program:				
09.01	Maintaining competition	59	69	73
09.02	Consumer protection	66	78	83
09.03	Reimbursable program	1	1	1
09.99	Total reimbursable program	126	148	157
10.00	Total new obligations	126	148	157
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	3	4	4
22.00	New budget authority (gross)	126	148	157
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	130	152	161
23.95	Total new obligations	-126	-148	-157
24.40	Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	107	160	208
68.26	From offsetting collections (unavailable balances)	21	2	
68.45	Portion precluded from obligation (limitation on obligations)	-2	-14	-51
68.90	Spending authority from offsetting collections (total discretionary)	126	148	157
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	17	17	12
72.99	Obligated balance, start of year	17	17	12
73.10	Total new obligations	126	148	157
73.20	Total outlays (gross)	-125	-153	-156
73.45	Recoveries of prior year obligations	-1		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	17	12	13
74.99	Obligated balance, end of year	17	12	13
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	107	136	144
86.93	Outlays from discretionary balances	18	17	12
87.00	Total outlays (gross)	125	153	156
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	-1
88.40	Non-Federal sources	-106	-159	-207
88.90	Total, offsetting collections (cash)	-107	-160	-208
Net budget authority and outlays:				
89.00	Budget authority	19	-12	-51
90.00	Outlays	18	-7	-52

The FTC seeks to protect consumers and enhance competition by eliminating unfair or deceptive acts or practices in the marketing of goods and services and by ensuring that consumer markets function competitively. The FTC's work is based on the belief that competition among producers, and accurate information in the hands of consumers, bring the best products and lowest prices to marketplace, spur innovation, and strengthen the economy.

Consumer protection.—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to prevent fraud, deception, and unfair business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2)

stop fraud, deception, and unfair practices through law enforcement; and, (3) prevent consumer injury through education.

Maintaining competition.—The Commission's efforts are aimed at fostering and preserving our competitive market. The goal of the maintaining competition mission is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify anticompetitive mergers and practices that cause the greatest consumer injury; (2) stop anticompetitive mergers and practices through law enforcement; and, (3) prevent consumer injury through education.

The President's 2002 request will fund a total of 1,080 FTE, which includes 6 reimbursable FTE. The program level for the Commission will increase from \$147.2 million in 2001 to \$156.3 million in 2002, allowing the Commission to maintain the current performance of its missions. The 2002 requested program level will be fully funded by fees assessed on Hart-Scott-Rodino Act premerger notification filings as authorized by section 605 of Public Law 101-162, as amended.

Object Classification (in millions of dollars)

Identification code 29-0100-0-1-376		2000 actual	2001 est.	2002 est.
99.0	Reimbursable obligations: Subtotal, reimbursable obligations	126	148	157
99.9	Total new obligations	126	148	157

Personnel Summary

Identification code 29-0100-0-1-376		2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment	989	1,055	1,080

HARRY S. TRUMAN SCHOLARSHIP
FOUNDATION

Trust Funds

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8296-0-7-502		2000 actual	2001 est.	2002 est.
01.99	Balance, start of year			
Receipts:				
02.40	Interest on investments	4	4	4
Appropriations:				
05.00	Harry S. Truman memorial scholarship trust fund	-4	-4	-4
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-8296-0-7-502		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
00.01	Scholarship awards	2	2	2
00.02	Program administration	1	1	1
10.00	Total new obligations	3	3	3
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	55	56	57
22.00	New budget authority (gross)	4	4	4
23.90	Total budgetary resources available for obligation	59	60	61
23.95	Total new obligations	-3	-3	-3
24.40	Unobligated balance carried forward, end of year	56	57	59
New budget authority (gross), detail:				
Mandatory:				
60.27	Appropriation (trust fund, indefinite)	4	4	4

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95–8296–0–7–502	2000 actual	2001 est.	2002 est.
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2	1	2
72.99 Obligated balance, start of year	2	1	2
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	–3	–3	–3
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	2	2
74.99 Obligated balance, end of year	1	2	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances	2	3	3
87.00 Total outlays (gross)	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	4	4	4
90.00 Outlays	3	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	57	58	59
92.02 Total investments, end of year: Federal securities:			
Par value	58	59	59

Public Law 93–642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 80 new Truman Scholars. The maximum award is \$30,000 for four years.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95–8296–0–7–502	2000 actual	2001 est.	2002 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 95–8296–0–7–502	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	4	5	5

INSTITUTE OF AMERICAN INDIAN AND
ALASKA NATIVE CULTURE AND ARTS
DEVELOPMENT

Federal Funds

General and special funds:

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99–498, as amended (20 U.S.C. 56 part A), **[\$4,125,000]** **\$4,490,000.** (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95–2900–0–1–502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Payment to the Institute	2	4	4
10.00 Total new obligations (object class 41.0)	2	4	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	4	4
23.95 Total new obligations	–2	–4	–4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	4	4
Change in unpaid obligations:			
73.10 Total new obligations	2	4	4
73.20 Total outlays (gross)	–2	–4	–4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	4	4
Net budget authority and outlays:			
89.00 Budget authority	2	4	4
90.00 Outlays	2	4	4

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

INTELLIGENCE COMMUNITY MANAGEMENT
ACCOUNT

Federal Funds

General and special funds:

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Intelligence Community Management Account, **[\$148,631,000]** **\$152,776,000**, of which **[\$22,577,000]** **\$28,003,000** for the Advanced Research and Development Committee shall remain available until September 30, **[2002]** **2003: Provided**, That of the funds appropriated under this heading, **[\$34,100,000]** **\$27,000,000** shall be transferred to the Department of Justice for the National Drug Intelligence Center to support the Department of Defense's counter-drug intelligence responsibilities, and of the said amount, \$1,500,000 for Procurement shall remain available until September 30, **[2003]** **2004**, and \$1,000,000 for Research, development, test and evaluation shall remain available until September 30, **[2002]** **2003: Provided further**, That the National Drug Intelligence Center shall maintain the personnel and technical resources to pro-

vide timely support to law enforcement authorities to conduct document exploitation of materials collected in Federal, State, and local law enforcement activity. (*Department of Defense Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-0401-0-1-054	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	117	115	126
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	14	14
22.00 New budget authority (gross)	131	115	126
23.90 Total budgetary resources available for obligation	137	129	140
23.95 Total new obligations	-117	-115	-126
23.98 Unobligated balance expiring or withdrawn	-6		
24.00 Unobligated balance carried forward, end of year	14	14	14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	158	149	153
41.00 Transferred to other accounts	-27	-34	-27
43.00 Appropriation (total discretionary)	131	115	126
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	58	71	79
72.99 Obligated balance, start of year	58	71	79
73.10 Total new obligations	117	115	126
73.20 Total outlays (gross)	-104	-108	-144
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	71	79	61
74.99 Obligated balance, end of year	71	79	61
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	71	71	78
86.93 Outlays from discretionary balances	33	36	65
87.00 Total outlays (gross)	104	108	144
Net budget authority and outlays:			
89.00 Budget authority	131	115	126
90.00 Outlays	103	108	144

The Intelligence Community Management Account (ICMA) was established by Congressional direction to provide resources that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA includes the Community Management Staff, the National Intelligence Council, the Center for Security Evaluations, the office of the Intelligence Community Chief Information Officer (CIO), the Advanced Research and Development program, the National Counterintelligence Center, and the National Drug Intelligence Center.

The Community Management Staff is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The office of the CIO supports technical activities and services of common Community concern regarding interoperability between national intelligence systems and consumers. The National Counterintelligence Center

was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities. The National Drug Intelligence Center was established to coordinate strategic organizational drug intelligence from national security and law enforcement agencies.

Object Classification (in millions of dollars)

Identification code 95-0401-0-1-054	2000 actual	2001 est.	2002 est.
11.3 Personnel compensation: Other than full-time permanent	24	29	29
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	2	2	2
25.2 Other services	84	77	88
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
99.9 Total new obligations	117	115	126

Personnel Summary

Identification code 95-0401-0-1-054	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	280	309	311

INTERNATIONAL TRADE COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$48,100,000] \$51,440,000**, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 34-0100-0-1-153	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Research, investigations, and reports	47	48	51
10.00 Total new obligations	47	48	51
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3		
22.00 New budget authority (gross)	44	48	51
23.90 Total budgetary resources available for obligation	47	48	51
23.95 Total new obligations	-47	-48	-51
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	44	48	51
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	5	5	3
72.99 Obligated balance, start of year	5	5	3
73.10 Total new obligations	47	48	51
73.20 Total outlays (gross)	-47	-50	-51
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	5	3	3
74.99 Obligated balance, end of year	5	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	40	45	48
86.93 Outlays from discretionary balances	7	5	3
87.00 Total outlays (gross)	47	50	51
Net budget authority and outlays:			
89.00 Budget authority	44	48	51

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 34-0100-0-1-153	2000 actual	2001 est.	2002 est.
90.00 Outlays	47	50	51

The U.S. International Trade Commission is an independent, quasi-judicial federal agency established by Congress with a wide range of trade-related mandates. The mission of the Commission is twofold: administer U.S. trade remedy laws in a fair and objective manner; and provide the President, the United States Trade Representative, and the Congress with independent, quality advice and information on matters of international trade and competitiveness.

For 2002, the Commission requests an appropriation of \$51 million in order to fund existing mandated investigative activity and related operations, a mandatory 3.7 percent pay increase, and information technology projects that are designed to improve electronic transaction capability, provide broader public access to public data and other information, develop more timely and accurate trade information for the trade community, and improve transparency in the Commission's procedures and finances. The 2002 request represents a 5.25 percent increase over its 2001 funding availability of \$49 million and a 6.9 percent increase over the 2001 appropriation of \$48 million.

In October 1998, the Commission issued the third edition of its Strategic Plan and is currently implementing the 2001 Performance Plan. For the purpose of developing the Strategic Plan, the Commission's functions were divided into five operations and, in order to facilitate the linkage of financial resources to the achievement of strategic goals, the budget justification is structured in the same manner. There are 20 strategic goals for the five operations. In 1999 and 2000 these goals were met in virtually all instances.

As presented in the Commission's Strategic Plan, there are five major operations that serve the Commission's external customers:

- **Import Injury Investigations:** These cover the conduct of the Commission's countervailing duty, antidumping, and sunset review investigations (collectively known as Title VII investigations), safeguards and market disruption investigations, and appellate litigation of challenges to the Commission's determinations.

- **Intellectual Property-Based Import Investigations:** These cover the conduct of the Commission's adjudicatory investigations (referred to as section 337 investigations) regarding alleged unfair methods of competition and unfair acts in the importation of goods into the United States and most frequently involve allegations of patent or trademark infringement.

- **Research:** This covers all activities related to the acquisition, maintenance, and application of analytical and technical trade expertise. This expertise is applied through studies regarding the performance and global competitiveness of various U.S. industries, the impact of changes in trade policy on the overall economy or subsets thereof, trade and competitiveness issues, and the probable economic effect of tariff reductions and trade agreements.

- **Trade Information Services:** This covers a wide range of activities that provide Commission staff, the Congress, the Executive Branch, and the general public with reliable and timely trade information and analysis. These activities include work on legislative reports for Congress, the maintenance and publication of Harmonized Tariff Schedule of the United States, maintenance of a tariff and trade database (Dataweb), and library services. Also included within this operation is the development of trade data compilations tailored

for use by U.S. negotiators, and nomenclature expertise and related assistance to U.S. trade negotiating delegations (principally to the World Trade Organization and the World Customs Organization) as well as Congressional staff.

- **Trade Policy Support:** This covers direct support activities for policy makers such as the provision of technical expertise and objective information on trade issues to congressional committees and members' offices, the United States Trade Representative, interagency committees, and U.S. delegations to multilateral organizations.

All of these operations define the output of the Commission, emphasizing the benefits that the Commission provides in facilitating an open trading system based on the rule of law and economic self-interest. Within each operation, specific critical success indicators and strategic goals are identified. The Commission's Strategic Plan, Performance Plan, and Performance Report are available at <http://www.usitc.gov/webinfcn.htm>.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

Object Classification (in millions of dollars)

Identification code 34-0100-0-1-153	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	27	28	29
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	29	30	30
12.1 Civilian personnel benefits	6	6	7
23.1 Rental payments to GSA	5	5	6
25.2 Other services	2	2	4
25.3 Purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	1
99.9 Total new obligations	47	48	51

Personnel Summary

Identification code 34-0100-0-1-153	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	357	388	379

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION**Trust Funds****JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND****Unavailable Collections (in millions of dollars)**

Identification code 95-8282-0-7-502	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.40 Earnings on investments	3	3	3
Appropriations:			
05.00 James Madison Memorial Fellowship Trust Fund	-3	-3	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-8282-0-7-502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Fellowship awards	1	1	1
00.02 Program administration	6	1	1
10.00 Total new obligations	7	2	2

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	41	37	38
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22.00	New budget authority (gross)	3	3	3
23.90	Total budgetary resources available for obligation	44	40	41
23.95	Total new obligations	-7	-2	-2
24.40	Unobligated balance carried forward, end of year	37	38	39

New budget authority (gross), detail:

Mandatory:				
60.27	Appropriation (trust fund, indefinite)	3	3	3

Change in unpaid obligations:

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	1	1
72.99	Obligated balance, start of year	1	1
73.10	Total new obligations	7	2	2
73.20	Total outlays (gross)	-7	-2	-2
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	1
74.99	Obligated balance, end of year	1

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	2	1	2
86.98	Outlays from mandatory balances	5	1
87.00	Total outlays (gross)	7	2	2

Net budget authority and outlays:

89.00	Budget authority	3	3	3
90.00	Outlays	7	2	2

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	41	37	45
92.02	Total investments, end of year: Federal securities: Par value	37	45	45

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 65 fellowships in 2000 and plans to award at least 60 in both 2001 and 2002.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 95-8282-0-7-502				
41.0	Direct obligations: Grants, subsidies, and contributions	6	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	7	2	2

Personnel Summary

Identification code 95-8282-0-7-502		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	6	6	6

JAPAN-UNITED STATES FRIENDSHIP COMMISSION**Trust Funds****JAPAN-UNITED STATES FRIENDSHIP TRUST FUND****Unavailable Collections (in millions of dollars)**

Identification code 95-8025-0-7-154				
01.99	Balance, start of year	41	41	41
Receipts:				
02.40	Interest on investment in public debt securities	3	3	3
04.00	Total: Balances and collections	44	44	44
Appropriations:				
05.00	Japan-United States friendship trust fund	-3	-3	-3
07.99	Balance, end of year	41	41	41

Program and Financing (in millions of dollars)

Identification code 95-8025-0-7-154				
Obligations by program activity:				
00.01	Grants		2	2
00.02	Administration	3	1	1
10.00	Total new obligations	3	3	3

Budgetary resources available for obligation:

22.00	New budget authority (gross)	3	3	3
23.95	Total new obligations	-3	-3	-3

New budget authority (gross), detail:

Mandatory:				
60.27	Appropriation (trust fund, indefinite)	3	3	3

Change in unpaid obligations:

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	1
72.99	Obligated balance, start of year	1
73.10	Total new obligations	3	3	3
73.20	Total outlays (gross)	-3	-3	-3

Outlays (gross), detail:

86.97	Outlays from new mandatory authority		3	3
86.98	Outlays from mandatory balances	1
87.00	Total outlays (gross)	3	3	3

Net budget authority and outlays:

89.00	Budget authority	3	3	3
90.00	Outlays	3	3	3

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	42	42	42
92.02	Total investments, end of year: Federal securities: Par value	42	42	42

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional ex-

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued

changes, public affairs programs, and other cultural and educational activities primarily in the United States.

Object Classification (in millions of dollars)

Identification code	95-8025-0-7-154	2000 actual	2001 est.	2002 est.
41.0	Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	3	3	3

Personnel Summary

Identification code	95-8025-0-7-154	2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	4	4	5

LEGAL SERVICES CORPORATION

Federal Funds

General and special funds:

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, as amended, **[\$330,000,000] \$329,300,000**, of which \$310,000,000 is for basic field programs and required independent audits; **[\$2,200,000] \$2,500,000** is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; **[\$10,800,000] \$12,400,000** is for management and administration; and **[\$7,000,000] \$4,400,000** is for client self-help and information technology. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code	20-0501-0-1-752	2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations (object class 41.0)	305	329	329
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	305	329	329
23.95	Total new obligations	-305	-329	-329
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation		330	329
40.05	Appropriation (indefinite)	305		
40.76	Reduction pursuant to P.L. 106-113	-1		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)		-1	
43.00	Appropriation (total discretionary)	304	329	329
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00	Total new budget authority (gross)	305	329	329
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	25	28	29
72.99	Obligated balance, start of year	25	28	29
73.10	Total new obligations	305	329	329
73.20	Total outlays (gross)	-302	-328	-327
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	28	29	29
74.99	Obligated balance, end of year	28	29	29
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	279	301	301
86.93	Outlays from discretionary balances	25	26	28
87.00	Total outlays (gross)	302	328	327

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-1		

Net budget authority and outlays:

89.00	Budget authority	304	329	329
90.00	Outlays	301	328	327

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to **[2000] 2001** and **[2001] 2002**, respectively. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

MARINE MAMMAL COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, as amended, **[\$1,700,000] \$1,732,000**. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code	95-2200-0-1-302	2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations	1	2	2
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	1	2	2
23.95	Total new obligations	-1	-2	-2
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation		2	2
40.05	Appropriation (indefinite)	1		
43.00	Appropriation (total discretionary)	1	2	2
Change in unpaid obligations:				
73.10	Total new obligations	1	2	2
73.20	Total outlays (gross)	-1	-2	-2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1	2	2
Net budget authority and outlays:				
89.00	Budget authority	1	2	2
90.00	Outlays	1	2	2

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

Object Classification (in millions of dollars)

Identification code	95-2200-0-1-302	2000 actual	2001 est.	2002 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	1	2	2

Personnel Summary

Identification code	95-2200-0-1-302	2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	10	10	10

MERIT SYSTEMS PROTECTION BOARD**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, **[\$29,437,000] \$30,375,000** together with not to exceed **[\$2,430,000] \$2,520,000** for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code	41-0100-0-1-805	2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Direct program:				
00.01	Adjudication	24	25	26
00.02	Merit system studies	1	1	1
00.03	Management support	3	3	3
09.00	Reimbursable program	2	2	3
10.00	Total new obligations	30	32	33

Budgetary resources available for obligation:

22.00	New budget authority (gross)	29	32	33
23.95	Total new obligations	-30	-32	-33

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	27	29	30
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	2	2	3
70.00	Total new budget authority (gross)	29	32	33

Change in unpaid obligations:

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	5	5	6
72.99	Obligated balance, start of year	5	5	6
73.10	Total new obligations	30	32	33
73.20	Total outlays (gross)	-29	-31	-33
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	5	6	6
74.99	Obligated balance, end of year	5	6	6

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	27	29	31
86.93	Outlays from discretionary balances	2	2	3
87.00	Total outlays (gross)	29	31	33

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-2	-2	-3

Net budget authority and outlays:

89.00	Budget authority	27	30	30
90.00	Outlays	27	29	30

Established by the Civil Service Reform Act of 1978, the Board serves as guardian of the Federal Government's merit-based system of employment, principally by hearing and deciding appeals from Federal employees of removals and other major personnel actions. The Board also hears and decides other types of civil service cases, reviews regulations of the Office of Personnel Management, and conducts studies of the merit systems. The intended results (outcomes) of MSPB's efforts are to assure that (1) personnel actions taken involving employees are processed within the law, and (2) actions taken by OPM and other agencies support and enhance Federal merit principles.

The number of decisions issued by the Board is shown in the following table:

DECISIONS ISSUED

	2000 actual	2001 est.	2002 est.
Retirement (legal-disability)	1,887	1,800	1,800
Adverse action appeals	3,540	3,600	3,600
Reduction-in-force appeals	315	300	300
Other	3,527	3,300	3,300

Object Classification (in millions of dollars)

Identification code	41-0100-0-1-805	2000 actual	2001 est.	2002 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	16	16	17
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	17	18	18
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	3	3
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	3	3	3
31.0	Equipment	1	1	1
99.0	Subtotal, direct obligations	27	29	29
99.0	Reimbursable obligations	2	2	3
99.5	Below reporting threshold	1	2	1
99.9	Total new obligations	30	32	33

Personnel Summary

Identification code	41-0100-0-1-805	2000 actual	2001 est.	2002 est.
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	200	202	202
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	26	26	26

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**Federal Funds****General and special funds:**

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

For payment to the Morris K. Udall Scholarship and Excellence in National Environmental Policy Trust Fund, to be available for the purposes of Public Law **[102-252, \$2,000,000] 102-259, \$1,745,600**, to remain available until expended. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

General and special funds—Continued**NATIVE NATIONS INSTITUTE**

For necessary expenses of the Native Nations Institute pursuant to section 6(7) of Public Law 102-259, as amended, \$250,000.

Program and Financing (in millions of dollars)

Identification code 95-0900-0-1-502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.3)	2	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
73.10 Total new obligations	2	1	1
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The General Fund payment to the Morris K. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Morris K. Udall Foundation. The Foundation awards scholarships, fellowships and grants, and funds activities of the Udall Center. In 2000, Public Law 106-568 authorized the Morris K. Udall Foundation to establish training programs for professionals in health care policy and public policy, such as the Native Nations Institute (NNI). NNI, based at the University of Arizona, will provide Native Americans with leadership and management training and analyze policies relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, [\$1,250,000] \$1,309,000, to remain available until expended. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Unavailable Collections (in millions of dollars)

Identification code 95-5415-0-2-306	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.20 Fees for services		1	2
Appropriations:			
05.00 Environmental dispute resolution fund		-1	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5415-0-2-306	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	2	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	2
22.00 New budget authority (gross)	1	2	3
23.90 Total budgetary resources available for obligation	4	5	5
23.95 Total new obligations	-2	-5	-5

24.40	Unobligated balance carried forward, end of year	3	2	
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New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	1	1	1
Mandatory:				
60.25	Appropriation (special fund, indefinite)		1	2
70.00	Total new budget authority (gross)	1	2	3

Change in unpaid obligations:

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year		1	
72.99	Obligated balance, start of year		1	
73.10	Total new obligations	2	5	5
73.20	Total outlays (gross)	-2	-5	-3
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	1		
74.99	Obligated balance, end of year	1		

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1	1	1
86.93	Outlays from discretionary balances	1	1	
86.97	Outlays from new mandatory authority		1	1
87.00	Total outlays (gross)	2	5	3

Net budget authority and outlays:

89.00	Budget authority	1	2	3
90.00	Outlays	1	5	3

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	3	3	3
92.02	Total investments, end of year: Federal securities:			
	Par value	3	3	4

The U.S. Institute for Environmental Conflict Resolution is a Federal program established by P.L. 105-156 to assist parties in resolving environmental, natural resource, and public lands conflicts. The Institute is part of the Morris K. Udall Foundation, and serves as an impartial, non-partisan institution providing professional expertise, services, and resources to all parties involved in such disputes. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental conflicts, how and when to bring all the parties to the table, and whether a third-party facilitator or mediator might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition, the Institute maintains a roster of qualified facilitators and mediators with substantial experience in environmental conflict resolution, and can help parties in selecting an appropriate neutral. (See www.ecr.gov for more information about the Institute.)

Object Classification (in millions of dollars)

Identification code 95-5415-0-2-306		2000 actual	2001 est.	2002 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5	Below reporting threshold	1	4	4
99.9	Total new obligations	2	5	5

Personnel Summary

Identification code 95-5415-0-2-306		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	13	17	17

Trust Funds**MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION****Unavailable Collections** (in millions of dollars)

Identification code 95-8615-0-7-502	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	20	22	24
Receipts:			
02.40 General fund payments	2	2	2
02.41 Interest on investments	1	2	1
02.99 Total receipts and collections	3	4	3
04.00 Total: Balances and collections	23	26	27
Appropriations:			
05.00 Morris K. Udall Scholarship fund	-1	-2	-2
07.99 Balance, end of year	22	24	25

Program and Financing (in millions of dollars)

Identification code 95-8615-0-7-502	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	2
22.00 New budget authority (gross)	1	2	2
23.90 Total budgetary resources available for obligation	4	4	4
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	1	2	2
Change in unpaid obligations:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	2	2
Net budget authority and outlays:			
89.00 Budget authority	1	2	2
90.00 Outlays	1	2	2
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	24	26	29
92.02 Total investments, end of year: Federal securities: Par value	26	29	32

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy. In addition, the Foundation is authorized to fund the Udall Center for Studies in Public Policy at the University of Arizona to carry out and manage programs established by the Foundation relating especially to a program of environmental conflict resolution.

In 2000, the Foundation awarded 80 undergraduate scholarships. Twelve Native American Congressional Summer Internship Program recipients spent ten weeks in Congressional offices and the White House participating in a program created by the Udall Foundation. For the fourth year, the Foundation awarded two Graduate Fellowships to Ph.D. candidates whose dissertation topics were in the area of environmental public policy and conflict resolution and whose work contributed to the mission of the Foundation.

In 2001 and 2002, the Foundation will maintain its current level of scholarships, fellowships and internships.

Personnel Summary

Identification code 95-8615-0-7-502	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION**Federal Funds****General and special funds:****OPERATING EXPENSES**

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, **[\$209,393,000] \$244,247,000: Provided,** That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to provide adequate storage for holdings. (1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. 552, App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Public Law 105-246, Executive Orders 11440, 10530, 11030, 12656, 12829, 12958, 13142; Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Records services	142	171	203
00.02 Archives related services	9	9	13
00.04 Archives II facility	24	23	22
09.88 Reimbursable program	4	3	3
10.00 Total new obligations	179	206	241
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	15	15
22.00 New budget authority (gross)	180	206	241
23.90 Total budgetary resources available for obligation	194	221	256
23.95 Total new obligations	-179	-206	-241
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	15	15	15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	181	209	244
40.47 Portion applied to repay debt	-6	-6	-6
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	174	203	238
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	13	5	3
68.10 Change in uncollected customer payments from Federal sources	-7	-2	
68.54 Portion credited to expired accounts	-9		
68.55 Portion of change in uncollected customer payments from Federal sources in expired accounts	9		
68.90 Spending authority from offsetting collections (total discretionary)	6	3	3
70.00 Total new budget authority (gross)	180	206	241
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	45	38	1
72.95 Uncollected customer payments from Federal sources, start of year	-9	-2	
72.99 Obligated balance, start of year	36	36	1
73.10 Total new obligations	179	206	241
73.20 Total outlays (gross)	-185	-245	-237
73.40 Adjustments in expired accounts (net)	-1		

General and special funds—Continued**OPERATING EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 88-0300-0-1-804	2000 actual	2001 est.	2002 est.
74.00 Change in uncollected customer payments from Federal sources	7	2
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	38	1	5
74.95 Uncollected customer payments from Federal sources, end of year	-2
74.99 Obligated balance, end of year	36	1	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	168	194	227
86.93 Outlays from discretionary balances	17	51	10
87.00 Total outlays (gross)	185	245	237
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-11	-3	-3
88.40 Non-Federal sources	-2	-2
88.90 Total, offsetting collections (cash)	-13	-5	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources	7	2
Net budget authority and outlays:			
89.00 Budget authority	174	203	238
90.00 Outlays	172	240	234
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	14	15	14
92.02 Total investments, end of year: Federal securities:			
Par value	15	14	14

The National Archives and Records Administration (NARA) provides for basic operations dealing with management of the Government's archives and records, operation of Presidential Libraries, and for the review for declassification of classified security information.

Records services.—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies the permanently valuable historical records of the Federal Government; the historical materials and Presidential records in Presidential Libraries; for preparing related publications and exhibit programs; and for conducting the appraisal of all Federal records.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also provides oversight for the information security program established by Executive Order 12958 as amended by Executive Order 13142 and reports annually to the President on the status of that program. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829.

NARA, in research and development collaboration with national and international partners, is building an Electronic Records Archives (ERA) that will ensure the preservation of and access to Government electronic records. The pace of technological progress makes formats in which the records are stored obsolete within a few years, threatening to make them inaccessible even if they are preserved intact. ERA will preserve electronic records, regardless of the original format, retain them indefinitely, and enable requesters to access them on computer systems now and in the future.

Archives related services.—This activity provides for the publication of the *Federal Register*, the *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, and Presidential documents, and for a program to improve the quality of regulations and the public's access to them.

This activity also includes the administration and reference services portion for the National Historical Publications and Records Commission. This Commission makes grants nationwide to preserve and publish records that document American history.

Archives II Facility.—Provides for construction and related services of the new archival facility which was opened to the public in 1993. Costs of construction are financed by \$302 million of federally guaranteed debt issued in 1989. Since 1994 and continuing in 2002, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Object Classification (in millions of dollars)

Identification code 88-0300-0-1-804	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	70	75
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	62	73	78
12.1 Civilian personnel benefits	14	18	20
21.0 Travel and transportation of persons	1	1	2
22.0 Transportation of things	2	2
23.1 Rental payments to GSA	4	6	6
23.3 Communications, utilities, and miscellaneous charges	8	9	9
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	1	1	9
25.2 Other services	13	17	22
25.3 Purchases of goods and services from Government accounts	4	4	4
25.4 Operation and maintenance of facilities	26	27	29
25.7 Operation and maintenance of equipment	4	6	8
26.0 Supplies and materials	4	4	4
31.0 Equipment	7	9	20
43.0 Interest and dividends	24	23	22
99.0 Subtotal, direct obligations	175	203	238
99.0 Reimbursable obligations	4	3	3
99.9 Total new obligations	179	206	241

Personnel Summary

Identification code 88-0300-0-1-804	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,190	1,362	1,394
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	54	67	67

REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, **[\$95,150,000]** \$10,643,000, to remain available until expended **[of which \$88,000,000 is to complete renovation of the National Archives Building].** (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

[For an additional amount for repairs to the John F. Kennedy Presidential Library, \$6,610,000, to remain available until expended.] (*Department of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346.*)

Program and Financing (in millions of dollars)

Identification code 88-0302-0-1-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	20	102	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	23	23
22.00 New budget authority (gross)	22	102	11
23.90 Total budgetary resources available for obligation	43	125	34
23.95 Total new obligations	-20	-102	-11
24.40 Unobligated balance carried forward, end of year	23	23	23
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	22	102	11
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	10	16	68
72.99 Obligated balance, start of year	10	16	68
73.10 Total new obligations	20	102	11
73.20 Total outlays (gross)	-14	-50	-70
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	16	68	9
74.99 Obligated balance, end of year	16	68	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	24	5
86.93 Outlays from discretionary balances	3	26	65
87.00 Total outlays (gross)	14	50	70
Net budget authority and outlays:			
89.00 Budget authority	22	102	11
90.00 Outlays	14	50	70

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide, and provides adequate storage for holdings. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings. The National Archives is currently in the planning and design stage for a new regional records center and archives in Anchorage, Alaska.

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

GRANTS PROGRAM

[(INCLUDING RESCISSION OF FUNDS)]

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$6,450,000]** **\$4,436,000**, to remain available until expended. (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 88-0301-0-1-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	8	6	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		
22.00 New budget authority (gross)	4	6	4
23.90 Total budgetary resources available for obligation	8	6	4
23.95 Total new obligations	-8	-6	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	6	4

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	8	10	5
72.99 Obligated balance, start of year	8	10	5
73.10 Total new obligations	8	6	4
73.20 Total outlays (gross)	-6	-11	-9
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	10	5	
74.99 Obligated balance, end of year	10	5	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	5	4
86.93 Outlays from discretionary balances	4	6	5
87.00 Total outlays (gross)	6	11	9
Net budget authority and outlays:			
89.00 Budget authority	4	6	4
90.00 Outlays	6	11	9

National Historical Publications and Records Commission Grants.—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

Intragovernmental fund:

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 88-4578-0-4-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	99	98	102
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		18	18
22.00 New budget authority (gross)	117	98	102
23.90 Total budgetary resources available for obligation	117	116	120
23.95 Total new obligations	-99	-98	-102
24.40 Unobligated balance carried forward, end of year	18	18	18
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	22		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	74	103	107
68.10 Change in uncollected customer payments from Federal sources	21	-5	-5
68.90 Spending authority from offsetting collections (total discretionary)	95	98	102
70.00 Total new budget authority (gross)	117	98	102
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year		18	13
72.95 Uncollected customer payments from Federal sources, start of year		-21	-16
72.99 Obligated balance, start of year		-3	-3
73.10 Total new obligations	99	98	102
73.20 Total outlays (gross)	-81	-103	-107
74.00 Change in uncollected customer payments from Federal sources	-21	5	5
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	18	13	9
74.95 Uncollected customer payments from Federal sources, end of year	-21	-16	-11
74.99 Obligated balance, end of year	-3	-3	-2

Intragovernmental fund—Continued**RECORDS CENTER REVOLVING FUND—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 88-4578-0-4-804	2000 actual	2001 est.	2002 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	81	88	92
86.93 Outlays from discretionary balances	15	15	15
87.00 Total outlays (gross)	81	103	107
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-74	-103	-107
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources	-21	5	5
Net budget authority and outlays:			
89.00 Budget authority	22		
90.00 Outlays	7		

The NARA Records Center Revolving Fund utilizes operations effectively by providing services on a standard price basis to Federal agency customers by maintaining low cost, quality storage and accession, reference, refile, and disposal services for records stored in service centers.

Object Classification (in millions of dollars)

Identification code 88-4578-0-4-804	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	32	31	32
11.3 Other than full-time permanent	3	5	5
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	36	37	38
12.1 Civilian personnel benefits	8	8	9
13.0 Benefits for former personnel	1	1	1
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	38	37	39
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services	7	7	7
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	3	1	1
99.9 Total new obligations	99	98	102

Personnel Summary

Identification code 88-4578-0-4-804	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	1,111	1,165	1,165

Trust Funds**NATIONAL ARCHIVES GIFT FUND****Unavailable Collections** (in millions of dollars)

Identification code 88-8127-0-7-804	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.00 National Archives gift fund	3	1	1
Appropriations:			
05.00 National Archives gift fund	-3	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 88-8127-0-7-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	3	4	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	8	6
22.00 New budget authority (gross)	3	2	1
23.90 Total budgetary resources available for obligation	10	10	7
23.95 Total new obligations	-3	-4	-1
24.40 Unobligated balance carried forward, end of year	8	6	7
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	3	1	1
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year		2	3
72.99 Obligated balance, start of year		2	3
73.10 Total new obligations	3	4	1
73.20 Total outlays (gross)	-1	-3	-2
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	2	3	2
74.99 Obligated balance, end of year	2	3	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances		1	1
87.00 Total outlays (gross)	1	3	2
Net budget authority and outlays:			
89.00 Budget authority	3	2	1
90.00 Outlays	1	3	2
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	2	5	2
92.02 Total investments, end of year: Federal securities: Par value	5	2	6

The National Archives Trust Fund Board may solicit and accept gifts or bequests of money, securities, or other personal property, for the benefit of or in connection with the national archival and records activities administered by the National Archives and Records Administration (44 U.S.C. 2305).

In accordance with 44 U.S.C. 2112, the Bush Presidential Library received a \$4 million endowment from the Bush Library Foundation. The money was deposited in the gift fund and invested in accordance with established National Archives Trust and Gift Fund procedures. Income earned on the investment will be used to offset a portion of the Library's operation and maintenance costs.

NATIONAL ARCHIVES TRUST FUND**Unavailable Collections** (in millions of dollars)

Identification code 88-8436-0-8-804	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.80 National Archives trust fund, offsetting collections	15	14	14
Appropriations:			
05.00 National Archives trust fund	-15	-14	-14
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 88-8436-0-8-804	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Sales	10	9	9

09.02	Presidential libraries	6	6	6
10.00	Total new obligations	16	15	15
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	12	10	10
22.00	New budget authority (gross)	15	14	14
23.90	Total budgetary resources available for obligation	27	24	24
23.95	Total new obligations	-16	-15	-15
24.40	Unobligated balance carried forward, end of year	10	10	9
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	15	14	14
69.10	Change in uncollected customer payments from Federal sources	-1		
69.15	Adjustments to uncollected customer payments from Federal sources	1		
69.90	Spending authority from offsetting collections (total mandatory)	15	14	14
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	4	3	-10
72.95	Uncollected customer payments from Federal sources, start of year	-1		
72.99	Obligated balance, start of year	3	3	-10
73.10	Total new obligations	16	15	15
73.20	Total outlays (gross)	-17	-28	-15
74.00	Change in uncollected customer payments from Federal sources	1		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	3	-10	-10
74.99	Obligated balance, end of year	3	-10	-10
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	15	14	14
86.98	Outlays from mandatory balances	1	13	
87.00	Total outlays (gross)	17	28	15
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	-1
88.40	Non-Federal sources	-14	-13	-13
88.90	Total, offsetting collections (cash)	-15	-14	-14
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources	1		
88.96	Adjustment to uncollected customer payments from Federal sources	-1		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	2	14	1
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	15	13	15
92.02	Total investments, end of year: Federal securities: Par value	13	15	

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 88-8436-0-8-804		2000 actual	2001 est.	2002 est.
Personnel compensation:				
11.1	Full-time permanent	3	3	3
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	4	4	4

12.1	Civilian personnel benefits	1	1	1
24.0	Printing and reproduction	1	1	1
25.2	Other services	3	3	3
25.3	Purchases of goods and services from Government accounts	4	4	4
26.0	Supplies and materials	2	2	1
31.0	Equipment	1		1
99.9	Total new obligations	16	15	15

Personnel Summary

Identification code 88-8436-0-8-804		2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment	114	120	120

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 U.S.C. 71-71i), including services as authorized by 5 U.S.C. 3109, **[\$6,500,000] \$7,253,000: Provided,** That all appointed members of the Commission will be compensated at a rate not to exceed the daily equivalent of the annual rate of pay for positions at level IV of the Executive Schedule for each day such member is engaged in the actual performance of duties. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-2500-0-1-451		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations	6	7	8
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	6	7	8
23.95	Total new obligations	-6	-7	-8
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	6	6	7
68.00	Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00	Total new budget authority (gross)	6	7	8
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	3	1	1
72.99	Obligated balance, start of year	3	1	1
73.10	Total new obligations	6	7	8
73.20	Total outlays (gross)	-6	-7	-8
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	1	1	1
74.99	Obligated balance, end of year	1	1	1
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	6	7	8
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources		-1	-1
Net budget authority and outlays:				
89.00	Budget authority	6	6	7
90.00	Outlays	6	6	7

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal government in the National Capital Region. It develops long-range plans and conducts project reviews in order to ensure the orderly development of the National Capital Region. During 2002, NCPC

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

will, in cooperation with the District of Columbia, continue its work to update and publish the Federal Elements of the Comprehensive Plan for the National Capital Region. This key planning document will guide future physical development in the region. NCPC will also work with the District of Columbia in 2002 to complete planning for the development of the Washington waterfront and several key First Initiative implementation projects of its Legacy Plan.

Object Classification (in millions of dollars)

Identification code 95-2500-0-1-451	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	5
23.1 Rental payments to GSA	1	1	2
25.1 Advisory and assistance services	1	1	1
99.0 Subtotal, direct obligations	5	6	8
99.5 Below reporting threshold	1	1
99.9 Total new obligations	6	7	8

Personnel Summary

Identification code 95-2500-0-1-451	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	49	55	55
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	2	2

NATIONAL COMMISSION ON LIBRARIES AND INFORMATION SCIENCE**Federal Funds****General and special funds:****[SALARIES AND EXPENSES]**

For necessary expenses for the National Commission on Libraries and Information Science, established by the Act of July 20, 1970 (Public Law 91-345, as amended), \$1,495,000. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

Program and Financing (in millions of dollars)

Identification code 95-2700-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1
23.95 Total new obligations	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	1	1
73.20 Total outlays (gross)	-1	-1
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1
74.99 Obligated balance, end of year	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1

86.93	Outlays from discretionary balances	1	1
87.00	Total outlays (gross)	1	1
Net budget authority and outlays:				
89.00	Budget authority	1	1
90.00	Outlays	2	1

The Commission has been responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, for advising the President and the Congress on implementation of national and international library and information services policies, and for providing advice on general policies about library services under the Museum and Library Services Act.

The Administration requests no funding for this agency in 2002. Other agencies can effectively perform the necessary functions for which the Commission has been responsible.

Personnel Summary

Identification code 95-2700-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	7	10

NATIONAL COUNCIL ON DISABILITY**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, as amended, [\$2,615,000] \$2,830,000. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

Program and Financing (in millions of dollars)

Identification code 95-3500-0-1-506	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	2	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	3	3
23.95 Total new obligations	-2	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	3	3
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1
72.99 Obligated balance, start of year	1
73.10 Total new obligations	2	3	3
73.20 Total outlays (gross)	-3	-3	-3
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year
74.99 Obligated balance, end of year
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	3
86.93 Outlays from discretionary balances	1
87.00 Total outlays (gross)	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	2	3	3
90.00 Outlays	3	3	3

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by

the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 95-3500-0-1-506	2000 actual	2001 est.	2002 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	2	3	3

Personnel Summary

Identification code 95-3500-0-1-506	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	11	13	13

NATIONAL CREDIT UNION ADMINISTRATION**Federal Funds****Public enterprise funds:****OPERATING FUND****Program and Financing** (in millions of dollars)

Identification code 25-4056-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Examination and supervision	75	86	90
09.03 Administration	45	53	56
09.99 Total reimbursable program	120	139	146
10.00 Total new obligations	120	139	146

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	2	-7	-7
22.00 New budget authority (gross)	111	139	146
23.90 Total budgetary resources available for obligation	113	132	139
23.95 Total new obligations	-120	-139	-146
24.40 Unobligated balance carried forward, end of year	-7	-7	-7

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	111	139	146

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	26	33	33
72.99 Obligated balance, start of year	26	33	33
73.10 Total new obligations	120	139	146
73.20 Total outlays (gross)	-111	-139	-146
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	33	33	33
74.99 Obligated balance, end of year	33	33	33

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	111	139	146
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-51	-87	-97
88.40 Non-Federal sources	-60	-52	-49
88.90 Total, offsetting collections (cash)	-111	-139	-146

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	2		

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	28	26	26
92.02 Total investments, end of year: Federal securities:			
Par value	26	26	26

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) chartering new Federal credit unions, (b) supervising established Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 62.54 percent. Data relating to activities are shown below:

Item:	2000 actual	2001 est.	2002 est.
Number of new Federal credit unions chartered	6	6	6
Number of operating Federal credit unions	6,468	6,278	6,086
Assets of Federal credit unions as of June 30 (in millions)	\$244,223	\$253,992	\$264,152

Object Classification (in millions of dollars)

Identification code 25-4056-0-3-373	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	71	78	82
11.3 Other than full-time permanent	4	4	4
11.9 Total personnel compensation	75	82	86
12.1 Civilian personnel benefits	18	20	21
21.0 Travel and transportation of persons	13	13	14
23.3 Communications, utilities, and miscellaneous charges	4	4	4
24.0 Printing and reproduction	1		
25.2 Other services	6	19	20
31.0 Equipment	3	1	1
99.9 Total new obligations	120	139	146

Personnel Summary

Identification code 25-4056-0-3-373	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	989	1,029	1,029

CREDIT UNION SHARE INSURANCE FUND**Program and Financing** (in millions of dollars)

Identification code 25-4468-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities	63	87	97
00.03 Other	2	3	3
00.91 Total operating expenses	65	90	100
01.01 Insurance Premium Rebate	88	93	96
01.02 Liquidation Expenses	14	14	14
01.91 Direct Program	102	107	110
10.00 Total new obligations	167	197	210

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	4,162	4,515	4,760
22.00 New budget authority (gross)	519	442	564
23.90 Total budgetary resources available for obligation	4,681	4,957	5,324

Public enterprise funds—Continued**CREDIT UNION SHARE INSURANCE FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 25-4468-0-3-373		2000 actual	2001 est.	2002 est.
23.95	Total new obligations	-167	-197	-210
24.40	Unobligated balance carried forward, end of year	4,515	4,760	5,114
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	519	442	564
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	-41	-185	-187
72.99	Obligated balance, start of year	-41	-185	-187
73.10	Total new obligations	167	197	210
73.20	Total outlays (gross)	-311	-199	-170
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	-185	-187	-147
74.99	Obligated balance, end of year	-185	-187	-147
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	311	199	170
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on Federal securities	-235	-226	-260
Non-Federal sources:				
88.40	Deposit from members	-283	-204	-303
88.40	Recoveries on assets acquired	-5	-5	-5
88.40	Other interest income	-1	-7	-1
88.90	Total, offsetting collections (cash)	-519	-442	-564
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-208	-243	-394
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	4,128	4,346	4,629
92.02	Total investments, end of year: Federal securities: Par value	4,346	4,629	4,900

Status of Guaranteed Loans (in millions of dollars)

Identification code 25-4468-0-3-373		2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders			
2131	Guaranteed loan commitments exempt from limitation	4	6	4
2150	Total guaranteed loan commitments	4	6	4
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1	4	6
2231	Disbursements of new guaranteed loans	4	3	4
2251	Repayments and prepayments	-1	-1	-6
2290	Outstanding, end of year	4	6	4
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year			

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

Budget program.—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also

reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 62.54 percent.

The extent of the program is estimated as follows:

Item:	2000 actual	2001 est.	2002 est.
Number of insured credit unions	10,480	10,166	9,861
Insured shares of member institutions as of June 30 (in millions of dollars)	\$348,111	\$362,035	\$376,517

It is estimated that approximately 3,892 State-chartered credit unions will be enrolled in the program by the end of 2001.

Financing.—For insurance year 2000 the credit union's required annual insurance premium of one-twelfth of 1 percent of its total member share accounts has been waived. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In 2000 the income generated from the 1 percent deposit eliminated the need to assess the annual premium. In addition, the fund is anticipated to pay a \$118 million dividend to federally insured credit unions in 2001 due to an excess in the 1.3 percent reserve requirement. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies. The reserve requirement was changed in 2000 due to the provisions of the Credit Union Membership Access Act (P.L. 105-219), which requires the normal operating level, an equity ratio specified by the Board, to be not less than 1.2 percent and not more than 1.5 percent. For 2000, the normal operating level was set at 1.3 percent prior to the beginning of the calendar year.

Operating results.—Anticipated net income of \$197 million will be retained in the fund, raising the balance for unforeseen emergencies to \$5.3 billion by the end of 2002.

Object Classification (in millions of dollars)

Identification code 25-4468-0-3-373		2000 actual	2001 est.	2002 est.
25.2	Other services	65	90	100
42.0	Insurance claims and indemnities	14	14	14
44.0	Refunds	88	93	96
99.9	Total new obligations	167	197	210

CENTRAL LIQUIDITY FACILITY**(INCLUDING TRANSFER OF FUNDS)**

During fiscal year [2001] 2002, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall not exceed \$1,500,000,000: *Provided*, That administrative expenses of the Central Liquidity Facility shall not exceed [\$296,303] \$309,000: *Provided further*, That \$1,000,000 shall be transferred to the Community Development Revolving Loan Fund, of which \$650,000, together with amounts of principal and interest on loans repaid, shall be available until expended for loans to community development credit unions, and \$350,000 shall be available until expended for technical assistance to low-income and community development credit unions. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 25-4470-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.03 Dividends on capital stock	52	70	94
09.09 Operating Expenses—subtotal	52	70	94
Capital Investment:			
09.11 Net loans to credit unions, total Capital investment, funded	137	142	148
09.19 Total capital investment—subtotal	137	142	148
10.00 Total new obligations	189	212	242
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	190	213	243
23.95 Total new obligations	-189	-212	-242
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	189	212	242
70.00 Total new budget authority (gross)	190	213	243
Change in unpaid obligations:			
73.10 Total new obligations	189	212	242
73.20 Total outlays (gross)	-190	-213	-242
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	190	213	242
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Non-Federal Capital Stock Purchases	-28	-29	-30
88.40 Non-Federal sources	-161	-183	-212
88.90 Total, offsetting collections (cash)	-189	-212	-242
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Status of Direct Loans (in millions of dollars)

Identification code 25-4470-0-3-373	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	18,600	1,500	1,500
1112 Unobligated direct loan limitation	-18,600	-1,500	-1,500
1150 Total direct loan obligations			

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

Object Classification (in millions of dollars)

Identification code 25-4470-0-3-373	2000 actual	2001 est.	2002 est.
33.0 Investments and loans	137	142	148
43.0 Interest and dividends	52	70	94
99.9 Total new obligations	189	212	242

Personnel Summary

Identification code 25-4470-0-3-373	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND

Program and Financing (in millions of dollars)

Identification code 25-4472-0-3-373	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 33.0)	5	4	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	1	1
22.00 New budget authority (gross)	3	4	4
23.90 Total budgetary resources available for obligation	6	5	5
23.95 Total new obligations	-5	-4	-3
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
Mandatory:			
69.00 Offsetting collections (cash)	2	3	3
70.00 Total new budget authority (gross)	3	4	4
Change in unpaid obligations:			
73.10 Total new obligations	5	4	3
73.20 Total outlays (gross)	-5	-4	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.97 Outlays from new mandatory authority	2	1	1
86.98 Outlays from mandatory balances		1	1
87.00 Total outlays (gross)	5	4	3
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-1	-1	-1
88.40 Non-Federal sources	-2	-3	-3
88.90 Total, offsetting collections (cash)	-3	-4	-4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		-1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	3	1	1
92.02 Total investments, end of year: Federal securities:			
Par value	1	1	1

Status of Direct Loans (in millions of dollars)

Identification code 25-4472-0-3-373	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	11	11	11
1150 Total direct loan obligations	11	11	11
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	8	11	11
1231 Disbursements: Direct loan disbursements	5	3	3
1251 Repayments: Repayments and prepayments	-2	-3	-3
1290 Outstanding, end of year	11	11	11

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving

Public enterprise funds—Continued**COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN
FUND—Continued**

Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$5 million in 2000 and plans to disburse \$3 million in 2001.

NATIONAL EDUCATION GOALS PANEL**Federal Funds****General and special funds:****NATIONAL EDUCATION GOALS PANEL**

For expenses necessary for the National Education Goals Panel, as authorized by title II, part A of the Goals 2000: Educate America Act, **[\$1,500,000] \$2,000,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-2650-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-2	-2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	2	2
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2	2	1
72.99 Obligated balance, start of year	2	2	1
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-3	-2
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	2	1	1
74.99 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	2	3	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	3	2

The bipartisan National Education Goals Panel is an independent agency responsible for overseeing the development and implementation of a reporting system for the National Education Goals; monitoring and reporting annual progress toward goal achievement at national and State levels; building a national consensus for the reforms necessary to achieve education improvement; reporting on promising and effective actions; and working with States to develop high academic standards.

Object Classification (in millions of dollars)

Identification code 95-2650-0-1-503	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code 95-2650-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	9	9	9

**NATIONAL FOUNDATION ON THE ARTS AND
THE HUMANITIES****Federal Funds****General and special funds:****NATIONAL ENDOWMENT FOR THE ARTS****GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$98,000,000] \$98,234,000** shall be available to the National Endowment for the Arts for the support of projects and productions in the arts through assistance to organizations and individuals pursuant to sections 5(c) and 5(g) of the Act, for program support, and for administering the functions of the Act, to remain available until expended: *Provided*, That funds previously appropriated to the National Endowment for the Arts "Matching Grants" account may be transferred to and merged with this account. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Unavailable Collections (in millions of dollars)

Identification code 59-0100-0-1-503	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations	1	1	1
Appropriations:			
05.00 Gifts fund	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Obligations by Program Activity:			
00.01 Promotion of the arts	81	80	79
00.03 Program Support	1	1	1
00.04 Salaries and Expenses	18	18	18
00.91 Subtotal	100	99	98
01.02 Permanent Authority	1	1	1
09.00 Reimbursable program	3	5	1
10.00 Total new obligations	104	106	100
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	1
22.00 New budget authority (gross)	102	103	100
23.90 Total budgetary resources available for obligation	105	106	101
23.95 Total new obligations	-104	-106	-100
24.40 Unobligated balance carried forward, end of year	3	1	1

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	98	98	98
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	1	1	1
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	5	1
70.00 Total new budget authority (gross)	102	104	100

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	107	101	98
72.99 Obligated balance, start of year	107	101	98
73.10 Total new obligations	104	106	100

73.20	Total outlays (gross)	—111	—109	—106
74.40	Unpaid obligations, end of year:			
	Unpaid obligations, end of year	101	98	92
74.99	Obligated balance, end of year	101	98	92
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	35	37	33
86.93	Outlays from discretionary balances	73	73	72
86.97	Outlays from new mandatory authority	1	1	1
87.00	Total outlays (gross)	111	109	106
Offsets:				
88.00	Against gross budget authority and outlays:			
	Offsetting collections (cash) from: Federal sources	—3	—5	—1
Net budget authority and outlays:				
89.00	Budget authority	99	98	98
90.00	Outlays	105	104	105

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent in specified fields, and State or regional organizations engaged in or concerned with the arts in service to the American public. Programs encourage individual and institutional development of the arts, education in the arts, preservation of the American artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)

Identification code 59-0100-0-1-503	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	11	11	11
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	3	2
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	81	80	79
99.0 Subtotal, direct obligations	101	101	99
99.0 Reimbursable obligations	3	5	1
99.9 Total new obligations	104	106	100

Personnel Summary

Identification code 59-0100-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	154	156	150

CHALLENGE AMERICA ARTS FUND**CHALLENGE AMERICA GRANTS**

For necessary expenses as authorized by Public Law 89-209, as amended, **[\$7,000,000] \$6,985,000** for support for arts education and public outreach activities to be administered by the National Endowment for the Arts, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 59-0400-0-1-503	2000 actual	2001 est.	2002 est.
Promotion of the Arts:			
Obligations by program activity:			
00.01 Challenge America		7	7
10.00 Total new obligations (object class 41.0)		7	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		7	7
23.95 Total new obligations		—7	—7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		7	7
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year			4
72.99 Obligated balance, start of year			4
73.10 Total new obligations		7	7
73.20 Total outlays (gross)		—3	—7
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year		4	4
74.99 Obligated balance, end of year		4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		3	3
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)		3	7
Net budget authority and outlays:			
89.00 Budget authority		7	7
90.00 Outlays		3	6

Federal Funds**General and special funds:****NATIONAL ENDOWMENT FOR THE HUMANITIES****GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$104,604,000] \$104,882,000**, shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until expended.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$15,656,000] \$15,622,000**, to remain available until expended, of which **[\$11,656,000] \$11,622,000** shall be available to the National Endowment for the Humanities for the purposes of section 7(h): *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Unavailable Collections (in millions of dollars)

Identification code 59-0200-0-1-503	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			

General and special funds—Continued**NATIONAL ENDOWMENT FOR THE HUMANITIES—Continued****MATCHING GRANTS—Continued****Unavailable Collections (in millions of dollars)—Continued**

Identification code 59-0200-0-1-503	2000 actual	2001 est.	2002 est.
Receipts:			
02.00 Gifts and donations	1	6	6
Appropriations:			
05.00 Grants and administration	-1	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59-0200-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Promotion of the humanities	98	102	102
00.02 Administration	18	18	19
09.00 Reimbursable program	2	2	2
10.00 Total new obligations	118	122	123
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	5	11
22.00 New budget authority (gross)	118	128	129
23.90 Total budgetary resources available for obligation	123	133	140
23.95 Total new obligations	-118	-122	-123
24.40 Unobligated balance carried forward, end of year	5	11	17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	115	120	121
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	1	6	6
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	118	128	129
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	90	93	67
72.99 Obligated balance, start of year	90	93	67
73.10 Total new obligations	118	122	123
73.20 Total outlays (gross)	-113	-148	-121
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	93	67	69
74.99 Obligated balance, end of year	93	67	69
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	109	102	74
86.93 Outlays from discretionary balances	6	41	42
86.97 Outlays from new mandatory authority		5	4
86.98 Outlays from mandatory balances			1
87.00 Total outlays (gross)	113	148	121
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	116	126	127
90.00 Outlays	113	146	119
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	1	1	1
92.02 Total investments, end of year: Federal securities: Par value	1	1	1

The National Endowment for the Humanities funds activities to improve the quality of education and teaching in the humanities, to strengthen basic humanities research and original scholarship, to preserve cultural and intellectual re-

sources, and to advance understanding of the humanities among general audiences.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, and individuals.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59-0200-0-1-503	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	11	11	12
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	98	102	102
99.0 Subtotal, direct obligations	114	118	119
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	3	3	3
99.9 Total new obligations	118	122	123

Personnel Summary

Identification code 59-0200-0-1-503	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	172	175	175
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	2	5

ADMINISTRATIVE PROVISION

An administrative provision affecting this agency follows the Institute of Museum and Library Services.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES**Federal Funds****General and special funds:****OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION**

For carrying out subtitle C of the Museum and Library Services Act of 1996, as amended, **[\$24,907,000] \$24,898,879**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 59-0300-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Assistance for museums	24	23	23
00.02 Administration	2	2	2
10.00 Total new obligations	26	25	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	24	25	25
23.90 Total budgetary resources available for obligation	25	26	25
23.95 Total new obligations	-26	-25	-25
24.40 Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	24	25	25
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	33	35	34
72.99	Obligated balance, start of year	33	35	34
73.10	Total new obligations	26	25	25
73.20	Total outlays (gross)	-23	-26	-35
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	35	34	24
74.99	Obligated balance, end of year	35	34	24
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	23	24	19
86.93	Outlays from discretionary balances		2	16
87.00	Total outlays (gross)	23	26	35
Net budget authority and outlays:				
89.00	Budget authority	24	25	25
90.00	Outlays	22	26	35

The Office of Museum Services, within the Institute of Museum and Library Services, provides competitive grants to a broad range of museums which exhibit both living and non-living collections and to support collaborative activities between museums and libraries. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account.

Object Classification (in millions of dollars)

Identification code 59-0300-0-1-503	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	24	23	23
99.9 Total new obligations	26	25	25

Personnel Summary

Identification code 59-0300-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	18	21	21

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out subtitle B of the Museum and Library Services Act, [§207,219,000: *Provided*, That of the amount provided, \$1,000,000 shall be awarded to the National Museum of Women in the Arts in Washington, D.C., \$700,000 shall be awarded to the University of Idaho Institute for the Historic Study of Jazz, \$2,600,000 shall be awarded to Southeast Missouri State University River Campus and Museum, \$900,000 shall be awarded to the Heritage Harbor Museum in Rhode Island, \$500,000 shall be awarded to the Alaska Native Heritage Center, \$576,000 shall be awarded to the Franklin Institute in Philadelphia, \$925,000 shall be awarded to the Please Touch Museum, \$250,000 shall be awarded to the Pittsburgh Children's Museum, \$510,000 shall be awarded to the Temple University Library, \$1,800,000 shall be awarded to Franklin Pierce College in New Hampshire, \$500,000 shall be awarded to the Louisville Zoo in Kentucky, \$150,000 shall be awarded to the Oregon Historical Society, \$1,200,000 shall be awarded to the Mississippi River Museum and Discovery Center in Dubuque, Iowa, \$650,000 shall be awarded to the Salisbury House Foundation in Des Moines, Iowa, \$150,000 shall be awarded to the History Center for the Linn County Historical Museum in Iowa, \$4,000,000 shall be awarded to the Newsline for the Blind, of which \$100,000 shall be awarded to the Iowa Newsline for the Blind and \$100,000 shall be awarded to the West Virginia Newsline for the Blind, \$1,000,000 shall be

awarded to the Clay Center for the Arts and Sciences, \$650,000 shall be awarded to Bishops Museum in Hawaii, \$500,000 shall be awarded to the Wisconsin Maritime Museum, \$250,000 shall be awarded to the Natural History Museum of Los Angeles, \$400,000 shall be awarded to the Perkins Geology Museum at the University of Vermont, \$400,000 shall be awarded to the Walt Whitman Cultural Arts Center in Camden, New Jersey, \$400,000 shall be awarded to the Plainfield Public Library in Plainfield, New Jersey, \$150,000 shall be awarded to the Ducktown Arts District in Atlantic City, New Jersey, \$400,000 shall be awarded to the Lake Champlain Science Center in Vermont, \$250,000 shall be awarded to the Foundation for the Arts, Music, and Entertainment of Shreveport-Bossier, Inc., \$100,000 shall be awarded to Bryant College in Rhode Island, \$120,000 shall be awarded to the Fenton Historical Museum of Jamestown, New York, \$921,000 shall be awarded to the Mariners' Museum in Newport News, Virginia, \$461,000 shall be awarded to DuPage County Children's Museum in Naperville, Illinois, \$369,000 shall be awarded to the National Baseball Hall of Fame Library in Cooperstown, New York, \$92,000 shall be awarded to the City of Corona, Riverside, California, \$6,000 shall be awarded to the City of Murrieta, California Public Library, \$1,382,000 shall be awarded to the Sierra Madre, California Public Library, \$23,000 shall be awarded to the Brooklyn Public Library in Brooklyn, New York, \$46,000 shall be awarded to the New York Public Library Staten Island branch, \$266,000 shall be awarded to the Edward H. Nabb Research Center at Salisbury State University in Salisbury, Maryland, \$461,000 shall be awarded to Texas Tech University, \$230,000 shall be awarded to the City of Ontario, California Public Library, \$461,000 shall be awarded to the Southern Oregon University in Ashland, Oregon, \$1,106,000 shall be awarded to Christopher Newport University in Newport News, Virginia, \$128,000 shall be awarded to the Nassau County Museum of Art in Roslyn Harbor, New York, \$850,000 shall be awarded to the Children's Museum of Los Angeles, \$43,000 shall be awarded to Sumter County Library in Sumter, South Carolina, \$298,000 shall be awarded to Columbia College Center for Black Music Research in Chicago, Illinois, \$723,000 shall be awarded to Old Sturbridge Village in Sturbridge, Massachusetts, \$723,000 shall be awarded to New Bedford Whaling Museum in Massachusetts, \$298,000 shall be awarded to Mystic Seaport Museum of America and the Sea in Connecticut, \$468,000 shall be awarded to the City of Houston Public Library, \$128,000 shall be awarded to the Roberson Museum and Science Center in Hampton, New York, \$850,000 shall be awarded to Berman Museum of Art at Ursinus College in Collegeville, Pennsylvania, \$680,000 shall be awarded to AMISTAD Research Center at Tulane University, \$2,125,000 shall be awarded to Silas Bronson Library in Waterbury, Connecticut, \$213,000 shall be awarded to Fitchburg Art Museum in Fitchburg, Massachusetts, \$128,000 shall be awarded to North Carolina Museum of Life and Science, \$2,435,000 shall be awarded to New York Public Library, \$85,000 shall be awarded to the New York Botanical Garden in Bronx, New York, \$170,000 shall be awarded to George Eastman House in Rochester, New York, \$425,000 shall be awarded to The National Aviary in Pittsburgh, Pennsylvania, \$723,000 shall be awarded to the George C. Page Museum in Los Angeles, California, \$461,000 shall be awarded to the Abraham Lincoln Bicentennial Commission, and \$410,000 shall be awarded to the AE Seaman Mineral Museum in Houghton, Michigan] \$168,078,363, of which \$11,081,000 shall be for projects authorized by section 262 of such Act, notwithstanding section 221(a)(1)(B). (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 59-0301-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Assistance for libraries	165	202	163
00.02 Administration	3	5	5
10.00 Total new obligations	168	207	168
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	3	3
22.00 New budget authority (gross)	166	207	168
23.90 Total budgetary resources available for obligation	171	210	171
23.95 Total new obligations	-168	-207	-168

General and special funds—Continued**OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION—
Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 59-0301-0-1-503	2000 actual	2001 est.	2002 est.
24.40 Unobligated balance carried forward, end of year	3	3	3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	167	207	168
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	166	207	168
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	191	193	235
72.99 Obligated balance, start of year	191	193	235
73.10 Total new obligations	168	207	168
73.20 Total outlays (gross)	-166	-165	-231
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	193	235	172
74.99 Obligated balance, end of year	193	235	172
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	28	35	42
86.93 Outlays from discretionary balances	138	130	189
87.00 Total outlays (gross)	166	165	231
Net budget authority and outlays:			
89.00 Budget authority	166	207	168
90.00 Outlays	166	165	231

State formula grants are made to assist public libraries in improving library services, promoting access to learning and information resources to users of all ages, to promote wider access to information through technology, and to support collaborative activities between museums and libraries.

Object Classification (in millions of dollars)

Identification code 59-0301-0-1-503	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
25.2 Other services	1	2	2
41.0 Grants, subsidies, and contributions	165	202	163
99.0 Subtotal, direct obligations	167	206	167
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	168	207	168

Personnel Summary

Identification code 59-0301-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	21	23	23

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

NATIONAL LABOR RELATIONS BOARD**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, as amended (29 U.S.C. 141-167), and other laws, **[\$216,438,000] \$221,438,000: *Provided***, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935 (29 U.S.C. 152), and as amended by the Labor-Management Relations Act, 1947, as amended, and as defined in section 3(f) of the Act of June 25, 1938 (29 U.S.C. 203), and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Field investigation	162	170	175
00.02 Administrative law judge hearing	13	15	14
00.03 Board adjudication	19	20	21
00.04 Securing compliance with Board orders	10	10	10
00.05 Internal Review	1	1	1
10.00 Total new obligations	205	216	221
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	205	216	221
23.95 Total new obligations	-205	-216	-221
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	206	216	221
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	205	216	221
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	13	20	15
72.99 Obligated balance, start of year	13	20	15
73.10 Total new obligations	205	216	221
73.20 Total outlays (gross)	-198	-221	-219
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	20	15	17
74.99 Obligated balance, end of year	20	15	17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	186	201	206
86.93 Outlays from discretionary balances	12	20	13
87.00 Total outlays (gross)	198	221	219
Net budget authority and outlays:			
89.00 Budget authority	205	216	221
90.00 Outlays	198	221	219

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

	2000 actual	2001 est.	2002 est.
Case intake:			
Unfair labor practice cases	27,021	30,000	30,000
Representation cases	5,936	6,200	6,200
Administrative law judges:			
Hearings closed	401	474	494

Adjustments after hearings closed			1
Decisions issued	398	481	498
Board adjudication:			
Contested Board decisions issued	472	482	540
Regional director decisions	590	781	866
Representation election cases:			
Decisions issued	145	167	177
Objection rulings	160	176	218
Board decisions requiring court enforcement	118	121	123

Field investigation.—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Ninety percent of the unfair labor practice cases and 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, requires contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

Securing compliance with Board orders.—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

Object Classification (in millions of dollars)

Identification code 63-0100-0-1-505	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	123	131	134
11.3 Other than full-time permanent	5	4	4
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	130	137	140
12.1 Civilian personnel benefits	26	30	30
21.0 Travel and transportation of persons	3	6	4
23.1 Rental payments to GSA	21	22	23
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	16	13	16
26.0 Supplies and materials	1	1	1
31.0 Equipment	5	4	4
99.9 Total new obligations	205	216	221

Personnel Summary

Identification code 63-0100-0-1-505	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	1,876	2,002	2,002

NATIONAL MEDIATION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, as amended (45 U.S.C. 151-188), including emergency boards appointed by the President, **[\$10,400,000] \$10,635,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-2400-0-1-505	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Mediatory services	6	7	8
00.03 Arbitration services	3	3	3
10.00 Total new obligations	9	10	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	10	11
23.95 Total new obligations	-9	-10	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	10	11
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	2
72.99 Obligated balance, start of year	1	1	2
73.10 Total new obligations	9	10	11
73.20 Total outlays (gross)	-9	-10	-11
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	2	1
74.99 Obligated balance, end of year	1	2	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	9	9
86.93 Outlays from discretionary balances	1	1	2
87.00 Total outlays (gross)	9	10	11
Net budget authority and outlays:			
89.00 Budget authority	10	10	11
90.00 Outlays	9	10	11

Mediatory and alternative dispute resolution (ADR) services.—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

Mediation and ADR cases:	2000 actual	2001 est.	2002 est.
Pending, start of year	90	89	89
Received during year	124	135	135
Closed during year	125	135	135
Pending, end of year	89	89	89

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

Representation cases:	2000 actual	2001 est.	2002 est.
Pending, start of year	14	15	16
Received during year	75	77	79
Closed during year	74	76	77
Pending, end of year	15	16	18
Freedom of Information Act (FOIA) requests received	49	53	55
Investigation cases closed	21	21	22

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 (P.L. 102-29) amended the Railway Labor Act (RLA) by adding a new emer-

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

agency dispute procedure covering disputes between a publicly funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

Boards/panels created:	2000 actual	2001 est.	2002 est.
Emergency (sec. 160)		2	2
Emergency (sec. 159a)		2	2
Arbitration Boards	4	3	3
Arbitration Panels (PL 102-29)	33	35	40
Airline SBA Panels	49	90	95
ICC-LPP Panels	8	22	25

Arbitration under sections 3 and 7 of the RLA.—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

Arbitration cases:	2000 actual	2001 est.	2002 est.
Pending, start of year	11,237	6,927	6,280
Received during year	4,441	5,006	5,006
Closed during year	8,751	5,653	5,653
Pending, end of year	6,927	6,280	5,633

¹ Includes 951 cases withdrawn or decided by the parties.

Object Classification (in millions of dollars)

Identification code 95-2400-0-1-505	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.8 Special personal services payments	2	3	3
11.9 Total personnel compensation	6	7	7
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
99.0 Subtotal, direct obligations	9	10	10
99.5 Below reporting threshold			1
99.9 Total new obligations	9	10	11

Personnel Summary

Identification code 95-2400-0-1-505	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	45	52	52

NATIONAL TRANSPORTATION SAFETY BOARD**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902) [\$62,942,000] \$64,480,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. (De-

partment of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346.)

Program and Financing (in millions of dollars)

Identification code 95-0310-0-1-407	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Policy and direction	14	15	15
00.02 Safety Recommendations	2	2	2
00.03 Aviation safety	29	31	23
00.04 Surface transportation safety	13	15	13
00.05 Research and engineering	9	9	9
00.06 Administrative law judges	1	2	2
01.00 Sub-total, Direct obligations	68	74	64
09.01 Reimbursable program	5		
10.00 Total new obligations	73	74	64
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	11	
22.00 New budget authority (gross)	82	63	64
23.90 Total budgetary resources available for obligation	84	74	64
23.95 Total new obligations	-73	-74	-64
24.40 Unobligated balance carried forward, end of year	11		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	57	63	64
40.00 Appropriation	20		
43.00 Appropriation (total discretionary)	77	63	64
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5		
70.00 Total new budget authority (gross)	82	63	64
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	25	21	6
72.99 Obligated balance, start of year	25	21	6
73.10 Total new obligations	73	74	64
73.20 Total outlays (gross)	-77	-89	-64
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	21	6	6
74.99 Obligated balance, end of year	21	6	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	59	57	58
86.93 Outlays from discretionary balances	18	32	6
87.00 Total outlays (gross)	77	89	64
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-5		
Net budget authority and outlays:			
89.00 Budget authority	77	63	64
90.00 Outlays	72	89	64

The National Transportation Safety Board (NTSB), as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

In 2002, the Administration requests a total funding level of \$64 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 95-0310-0-1-407	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	33	34
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	32	36	37
12.1 Civilian personnel benefits	8	9	9
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	14	15	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Subtotal, direct obligations	68	74	64
99.0 Reimbursable obligations	5		
99.9 Total new obligations	73	74	64

Personnel Summary

Identification code 95-0310-0-1-407	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	421	458	458

EMERGENCY FUND**Program and Financing** (in millions of dollars)

Identification code 95-0311-0-1-407	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
24.40 Unobligated balance carried forward, end of year	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2002.

NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION**Federal Funds****General and special funds****NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION**

For necessary expenses of the National Veterans Business Development Corporation as authorized under section 33(a) of the Small Business Act, as amended, \$4,000,000.

Unavailable Collections (in millions of dollars)

Identification code 95-0350-0-1-705	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.40 Transfer from SBA		4	
Appropriations:			
05.00 National veterans business development corporation		-4	

07.99 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 95-0350-0-1-705	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Administration		1	1
00.02 Outreach		3	3
10.00 Total new obligations		4	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		4	4
23.95 Total new obligations		-4	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			4
40.20 Appropriation (special fund, definite)		4	
43.00 Appropriation (total discretionary)		4	4
Change in unpaid obligations:			
73.10 Total new obligations		4	4
73.20 Total outlays (gross)		-4	-4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		4	4
Net budget authority and outlays:			
89.00 Budget authority		4	4
90.00 Outlays		4	4

The National Veterans Business Development Corporation (Corporation) was chartered by the 106th Congress in Public Law 106-50. Its mission is to assist America's 25 million veterans to access entrepreneurial training and technical assistance and to organize public and private resources to assist veterans, including service-disabled veterans, to establish and grow their small businesses.

The Corporation is governed by a volunteer Board of Directors, nominated by the Congress and appointed by the President. There are three ex-officio members, the Secretary of Defense, the Secretary of Veterans Affairs, and the Administrator of the Small Business Administration. The majority of the Board of Directors were appointed in September 2000, and have held quarterly meetings since that time.

In 2001, the Corporation created its infrastructure by filing for 501(c)(3) status, adopting bylaws, financial policies, human resources policies, an ethics policy, and developing the Board's committee governance structure. Further, it retained an interim executive director, interim associate director, and interim deputy associate director. Board committees, with interim staff assistance, are securing office space, conducting a search for its chief executive officer and other essential staff, and constructing annual budgets.

In 2002, the Corporation will collaborate with public, private, and non-profit organizations to enhance service delivery to veterans across the nation. Specifically, the Corporation will coordinate with the Small Business Administration's (SBA's) Advisory Committee on Veterans Business Affairs to develop a plan to improve SBA's, and other Federal agencies, outreach and entrepreneurial service delivery to its constituencies, coordinate with the Department of Veterans Affairs' newly-created Center for Veterans Enterprise to increase procurement opportunities for the nation's veterans, and pay particular attention to the Federal Government's progress in meeting the three-percent procurement goal for small businesses owned by service-disabled veterans. The Corporation will also establish a web site with the goal of linking veterans to the wide variety of agencies and organizations that provide entrepreneurial training and technical assistance. As required by law, the Corporation will submit an annual report to the President and the Congress.

General and special funds—Continued**NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION—Continued****Object Classification** (in millions of dollars)

Identification code 95-0350-0-1-705	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent		1	1
25.2 Other services		3	3
99.9 Total new obligations		4	4

Personnel Summary

Identification code 95-0350-0-1-705	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment		10	10

NEIGHBORHOOD REINVESTMENT CORPORATION**Federal Funds****General and special funds:****PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION**

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), **[\$90,000,000] \$95,000,000**, of which **[\$5,000,000] \$10,000,000** shall be for a homeownership program that is used in conjunction with section 8 assistance under the United States Housing Act of 1937: *Provided*, That of the amount made available, \$2,500,000 shall be for an endowment to establish the George Knight Scholarship Fund for the Neighborhood Reinvestment Training Institute. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.)

Program and Financing (in millions of dollars)

Identification code 82-1300-0-1-451	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	75	90	95
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	75	90	95
23.95 Total new obligations	-75	-90	-95
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	75	90	95
Change in unpaid obligations:			
73.10 Total new obligations	75	90	95
73.20 Total outlays (gross)	-75	-90	-95
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	75	90	95
Net budget authority and outlays:			
89.00 Budget authority	75	90	95
90.00 Outlays	75	90	95

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWOs); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWOs; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For 2002, a program level of \$95,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

BUDGET ACTIVITY

(In millions of dollars)

Neighborhoods Programs:	2000 actual	2001 est.	2002 est.
1. Creation of new programs	2	2	2
2. Capacity building	27	33	32
3. Preserving affordable housing/equity capital	24	32	35
4. Program reviews	3	3	4
5. Training and informing	10	7	12
6. Secondary market activities	8	8	8
7. General administration	8	13	10
Total corporate obligations	82	98	103
Sources of financing:			
1. Federal appropriation	76	90	95
2. Reimbursements for services provided	3	3	3
3. Other sources	4	4	4
Unused balance, start of year	1	2	1
Net obligations incurred	82	98	103
Unused balance, end of year	2	1	
Obligated balances, start of year	8	11	11
Obligated balances, end of year	11	11	11
Net corporate outlay	79	98	103

Statement of Operations (in millions of dollars)

Identification code 82-1300-0-1-451	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	96	84	100	95
0102 Expense	-97	-82	-100	-95
0105 Net income or loss (-)	-1	2		

Balance Sheet (in millions of dollars)

Identification code 82-1300-0-1-451	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Other Federal assets:				
1801 Cash and other monetary assets	8	14	8	8
1803 Property, plant and equipment, net	1	1	2	3
1999 Total assets	9	15	10	11
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	3	3	3	4
2207 Other	5	8	4	4
2999 Total liabilities	8	11	7	8
NET POSITION:				
3300 Cumulative results of operations	1	4	3	3
3999 Total net position	1	4	3	3
4999 Total liabilities and net position	9	15	10	11

Object Classification of Corporation Obligations (in millions of dollars)

	2000 actual	2001 est.	2002 est.
Salaries and benefits	19	20	21
Occupancy	2	3	3
Professional services	4	7	5
Travel and transportation of persons	2	3	3
Conferences and workshops	1	1	1
Grants and grant commitments	49	58	65
Other operating costs	5	6	5
Total obligations	82	98	103

Personnel Summary

	2000 actual	2001 est.	2002 est.
Non-Federal employees: Total compensable workyears:			
Full-time equivalent employment	261	255	256
Full-time equivalent of overtime and holiday hours	7	7	7

NUCLEAR REGULATORY COMMISSION

*Federal Funds***General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official representation expenses (not to exceed \$15,000), **[\$481,900,000]** and purchase of promotional items for use in the recruitment of individuals for employment, \$506,900,000, to remain available until expended: *Provided*, That of the amount appropriated herein, **[\$21,600,000]** \$23,650,000 shall be derived from the Nuclear Waste Fund: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$447,958,000]** \$463,248,000 in fiscal year **[2001]** 2002 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That **[\$3,200,000]** \$700,000 of the funds herein appropriated for regulatory reviews and other assistance to [other] Federal agencies and States shall be excluded from license fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2001]** 2002 so as to result in a final fiscal year **[2001]** 2002 appropriation estimated at not more than **[\$33,942,000]** \$43,652,000. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Unavailable Collections (in millions of dollars)

Identification code 31-0200-0-1-276	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year		447	894
Receipts:			
02.60 Nuclear facility fees, Nuclear Regulatory Commission	447	453	469
04.00 Total: Balances and collections	447	900	1,363
Appropriations:			
05.01 Office of Inspector General		-6	
07.99 Balance, end of year	447	894	1,363

Program and Financing (in millions of dollars)

Identification code 31-0200-0-1-276	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
00.01 Nuclear Reactor Safety	210	219	232
00.02 Nuclear Materials Safety	52	52	55
00.03 Nuclear Waste Safety	54	59	63
00.04 International Nuclear Safety Support	5	5	5
00.06 Management and Support	149	146	152
09.01 Reimbursable program	6	9	9
10.00 Total new obligations	476	490	516
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	28	
22.00 New budget authority (gross)	470	490	516
22.10 Resources available from recoveries of prior year obligations	8	-28	
22.21 Unobligated balance transferred to other accounts	-4		
23.90 Total budgetary resources available for obligation	503	490	516
23.95 Total new obligations	-476	-490	-516
24.40 Unobligated balance carried forward, end of year	28		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		12	20
40.05 Appropriation (indefinite)	446	447	463
40.20 Appropriation (special fund, definite)	19	22	24
43.00 Appropriation (total discretionary)	465	481	507
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5	9	9
70.00 Total new budget authority (gross)	470	490	516

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	111	99	120
72.99 Obligated balance, start of year	111	99	120
73.10 Total new obligations	476	490	516
73.20 Total outlays (gross)	-480	-496	-513
73.40 Adjustments in expired accounts (net)			
73.45 Recoveries of prior year obligations	-8	28	
74.00 Change in uncollected customer payments from Federal sources			
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	99	120	123
74.99 Obligated balance, end of year	99	120	123
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	364	370	393
86.93 Outlays from discretionary balances	116	127	120
87.00 Total outlays (gross)	480	496	513
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5	-9	-9
Net budget authority and outlays:			
89.00 Budget authority	465	481	507
90.00 Outlays	475	487	504

Nuclear Reactor Safety.—A major part of the NRC's mission is to ensure that its licensees design, construct, and operate civilian reactor facilities safely. The Atomic Energy Act and the Energy Reorganization Act provide the foundation for regulating the Nation's civilian nuclear power industry. Nuclear reactor safety encompasses all NRC efforts to ensure that civilian nuclear reactor facilities and non-power reactors are operated in a manner that provides adequate protection of public health and safety and the environment, and protects against radiological sabotage and theft or diversion of special nuclear materials. These efforts include reactor licensing; reactor license renewal; operator licensing; financial assurance; inspection; performance assessment; identification and resolution of safety issues; reactor regulatory research; regulation development; operating experience evaluation; incident investigation; threat assessment; emergency response; investigation of alleged wrong doing by licensees, applicants, contractors, or vendors; imposition of enforcement sanctions for violations of NRC requirements; and reactor technical and regulatory training.

Nuclear Materials Safety.—Nuclear materials safety encompasses all NRC efforts to ensure that NRC-regulation aspects of nuclear fuel cycle facilities and nuclear materials activities are handled in a manner that provides adequate protection of public health and safety. These efforts include, licensing/certification, inspection, and enforcement activities; regulation and guidance development; nuclear materials research; identification and resolution of safety and safeguard issues; operating experience evaluation; incident investigation; threat assessment; emergency response; technical training; and investigation of alleged wrongdoing by licensees, applicants, certificate holders, contractors and vendors.

Nuclear Waste Safety.—Nuclear waste safety encompasses the NRC's high-level waste regulatory activities associated with high-level waste disposal at the potential Yucca Mountain repository as mandated by the Nuclear Waste Policy Act, the Nuclear Waste Policy Amendments Act; the Energy Policy Act; NRC regulatory and oversight activities for decommissioning, which involves safely removing a facility from service and reducing residual radiation to a level that permits the property to be released; the safe and secure storage and transportation of radioactive materials through the certification of spent fuel storage containers and transportation packages; and assistance to Agreement States to complete activities associated with the closure of formerly licensed NRC

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

sites when the original owner or successor cannot be found. Low-level radioactive waste activities associated with the disposal of waste are addressed in accordance with the Low-Level Radioactive Waste Policy Act.

International Nuclear Safety Support.—International Nuclear Safety Support encompasses NRC international activities, some of which support the agency's domestic mission and many of which support broader U.S. national interests. These activities include international policy formulation, export-import licensing of nuclear materials and equipment, treaty implementation, international information exchange activities, and international safety and safeguards assistance, and deterring nuclear proliferation.

Management and Support.—Management and support encompasses NRC central policy direction, legal advice for the Commission, analysis of long-term policy issues, administrative proceedings review and advice, liaison with outside constituents and other government agencies, financial management, all administrative and logistical support, information resources management, executive management services for the Commission, personnel and training, and matters involving small and disadvantaged businesses and civil rights.

Object Classification (in millions of dollars)

Identification code 31-0200-0-1-276	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	225	235	240
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	6	5	6
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	235	244	250
12.1 Civilian personnel benefits	48	48	49
21.0 Travel and transportation of persons	12	13	15
22.0 Transportation of things	1	1	3
23.1 Rental payments to GSA	18	18	18
23.3 Communications, utilities, and miscellaneous charges	7	7	9
24.0 Printing and reproduction	2	2	4
25.1 Advisory and assistance services	2	2	4
25.2 Other services	53	53	56
25.3 Purchases of goods and services from Government accounts	69	70	71
25.4 Operation and maintenance of facilities	3	3	4
25.7 Operation and maintenance of equipment	3	3	4
26.0 Supplies and materials	1	1	2
31.0 Equipment	15	15	16
41.0 Grants, subsidies, and contributions	1	1	2
99.0 Subtotal, direct obligations	470	481	507
99.0 Reimbursable obligations	6	9	9
99.9 Total new obligations	476	490	516

Personnel Summary

Identification code 31-0200-0-1-276	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,735	2,719	2,734
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		11	11

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$5,500,000] \$6,180,000**, to remain available until expended: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$5,390,000] \$5,932,000** in fiscal year **[2001] 2002** shall be retained and be avail-

able until expended, for necessary salaries and expenses in this account notwithstanding 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2001] 2002** so as to result in a final fiscal year **[2001] 2002** appropriation estimated at not more than **[\$110,000] \$247,000**. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 31-0300-0-1-276	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Inspector General	6	6	6
10.00 Total new obligations	6	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	6	6	6
23.90 Total budgetary resources available for obligation	7	7	7
23.95 Total new obligations	-6	-6	-6
24.40 Unobligated balance carried forward, end of year	1	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5		6
40.05 Appropriation (indefinite)	1		
40.20 Appropriation (special fund, definite)		6	
43.00 Appropriation (total discretionary)	6	6	6
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	
72.99 Obligated balance, start of year	1	1	
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-5	-6	-6
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1		
74.99 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)	5	6	6
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	5	6	6

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

Object Classification (in millions of dollars)

Identification code 31-0300-0-1-276	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1	1	1
99.9 Total new obligations	6	6	6

Personnel Summary

Identification code 31-0300-0-1-276	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	42	44	44

**NUCLEAR WASTE TECHNICAL REVIEW
BOARD****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, **[\$2,900,000] \$3,100,000**, to be derived from the Nuclear Waste Fund, and to remain available until expended. (*Energy and Water Development Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-377.*)

Program and Financing (in millions of dollars)

Identification code 48-0500-0-1-271	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	2	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-2	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund, definite)	3	3	3
Change in unpaid obligations:			
73.10 Total new obligations	2	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48-0500-0-1-271	2000 actual	2001 est.	2002 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	3	3

Personnel Summary

Identification code 48-0500-0-1-271	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	14	17	17

**OCCUPATIONAL SAFETY AND HEALTH
REVIEW COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For expenses necessary for the Occupational Safety and Health Review Commission (29 U.S.C. 661), **[\$8,720,000] \$8,964,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 95-2100-0-1-554	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Commission review	3	4	4
00.02 Administrative law judge determinations	3	3	3
00.03 Executive direction	2	2	2
10.00 Total new obligations	8	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	9	9
23.95 Total new obligations	-8	-9	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	9	9
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2	2	2
72.99 Obligated balance, start of year	2	2	2
73.10 Total new obligations	8	9	9
73.20 Total outlays (gross)	-8	-9	-9
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	2	2	2
74.99 Obligated balance, end of year	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	8	8
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	8	9	9
Net budget authority and outlays:			
89.00 Budget authority	8	9	9
90.00 Outlays	8	9	9

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Commission review activities:			
Case pending beginning of year	72	88	80
New cases received	52	38	42
Case dispositions	36	46	48
Administrative law judge activities:			
Cases pending beginning of year	985	846	736
New cases received	2,407	2,500	2,500
Cases disposition:			
After assignment but without hearing	2,415	2,483	2,483
Heard and decided by judge	131	127	127

Object Classification (in millions of dollars)

Identification code 95-2100-0-1-554	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.0 Subtotal, direct obligations	8	8	8
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	8	9	9

Personnel Summary

Identification code 95-2100-0-1-554	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	63	72	70

OFFICE OF GOVERNMENT ETHICS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, as amended and the Ethics Reform Act of 1989, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$9,684,000]** *\$10,060,000. (Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.)*

Program and Financing (in millions of dollars)

Identification code 95-1100-0-1-805	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	9	10	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	10	10
23.95 Total new obligations	-9	-10	-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	10	10
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1
73.10 Total new obligations	9	10	10
73.20 Total outlays (gross)	-9	-10	-10
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	9	9
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	9	10	10
Net budget authority and outlays:			
89.00 Budget authority	9	10	10
90.00 Outlays	9	10	10

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; and by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure.

Object Classification (in millions of dollars)

Identification code 95-1100-0-1-805	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6

12.1	Civilian personnel benefits	1	2	2
23.1	Rental payments to GSA	1	1	1
99.0	Subtotal, direct obligations	8	9	9
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	9	10	10

Personnel Summary

Identification code 95-1100-0-1-805	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	80	82	82

OFFICE OF NAVAJO AND HOPI INDIAN
RELOCATION*Federal Funds*

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, **[\$15,000,000]** *\$15,148,000*, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. *(Department of the Interior and Related Agencies Appropriations Act, 2001.)*

Program and Financing (in millions of dollars)

Identification code 48-1100-0-1-808	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Operation of relocation office	6	5	5
00.03 Relocation payments (housing)	4	13	10
00.04 Discretionary fund payments	1	1	1
10.00 Total new obligations	11	19	16
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	5	2
22.00 New budget authority (gross)	8	15	15
22.10 Resources available from recoveries of prior year obligations	1	1	1
23.90 Total budgetary resources available for obligation	16	21	18
23.95 Total new obligations	-11	-19	-16
24.40 Unobligated balance carried forward, end of year	5	2	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	15	15
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	8	5	5
72.99 Obligated balance, start of year	8	5	5
73.10 Total new obligations	11	19	16
73.20 Total outlays (gross)	-14	-19	-17
73.45 Recoveries of prior year obligations	-1	-1	-1
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	5	5	3
74.99 Obligated balance, end of year	5	5	3

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	6	14	11
86.93	Outlays from discretionary balances	8	5	6
87.00	Total outlays (gross)	14	19	17
Net budget authority and outlays:				
89.00	Budget authority	8	15	15
90.00	Outlays	14	19	17

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48-1100-0-1-808		2000 actual	2001 est.	2002 est.
11.1	Personnel compensation: Full-time permanent	4	3	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services	1	1	1
32.0	Land and structures	4	13	10
41.0	Grants, subsidies, and contributions	1	1	1
99.9	Total new obligations	11	19	16

Personnel Summary

Identification code 48-1100-0-1-808		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment	66	61	61

OFFICE OF SPECIAL COUNSEL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), Public Law 103-424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$11,147,000] \$11,784,000.** (*Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(3) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 62-0100-0-1-805		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
00.01	Investigation and prosecution of reprisals for whistleblowing	10	11	12
10.00	Total new obligations	10	11	12
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	10	11	12
23.95	Total new obligations	-10	-11	-12
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	10	11	12
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	1	1	1

72.99	Obligated balance, start of year	1	1	1
73.10	Total new obligations	10	11	12
73.20	Total outlays (gross)	-10	-11	-12
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	1	1	1
74.99	Obligated balance, end of year	1	1	1

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	9	11	12
86.93	Outlays from discretionary balances	1	1	1
87.00	Total outlays (gross)	10	11	12

Net budget authority and outlays:				
89.00	Budget authority	10	11	12
90.00	Outlays	9	11	12

The Office of Special Counsel (OSC) (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board (MSPB); (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

Overall in 2000, there were more than 7,683 instances in which the assistance or action of the OSC was sought by Federal employees and other persons. Many prohibited personnel practice cases investigated by the OSC are resolved without recourse to formal proceedings before the MSPB. In 2000, the OSC obtained 58 corrective or other favorable actions, and efforts to obtain such negotiated resolutions will continue. In 2000, the OSC also filed eight enforcement actions before the MSPB in prohibited personnel practice and Hatch Act matters. The OSC also issued 2,810 Hatch Act advisory opinions (both written and oral) to people who sought advice. During 2000, the OSC's Disclosure Unit received 422 new disclosure matters for possible referral and eight Disclosure Unit matters were referred to agency heads for their review.

This request will enable OSC to continue its efforts to reduce its long-standing case processing backlogs. While progress was made in reducing case backlogs in 1999, OSC experienced a nearly 15 percent increase in case intake during 2000, hampering its efforts to produce demonstrable reductions in the overall backlog. OSC anticipates making significant progress in 2002 to reduce backlogs and provide customers with prompt and timely service in accordance with the time frames laid out in 5 U.S.C. § 1214(b)(2)(A)(ii) (240 days to process prohibited personnel practice complaints) and 5 U.S.C. § 1213(b) (15 days to make an initial determination on a whistleblower disclosure).

OSC significantly revised its Strategic Plan this year, including the associated annual performance plan. The plans place more emphasis on prioritizing cases by category and resource allocation, while improving quality. Highlights of the FY 2002 annual performance plan include: (1) creating measurable quality standards for assessing OSC investigations and legal analyses; (2) devising a formal system of case handling and resource allocation according to complexity and type of case; (3) developing a system to eliminate any unnecessary steps in case-handling; (4) creating specific performance goals for the Hatch Act and Disclosure Units; (5) enhancing goals for OSC's outreach and education program; and (6) maintaining OSC's human resource and information technology programs.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

	2000 actual	2001 est.	2002 est.
Reprisal for whistleblowing	736	750	775

General and special funds—Continued**SALARIES AND EXPENSES—Continued****ALLEGATIONS RECEIVED—Continued**

	2000 actual	2001 est.	2002 est.
Other personnel practices	3,644	3,670	3,690
Hatch Act	71	85	85

ALLEGATIONS CLOSED

	2000 actual	2001 est.	2002 est.
Reprisal for whistleblowing	517	870	880
Other personnel practices	2,988	3,650	3,675
Hatch Act	56	60	60

Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	8	9
12.1 Civilian personnel benefits	1	2	2
23.1 Rental payments to GSA	1	1	1
99.0 Subtotal, direct obligations	9	11	12
99.5 Below reporting threshold	1		
99.9 Total new obligations	10	11	12

Personnel Summary

Identification code 62-0100-0-1-805	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	91	106	106

OKLAHOMA CITY NATIONAL MEMORIAL TRUST**Federal Funds****General and special funds:****OKLAHOMA CITY NATIONAL MEMORIAL TRUST****Program and Financing (in millions of dollars)**

Identification code 95-4333-0-3-303	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.00 Reimbursable program		6	
10.00 Total new obligations		6	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	1	6	
23.90 Total budgetary resources available for obligation	1	7	1
23.95 Total new obligations		-6	
24.40 Unobligated balance carried forward, end of year	1	1	
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	1		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		6	
70.00 Total new budget authority (gross)	1	6	
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3		
72.95 Uncollected customer payments from Federal sources, start of year			
72.99 Obligated balance, start of year	3		
73.10 Total new obligations		6	
73.20 Total outlays (gross)	-3	-6	
74.00 Change in uncollected customer payments from Federal sources			
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year			

74.99	Obligated balance, end of year			
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Outlays (gross), detail:

86.90	Outlays from new discretionary authority		5	
86.93	Outlays from discretionary balances	3	1	1
87.00	Total outlays (gross)	3	6	

Offsets:

Against gross budget authority and outlays:

	Offsetting collections (cash) from:			
88.20	Interest on Federal securities			
88.40	Non-Federal sources		-6	
88.90	Total, offsetting collections (cash)		-6	

Net budget authority and outlays:

89.00	Budget authority	1		
90.00	Outlays	3		

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	3		3
92.02	Total investments, end of year: Federal securities:			
	Par value		3	

The Oklahoma City National Memorial Act of 1997 (P.L. 105-58), established the Oklahoma City National Memorial Trust, a wholly owned government corporation, to operate the memorial to commemorate the victims of the April 19, 1995 bombing of the Alfred P. Murrah Federal Building. The Act authorized \$5 million in appropriations, subject to a non-Federal match, for the activities of the Trust, managed by the Oklahoma City Memorial Foundation.

Object Classification (in millions of dollars)

Identification code 95-4333-0-3-303	2000 actual	2001 est.	2002 est.
32.0 Reimbursable obligations: Land and structures		5	
99.5 Below reporting threshold		1	
99.9 Total new obligations		6	

OTHER COMMISSIONS AND BOARDS**Federal Funds****General and special funds:****COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD****SALARIES AND EXPENSES**

For expenses for the Commission for the Preservation of America's Heritage Abroad, **[\$490,000] \$489,000**, as authorized by Public Law 99-83, section 1303. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Unavailable Collections (in millions of dollars)

Identification code 95-9911-0-1-808	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	3	4	6
Receipts:			
02.00 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies		1	1
02.50 Interest, Miscellaneous trust funds, Independent agencies	1	1	1
02.99 Total receipts and collections	1	2	2
04.00 Total: Balances and collections	4	6	8
07.99 Balance, end of year	4	6	8

Program and Financing (in millions of dollars)

Identification code 95-9911-0-1-808	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	4	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	3	1	
23.90 Total budgetary resources available for obligation	4	1	
23.95 Total new obligations	-4	-1	
24.40 Unobligated balance carried forward, end of year			
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	3	1	
43.00 Appropriation (total discretionary)	3	1	
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year		1	
72.99 Obligated balance, start of year		1	
73.10 Total new obligations	4	1	
73.20 Total outlays (gross)	-3	-2	
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1		
74.99 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	1	
86.93 Outlays from discretionary balances	1	1	
87.00 Total outlays (gross)	3	2	
Net budget authority and outlays:			
89.00 Budget authority	3	1	
90.00 Outlays	3	2	

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the \$489 thousand request for the Commission for the Preservation of America's Heritage Abroad, which works to encourage the preservation of cemeteries, monuments, and historic buildings associated with the foreign heritage of the United States.

PANAMA CANAL COMMISSION

Federal Funds

Public enterprise funds:

PANAMA CANAL REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 95-4061-0-3-403	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Reimbursable program	243	2	1
09.09 Total operating expenses	243	2	1
Capital investment:			
09.10 Reimbursable program	92		
09.19 Total capital investment	92		
10.00 Total new obligations	335	2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	149	34	32
22.00 New budget authority (gross)	220		
23.90 Total budgetary resources available for obligation	369	34	32
23.95 Total new obligations	-335	-2	-1
24.40 Unobligated balance carried forward, end of year	34	32	31

New budget authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	220		
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	224	23	10
72.99 Obligated balance, start of year	224	23	10
73.10 Total new obligations	335	2	1
73.20 Total outlays (gross)	-537	-15	-11
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	23	10	
74.99 Obligated balance, end of year	23	10	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	188		
86.93 Outlays from discretionary balances	47		
86.98 Outlays from mandatory balances	302	15	11
87.00 Total outlays (gross)	537	15	11
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-220		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	317	15	11

Note.—Authority to borrow is available to the Panama Canal Commission on a permanent indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$100 million.

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund. In accordance with the Panama Canal Treaty, the United States transferred ownership of the Canal to the Republic of Panama on December 31, 1999. Fiscal year 2000 data in the following tables cover first quarter operations and transfer-related obligations, including severance pay and accumulated leave. Fiscal year 2002 expenses are for the settlement of remaining accident and contract claims against the Commission.

Object Classification (in millions of dollars)

Identification code 95-4061-0-3-403	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	66		
11.3 Other than full-time permanent	4		
11.5 Other personnel compensation	67		
11.9 Total personnel compensation	137		
12.1 Civilian personnel benefits	10		
21.0 Travel and transportation of persons	2		
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	1		
25.2 Other services	32		
26.0 Supplies and materials	24		
31.0 Equipment	43		
32.0 Land and structures	51		
41.0 Grants, subsidies, and contributions	25		
42.0 Insurance claims and indemnities	9	2	1
99.9 Total new obligations	335	2	1

Personnel Summary

Identification code 95-4061-0-3-403	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	2,421		

Public enterprise funds—Continued

PANAMA CANAL COMMISSION DISSOLUTION FUND

Program and Financing (in millions of dollars)

Identification code 95-4073-0-3-403	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Office of Transition Administration	5	2	1
10.00 Total new obligations	5	2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	2
23.95 Total new obligations	-5	-2	-1
24.40 Unobligated balance carried forward, end of year	2
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)
69.26 From offsetting collections (unavailable balances)
69.90 Spending authority from offsetting collections (total mandatory)
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	4
72.99 Obligated balance, start of year	4
73.10 Total new obligations	5	2	1
73.20 Total outlays (gross)	-1	-6
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	4
74.99 Obligated balance, end of year	4
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1	6
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays	1	6
Memorandum (non-add) entries:			
Total investments, start of year: Federal securities:			
92.01 Par value	7	7
Total investments, end of year: Federal securities:			
92.02 Par value	7

Pursuant to 22 USC 3714a., Sec. 1305., there is established in the Treasury of the United States a fund known as the "Panama Canal Commission Dissolution Fund". The Fund, which became available on October 1, 1998, is being used by the Commission to operate an Office of Transition Administration. This office will manage the Commission's transfer-related obligations, such as severance pay and accident and contract claims.

Object Classification (in millions of dollars)

Identification code 95-4073-0-3-403	2000 actual	2001 est.	2002 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1
12.1 Civilian personnel benefits	1
25.2 Other services	3	1	1
99.0 Subtotal, reimbursable obligations	5	1	1
99.5 Below reporting threshold	1
99.9 Total new obligations	5	2	1

Personnel Summary

Identification code 95-4073-0-3-403	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	9	5	1

POSTAL SERVICE

Federal Funds

General and special funds:

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, [\$96,093,000, of which \$67,093,000, shall not be available for obligation until October 1, 2001: *Provided*] \$76,619,000: *Provided, That the budget authority (and the outlays resulting therefrom) for \$67,093,000 provided under this heading in fiscal year 2001, to be available as an advance appropriation in fiscal year 2002, shall be considered direct spending in fiscal year 2002 for purposes of the Balanced Budget and Emergency Deficit Control Act of 1990, as amended, and section 2(a) of Public Law 106-554: Provided further, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in fiscal year [2001] 2002. (Postal Service Appropriations Act, 2001 as enacted by section 1(a)(3) of P.L. 106-554.)*

Program and Financing (in millions of dollars)

Identification code 18-1001-0-1-372	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Current year	148
00.02 Reconciliation adjustment
00.03 Prior years' liabilities	29	29	29
00.04 Advanced Appropriation from the previous year	69	264	66
00.05 Advanced Appropriation—Reconciliation Adjustment from previous year	2	1
10.00 Total new obligations (object class 41.0)	100	93	144
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	100	93	144
23.95 Total new obligations	-100	-93	-144
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	29	29	29
40.00 Appropriation	48
43.00 Appropriation (total discretionary)	29	29	77
Advance appropriation:			
55.00 Advance appropriation	69	64
55.00 Advance appropriation	2
55.90 Advance appropriation (total discretionary)	71	64
Mandatory:			
65.00 Advance appropriation	67
70.00 Total new budget authority (gross)	100	93	144
Change in unpaid obligations:			
73.10 Total new obligations	100	93	144
73.20 Total outlays (gross)	-100	-93	-144
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	100	93	77
86.97 Outlays from new mandatory authority	67

87.00	Total outlays (gross)	100	93	144
Net budget authority and outlays:				
89.00	Budget authority	100	93	144
90.00	Outlays	100	93	144

¹ Represents a \$56,303,000 current year estimate and a —\$8,684,000 reconciliation adjustment.

² Represents a \$70,880,000 current year estimate and a —\$6,444,000 reconciliation adjustment.

The Administration proposes to reverse the misleading budget practice of using advance appropriations simply to avoid spending limitations. Accordingly, the amount requested to be appropriated for 2002 is sufficient to provide normal funding and no advance appropriation for 2003 is requested. In order to avoid overstating discretionary budget authority and outlays in 2002, language is proposed to designate the advance appropriation budget authority and outlays amounts as direct spending.

Pursuant to Public Law 93–328, the 2002 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$76,729,000. This amount includes: \$56,303,000 requested for free mail for the blind and overseas voting; —\$8,684,000 as a reconciliation adjustment for 1999 actual mail volume of free mail for the blind and overseas voting; \$29,000,000 for prior years' liability under the Revenue Forgone Reform Act of 1993; and \$110,000 to restore funds for prior years' liability under the Revenue Forgone Reform Act of 1993 rescinded by P.L. 106–113. In addition to these funds, \$67,093,000 (an advance appropriation from 2001 for the 2001 costs and the 1998 reconciliation adjustment for free mail for the blind and overseas voting) will become available to the U.S. Postal Service in 2002.

Public enterprise funds:

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 18–4020–0–3–372		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Reimbursable Program:				
09.01	Postal field operations	45,405	47,096	48,000
09.02	Transportation	4,721	5,235	5,458
09.03	Building occupancy	1,653	1,815	1,897
09.04	Supplies and services	3,137	3,603	3,671
09.05	Research and development	42	45	46
09.06	Administration and area operations	5,277	6,280	6,984
09.07	Interest	1,840	2,079	2,155
09.08	Service-wide expenses	57	92	96
09.09	Subtotal	62,132	66,245	68,307
09.10	Capital Investment	3,011	2,675	2,407
10.00	Total new obligations	65,143	68,920	70,714
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	68,293	71,271	70,714
22.60	Portion applied to repay debt	— 3,150	— 2,351
23.90	Total budgetary resources available for obligation	65,143	68,920	70,714
23.95	Total new obligations	— 65,143	— 68,920	— 70,714
New budget authority (gross), detail:				
Mandatory:				
67.15	Authority to borrow (indefinite)	3,712	4,840	2,519
69.00	Offsetting collections (cash)	64,581	66,431	68,195
70.00	Total new budget authority (gross)	68,293	71,271	70,714
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	22,107	20,640	20,533
72.99	Obligated balance, start of year	22,107	20,640	20,533
73.10	Total new obligations	65,143	68,920	70,714
73.20	Total outlays (gross)	— 66,610	— 69,027	— 71,256
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	20,640	20,533	19,991

74.99	Obligated balance, end of year	20,640	20,533	19,991
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	66,610	69,027	71,256
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	— 1,011	— 906	— 950
88.20	Interest on Federal securities	— 41	— 27	— 27
88.40	Non-Federal sources	— 63,529	— 65,498	— 67,218
88.90	Total, offsetting collections (cash)	— 64,581	— 66,431	— 68,195
Net budget authority and outlays:				
89.00	Budget authority	3,712	4,840	2,519
90.00	Outlays	2,029	2,596	3,061
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	809	1,086	1,086
92.02	Total investments, end of year: Federal securities:			
	Par value	1,086	1,086	1,086

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

Programs.—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and United States competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is encouraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business,

Public enterprise funds—Continued**POSTAL SERVICE FUND—Continued**

governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

Financing.—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 2002, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$15.0 billion.

Operating.—Estimated revenue will total approximately \$68 billion in 2002. This includes \$67.9 billion from mail and services revenue, \$27 million from investment income, and \$48 million for revenue foregone appropriations in 2002. Total expenses are estimated at approximately \$71 billion in 2002.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program

(FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments were made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these liabilities became liabilities of the Postal Service payable out of the Postal Service Fund.

Statement of Operations (in millions of dollars)

Identification code 18-4020-0-3-372		1999 actual	2000 actual	2001 est.	2002 est.
0101	Revenue	62,755	64,581	66,431	68,195
0102	Expense	-62,392	-64,780	-68,931	-71,195
0105	Net income or loss (-)	363	-199	³ -2,500	-3,000

³Due to the recent slowing of the economy and the uncertainty associated with the length and severity of the slowdown, the Postal Service projects year-end losses, based on current postal rates, will be between \$2.0 and \$3.0 billion in 2001 and between \$2.5 and \$3.5 billion in 2002. For purposes of this submission, the mid-points of these net loss ranges have been used. Amounts estimated for capital investment obligations and outlays have not been approved by the Board of Governors.

Object Classification (in millions of dollars)

Identification code 18-4020-0-3-372		2000 actual	2001 est.	2002 est.
Personnel compensation:				
11.1	Full-time permanent	27,125	27,848	28,274
11.3	Other than full-time permanent	4,796	5,154	5,232
11.5	Other personnel compensation	5,060	5,272	5,526
11.9	Total personnel compensation	36,981	38,274	39,032
12.1	Civilian personnel benefits	11,116	11,963	12,447
13.0	Benefits for former personnel	1,435	1,754	2,097
21.0	Travel and transportation of persons	216	238	241
22.0	Transportation of things	5,167	5,694	5,932
23.1	Rental payments to GSA	39	41	40
23.2	Rental payments to others	881	819	862
23.3	Communications, utilities, and miscellaneous charges	759	869	905
24.0	Printing and reproduction	76	75	74
25.2	Other services	2,149	3,286	3,373
26.0	Supplies and materials	1,505	1,108	1,102
31.0	Equipment	1,716	1,896	1,808
32.0	Land and structures	1,147	692	512
42.0	Insurance claims and indemnities	116	132	134
Interest and dividends:				
43.0	Interest and dividends	272	470	485
43.0	Interest and dividends	1,568	1,609	1,670
99.9	Total new obligations	65,143	68,920	70,714

Personnel Summary

Identification code 18-4020-0-3-372		2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment	848,353	841,002	828,052

PRESIDIO TRUST**Federal Funds****General and special funds:****PRESIDIO TRUST**

For necessary expenses to carry out title I of the Omnibus Parks and Public Lands Management Act of 1996, **[\$23,400,000]**

\$22,427,000 shall be available to the Presidio Trust, to remain available until expended. [The Trust is authorized to issue obligations to the Secretary of the Treasury pursuant to section 104(d)(3) of the Act, in an amount not to exceed \$10,000,000.] (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-4331-0-4-303	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.02 Loan Guarantee Subsidy			
00.09 Operations	24	23	22
01.00 Operations	24	23	22
09.00 Reimbursable program	34	50	78
10.00 Total new obligations	58	73	100
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	37	82	118
22.00 New budget authority (gross)	103	108	100
22.10 Resources available from recoveries of prior year obligations			
23.90 Total budgetary resources available for obligation	140	190	218
23.95 Total new obligations	-58	-73	-100
24.40 Unobligated balance carried forward, end of year	82	118	119
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	24	23	22
47.00 Authority to borrow	20	10	
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections DOD	25	25	25
68.00 Offsetting collections (cash) Business activities	33	49	52
68.10 Change in uncollected customer payments from Federal sources	1	1	1
68.90 Spending authority from offsetting collections (total discretionary)	59	75	78
70.00 Total new budget authority (gross)	103	108	100
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	10	15	22
72.95 Uncollected customer payments from Federal sources, start of year	-4	-5	-6
72.99 Obligated balance, start of year	6	10	16
73.10 Total new obligations	58	73	100
73.20 Total outlays (gross)	-53	-66	-92
73.45 Recoveries of prior year obligations			
74.00 Change in uncollected customer payments from Federal sources	-1	-1	
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	15	22	30
74.95 Uncollected customer payments from Federal sources, end of year	-5	-6	-7
74.99 Obligated balance, end of year	10	16	23
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	20	20	17
86.93 Outlays from discretionary balances	34	46	75
87.00 Total outlays (gross)	53	66	92
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-35	-36	-34
88.40 Non-Federal sources	-23	-38	-43
88.90 Total, offsetting collections (cash)	-58	-74	-77
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	44	33	22
90.00 Outlays	-4	-8	15

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	45	91	130
92.02 Total investments, end of year: Federal securities:			
Par value	91	130	140

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 95-4331-0-4-303	2000 actual	2001 est.	2002 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantee levels	200	200	200
2159 Total loan guarantee levels	200	200	200
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate	0.52	0.46	0.12
2329 Weighted average subsidy rate	0.52	0.46	0.12
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority			
2339 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays			
2349 Total subsidy outlays			

The Presidio Trust is a wholly owned government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco. After this former military base was transferred to the National Park Service (NPS), the Trust was created to take over responsibility for managing the hundreds of houses, office buildings, and other facilities in an innovative manner that uses private-sector resources, but is consistent with surrounding NPS lands. This appropriation funds the operation and capital improvements of the Trust.

Object Classification (in millions of dollars)

Identification code 95-4331-0-4-303	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	13	12
12.1 Civilian personnel benefits	3	4	4
23.3 Communications, utilities, and miscellaneous charges	4	2	2
25.2 Other services	2	1	1
26.0 Supplies and materials	3	2	2
32.0 Land and structures	2	1	1
99.0 Subtotal, direct obligations	24	23	22
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	8	11	12
12.1 Civilian personnel benefits	3	4	4
23.3 Communications, utilities, and miscellaneous charges		2	4
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services	5	6	15
25.3 Purchases of goods and services from Government accounts	3	4	5
26.0 Supplies and materials	4	6	9
31.0 Equipment	3	4	9
32.0 Land and structures	6	11	18
99.0 Subtotal, reimbursable obligations	34	50	78
99.9 Total new obligations	58	73	100

Personnel Summary

Identification code 95-4331-0-4-303	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	200	247	165
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	165	200	305

General and special funds—Continued**PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT****Status of Guaranteed Loans** (in millions of dollars)

Identification code 95-4332-0-3-303	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	200	200	200
2112 Uncommitted loan guarantee limitation	-200	-200	-100
2150 Total guaranteed loan commitments			100
2199 Guaranteed amount of guaranteed loan commitments			75
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			50
2251 Repayments and prepayments			-1
2290 Outstanding, end of year			49
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			37

RAILROAD RETIREMENT BOARD**Federal Funds****General and special funds:****[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY**

For payment to the [Dual Benefits Payments] *Federal Windfall Subsidy* Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, [\$160,000,000] \$146,000,000, which shall include amounts becoming available in fiscal year [2001] 2002 pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds [\$160,000,000] \$146,000,000: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 60-0111-0-1-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	171	160	146
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	173	160	146
23.95 Total new obligations	-171	-160	-146
23.98 Unobligated balance expiring or withdrawn	-2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	174	160	146
40.76 Reduction pursuant to P.L. 106-113	-1		
43.00 Appropriation (total discretionary)	173	160	146
Change in unpaid obligations:			
73.10 Total new obligations	171	160	146
73.20 Total outlays (gross)	-171	-160	-146
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	171	160	146
Net budget authority and outlays:			
89.00 Budget authority	173	160	146
90.00 Outlays	171	160	146

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, [2002] 2003, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 60-0113-0-1-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	459	335	347
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	459	335	347
23.95 Total new obligations	-459	-335	-347
New budget authority (gross), detail:			
Mandatory:			
60.05 Appropriation (indefinite)	459	335	347
Change in unpaid obligations:			
73.10 Total new obligations	459	335	347
73.20 Total outlays (gross)	-459	-335	-347
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	459	335	347
Net budget authority and outlays:			
89.00 Budget authority	459	335	347
90.00 Outlays	459	335	347

Summary of Budget Authority and Outlays

	(in millions of dollars)	2000 actual	2001 est.	2002 est.
Enacted/requested:				
Budget Authority		459	335	347
Outlays		459	335	347
Legislative proposal, not subject to PAYGO:				
Budget Authority				-6
Outlays				-6
Total:				
Budget Authority		459	335	341
Outlays		459	335	341

This account funds interest on uncashed checks and income taxes on Tier I and Tier II railroad retirement benefits.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 60-0113-2-1-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)			-6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-6
23.95 Total new obligations			6
New budget authority (gross), detail:			
Mandatory:			
60.05 Appropriation (indefinite)			-6
Change in unpaid obligations:			
73.10 Total new obligations			-6
73.20 Total outlays (gross)			6
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			-6
Net budget authority and outlays:			
89.00 Budget authority			-6

90.00 Outlays -6

Because of the reduced individual income tax rates in the Administration's proposed tax plan, the revenues from the income taxation of benefits will be smaller, reducing the transfers to the social security, railroad retirement and medicare trust funds.

Trust Funds**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND****Program and Financing (in millions of dollars)**

Identification code 60-8051-0-7-603	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	101	113	112
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	101	113	112
23.95 Total new obligations	-101	-113	-112
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	77	30	68
60.45 Portion precluded from obligation	16	73	35
61.00 Transferred to other accounts	-16	-16	-17
62.50 Appropriation (total mandatory)	77	87	86
69.00 Offsetting collections (cash)	24	26	26
70.00 Total new budget authority (gross)	101	113	112
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	-1
72.99 Obligated balance, start of year	1	-1
73.10 Total new obligations	101	113	112
73.20 Total outlays (gross)	-103	-112	-112
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	-1
74.99 Obligated balance, end of year	-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	101	113	112
86.98 Outlays from mandatory balances	1	-1
87.00 Total outlays (gross)	103	112	112
Offsets:			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections from the public	-24	-26	-26
Net budget authority and outlays:			
89.00 Budget authority	77	87	86
90.00 Outlays	79	86	86

Note.—Appropriations language for the 2002 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

WORKLOAD

	1983 actual	1990 actual	2000 actual	2001 est.	2002 est.
Unemployment claims	1,919,160	300,351	103,934	108,000	103,000
Cumulative workload decline (%)	-84%	-95%	-94%	-95%
Sickness claims	411,877	269,926	177,709	179,000	171,000
Cumulative workload decline (%)	-34%	-57%	-57%	-58%

RAIL INDUSTRY PENSION FUND**Unavailable Collections (in millions of dollars)**

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	15,024	16,075	17,550
Receipts:			
02.00 Refunds	-4	-5	-5
02.01 Taxes	2,615	2,643	2,706
02.40 Interest and profits on investments in public debt securities	1,086	1,673	1,162
Offsetting receipts (intragovernmental):			
02.41 Federal payments to railroad retirement trust funds	318	229	234
02.41 Federal payments to railroad retirement trust funds, legislative proposal subject to PAYGO	-5
02.80 Rail industry pension fund, offsetting collections	4	4	4
02.99 Total receipts and collections	4,019	4,544	4,096
04.00 Total: Balances and collections	19,043	20,619	21,646
Appropriations:			
05.00 Rail industry pension fund	-2,968	-3,069	-3,147
05.99 Total appropriations	-2,968	-3,069	-3,147
07.99 Balance, end of year	16,075	17,550	18,499

Program and Financing (in millions of dollars)

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Direct program	3,058	3,108	3,187
09.01 RRA-administrative reimbursement	4	4	4
10.00 Total new obligations	3,062	3,112	3,191
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3,062	3,112	3,191
23.95 Total new obligations	-3,062	-3,112	-3,191
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund, definite)	96	101	104
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	4,019	4,544	4,096
60.45 Portion precluded from obligation	-1,151	-1,580	-1,057
62.00 Transferred from other accounts	94	43	44
62.50 Appropriation (total mandatory)	2,962	3,007	3,083
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	4	4
70.00 Total new budget authority (gross)	3,062	3,112	3,191
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	238	239	242
72.99 Obligated balance, start of year	238	239	242
73.10 Total new obligations	3,062	3,112	3,191
73.20 Total outlays (gross)	-3,061	-3,109	-3,186
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	239	242	247
74.99 Obligated balance, end of year	239	242	247
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	100	105	108
86.97 Outlays from new mandatory authority	2,961	3,004	3,078
87.00 Total outlays (gross)	3,061	3,109	3,186
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-4	-4
Net budget authority and outlays:			
89.00 Budget authority	3,058	3,108	3,187
90.00 Outlays	3,057	3,105	3,182
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	22,347	22,628	24,096

RAIL INDUSTRY PENSION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
92.02 Total investments, end of year: Federal securities:			
Par value	22,628	24,096	25,096

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 96,000 individuals also receive a “windfall” benefit.

Status of Funds (in millions of dollars)

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:			
0100 Uninvested balance	-7	9	
U.S. Securities:			
0101 Par value	22,347	22,628	24,096
0102 Unrealized discounts	-7,079	-6,321	-6,304
0199 Total balance, start of year	15,262	16,314	17,792
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Rail Industry Pension Fund	-4	-5	-5
1201 Taxes, Rail Industry Pension Fund	2,615	2,643	2,706
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund	1,086	1,673	1,162
1241 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund	318	229	234
Offsetting collections:			
1280 Offsetting collections, Rail Industry Pension Fund	4	4	4
1299 Income under present law	4,019	4,544	4,101
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2241 Federal payments to railroad retirement trust funds, legislative proposal not subject to PAYGO			-5
3299 Total cash income	4,019	4,544	4,096
Cash outgo during year:			
Current law:			
4500 Rail Industry Pension Fund	-3,061	-3,109	-3,186
7645 Transfers, net	94	43	44
Unexpended balance, end of year:			
8700 Uninvested balance	9		
Federal securities:			
8701 Par value	22,628	24,096	25,096
8702 Unrealized discounts	-6,321	-6,304	-6,350
8799 Total balance, end of year	16,314	17,792	18,746

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
Direct obligations:			
42.0 Benefit payments	2,963	3,005	3,082
43.0 Interest and dividends		2	1
93.0 Administrative expenses (see separate schedule)	95	101	103
99.0 Subtotal, direct obligations	3,058	3,108	3,186
99.0 Reimbursable obligations	4	4	4
99.5 Below reporting threshold			1
99.9 Total new obligations	3,062	3,112	3,191

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$95,000,000]** \$97,700,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. (*Departments of Labor, Health*

and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (In millions of dollars)

	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Direct program:			
Rail Industry Pension Fund:			
Subtotal, Rail Industry Pension Fund	51	54	56
Railroad Social Security Equivalent Benefit:			
Subtotal, Railroad Social Security Equivalent Benefit	24	24	24
Supplemental Annuity Pension Fund:			
Subtotal, Supplemental Annuity Pension Fund	2	2	2
Railroad Unemployment Insurance Trust Fund:			
Subtotal, Railroad Unemployment Insurance Trust Fund	14	15	16
Total, direct program	91	95	98
Reimbursable program	4	4	4
Total new obligations	95	99	102

Budgetary resources available for obligation:

Offsetting collections from: Trust funds	-4	-4	-4
Unobligated balance expiring			
Limitation	91	95	98

Change in unpaid obligations:

Obligations incurred, net	91	95	98
Obligated balance, start of year		6	6
Obligated balance, end of year	-6	-6	-6
Outlays from limitation	85	95	98

The table below shows anticipated workloads.

	1998 actual	1999 actual	2000 actual	2001 est.	2002 est.
Pending, start of year	8,038	7,562	6,497	6,168	6,168
New Railroad Retirement applications	46,047	45,132	44,815	45,000	45,000
New Social Security certifications	5,995	6,108	7,838	6,000	6,000
Total dispositions (excluding partial awards)	52,518	52,305	52,982	51,000	51,000
Pending, end of year	7,562	6,497	6,168	6,168	6,168

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 actual	1990 actual	1999 actual	2000 actual	2001 est.	2002 est.
Total beneficiaries	1,009,500	894,196	704,159	681,779	663,500	644,500

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	2000 actual	2001 est.	2002 est.
Limitation Act—Direct Obligations:			
Personnel compensation:			
11.1 Full-time permanent	58	60	63
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	60	62	65
12.1 Civilian personnel benefits	12	13	14
13.0 Benefits for former personnel	2		
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	3	4	4
25.2 Other services	7	9	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	1
93.0 Limitation on expenses	-91	-95	-97
99.0 Subtotal, limitation acct—direct obligations			
Limitation Act—Reimbursable Obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
93.0 Limitation on expenses	-4	-4	-4

99.0	Subtotal, limitation acct—reimbursable obligations			
Personnel Summary				
Identification code 60-8011-0-7-601		2000 actual	2001 est.	2002 est.
Limitation account—direct:				
6001 Total compensable workyears: Full-time equivalent employment		1,092	1,073	1,060
Limitation account—reimbursable:				
7001 Total compensable workyears: Full-time equivalent employment		44	44	44

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than **[\$5,700,000]** **\$6,480,000**, to be derived from the railroad retirement accounts and railroad unemployment insurance account: *Provided*, That none of the funds made available in any other paragraph of this Act may be transferred to the Office; used to carry out any such transfer; used to provide any office space, equipment, office supplies, communications facilities or services, maintenance services, or administrative services for the Office; used to pay any salary, benefit, or award for any personnel of the Office; used to pay any other operating expense of the Office; or used to reimburse the Office for any service provided, or expense incurred, by the Office. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Operations (total new obligations)		5	6	6
Budgetary resources available for obligation:				
Offsetting collections from trust funds				
Unobligated balance expiring				
Limitation		5	6	6
Change in unpaid obligations:				
Obligations incurred, net		5	6	6
Obligated balance, start of year				
Obligated balance, end of year				
Outlays from limitation		5	6	6

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601		2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent		4	5	5
12.1 Civilian personnel benefits		1	1	1
93.0 Limitation on expenses		-5	-6	-6
99.0 Subtotal, limitation account—allocation				

Personnel Summary

Identification code 60-8011-0-7-601		2000 actual	2001 est.	2002 est.
8001 Total compensable workyears: Full-time equivalent employment		54	54	54

SUPPLEMENTAL ANNUITY PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 60-8012-0-7-601		2000 actual	2001 est.	2002 est.
01.99 Balance, start of year		50	56	44
Receipts:				
02.00 Supplemental annuity taxes		77	56	57

02.40 Interest and profits on investments in public debt securities	3	3	2
02.99 Total receipts and collections	80	59	59
04.00 Total: Balances and collections	130	115	103
Appropriations:			
05.00 Supplemental Annuity Pension Fund	-74	-71	-69
05.99 Total appropriations	-74	-71	-69
07.99 Balance, end of year	56	44	34

Program and Financing (in millions of dollars)

Identification code 60-8012-0-7-601		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
10.00	Total new obligations (object class 42.0)	72	69	67
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	72	69	67
23.95	Total new obligations	-72	-69	-67
New budget authority (gross), detail:				
Mandatory:				
60.27	Appropriation (trust fund, indefinite)	80	59	59
60.45	Portion precluded from obligation	-6	12	10
61.00	Transferred to other accounts	-2	-2	-2
62.50	Appropriation (total mandatory)	72	69	67
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	7	6	6
72.99	Obligated balance, start of year	7	6	6
73.10	Total new obligations	72	69	67
73.20	Total outlays (gross)	-73	-69	-67
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	6	6	6
74.99	Obligated balance, end of year	6	6	6
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	67	63	61
86.98	Outlays from mandatory balances	6	6	6
87.00	Total outlays (gross)	73	69	67
Net budget authority and outlays:				
89.00	Budget authority	72	69	67
90.00	Outlays	73	69	67
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	57	61	50
92.02	Total investments, end of year: Federal securities: Par value	61	50	40

In addition to rail social security, rail industry pensions, and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25-29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Employers finance benefits on a pay-as-you-go basis by a cents-per-hour tax, currently established at 26 cents per hour.

Status of Funds (in millions of dollars)

Identification code 60-8012-0-7-601		2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:				
0100 Treasury balance			1	
0101 U.S. Securities: Par value	57	61	50	
0199 Total balance, start of year	57	62	50	
Cash income during the year:				
Current law:				
Receipts:				
1200 Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB	77	56	57	

SUPPLEMENTAL ANNUITY PENSION FUND—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 60-8012-0-7-601	2000 actual	2001 est.	2002 est.
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB	3	3	2
1299 Income under present law	80	59	59
Cash outgo during year:			
Current law:			
4500 Supplemental Annuity Pension Fund	-73	-69	-67
7645 Transfers, net	-2	-2	-2
Unexpended balance, end of year:			
8700 Uninvested balance	1		
8701 Federal securities: Par value	61	50	40
8799 Total balance, end of year	62	50	40

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 60-8010-0-7-601	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	1,415	1,614	1,345
Receipts:			
02.00 Taxes	2,071	2,124	2,177
02.01 Receipts transferred to Federal hospital insurance trust fund	-418	-407	-418
02.02 Refunds, railroad social security equivalent benefit account	-3	-4	-4
02.40 Interest and profits on investments in public debt securities	119	102	98
Offsetting receipts (intragovernmental):			
02.41 Income tax credits	141	106	113
02.41 Income tax credits, legislative proposal not subject to PAYGO			-1
02.42 Interest transferred to Federal hospital insurance trust fund	-47	-37	-34
02.43 Receipts from Federal old-age survivors insurance trust fund	3,538	3,227	3,627
02.44 Receipts from Federal disability insurance trust fund	159	-12	185
02.99 Total receipts and collections	5,560	5,099	5,743
04.00 Total: Balances and collections	6,975	6,713	7,088
Appropriations:			
05.00 Rail industry social security equivalent benefit account	-5,361	-5,368	-5,539
05.99 Total appropriations	-5,361	-5,368	-5,539
07.99 Balance, end of year	1,614	1,345	1,549

Program and Financing (in millions of dollars)

Identification code 60-8010-0-7-601	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	5,318	5,479	5,525
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5,318	5,479	5,525
23.95 Total new obligations	-5,318	-5,479	-5,525
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	5,560	5,099	5,743
60.45 Portion precluded from obligation	-199	269	
60.47 Portion applied to repay debt	-2,972	-3,005	-3,141
61.00 Transferred to other accounts	-76	-25	-25
62.50 Appropriation (total mandatory)	2,313	2,338	2,373
67.15 Authority to borrow (indefinite)	3,005	3,141	3,152
70.00 Total new budget authority (gross)	5,318	5,479	5,525
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	519	527	532

72.99 Obligated balance, start of year	519	527	532
73.10 Total new obligations	5,318	5,479	5,525
73.20 Total outlays (gross)	-5,310	-5,474	-5,515
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	527	532	542
74.99 Obligated balance, end of year	527	532	542

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	4,791	4,947	4,983
86.98 Outlays from mandatory balances	519	527	532
87.00 Total outlays (gross)	5,310	5,474	5,515

Net budget authority and outlays:

89.00 Budget authority	5,318	5,479	5,525
90.00 Outlays	5,310	5,474	5,515

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	1,942	2,134	1,877
92.02 Total investments, end of year: Federal securities:			
Par value	2,134	1,877	2,091

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. The SSEB receives monthly advances from the general fund equal to an estimate of the transfer the SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2000, \$3,005 million was advanced and \$2,972 million was repaid.

Status of Funds (in millions of dollars)

Identification code 60-8010-0-7-601	2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:			
0100 Treasury balance	-7	6	
0101 U.S. Securities: Par value	1,942	2,134	1,877
0105 Outstanding debt to Treasury	-2,972	-3,005	-3,141
0199 Total balance, start of year	-1,038	-864	-1,264
Cash income during the year:			
Current law:			
Receipts:			
1200 Railroad Soc. Sec. equivalent ben. acct., Taxes	2,071	2,124	2,177
1201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund	-418	-407	-418
1202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds	-3	-4	-4
Offsetting receipts (intragovernmental):			
1240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities	119	102	98
1241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits	141	106	113
1242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund	-47	-37	-34
1243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund	3,538	3,227	3,627
1244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund	159	-12	185
1299 Income under present law	5,560	5,099	5,744
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits, legislative proposal not subject to PAYGO			-1
3299 Total cash income	5,560	5,099	5,743

Cash outgo during year:				
Current law:				
4500	Railroad social security equivalent benefit account	-5,310	-5,474	-5,515
7645	Transfers, net	-76	-25	-25
Unexpended balance, end of year:				
8700	Uninvested balance	6		
8701	Federal securities: Par value	2,134	1,877	2,091
8705	Outstanding debt to Treasury	-3,005	-3,141	-3,152
8799	Total balance, end of year	-864	-1,264	-1,061

Object Classification (in millions of dollars)

Identification code 60-8010-0-7-601		2000 actual	2001 est.	2002 est.
42.0	Benefit payments	5,099	5,263	5,316
43.0	Interest and dividends		2	1
92.0	Repayment of interest on benefit advances	219	214	208
99.9	Total new obligations	5,318	5,479	5,525

RESOLUTION TRUST CORPORATION**Federal Funds****Public enterprise funds:****RESOLUTION TRUST CORPORATION REVOLVING FUND****Program and Financing** (in millions of dollars)

Identification code 22-4055-0-3-373	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	4	
23.95	Total new obligations		
23.98	Unobligated balance expiring or withdrawn	- 4	
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) as a temporary agency to dispose of insolvent thrift institutions. The Savings Association Insurance Fund took over responsibility for resolving failed thrifts on July 1, 1995, and the RTC's assets and liabilities were transferred to the FSLIC Resolution Fund on December 31, 1995.

Of \$18.3 billion appropriated to RTC in 1994 by the RTC Completion Act, the Thrift Depositor Protection Oversight Board determined that only \$4.6 billion was required and the excess was returned to Treasury on December 31, 1997. When the RTC terminated, the Oversight Board's primary function ceased. On October 29, 1998, the Board was abolished and its remaining responsibility to oversee the Resolution Funding Corporation (REFCORP), which provided financing for the RTC, was transferred to the Secretary of the Treasury.

SECURITIES AND EXCHANGE COMMISSION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, **[\$127,800,000] \$109,500,000** from fees collected in fiscal year **[2001] 2002** to remain available until expended, and from fees collected in fiscal year **[1999, \$295,000,000] 2000, \$328,400,000**, to remain available until expended; of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions; and

of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (1) such incidental expenses as meals taken in the course of such attendance; (2) any travel and transportation to or from such meetings; and (3) any other related lodging or subsistence: *Provided*, That fees and charges authorized by sections 6(b)(4) of the Securities Act of 1933 (15 U.S.C. 77f(b)(4)) and 31(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(d)) shall be credited to this account as offsetting collections: *Provided further*, That fees collected as authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) for sales transacted on, and with respect to securities registered solely on, an exchange that is initially granted registration as a national securities exchange after February 24, 2000 shall be credited to this account as offsetting collections: *Provided further*, That for purposes of collections under section 31, a security shall not be deemed registered on a national securities exchange solely because that national securities exchange continues or extends unlisted trading privileges to that security. (Department of Justice Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.)

Unavailable Collections (in millions of dollars)

Identification code 50-0100-0-1-376		2000 actual	2001 est.	2002 est.
01.99	Balance, start of year	614	1,099	1,650
Receipts:				
02.80	Salaries and expenses	863	975	984
04.00	Total: Balances and collections	1,477	2,074	2,634
Appropriations:				
05.00	Salaries and expenses	- 378	- 424	- 439
05.99	Total appropriations	- 378	- 424	- 439
07.99	Balance, end of year	1,099	1,650	2,195

Program and Financing (in millions of dollars)

Identification code 50-0100-0-1-376		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Direct program:				
00.01	Full disclosure	55	62	64
00.02	Prevention and suppression of fraud	127	150	154
00.03	Supervision and regulation of securities markets	54	63	64
00.04	Investment management regulation	70	79	81
00.05	Legal and economic services	22	26	27
00.07	Program direction	43	47	48
09.01	Reimbursable program	1	1	1
10.00	Total new obligations	372	428	439
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	64	74	70
22.00	New budget authority (gross)	378	424	439
22.10	Resources available from recoveries of prior year obligations	7		
23.90	Total budgetary resources available for obligation	449	498	509
23.95	Total new obligations	-372	-428	-439
23.98	Unobligated balance expiring or withdrawn	-4		
24.40	Unobligated balance carried forward, end of year	74	70	70

New budget authority (gross), detail:

Non-budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting governmental collections (cash)	863	975	984
68.26	From offsetting collections (unavailable bal- ances)	203	295	328
68.45	Portion precluded from obligation (limitation on obligations)	-688	-846	-873
68.90	Spending authority from offsetting collections (total discretionary)	378	424	439

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 50-0100-0-1-376	2000 actual	2001 est.	2002 est.
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	84	92	109
72.99 Obligated balance, start of year	84	92	109
73.10 Total new obligations	372	428	439
73.20 Total outlays (gross)	-357	-411	-433
73.45 Recoveries of prior year obligations	-7		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	92	109	115
74.99 Obligated balance, end of year	92	109	115
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	314	365	378
86.93 Outlays from discretionary balances	43	46	55
87.00 Total outlays (gross)	357	411	433
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Non-Federal sources	-862	-974	-983
88.90 Total, offsetting collections (cash)	-863	-975	-984
Net budget authority and outlays:			
89.00 Budget authority	-485	-551	-545
90.00 Outlays	-506	-564	-551

The primary mission of the Securities and Exchange Commission (the Commission) is to administer and enforce the Federal securities laws in order to protect investors, and to maintain fair, honest, and efficient markets.

Full disclosure.—This program ensures that investors will be provided with material information in the public offering, trading, voting and tendering of securities. Standards of financial reporting are established and enforced to enhance the transparency, relevance, and reliability of financial reporting so that financial statements used by investors in making investment decisions are presented fairly and have credibility. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to investors and the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

Electronic filing (EDGAR).—The Commission's EDGAR system provides the agency with the capability for electronic receipt, analysis, and dissemination of virtually all of its full disclosure filings. Since becoming operational in 1993, EDGAR has received and successfully processed over 3.9 million documents submitted in approximately 1.5 million separate submissions from over 28,000 companies and funds registered with the SEC.

In order to take advantage of changes in technology and respond to the demands of filers and investors, the SEC awarded a three year contract for EDGAR modernization on July 1, 1998. This multi-year modernization effort will improve the presentation quality and data structure of SEC filings.

The first major modernization milestone, a newly privatized dissemination subsystem, was delivered on time and within budget on November 1, 1998. This modernized dissemination subsystem is now faster, more reliable, and has resulted in a further reduction of subscriber costs from \$79,000 to \$44,571 per year, a savings of approximately \$233,000 when compared to the cost prior to privatization.

The second major milestone, the inclusion of Hypertext Mark-up Language (HTML) and unofficial PDF filings, was delivered on time and within budget on May 24, 1999. The third milestone, allowing filings over the Internet, was delivered in May 2000, on-schedule and within budget. The Legacy system will be operational until September 30, 2001.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Filings of initial 1933 Act registration statements—other than investment companies	1,440	1,440	1,440
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies ..	4,325	4,325	4,325
Filings of annual and periodic reports—other than investment companies	90,930	90,930	90,930
Filings of Director and Officer ownership and transaction reports	328,914	328,914	328,914

Prevention and suppression of fraud.—This program investigates and prosecutes violations of the federal securities laws, including financial fraud, illegal distribution of unregistered securities, fraudulent offerings, insider trading, market manipulation, and illegal conduct by broker-dealers and investment advisers. Enforcement actions include emergency actions halting ongoing violations, injunctions against future violations, and disgorgement orders. Financial penalties and bars from acting in a regulated capacity may also be obtained. Over \$2.8 billion in disgorgement and penalties has been collected in our actions since 1984. Because of the critical importance of criminal prosecutions as a deterrent to securities fraud, we refer cases to criminal authorities and detail staff to assist in criminal prosecutions.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Investigations opened	558	600	600
Administrative proceedings opened	244	265	265
Civil actions opened	259	265	265

Supervision and regulation of securities markets.—Trading in the securities markets is regulated to protect investors against fraud and manipulation and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions. The Commission also conducts examinations of broker-dealers and inspections of transfer agents, clearing agencies, and self-regulatory organizations.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Review of changes in the rules and procedures of self-regulatory organizations	555	600	600
Inspections of self-regulatory organizations	34	34	34
Broker-dealer registration applications	800	800	800
Broker-dealer oversight and cause examinations	650	650	650
Transfer agent and clearing agency examinations	177	177	180

Investment management regulation.—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. Mutual funds and other investment companies manage over \$7.6 trillion for more than 50 million households. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment

advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Investment company assets inspected (\$ trillions)	1.1	1.3	1.4
Investment company portfolios and amendments filed	26,723	28,065	29,560
Investment company proxy statements filed	669	700	700
Investment advisers inspected	1,458	1,376	1,368
Investment adviser registration statements filed	1,300	1,300	1,300
Exemptive relief requests concluded	437	470	475
Public utility filings processed	113	150	170
Public utility annual and periodic reports examined	1,500	1,600	1,600

Legal and economic services.—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with Government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

SELECTED WORKLOAD DATA

	2000 actual	2001 est.	2002 est.
Litigation matters opened	271	280	285
Adjudicatory matters received	67	75	80
Adjudicatory matters completed	65	80	80
Legislative matters	310	253	250
Chapter 11 disclosure statements commented on	160	170	170
Administrative proceedings disposed by Administrative Law Judges	68	61	61

Program direction.—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating priorities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

The Commission continues to follow the fee reduction schedule established in the "National Securities Markets Improvement Act of 1996" (P.L. 104-290). Title IV of this law amends fee language found in Section 6(b) of the Securities Act of 1933 and Section 31 of the Securities and Exchange Act of 1934. Under this law, the Section 6(b) fee rate paid by corporations to register securities with the Commission was reduced from \$264 per \$1,000,000 of the aggregate price of securities offered in 2000 to \$250 per \$1,000,000 in 2001, and will be further reduced in 2002 to \$238 per \$1,000,000 of the offering amount. The first \$200 per \$1,000,000 of this fee shall be deposited in the general fund of the U.S. Treas-

ury, and the remaining increment will be made available for use by the Commission. In addition, to promote equity across securities markets, the "National Securities Markets Improvement Act of 1996" extended Section 31 transaction fees to the over-the-counter market at a rate of 1/300 of one percent of the aggregate dollar amount of securities transacted, the rate currently paid by all national and regional exchanges. These transaction fees are also made available for use by the Commission.

The Commission received approval from the Office of Management and Budget (OMB) and the Office of Personnel Management (OPM) to implement special pay rates for certain accountants, attorneys, and examiners in 2001. These special rates were designed to help ameliorate the continued difficulties the Commission has had in attracting and retaining sufficient numbers of qualified staff and the Commission will monitor their effectiveness throughout 2002. The Commission will work with OMB to determine whether additional steps should be taken with respect to Commission salaries.

Object Classification (in millions of dollars)

Identification code 50-0100-0-1-376	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	193	232	242
11.3 Other than full-time permanent	3	3	4
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	200	239	250
12.1 Civilian personnel benefits	48	57	60
21.0 Travel and transportation of persons	8	9	9
23.2 Rental payments to others	26	29	30
23.3 Communications, utilities, and miscellaneous charges	12	11	12
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	3	4	4
25.2 Other services	22	21	20
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	34	40	37
26.0 Supplies and materials	4	4	4
31.0 Equipment	7	7	6
32.0 Land and structures	2	2	2
99.0 Subtotal, direct obligations	370	427	438
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	372	428	439

Personnel Summary

Identification code 50-0100-0-1-376	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,840	3,037	2,996
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	1

Public enterprise funds:**INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION****Program and Financing** (in millions of dollars)

Identification code 50-4068-0-3-376	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,000	1,000	1,000
23.95 Total new obligations			
24.40 Unobligated balance carried forward, end of year	1,000	1,000	1,000
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the

Public enterprise funds—Continued**INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION—Continued**

Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

SMITHSONIAN INSTITUTION**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed 30 years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to five replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees, **[\$387,755,000]** **\$396,200,000**, of which not to exceed **[\$47,088,000]** **\$53,030,000** for the instrumentation program, collections acquisition, Museum Support Center equipment and move, exhibition reinstallation, the National Museum of the American Indian, the repatriation of skeletal remains program, research equipment, information management, **[and]** Latino programming, *and outreach* shall remain available until expended, and including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: *Provided further*, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments for long term and swing space, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to the extent that federally supported activities are housed in the 900 H Street, N.W. building in the District of Columbia: *Provided further*, That this use of Federal appropriations shall not be construed as debt service, a Federal guarantee of, a transfer of risk to, or an obligation of, the Federal Government: *Provided further*, That no appropriated funds may be used to service debt which is incurred to finance the costs of acquiring the 900 H Street building or of planning, designing, and constructing improvements to such building. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0100-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.01 Research and collections management	198	211	215
00.02 Education, public programs, and exhibitions	18	19	20
00.03 Administration	45	48	49
00.04 Facilities and security	103	110	112
09.11 Reimbursable program—Transportation	1		
10.00 Total new obligations	365	388	396
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	16	16
22.00 New budget authority (gross)	371	388	396
23.90 Total budgetary resources available for obligation	385	404	412
23.95 Total new obligations	—365	—388	—396
23.98 Unobligated balance expiring or withdrawn	—4		
24.40 Unobligated balance carried forward, end of year	16	16	16

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	373	388	396

40.76 Reduction pursuant to P.L. 106-113	—2		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	—1		
43.00 Appropriation (total discretionary)	371	387	396

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	58	68	23
72.99 Obligated balance, start of year	58	68	23
73.10 Total new obligations	365	388	396
73.20 Total outlays (gross)	—354	—433	—396
73.40 Adjustments in expired accounts (net)	—1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	68	23	23
74.99 Obligated balance, end of year	68	23	23

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	319	348	356
86.93 Outlays from discretionary balances	35	84	39
87.00 Total outlays (gross)	354	433	396

Net budget authority and outlays:

89.00 Budget authority	371	387	396
90.00 Outlays	354	433	396

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves for reference and study purposes over one hundred and forty million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 16 museums; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33-0100-0-1-503	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	197	206	208
11.3 Other than full-time permanent	4	6	6
11.5 Other personnel compensation	7	7	7
11.9 Total personnel compensation	208	219	221
12.1 Civilian personnel benefits	47	52	52
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	10	10	10
23.3 Communications, utilities, and miscellaneous charges	31	34	36
24.0 Printing and reproduction	2	2	2
25.2 Other services	30	32	36
25.3 Purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	16	16	16
31.0 Equipment	13	16	16
32.0 Land and structures	1	1	1
99.0 Subtotal, direct obligations	364	388	396
99.0 Reimbursable obligations	1		
99.9 Total new obligations	365	388	396

Personnel Summary

Identification code 33-0100-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	4,118	4,221	4,221

MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

Identification code 33-0102-0-1-503	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
23.95 Total new obligations			
24.40 Unobligated balance carried forward, end of year	1	1	1
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1		
Unpaid obligations, end of year:			
72.99 Obligated balance, start of year	1		
73.20 Total outlays (gross)	-1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1		

This account supports a program of grants to U.S. universities, museums, and other institutions of higher learning, paid for by excess U.S.-owned foreign currencies. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

REPAIR, RESTORATION AND ALTERATION OF FACILITIES

For necessary expenses of *maintenance*, repair, restoration, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, **[\$57,600,000]** \$67,900,000, to remain available until expended, of which **[\$7,600,000]** \$10,000,000 is provided for *maintenance*, repair, rehabilitation and alteration of facilities at the National Zoological Park: *Provided*, That contracts awarded for environmental systems, protection systems, and repair or restoration of facilities of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0132-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	41	58	68
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	13	13
22.00 New budget authority (gross)	48	58	68
Total budgetary resources available for obligation			
23.90	54	71	81
23.95 Total new obligations	-41	-58	-68
24.40 Unobligated balance carried forward, end of year	13	13	13
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	48	58	68
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	65	51	54
Unpaid obligations, end of year:			
72.99 Obligated balance, start of year	65	51	54
73.10 Total new obligations	41	58	68
73.20 Total outlays (gross)	-55	-55	-60
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	51	54	62
Obligated balance, end of year			
74.99	51	54	62
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	12	14

86.93 Outlays from discretionary balances	44	43	46
87.00 Total outlays (gross)	55	55	60
Net budget authority and outlays:			
89.00 Budget authority	48	58	68
90.00 Outlays	55	55	60

This account encompasses maintenance, repairs, restorations, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. Current long-term projects supported by the Administration in this account include ongoing renovations at the National Museum of Natural History, the Patent Office Building, and the National Zoological Park.

Object Classification (in millions of dollars)

Identification code 33-0132-0-1-503	2000 actual	2001 est.	2002 est.
25.2 Other services	40	57	67
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	41	58	68

CONSTRUCTION

For necessary expenses for construction, **[\$9,500,000]** \$30,000,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0133-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
00.06 National Museum of the American Indian	9	46	30
00.07 Natural History East Court building		1	
00.08 National Zoological Park Agriculture Exhibit		1	4
00.09 National Zoological Park		1	
00.10 Smithsonian Astrophysical Observ.- Hilo Building		5	
10.00 Total new obligations (object class 25.2)	9	54	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	38	48	4
22.00 New budget authority (gross)	19	10	30
Total budgetary resources available for obligation			
23.90	57	58	34
23.95 Total new obligations	-9	-54	-34
24.40 Unobligated balance carried forward, end of year	48	4	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	19	10	30
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	7	7	39
Unpaid obligations, end of year:			
72.99 Obligated balance, start of year	7	7	39
73.10 Total new obligations	9	54	34
73.20 Total outlays (gross)	-9	-22	-48
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	7	39	25
Obligated balance, end of year			
74.99	7	39	25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	15
86.93 Outlays from discretionary balances	4	17	33
87.00 Total outlays (gross)	9	22	48
Net budget authority and outlays:			
89.00 Budget authority	19	10	30
90.00 Outlays	9	22	48

General and special funds—Continued

CONSTRUCTION—Continued

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education. The 2002 budget request provides funds for the construction of the National Museum of the American Indian on the Mall. The Administration is committed to providing its share for the completion of this important facility.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$14,000,000] \$15,000,000.** (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0302-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	14	14	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	14	14
22.00 New budget authority (gross)	14	14	15
23.90 Total budgetary resources available for obligation	28	28	29
23.95 Total new obligations	-14	-14	-15
24.40 Unobligated balance carried forward, end of year	14	14	14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	14	14	15
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3	5	5
72.99 Obligated balance, start of year	3	5	5
73.10 Total new obligations	14	14	15
73.20 Total outlays (gross)	-12	-14	-17
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	5	5	3
74.99 Obligated balance, end of year	5	5	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	11	12
86.93 Outlays from discretionary balances	2	3	5
87.00 Total outlays (gross)	12	14	17
Net budget authority and outlays:			
89.00 Budget authority	14	14	15
90.00 Outlays	12	14	17

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33-0302-0-1-503	2000 actual	2001 est.	2002 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	7	7	8
99.0 Subtotal, direct obligations	13	13	14
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	14	14	15

Personnel Summary

Identification code 33-0302-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	46	53	53

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

CONSTRUCTION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$20,000,000] \$19,000,000,** to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0303-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	14	34	19
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	26	12
22.00 New budget authority (gross)	20	20	19
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	39	46	31
23.95 Total new obligations	-14	-34	-19
24.40 Unobligated balance carried forward, end of year	26	12	12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	20	19
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	11	9	13
72.99 Obligated balance, start of year	11	9	13
73.10 Total new obligations	14	34	19
73.20 Total outlays (gross)	-12	-30	-32
73.45 Recoveries of prior year obligations	-3		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	9	13	
74.99 Obligated balance, end of year	9	13	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		12	11
86.93 Outlays from discretionary balances	12	18	21
87.00 Total outlays (gross)	12	30	32
Net budget authority and outlays:			
89.00 Budget authority	20	20	19
90.00 Outlays	12	30	32

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities. The Kennedy Center plans to continue Phase II of the renovation of the interior of the presidential memorial.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library,

museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$64,781,000,] \$66,229,000**, of which not to exceed **[\$3,026,000] \$1,657,000** for the special exhibition program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33–0200–0–1–503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	62	65	66
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	61	65	66
23.90 Total budgetary resources available for obligation	62	65	66
23.95 Total new obligations	–62	–65	–66
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	61	65	66
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	5	6	7
72.99 Obligated balance, start of year	5	6	7
73.10 Total new obligations	62	65	66
73.20 Total outlays (gross)	–60	–64	–67
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	6	7	7
74.99 Obligated balance, end of year	6	7	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	55	58	60
86.93 Outlays from discretionary balances	5	6	7
87.00 Total outlays (gross)	60	64	67
Net budget authority and outlays:			
89.00 Budget authority	61	65	66
90.00 Outlays	60	64	67

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33–0200–0–1–503	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	35	37
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	37	39	41
12.1 Civilian personnel benefits	9	9	10
22.0 Transportation of things	1	1	
23.3 Communications, utilities, and miscellaneous charges	5	6	6
25.2 Other services	7	6	6
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
99.0 Subtotal, direct obligations	62	64	66
99.5 Below reporting threshold		1	

99.9	Total new obligations	62	65	66
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Personnel Summary

Identification code 33–0200–0–1–503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	765	833	833

NATIONAL GALLERY OF ART**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, **[\$10,871,000] \$14,220,000**, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33–0201–0–1–503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	7	12	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	3	1
22.00 New budget authority (gross)	6	11	14
23.90 Total budgetary resources available for obligation	10	13	15
23.95 Total new obligations	–7	–12	–14
24.40 Unobligated balance carried forward, end of year	3	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	11	14
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3	5	10
72.99 Obligated balance, start of year	3	5	10
73.10 Total new obligations	7	12	14
73.20 Total outlays (gross)	–6	–7	–11
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	5	10	13
74.99 Obligated balance, end of year	5	10	13
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	6	6	10
87.00 Total outlays (gross)	6	7	11
Net budget authority and outlays:			
89.00 Budget authority	6	11	14
90.00 Outlays	6	7	11

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and design. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33–0201–0–1–503	2000 actual	2001 est.	2002 est.
25.2 Other services		1	1
32.0 Land and structures	7	11	13
99.9 Total new obligations	7	12	14

General and special funds—Continued**NATIONAL GALLERY OF ART—Continued****REPAIR, RESTORATION AND RENOVATION OF BUILDINGS—Continued****Personnel Summary**

Identification code 33-0201-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	3	3	3

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**SALARIES AND EXPENSES**

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$7,310,000] \$7,796,000.** (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 33-0400-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	7	7	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	7	8
23.95 Total new obligations	-7	-7	-8
New budget authority (gross), detail:			
40.00 Discretionary:			
Appropriation	7	7	8
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	3	3	3
72.99 Obligated balance, start of year	3	3	3
73.10 Total new obligations	7	7	8
73.20 Total outlays (gross)	-7	-7	-8
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	3	3	3
74.99 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances	2	2	3
87.00 Total outlays (gross)	7	7	8
Net budget authority and outlays:			
89.00 Budget authority	7	7	8
90.00 Outlays	7	7	8

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

Object Classification (in millions of dollars)

Identification code 33-0400-0-1-503	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	2	3	3
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	3	2	3
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total new obligations	7	7	8

Personnel Summary

Identification code 33-0400-0-1-503	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	41	50	50

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**PAYMENT TO ENDOWMENT FUND**

[For payment to the endowment fund of the Woodrow Wilson International Center for Scholars \$5,000,000: *Provided*, That such funds may be invested in investments approved by the Board of Trustees of the Woodrow Wilson International Center for Scholars and the income from such investments may be used to support the programs of the Center that the Board of Trustees and the Director of the Center determine appropriate.] (*Division A, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 33-0401-0-1-503	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		5	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		5	
23.95 Total new obligations		-5	
New budget authority (gross), detail:			
40.00 Discretionary:			
Appropriation		5	
Change in unpaid obligations:			
73.10 Total new obligations		5	
73.20 Total outlays (gross)		-5	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		5	
Net budget authority and outlays:			
89.00 Budget authority		5	
90.00 Outlays		5	

Endowment funds were placed in investments approved by the Board of Trustees of the Woodrow Wilson International Center for Scholars. Income earned from the investments will be used to support the programs of the Center that the Board of Trustees and the Director of the Center deem appropriate.

[ADMINISTRATIVE PROVISIONS, SMITHSONIAN INSTITUTION]

[None of the funds in this or any other Act may be used to initiate the design for any proposed expansion of current space or new facility without consultation with the House and Senate Appropriations Committees.

The Smithsonian Institution shall not use Federal funds in excess of the amount specified in Public Law 101-185 for the construction of the National Museum of the American Indian.

None of the funds in this or any other Act may be used for the Holt House located at the National Zoological Park in Washington, D.C., unless identified as repairs to minimize water damage, monitor structure movement, or provide interim structural support.] (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

STATE JUSTICE INSTITUTE**Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1992 (Public Law

102-572; 106 Stat. 4515-4516), \$6,850,000, to remain available until expended: *Provided*, That not to exceed \$2,500 shall be available for official reception and representation expenses. (*Department of Justice Appropriations Act, 2001, as enacted by section 1(a)(2) of P.L. 106-553.*)

Program and Financing (in millions of dollars)

Identification code 48-0052-0-1-752	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	7	7	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	7	7	7
23.90 Total budgetary resources available for obligation	9	9	9
23.95 Total new obligations	-7	-7	-7
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	7	7
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	7	6	8
72.99 Obligated balance, start of year	7	6	8
73.10 Total new obligations	7	7	7
73.20 Total outlays (gross)	-8	-5	-8
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	6	8	7
74.99 Obligated balance, end of year	6	8	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	5	6
86.93 Outlays from discretionary balances	1		2
87.00 Total outlays (gross)	8	5	8
Net budget authority and outlays:			
89.00 Budget authority	7	7	7
90.00 Outlays	8	5	8

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. Appropriations in 2002 are intended to provide for continuation of Institute operations at a reduced level.

TENNESSEE VALLEY AUTHORITY**Federal Funds****Public enterprise funds:****TENNESSEE VALLEY AUTHORITY FUND****Program and Financing** (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
Power program:			
09.01 Power program: Operating expenses	5,590	5,367	5,836
09.02 Power program: Capital expenditures	997	1,014	1,143
09.09 Total power program	6,587	6,381	6,979
Operating Expenses:			
09.10 Water and Land Stewardship	11		
09.19 Total Operating Expenses	11		
10.00 Total new obligations	6,598	6,381	6,979
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	259	223	168
22.00 New budget authority (gross)	6,562	6,326	6,927

23.90	Total budgetary resources available for obligation	6,821	6,549	7,095
23.95	Total new obligations	-6,598	-6,381	-6,979
24.40	Unobligated balance carried forward, end of year	223	168	116
New budget authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	4		
Mandatory:				
69.00	Offsetting collections (cash)	7,004	6,876	7,209
69.27	Capital transfer to general fund	-55	-55	-52
69.47	Portion applied to repay debt	-391	-450	-208
69.53	Portion substituted for borrowing authority		-45	-22
69.90	Spending authority from offsetting collections (total mandatory)	6,558	6,326	6,927
70.00	Total new budget authority (gross)	6,562	6,326	6,927
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	650	547	550
72.99	Obligated balance, start of year	650	547	550
73.10	Total new obligations	6,598	6,381	6,979
73.20	Total outlays (gross)	-6,701	-6,378	-6,951
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	547	550	578
74.99	Obligated balance, end of year	547	550	578
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	4		
86.93	Outlays from discretionary balances	40	7	2
86.97	Outlays from new mandatory authority	6,343	6,295	6,866
86.98	Outlays from mandatory balances	314	76	83
87.00	Total outlays (gross)	6,701	6,378	6,951
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-76	-81	-82
88.40	Non-Federal sources	-6,932	-6,795	-7,127
88.90	Total, offsetting collections (cash)	-7,008	-6,876	-7,209
Net budget authority and outlays:				
89.00	Budget authority	-446	-550	-282
90.00	Outlays	-307	-498	-258

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

Status of Direct Loans (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans		
1131	Direct loan obligations exempt from limitation	15	21
1150	Total direct loan obligations	15	21
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	49	53
1231	Disbursements: Direct loan disbursements	15	21
1251	Repayments: Repayments and prepayments	-10	-13
1263	Write-offs for default: Direct loans	-1	-1
1290	Outstanding, end of year	53	60

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. The President's Budget proposes that the agency's program in 2002 be financed primarily from proceeds available from current power operations and borrowings against future power revenues.

The following tables provide detailed information on programs financed by power proceeds and borrowings and programs financed by appropriations and nonpower proceeds.

Public enterprise funds—Continued**TENNESSEE VALLEY AUTHORITY FUND—Continued****POWER PROGRAM** (in millions of dollars)

		Power proceeds and borrowings		
		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
09.01	Power expenses	5,590	5,367	5,836
09.02	Capital investment	997	1,014	1,143
10.00	Total new obligations	6,587	6,381	6,979
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	252	223	168
22.00	New budget authority (gross)	6,558	6,326	6,927
23.90	Total budgetary resources available for obligation	6,810	6,549	7,095
23.95	Total new obligations	-6,587	-6,381	-6,979
24.40	Unobligated balance carried forward, end of year	223	168	116
New budget authority (gross), detail:				
Mandatory:				
Spending authority from offsetting collections:				
69.00	Offsetting collections (cash)	7,004	6,876	7,209
69.27	Capital transfer to general fund	-55	-55	-52
69.47	Portion applied to repay debt	-391	-450	-208
69.49	Portion applied to liquidate contract authority	0	-45	-22
69.90	Spending authority from offsetting collections (total mandatory)	6,558	6,326	6,927
70.00	Total new budget authority (gross)	6,558	6,326	6,927
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Authority to borrow	604	534	544
73.10	Total new obligations	6,587	6,381	6,979
73.20	Total outlays (gross)	-6,657	-6,371	-6,949
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	534	544	574
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	6,343	6,295	6,866
86.98	Outlays from mandatory balances	314	76	83
87.00	Total outlays (gross)	6,657	6,371	6,949
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal funds	76	81	82
88.40	Non-Federal sources	6,928	6,795	7,127
88.90	Total, offsetting collections (cash)	7,004	6,876	7,209
Net budget authority and outlays:				
89.00	Budget authority (net)	-446	-550	-282
90.00	Outlays (net)	-347	-505	-260

TVA's nonpower programs.—As a Federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 201 counties in seven States.

Prior to 2000, appropriations provided for public services to maintain and operate public resources—navigable channels, flood control, recreation, and non-regulatory, community-based programs that protect the water quality of the Tennessee River system. Federal appropriations do not support TVA's power program. The Budget proposes that in 2002, these services be funded entirely by TVA's power revenues, user fees and sources other than appropriations.

TVA has a statutory obligation to operate 54 dams and reservoirs to regulate stream-flow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of

14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and manage 550,000 hectares (1.4 million acres) of land; provide services and education to watershed communities; operate public recreation areas; and, meet Federal regulatory law requirements.

TVA's Power Program.—TVA's role as the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States is being reviewed as the Nation considers ways to restructure the electric power industry. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$100,000,000 in 2002. Power generating facilities are financed from power proceeds and borrowings. The Budget reflects specific cost-cutting measures the agency is taking to implement its 10-Year Business plan and improve its ability to supply power at competitive prices.

APPROPRIATIONS AND NONPOWER PROCEEDS (in millions of dollars)

		2000 actual	2001 est.	2002 est.
Obligations by program activity:				
Operating Expenses:				
09.10	Water and Land Stewardship	11	0	0
09.19	Total Operating Expenses	11	0	0
10.00	Total New Obligations	11	0	0
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	7	0	0
22.00	New budget authority (gross)	4	0	0
23.90	Total budgetary resources available for obligation	11	0	0
23.95	Total new obligation	-11	0	0
24.40	Unobligated balance carried forward, end of year	0	0	0
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	0	0	0
68.00	Spending authority from offsetting collections	4	0	0
70.00	Total new budget authority (gross)	4	0	0
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Authority to borrow	46	13	6
73.10	Total new obligations	11	0	0
73.20	Total outlays (gross)	-44	-7	-2
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	13	6	4
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	4	0	0
86.93	Outlays from discretionary balances	40	7	2
87.00	Total outlays (gross)	44	7	2
Offsets:				
Against gross budget auth. and outlays:				
Offsetting collections (cash) from:				
88.40	Non-Federal sources	4	0	0
88.90	Total, offsetting collections (cash)	4	0	0
New budget authority and outlays:				
89.00	Budget authority (net)	0	0	0
90.00	Outlays (net)	40	7	2

Financing.—Amounts estimated to become available in 2002 are to be derived from power revenues and receipts of \$7,209,000,000.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2002 are estimated at \$52,000,000–\$32,000,000 as a dividend (return on the appropriation investment in the power program) and \$20,000,000 as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to decrease by \$208,000,000 during 2002.

Total assets are estimated to decrease by \$241,000,000 during 2002 as depreciation of existing assets exceeds expenditures for new assets. The estimate of liabilities at September 30, 2002, is \$280,000,000 less than the estimate at September 30, 2001. Total Government equity at September 30, 2002, is estimated to be \$39,000,000 greater than that at September 2001. This change includes the net income from power operations, less payments to the Treasury.

Statement of Operations (in millions of dollars)

Identification code 64–4110–0–3–999	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	6,583	6,740	6,876	7,209
0102 Expense	–6,464	–6,716	–6,774	–7,109
0105 Net income or loss (–)	119	24	102	100

Balance Sheet (in millions of dollars)

Identification code 64–4110–0–3–999	1999 actual	2000 actual	2001 est.	2002 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	219	172	150	150
Investments in US securities:				
1106 Receivables, net	4	11	5	5
Non-Federal assets:				
1201 Investments in non-Federal securities, net	731	840	898	970
1206 Receivables, net	724	676	664	736
1207 Advances and prepayments	2	4	1	1
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	166	189	194	220
1603 Allowance for estimated uncollectible loans and interest (–)	–13	–13	–12	–12
1604 Direct loans and interest receivable, net	153	176	182	208
1699 Value of assets related to direct loans	153	176	182	208
Other Federal assets:				
1801 Cash and other monetary assets	2,745	2,647	2,574	1,807
1802 Inventories and related properties	479	386	378	363
1803 Property, plant and equipment, net	29,221	29,053	28,832	29,203
1999 Total assets	34,278	33,965	33,684	33,443
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	93	93	93	92
2102 Interest payable	1	2	2	2
2104 Resources payable to Treasury				
Non-Federal liabilities:				
2201 Accounts payable	427	452	544	632
2202 Interest payable	463	436	392	441
2203 Debt	26,376	25,985	25,535	25,327
2207 Other	1,847	2,046	2,128	1,920
2999 Total liabilities	29,207	29,014	28,694	28,414
NET POSITION:				
3300 Cumulative results of operations	5,071	4,951	4,990	5,029
3999 Total net position	5,071	4,951	4,990	5,029
4999 Total liabilities and net position	34,278	33,965	33,684	33,443

Object Classification (in millions of dollars)

Identification code 64–4110–0–3–999	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	812	784	857
11.5 Other personnel compensation	31	28	31

11.9 Total personnel compensation	843	812	888
12.1 Civilian personnel benefits	281	271	297
21.0 Travel and transportation of persons	27	26	29
22.0 Transportation of things	254	246	269
23.2 Rental payments to others	106	103	112
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	19	18	20
25.2 Other services	738	711	778
25.7 Operation and maintenance of equipment	365	354	387
26.0 Supplies and materials	1,446	1,400	1,531
31.0 Equipment	205	199	217
32.0 Land and structures	7	7	7
33.0 Investments and loans	137	133	145
41.0 Grants, subsidies, and contributions	309	308	327
43.0 Interest and dividends	1,860	1,792	1,971
99.9 Total new obligations	6,598	6,381	6,979

Personnel Summary

Identification code 64–4110–0–3–999	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment	13,207	13,200	13,100

**UNITED MINE WORKERS OF AMERICA
BENEFIT FUNDS****Trust Funds****UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND****Unavailable Collections** (in millions of dollars)

Identification code 95–8295–0–7–551	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.00 Premiums, combined benefit fund & 1992 pension plan, UMWA	155	149	143
02.40 Transfers from abandoned mine reclamation fund	109	200	92
02.99 Total receipts and collections	264	349	235
Appropriations:			
05.00 United mine workers of America 1992 benefit plan	–29	–30	–30
05.01 United mine workers of America combined benefit fund	–235	–319	–205
05.99 Total appropriations	–264	–349	–235
07.99 Balance, end of year			

Note.—The unavailable collections table (above) includes entries that pertain both to the combined benefit fund and the 1992 benefit plan.

Program and Financing (in millions of dollars)

Identification code 95–8295–0–7–551	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	235	319	205
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	235	319	205
23.95 Total new obligations	–235	–319	–205
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	235	319	205
Change in unpaid obligations:			
73.10 Total new obligations	235	319	205
73.20 Total outlays (gross)	–235	–319	–205
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	235	319	205
Net budget authority and outlays:			
89.00 Budget authority	235	319	205
90.00 Outlays	235	319	205

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND—
Continued

paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-funded United Mine Workers pension fund; and transfers from the Abandoned Mine Land Reclamation fund.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95-8260-0-7-551	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	29	30	30
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	29	30	30
23.95 Total new obligations	-29	-30	-30
New budget authority (gross), detail:			
Mandatory:			
60.27 Appropriation (trust fund, indefinite)	29	30	30
Change in unpaid obligations:			
73.10 Total new obligations	29	30	30
73.20 Total outlays (gross)	-29	-30	-30
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	29	30	30
Net budget authority and outlays:			
89.00 Budget authority	29	30	30
90.00 Outlays	29	30	30

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America.

UNITED STATES ENRICHMENT
CORPORATION

Federal Funds

Public enterprise funds:

UNITED STATES ENRICHMENT CORPORATION FUND

Program and Financing (in millions of dollars)

Identification code 95-4054-0-3-271	2000 actual	2001 est.	2002 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	482	477	1,266
22.00 New budget authority (gross)	-5	64	67
22.40 Capital transfer from general fund		725	
23.90 Total budgetary resources available for obligation	477	1,266	1,333
23.95 Total new obligations			
23.98 Unobligated balance expiring or withdrawn			
24.40 Unobligated balance carried forward, end of year	477	1,266	1,333

New budget authority (gross), detail:

Mandatory:			
61.00 Transferred to other accounts	-5		
69.00 Offsetting collections (cash)		64	67
70.00 Total new budget authority (gross)	-5	64	67

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	-4		
72.99 Obligated balance, start of year	-4		
73.20 Total outlays (gross)	5		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year			
74.99 Obligated balance, end of year			

Outlays (gross), detail:

86.98 Outlays from mandatory balances	-5		
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Offsets:

Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities		-64	-67

Net budget authority and outlays:

89.00 Budget authority	-5		
90.00 Outlays	-5	-64	-67

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value		508	1,254
92.02 Total investments, end of year: Federal securities:			
Par value	508	1,254	1,254

The funds in this account may be used without further appropriation only for paying any remaining expenses associated with the transfer of ownership of the United States Enrichment Corporation to private investors. These expenses are estimated to total less than \$1 million. There are no other authorized uses for these funds.

UNITED STATES HOLOCAUST MEMORIAL
[COUNCIL] MUSEUM

Federal Funds

General and special funds:

HOLOCAUST MEMORIAL [COUNCIL] MUSEUM

For expenses of the Holocaust Memorial [Council] Museum, as authorized by [Public Law 96-388 (36 U.S.C. 1401), as amended,] sections 2301-2310 of title 36, U.S.C., [\$34,439,000] \$36,027,561, of which \$1,900,000 for the museum's repair and rehabilitation program and \$1,264,000 for the museum's exhibitions program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 95-3300-0-1-808	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	34	34	36
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2		
22.00 New budget authority (gross)	33	34	36
23.90 Total budgetary resources available for obligation	35	34	36
23.95 Total new obligations	-34	-34	-36
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	33	34	36
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	12	11	11

72.99	Obligated balance, start of year	12	11	11
73.10	Total new obligations	34	34	36
73.20	Total outlays (gross)	-35	-34	-34
	Unpaid obligations, end of year:			
74.40	Unpaid obligations, end of year	11	11	13
74.99	Obligated balance, end of year	11	11	13
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	28	25	25
86.93	Outlays from discretionary balances	7	9	9
87.00	Total outlays (gross)	35	34	34
Net budget authority and outlays:				
89.00	Budget authority	33	34	36
90.00	Outlays	35	34	34

The Museum operates a permanent living memorial to the victims of the Holocaust. The memorial museum, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

Identification code 95-3300-0-1-808	2000 actual	2001 est.	2002 est.
Personnel compensation:			
11.1 Full-time permanent	11	12	13
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	12	13	14
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	3	4
25.2 Other services	11	9	9
25.4 Operation and maintenance of facilities	1	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	34	34	36

Personnel Summary

Identification code 95-3300-0-1-808	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	251	246	247

UNITED STATES INSTITUTE OF PEACE

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace as authorized in the United States Institute of Peace Act, [§15,000,0001] \$15,207,000. (Division A, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 95-1300-0-1-153	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations	13	15	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	13	15	15
23.95 Total new obligations	-13	-15	-15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	13	15	15
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	1	1	1
72.99 Obligated balance, start of year	1	1	1

73.10 Total new obligations	13	15	15
73.20 Total outlays (gross)	-13	-15	-15
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	1	1	1
74.99 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	14	14
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	13	15	15
Net budget authority and outlays:			
89.00 Budget authority	13	15	15
90.00 Outlays	13	15	15

The United States Institute of Peace was established by Congress to help strengthen the Nation's capacity to promote peaceful resolution of international conflicts. Program activity includes policy assessments for the Executive and Legislative Branches; conflict resolution training for foreign affairs professionals; facilitation of dialogue among parties to conflicts; summer institutes and educational materials for teachers at high school and undergraduate levels; grants and fellowships; publications; a research library; a national student essay contest; and, other programs to increase public understanding about the nature of international conflicts.

Object Classification (in millions of dollars)

Identification code 95-1300-0-1-153	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent	4	5	5
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	6	7	7
99.9 Total new obligations	13	15	15

Personnel Summary

Identification code 95-1300-0-1-153	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment	63	72	75

VIETNAM EDUCATION FOUNDATION

Federal Funds

General and special funds:

VIETNAM DEBT REPAYMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 95-5365-0-2-154	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			6
Receipts:			
02.40 Vietnam debt repayment fund		6	6
04.00 Total: Balances and collections		6	12
Appropriations:			
05.00 Vietnam debt repayment fund			-5
07.99 Balance, end of year		6	7

Program and Financing (in millions of dollars)

Identification code 95-5365-0-2-154	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)			2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			5
23.95 Total new obligations			-2
24.40 Unobligated balance carried forward, end of year			3

General and special funds—Continued**VIETNAM DEBT REPAYMENT FUND—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 95-5365-0-2-154	2000 actual	2001 est.	2002 est.
New budget authority (gross), detail:			
Discretionary:			
55.20 Advance appropriation (special fund, definite)			5
Change in unpaid obligations:			
73.10 Total new obligations			2
73.20 Total outlays (gross)			-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			2
Net budget authority and outlays:			
89.00 Budget authority			5
90.00 Outlays			2

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning with 2002, and each subsequent year through 2018, \$5 million of the amounts deposited into the fund (or accrued interest) each year shall be available to the Foundation.

**WHITE HOUSE COMMISSION ON THE
NATIONAL MOMENT OF REMEMBRANCE****Federal Funds****General and special funds:****WHITE HOUSE COMMISSION ON THE NATIONAL MOMENT OF
REMEMBRANCE****Unavailable Collections** (in millions of dollars)

Identification code 95-5484-0-2-705	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations			1
Appropriations:			
05.00 White House commission on the national moment of remembrance			-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5484-0-2-705	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)			1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			1
23.95 Total new obligations			-1
New budget authority (gross), detail:			
Mandatory:			
60.25 Appropriation (special fund, indefinite)			1
Change in unpaid obligations:			
73.10 Total new obligations			1
73.20 Total outlays (gross)			-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			1
Net budget authority and outlays:			
89.00 Budget authority			1
90.00 Outlays			1

Public Law 106-579 established and authorized appropriations for the White House Commission on the National Moment of Remembrance. The Commission also will accept gifts and generate product royalty revenue in order to revitalize the national understanding and observance of Memorial Day.