

VII. SUMMARY TABLES

Budget Aggregates

BUDGET AGGREGATES

Table S-1. OUTLAYS, RECEIPTS, AND DEFICIT SUMMARY

(In billions of dollars)

| | 1996 Actual | Estimate | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Outlays: | | | | | | | |
| Discretionary: | | | | | | | |
| National defense | 266.0 | 268.0 | 260.1 | 262.1 | 267.7 | 268.6 | 273.9 |
| International | 18.3 | 19.6 | 19.3 | 19.9 | 19.1 | 18.9 | 19.0 |
| Domestic | 250.1 | 262.5 | 268.0 | 275.5 | 277.1 | 273.5 | 274.3 |
| Subtotal, discretionary | 534.4 | 550.0 | 547.5 | 557.5 | 563.9 | 561.0 | 567.2 |
| Mandatory: | | | | | | | |
| Programmatic: | | | | | | | |
| Social security | 347.1 | 364.2 | 380.9 | 398.6 | 417.7 | 438.0 | 459.7 |
| Medicare and Medicaid | 263.3 | 290.1 | 310.2 | 328.4 | 344.8 | 368.5 | 393.9 |
| Means-tested entitlements (except Medic- aid) | 95.3 | 103.8 | 107.4 | 111.6 | 117.1 | 115.3 | 121.9 |
| Deposit insurance | -8.4 | -12.1 | -4.0 | -2.0 | -1.1 | -1.6 | -1.5 |
| Other | 125.2 | 134.0 | 151.2 | 158.2 | 169.8 | 168.3 | 167.7 |
| Subtotal, programmatic | 822.5 | 880.1 | 945.7 | 994.9 | 1,048.3 | 1,088.5 | 1,141.7 |
| Undistributed offsetting receipts | -37.6 | -46.5 | -55.6 | -43.5 | -46.0 | -50.1 | -68.0 |
| Subtotal, mandatory | 784.9 | 833.6 | 890.2 | 951.3 | 1,002.3 | 1,038.5 | 1,073.8 |
| Net interest | 241.1 | 247.4 | 249.9 | 251.8 | 248.2 | 245.0 | 238.8 |
| Subtotal, mandatory and net interest | 1,026.0 | 1,081.0 | 1,140.0 | 1,203.2 | 1,250.5 | 1,283.5 | 1,312.5 |
| Total outlays | 1,560.3 | 1,631.0 | 1,687.5 | 1,760.7 | 1,814.4 | 1,844.5 | 1,879.7 |
| Receipts | 1,453.1 | 1,505.4 | 1,566.8 | 1,643.3 | 1,727.3 | 1,808.3 | 1,896.7 |
| Deficit/Surplus | -107.3 | -125.6 | -120.6 | -117.4 | -87.1 | -36.1 | 17.0 |
| Memorandum: | | | | | | | |
| Discretionary Budget Authority: | | | | | | | |
| National Defense | 265.0 | 263.1 | 266.0 | 269.8 | 275.5 | 282.0 | 289.8 |
| International | 18.1 | 18.1 | 23.0 | 20.1 | 19.1 | 18.8 | 18.8 |
| Domestic | 219.3 | 224.6 | 241.5 | 245.5 | 247.9 | 248.6 | 252.0 |
| Total | 502.5 | 505.8 | 530.5 | 535.4 | 542.5 | 549.4 | 560.6 |

Table S-2. ON- AND OFF-BUDGET TOTALS (1996-2007)

| | 1996 Actual | Estimate | | | | | | | | | | |
|------------------------|----------------|----------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | 2007 |
| In billions of dollars | | | | | | | | | | | | |
| Outlays | 1,560.3 | 1,631.0 | 1,687.5 | 1,760.7 | 1,814.4 | 1,844.5 | 1,879.7 | 1,986.3 | 2,063.8 | 2,147.9 | 2,229.0 | 2,319.9 |
| Receipts | 1,453.1 | 1,505.4 | 1,566.8 | 1,643.3 | 1,727.3 | 1,808.3 | 1,896.7 | 1,986.4 | 2,081.3 | 2,189.4 | 2,291.8 | 2,402.1 |
| Deficit/Surplus: | | | | | | | | | | | | |
| Unified | -107.3 | -125.6 | -120.6 | -117.4 | -87.1 | -36.1 | 17.0 | 0.1 | 17.6 | 41.5 | 62.8 | 82.2 |
| On-Budget | -174.3 | -199.5 | -197.0 | -204.7 | -183.3 | -139.2 | -92.5 | -115.5 | -104.4 | -93.6 | -76.7 | -65.1 |
| Off-Budget | 67.0 | 73.9 | 76.4 | 87.3 | 96.2 | 103.1 | 109.5 | 115.6 | 122.0 | 135.1 | 139.4 | 147.4 |
| As percentages of GDP | | | | | | | | | | | | |
| Outlays | 20.8 | 20.8 | 20.5 | 20.4 | 20.1 | 19.4 | 18.9 | 19.0 | 18.8 | 18.6 | 18.4 | 18.3 |
| Receipts | 19.4 | 19.2 | 19.1 | 19.1 | 19.1 | 19.0 | 19.0 | 19.0 | 18.9 | 19.0 | 18.9 | 18.9 |
| Deficit/Surplus: | | | | | | | | | | | | |
| Unified | -1.4 | -1.6 | -1.5 | -1.4 | -1.0 | -0.4 | 0.2 | * | 0.2 | 0.4 | 0.5 | 0.6 |
| On-Budget | -2.3 | -2.5 | -2.4 | -2.4 | -2.0 | -1.5 | -0.9 | -1.1 | -1.0 | -0.8 | -0.6 | -0.5 |
| Off-Budget | 0.9 | 0.9 | 0.9 | 1.0 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | 1.2 | 1.2 | 1.2 |

* 0.05 percent or less.

Table S-3. SUMMARY OF RECEIPTS, OUTLAYS, AND SURPLUSES OR DEFICITS (-): 1789-2002

(In millions of dollars)

| Year | Total | | | On-Budget | | | Off-Budget | | |
|-----------------|----------|---------|------------------------|-----------|---------|------------------------|------------|---------|------------------------|
| | Receipts | Outlays | Surplus or Deficit (-) | Receipts | Outlays | Surplus or Deficit (-) | Receipts | Outlays | Surplus or Deficit (-) |
| 1789-1849 | 1,160 | 1,090 | 70 | 1,160 | 1,090 | 70 | | | |
| 1850-1900 | 14,462 | 15,453 | -991 | 14,462 | 15,453 | -991 | | | |
| 1901 | 588 | 525 | 63 | 588 | 525 | 63 | | | |
| 1902 | 562 | 485 | 77 | 562 | 485 | 77 | | | |
| 1903 | 562 | 517 | 45 | 562 | 517 | 45 | | | |
| 1904 | 541 | 584 | -43 | 541 | 584 | -43 | | | |
| 1905 | 544 | 567 | -23 | 544 | 567 | -23 | | | |
| 1906 | 595 | 570 | 25 | 595 | 570 | 25 | | | |
| 1907 | 666 | 579 | 87 | 666 | 579 | 87 | | | |
| 1908 | 602 | 659 | -57 | 602 | 659 | -57 | | | |
| 1909 | 604 | 694 | -89 | 604 | 694 | -89 | | | |
| 1910 | 676 | 694 | -18 | 676 | 694 | -18 | | | |
| 1911 | 702 | 691 | 11 | 702 | 691 | 11 | | | |
| 1912 | 693 | 690 | 3 | 693 | 690 | 3 | | | |
| 1913 | 714 | 715 | -* | 714 | 715 | -* | | | |
| 1914 | 725 | 726 | -* | 725 | 726 | -* | | | |
| 1915 | 683 | 746 | -63 | 683 | 746 | -63 | | | |
| 1916 | 761 | 713 | 48 | 761 | 713 | 48 | | | |
| 1917 | 1,101 | 1,954 | -853 | 1,101 | 1,954 | -853 | | | |
| 1918 | 3,645 | 12,677 | -9,032 | 3,645 | 12,677 | -9,032 | | | |
| 1919 | 5,130 | 18,493 | -13,363 | 5,130 | 18,493 | -13,363 | | | |
| 1920 | 6,649 | 6,358 | 291 | 6,649 | 6,358 | 291 | | | |
| 1921 | 5,571 | 5,062 | 509 | 5,571 | 5,062 | 509 | | | |
| 1922 | 4,026 | 3,289 | 736 | 4,026 | 3,289 | 736 | | | |
| 1923 | 3,853 | 3,140 | 713 | 3,853 | 3,140 | 713 | | | |
| 1924 | 3,871 | 2,908 | 963 | 3,871 | 2,908 | 963 | | | |
| 1925 | 3,641 | 2,924 | 717 | 3,641 | 2,924 | 717 | | | |
| 1926 | 3,795 | 2,930 | 865 | 3,795 | 2,930 | 865 | | | |
| 1927 | 4,013 | 2,857 | 1,155 | 4,013 | 2,857 | 1,155 | | | |
| 1928 | 3,900 | 2,961 | 939 | 3,900 | 2,961 | 939 | | | |
| 1929 | 3,862 | 3,127 | 734 | 3,862 | 3,127 | 734 | | | |
| 1930 | 4,058 | 3,320 | 738 | 4,058 | 3,320 | 738 | | | |
| 1931 | 3,116 | 3,577 | -462 | 3,116 | 3,577 | -462 | | | |
| 1932 | 1,924 | 4,659 | -2,735 | 1,924 | 4,659 | -2,735 | | | |
| 1933 | 1,997 | 4,598 | -2,602 | 1,997 | 4,598 | -2,602 | | | |
| 1934 | 2,955 | 6,541 | -3,586 | 2,955 | 6,541 | -3,586 | | | |
| 1935 | 3,609 | 6,412 | -2,803 | 3,609 | 6,412 | -2,803 | | | |
| 1936 | 3,923 | 8,228 | -4,304 | 3,923 | 8,228 | -4,304 | | | |
| 1937 | 5,387 | 7,580 | -2,193 | 5,122 | 7,582 | -2,460 | 265 | -2 | 267 |
| 1938 | 6,751 | 6,840 | -89 | 6,364 | 6,850 | -486 | 387 | -10 | 397 |
| 1939 | 6,295 | 9,141 | -2,846 | 5,792 | 9,154 | -3,362 | 503 | -13 | 516 |
| 1940 | 6,548 | 9,468 | -2,920 | 5,998 | 9,482 | -3,484 | 550 | -14 | 564 |
| 1941 | 8,712 | 13,653 | -4,941 | 8,024 | 13,618 | -5,594 | 688 | 35 | 653 |
| 1942 | 14,634 | 35,137 | -20,503 | 13,738 | 35,071 | -21,333 | 896 | 66 | 830 |
| 1943 | 24,001 | 78,555 | -54,554 | 22,871 | 78,466 | -55,595 | 1,130 | 89 | 1,041 |
| 1944 | 43,747 | 91,304 | -47,557 | 42,455 | 91,190 | -48,735 | 1,292 | 114 | 1,178 |
| 1945 | 45,159 | 92,712 | -47,553 | 43,849 | 92,569 | -48,720 | 1,310 | 143 | 1,167 |
| 1946 | 39,296 | 55,232 | -15,936 | 38,057 | 55,022 | -16,964 | 1,238 | 210 | 1,028 |
| 1947 | 38,514 | 34,496 | 4,018 | 37,055 | 34,193 | 2,861 | 1,459 | 303 | 1,157 |
| 1948 | 41,560 | 29,764 | 11,796 | 39,944 | 29,396 | 10,548 | 1,616 | 368 | 1,248 |
| 1949 | 39,415 | 38,835 | 580 | 37,724 | 38,408 | -684 | 1,690 | 427 | 1,263 |
| 1950 | 39,443 | 42,562 | -3,119 | 37,336 | 42,038 | -4,702 | 2,106 | 524 | 1,583 |
| 1951 | 51,616 | 45,514 | 6,102 | 48,496 | 44,237 | 4,259 | 3,120 | 1,277 | 1,843 |
| 1952 | 66,167 | 67,686 | -1,519 | 62,573 | 65,956 | -3,383 | 3,594 | 1,730 | 1,864 |
| 1953 | 69,608 | 76,101 | -6,493 | 65,511 | 73,771 | -8,259 | 4,097 | 2,330 | 1,766 |
| 1954 | 69,701 | 70,855 | -1,154 | 65,112 | 67,943 | -2,831 | 4,589 | 2,912 | 1,677 |
| 1955 | 65,451 | 68,444 | -2,993 | 60,370 | 64,461 | -4,091 | 5,081 | 3,983 | 1,098 |
| 1956 | 74,587 | 70,640 | 3,947 | 68,162 | 65,668 | 2,494 | 6,425 | 4,972 | 1,452 |
| 1957 | 79,990 | 76,578 | 3,412 | 73,201 | 70,562 | 2,639 | 6,789 | 6,016 | 773 |
| 1958 | 79,636 | 82,405 | -2,769 | 71,587 | 74,902 | -3,315 | 8,049 | 7,503 | 546 |
| 1959 | 79,249 | 92,098 | -12,849 | 70,953 | 83,102 | -12,149 | 8,296 | 8,996 | -700 |
| 1960 | 92,492 | 92,191 | 301 | 81,851 | 81,341 | 510 | 10,641 | 10,850 | -209 |
| 1961 | 94,388 | 97,723 | -3,335 | 82,279 | 86,046 | -3,766 | 12,109 | 11,677 | 431 |

Table S-3. SUMMARY OF RECEIPTS, OUTLAYS, AND SURPLUSES OR DEFICITS (-): 1789-2002—Continued
(In millions of dollars)

| Year | Total | | | On-Budget | | | Off-Budget | | |
|----------------|-----------|-----------|------------------------|-----------|-----------|------------------------|------------|---------|------------------------|
| | Receipts | Outlays | Surplus or Deficit (-) | Receipts | Outlays | Surplus or Deficit (-) | Receipts | Outlays | Surplus or Deficit (-) |
| 1962 | 99,676 | 106,821 | -7,146 | 87,405 | 93,286 | -5,881 | 12,271 | 13,535 | -1,265 |
| 1963 | 106,560 | 111,316 | -4,756 | 92,385 | 96,352 | -3,966 | 14,175 | 14,964 | -789 |
| 1964 | 112,613 | 118,528 | -5,915 | 96,248 | 102,794 | -6,546 | 16,366 | 15,734 | 632 |
| 1965 | 116,817 | 118,228 | -1,411 | 100,094 | 101,699 | -1,605 | 16,723 | 16,529 | 194 |
| 1966 | 130,835 | 134,532 | -3,698 | 111,749 | 114,817 | -3,068 | 19,085 | 19,715 | -630 |
| 1967 | 148,822 | 157,464 | -8,643 | 124,420 | 137,040 | -12,620 | 24,401 | 20,424 | 3,978 |
| 1968 | 152,973 | 178,134 | -25,161 | 128,056 | 155,798 | -27,742 | 24,917 | 22,336 | 2,581 |
| 1969 | 186,882 | 183,640 | 3,242 | 157,928 | 158,436 | -507 | 28,953 | 25,204 | 3,749 |
| 1970 | 192,807 | 195,649 | -2,842 | 159,348 | 168,042 | -8,694 | 33,459 | 27,607 | 5,852 |
| 1971 | 187,139 | 210,172 | -23,033 | 151,294 | 177,346 | -26,052 | 35,845 | 32,826 | 3,019 |
| 1972 | 207,309 | 230,681 | -23,373 | 167,402 | 193,824 | -26,423 | 39,907 | 36,857 | 3,050 |
| 1973 | 230,799 | 245,707 | -14,908 | 184,715 | 200,118 | -15,403 | 46,084 | 45,589 | 495 |
| 1974 | 263,224 | 269,359 | -6,135 | 209,299 | 217,270 | -7,971 | 53,925 | 52,089 | 1,836 |
| 1975 | 279,090 | 332,332 | -53,242 | 216,633 | 271,892 | -55,260 | 62,458 | 60,440 | 2,018 |
| 1976 | 298,060 | 371,792 | -73,732 | 231,671 | 302,183 | -70,512 | 66,389 | 69,609 | -3,220 |
| TQ | 81,232 | 95,975 | -14,744 | 63,216 | 76,555 | -13,339 | 18,016 | 19,421 | -1,405 |
| 1977 | 355,559 | 409,218 | -53,659 | 278,741 | 328,502 | -49,760 | 76,817 | 80,716 | -3,899 |
| 1978 | 399,561 | 458,746 | -59,186 | 314,169 | 369,089 | -54,920 | 85,391 | 89,657 | -4,266 |
| 1979 | 463,302 | 504,032 | -40,729 | 365,309 | 404,054 | -38,745 | 97,994 | 99,978 | -1,984 |
| 1980 | 517,112 | 590,947 | -73,835 | 403,903 | 476,618 | -72,715 | 113,209 | 114,329 | -1,120 |
| 1981 | 599,272 | 678,249 | -78,976 | 469,097 | 543,053 | -73,956 | 130,176 | 135,196 | -5,020 |
| 1982 | 617,766 | 745,755 | -127,989 | 474,299 | 594,351 | -120,052 | 143,467 | 151,404 | -7,937 |
| 1983 | 600,562 | 808,380 | -207,818 | 453,242 | 661,272 | -208,030 | 147,320 | 147,108 | 212 |
| 1984 | 666,499 | 851,888 | -185,388 | 500,424 | 686,074 | -185,650 | 166,075 | 165,813 | 262 |
| 1985 | 734,165 | 946,499 | -212,334 | 547,994 | 769,692 | -221,698 | 186,171 | 176,807 | 9,363 |
| 1986 | 769,260 | 990,505 | -221,245 | 569,031 | 807,007 | -237,976 | 200,228 | 183,498 | 16,731 |
| 1987 | 854,396 | 1,004,164 | -149,769 | 640,994 | 810,332 | -169,339 | 213,402 | 193,832 | 19,570 |
| 1988 | 909,303 | 1,064,489 | -155,187 | 667,812 | 861,798 | -193,986 | 241,491 | 202,691 | 38,800 |
| 1989 | 991,190 | 1,143,671 | -152,481 | 727,525 | 932,760 | -205,235 | 263,666 | 210,911 | 52,754 |
| 1990 | 1,031,969 | 1,253,163 | -221,194 | 750,314 | 1,028,098 | -277,784 | 281,656 | 225,065 | 56,590 |
| 1991 | 1,055,041 | 1,324,400 | -269,359 | 761,157 | 1,082,713 | -321,557 | 293,885 | 241,687 | 52,198 |
| 1992 | 1,091,279 | 1,381,681 | -290,402 | 788,853 | 1,129,343 | -340,489 | 302,426 | 252,339 | 50,087 |
| 1993 | 1,154,401 | 1,409,414 | -255,013 | 842,467 | 1,142,827 | -300,360 | 311,934 | 266,587 | 45,347 |
| 1994 | 1,258,627 | 1,461,731 | -203,104 | 923,601 | 1,182,359 | -258,758 | 335,026 | 279,372 | 55,654 |
| 1995 | 1,351,830 | 1,515,729 | -163,899 | 1,000,751 | 1,227,065 | -226,314 | 351,079 | 288,664 | 62,415 |
| 1996 | 1,453,062 | 1,560,330 | -107,268 | 1,085,570 | 1,259,872 | -174,302 | 367,492 | 300,458 | 67,034 |
| 1997 est. | 1,505,425 | 1,631,016 | -125,591 | 1,116,522 | 1,316,014 | -199,492 | 388,903 | 315,002 | 73,901 |
| 1998 est. | 1,566,842 | 1,687,475 | -120,633 | 1,161,898 | 1,358,896 | -196,998 | 404,944 | 328,579 | 76,365 |
| 1999 est. | 1,643,320 | 1,760,700 | -117,380 | 1,218,124 | 1,422,832 | -204,708 | 425,196 | 337,868 | 87,328 |
| 2000 est. | 1,727,304 | 1,814,427 | -87,123 | 1,280,408 | 1,463,751 | -183,343 | 446,896 | 350,676 | 96,220 |
| 2001 est. | 1,808,347 | 1,844,488 | -36,141 | 1,340,730 | 1,479,969 | -139,239 | 467,617 | 364,519 | 103,098 |
| 2002 est. | 1,896,686 | 1,879,717 | 16,969 | 1,406,821 | 1,499,370 | -92,549 | 489,865 | 380,347 | 109,518 |

* \$500 thousand or less.

1998 Budget Proposals

1998 BUDGET PROPOSALS

Table S-4. SUMMARY OF BUDGET PROPOSALS

(In billions of dollars)

| | Estimate | | | | | | Total 1998- 2002 |
|--|----------|-------|-------|-------|-------|--------|------------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Current services deficit | 127.7 | 119.5 | 140.1 | 127.6 | 108.5 | 100.8 | |
| Programmatic changes: | | | | | | | |
| Revenues: | | | | | | | |
| Tax relief | 1.4 | 17.9 | 16.2 | 19.6 | 21.9 | 22.8 | 98.4 |
| Eliminate unwarranted benefits and other | -3.0 | -10.9 | -14.9 | -15.9 | -16.4 | -17.9 | -76.0 |
| Total, revenues | -1.6 | 7.0 | 1.4 | 3.7 | 5.5 | 4.9 | 22.4 |
| Discretionary Programs: | | | | | | | |
| Defense | -0.7 | -5.3 | -14.6 | -14.4 | -21.9 | -23.2 | -79.5 |
| Nondefense | * | -0.6 | -3.3 | -8.3 | -18.8 | -27.0 | -58.0 |
| Total, discretionary programs | -0.7 | -5.9 | -17.8 | -22.7 | -40.7 | -50.2 | -137.4 |
| Mandatory Programs: | | | | | | | |
| Medicare | | -4.3 | -11.4 | -22.2 | -27.8 | -34.6 | -100.2 |
| Medicaid: | | | | | | | |
| New initiatives | * | 1.2 | 2.0 | 2.6 | 3.4 | 3.9 | 13.2 |
| Savings proposals | | 0.2 | -1.6 | -4.1 | -7.3 | -9.7 | -22.4 |
| Net savings, Medicaid | * | 1.4 | 0.4 | -1.4 | -3.9 | -5.8 | -9.3 |
| Spectrum auction receipts | | -2.1 | -1.8 | -3.8 | -6.3 | -22.1 | -36.1 |
| Other mandatory programs | 0.2 | 5.1 | 7.2 | 8.0 | 5.4 | -1.4 | 24.3 |
| Total, mandatory programs | 0.3 | 0.1 | -5.6 | -19.4 | -32.6 | -63.8 | -121.2 |
| Total, programmatic changes | -2.0 | 1.2 | -22.0 | -38.4 | -67.8 | -109.2 | -236.3 |
| Debt service | -0.1 | -0.1 | -0.7 | -2.1 | -4.5 | -8.5 | -15.9 |
| Total, proposals | -2.1 | 1.1 | -22.7 | -40.5 | -72.3 | -117.7 | -252.1 |
| Resulting deficit/surplus (-) | 125.6 | 120.6 | 117.4 | 87.1 | 36.1 | -17.0 | |

* \$50 million or less.

Table S-5. CURRENT SERVICES AND PROPOSED DISCRETIONARY SPENDING LEVELS

(In billions of dollars)

| | | Estimate | | | | | | Total, 1998– 2002 |
|--|----|----------|-------|-------|-------|-------|-------|-------------------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Current Services Baseline: | | | | | | | | |
| Defense Discretionary | BA | 265.8 | 273.6 | 281.8 | 290.2 | 298.9 | 307.8 | 1,452.3 |
| | OL | 268.7 | 265.4 | 276.7 | 282.2 | 290.5 | 297.1 | 1,411.9 |
| Non-Defense Discretionary | BA | 242.9 | 257.8 | 269.2 | 279.2 | 288.9 | 298.8 | 1,393.8 |
| | OL | 282.0 | 288.0 | 298.7 | 304.4 | 311.3 | 320.3 | 1,522.6 |
| Total current services | BA | 508.8 | 531.4 | 551.0 | 569.4 | 587.7 | 606.6 | 2,846.1 |
| | OL | 550.7 | 553.4 | 575.4 | 586.6 | 601.8 | 617.4 | 2,934.5 |
| Proposed Levels: | | | | | | | | |
| Defense Discretionary | BA | 263.1 | 266.0 | 269.8 | 275.5 | 282.0 | 289.8 | 1,383.1 |
| | OL | 268.0 | 260.1 | 262.1 | 267.7 | 268.6 | 273.9 | 1,332.4 |
| Non-Defense Discretionary | BA | 242.7 | 264.5 | 265.6 | 267.0 | 267.4 | 270.8 | 1,335.3 |
| | OL | 282.1 | 287.3 | 295.4 | 296.2 | 292.5 | 293.3 | 1,464.7 |
| Total discretionary proposals ¹ | BA | 505.8 | 530.5 | 535.4 | 542.5 | 549.4 | 560.7 | 2,718.4 |
| | OL | 550.1 | 547.5 | 557.5 | 563.9 | 561.0 | 567.2 | 2,797.1 |
| Discretionary savings from current services baseline: | | | | | | | | |
| Defense Discretionary | BA | -2.8 | -7.6 | -11.9 | -14.7 | -16.9 | -18.1 | -69.2 |
| | OL | -0.7 | -5.3 | -14.6 | -14.4 | -21.9 | -23.2 | -79.5 |
| Non-Defense Discretionary | BA | -0.2 | 6.7 | -3.7 | -12.1 | -21.4 | -28.0 | -58.5 |
| | OL | 0.0 | -0.6 | -3.3 | -8.3 | -18.8 | -27.0 | -58.0 |
| Total savings | BA | -3.0 | -0.9 | -15.6 | -26.8 | -38.3 | -46.0 | -127.7 |
| | OL | -0.7 | -5.9 | -17.8 | -22.7 | -40.7 | -50.2 | -137.4 |

¹ The budget proposes a five-year budget resolution by function, from 1998 through 2002, consistent with the level of discretionary spending assumed in the budget, which achieves balance in 2002.

Table S-6. MANDATORY BUDGET PROPOSALS BY PROGRAM
(In millions of dollars)

| | Estimate | | | | | | Total 1998-2002 |
|--|---------------|---------------|----------------|----------------|----------------|----------------|--------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Preserve Medicare | | -4,310 | -11,390 | -22,150 | -27,820 | -34,550 | -100,220 |
| Strengthen Medicaid: | | | | | | | |
| Savings proposals | | 205 | -1,597 | -4,059 | -7,313 | -9,666 | -22,430 |
| New initiatives: | | | | | | | |
| Children's health initiatives | | 344 | 587 | 934 | 1,362 | 1,530 | 4,757 |
| Welfare reform proposals | 39 | 619 | 793 | 975 | 1,194 | 1,315 | 4,896 |
| Effects of other mandatory proposals | | 249 | 629 | 736 | 873 | 1,038 | 3,525 |
| Subtotal, new initiatives | 39 | 1,212 | 2,009 | 2,645 | 3,429 | 3,883 | 13,178 |
| Net savings, Medicaid | 39 | 1,417 | 412 | -1,414 | -3,884 | -5,783 | -9,252 |
| Spectrum: | | | | | | | |
| Broaden and extend non-broadcast auctions | | -1,400 | -1,800 | -3,800 | -4,500 | -5,600 | -17,100 |
| Auction analog broadcast | | | | | | -14,800 | -14,800 |
| Auction 888 phone numbers | | -700 | | | | | -700 |
| Auction a portion of the broadcast channels 60-69 | | | | | -1,800 | -1,700 | -3,500 |
| Subtotal, Spectrum | -2,100 | -1,800 | -3,800 | -6,300 | -22,100 | | -36,100 |
| Other mandatory: | | | | | | | |
| Agriculture: | | | | | | | |
| Amend Welfare Reform Food Stamps provisions | 362 | 836 | 659 | 600 | 405 | 835 | 3,335 |
| Shift fund for Rural America from 2000 to 1998 to correct a drafting error | | 25 | 40 | | -30 | -25 | 10 |
| Enhance the farm income "safety net" | | -21 | -2 | -2 | | | -25 |
| Use certain Forest Service fees to protect forest ecosystems | | | | | | | |
| Have beneficiaries of marketing orders pay adminis- trative costs | | -10 | -11 | -11 | -11 | -11 | -54 |
| Subtotal, Agriculture | 362 | 830 | 686 | 587 | 364 | 799 | 3,266 |
| Commerce: | | | | | | | |
| Extend surcharge on patent fees | | | -119 | -119 | -119 | -119 | -476 |
| Defense: | | | | | | | |
| Sell from National Defense Stockpile | | | | | | -200 | -200 |
| Education: | | | | | | | |
| Student loans: | | | | | | | |
| Reduce payments to lenders, restructure guaranty agencies and recover Federal reserves, reduce Federal administrative funding, and reduce bor- rower fees | -340 | -1,050 | -348 | -226 | -209 | -1,294 | -3,127 |
| Improve third grade literacy | | 31 | 212 | 284 | 331 | 380 | 1,238 |
| Invest in school construction | | 1,250 | 1,250 | 1,250 | 1,250 | | 5,000 |
| Repeal the mandatory appropriation under the Smith-Hughes Act of 1918 | | -1 | -7 | -7 | -7 | -7 | -29 |
| Subtotal, Education | -340 | 230 | 1,107 | 1,301 | 1,365 | -921 | 3,082 |
| Energy: | | | | | | | |
| Lease excess Strategic petroleum reserve storage space | | | -14 | -37 | -67 | -83 | -201 |
| Sell Weeks Island Strategic petroleum reserve oil | | | | | | -1,145 | -1,145 |
| Sell or lease naval petroleum and oil shale reserves | | | -10 | 2 | 2 | 2 | -4 |
| Subtotal, Energy | | | -24 | -35 | -65 | -1,226 | -1,350 |
| Health and Human Services: | | | | | | | |
| Set annual targets to increase permanent adoptions and establish a financial bonus to states for in- creasing adoptions ¹ | | | | | | | |
| Permit States to spend HCFA initial survey and cer- tification fee (offset under revenue) | | 10 | 10 | 10 | 10 | 10 | 50 |
| Establish health insurance for the families of work- ers in-between jobs | | 1,738 | 2,472 | 2,688 | 2,924 | | 9,822 |
| Establish purchasing cooperative grants | | 25 | 25 | 25 | 25 | 25 | 125 |

Table S-6. MANDATORY BUDGET PROPOSALS BY PROGRAM—Continued
(In millions of dollars)

| | Estimate | | | | | | Total 1998–2002 |
|---|----------|-------|-------|-------|--------|--------|--------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Expand health coverage for children | | 750 | 750 | 750 | 750 | 750 | 3,750 |
| Subtotal, Health and Human Services | | 2,523 | 3,257 | 3,473 | 3,709 | 785 | 13,747 |
| Housing and Urban Development: | | | | | | | |
| Replace FHA single family loan limits with conforming limit | | -206 | -226 | -222 | -220 | -224 | -1,098 |
| Reform FHA single family assignment | | -164 | -220 | -182 | -177 | -171 | -914 |
| Retain receipts by proposing to lower administrative expenses for FHA single family assignment (non-paygo) | | -33 | -46 | -60 | -74 | -89 | -302 |
| Undertake FHA portfolio reengineering: | | | | | | | |
| Paygo | | -665 | | | | | -665 |
| Non-paygo | | 523 | 899 | 864 | -888 | -1,069 | 329 |
| Subtotal, Housing and Urban Development | | -545 | 407 | 400 | -1,359 | -1,553 | -2,650 |
| Interior: | | | | | | | |
| Extend and index hardrock mining holding fees on public lands | | -1 | -32 | -33 | -34 | -35 | -135 |
| Establish hardrock mining royalties on public domain lands (5 percent on net smelter return) | | | -42 | -63 | -35 | -35 | -175 |
| Charge sugar assessment for Everglades restoration | | -18 | -4 | | | | -22 |
| Impose Hetch Hetchy Dam (CA) rental payments | | -1 | -1 | -1 | -1 | -1 | -5 |
| Extend National Park Service fee demonstration authority through 2002; make all new receipts available to parks ² | | | | | | | |
| Subtotal, Interior | | -20 | -79 | -97 | -70 | -71 | -337 |
| Labor: | | | | | | | |
| Increase Federal Unemployment Trust Fund ceilings (net of administrative distribution to the States) | | | | -200 | -200 | -200 | -600 |
| Extend the NAFTA Transitional Adjustment Assistance program | | | 26 | 43 | 48 | 50 | 167 |
| Move 1 million welfare recipients into jobs by 2000 ... | | 600 | 975 | 1,000 | 400 | 25 | 3,000 |
| Reduce unemployment compensation payments from increased attention to integrity activities in State unemployment insurance operations (non-paygo) ... | | -118 | -158 | -160 | -162 | -165 | -763 |
| Improve management of Workers' Compensation benefits (non-paygo) | | 4 | -20 | -41 | -49 | -44 | -150 |
| Subtotal, Labor | | 486 | 823 | 642 | 37 | -334 | 1,654 |
| State: | | | | | | | |
| Delay foreign service retirement COLA | | -4 | -4 | -4 | -4 | -4 | -20 |
| Transportation: | | | | | | | |
| Extend vessel tonnage fees | | | -62 | -62 | -62 | -62 | -248 |
| Convert Boat Safety State Grant program to mandatory | | 15 | 27 | 35 | 35 | 35 | 147 |
| Transform St. Lawrence Seaway Development Corporation into a Performance Based Organization | | 11 | 12 | 13 | 13 | 13 | 62 |
| Decrease Federal-aid highways minimum allocation | | 15 | 56 | 35 | -22 | -82 | 2 |
| Sell Governor's Island | | | | | | -500 | -500 |
| Sell Union Station air rights | | | | | | -40 | -40 |
| Subtotal, Transportation | | 41 | 33 | 21 | -36 | -636 | -577 |
| Treasury: | | | | | | | |
| Charge vendors for the cost of making payments by paper check | | -15 | -10 | -5 | -5 | -5 | -40 |
| Provide funding for job training assistance for Puerto Rico | | | 67 | 167 | 286 | 424 | 944 |
| Subtotal, Treasury | | -15 | 57 | 162 | 281 | 419 | 904 |
| Veterans: | | | | | | | |
| Move medical care existing collections to discretionary | | 468 | 309 | 356 | 403 | 452 | 1,988 |

Table S-6. MANDATORY BUDGET PROPOSALS BY PROGRAM—Continued
(In millions of dollars)

| | Estimate | | | | | | Total |
|--|----------|-------|-------|-------|-------|-------|-----------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 1998–2002 |
| Compensation and Pensions: | | | | | | | |
| Extend rounding down for compensation COLA | | -17 | -38 | -60 | -76 | -95 | -286 |
| Extend income verification of pension beneficiaries | | | -10 | -23 | -36 | -51 | -120 |
| Limit pension benefits to Medicaid-eligible beneficiaries in nursing homes ³ | | | -506 | -516 | -530 | -541 | -2,093 |
| Housing: | | | | | | | |
| Enable VA to use Federal salary and tax refund offset to collect on deficiency balances for defaulted loans guaranteed prior to 1990 | | -127 | | | | | -127 |
| Extend three provisions that maintain higher loan fees and reduce resale losses on foreclosed properties | | | -204 | -198 | -197 | -192 | -791 |
| Increase fees for non-veterans in the home loan program to match FHA | | -25 | -26 | -26 | -26 | -27 | -130 |
| Permanently extend loan asset sale enhancement authority | | -4 | -4 | -5 | -5 | -4 | -22 |
| Subtotal, Veterans | | 295 | -479 | -472 | -467 | -458 | -1,581 |
| District of Columbia: | | | | | | | |
| Assume liabilities of the DC pension system | | 422 | 425 | 451 | 479 | 506 | 2,283 |
| Receive reimbursement from DC pension system assets | | -422 | -425 | -451 | -479 | -506 | -2,283 |
| Subtotal, District of Columbia | | | | | | | |
| Environmental Protection Agency: | | | | | | | |
| Provide funding for Superfund orphan shares | | 142 | 162 | 184 | 192 | 200 | 880 |
| Federal Deposit Insurance Corporation: | | | | | | | |
| Collect state bank exam fees (net of premium reduction) | | -79 | -82 | 161 | | | |
| Federal Trade Commission: | | | | | | | |
| Increase Hart-Scott Rodino merger filing fees | | -70 | -70 | -70 | -70 | -70 | -350 |
| Japan–United State Friendship Commission: | | | | | | | |
| Privatize the Japan/United State Friendship Commission | | 37 | | | | | 37 |
| Office of Personnel Management: | | | | | | | |
| Delay civilian retirement COLA | | -274 | -281 | -289 | -297 | -305 | -1,446 |
| Increase agency contributions to CSRS (non-paygo) | | -621 | -604 | -588 | -577 | -567 | -2,957 |
| Subtotal, Office of Personnel Management | | -895 | -885 | -877 | -874 | -872 | -4,403 |
| Postal Service: | | | | | | | |
| Repeal Workers' Compensation Reimbursement to the United States Postal Service: | | | | | | | |
| Paygo | | -35 | -33 | -32 | -30 | -29 | -159 |
| Non-paygo | | 35 | 8 | | | | 43 |
| Subtotal, Postal Service | | | -25 | -32 | -30 | -29 | -116 |
| Railroad Retirement Board: | | | | | | | |
| Conform railroad retirement Social Security equivalent benefits with Social Security | | 31 | 46 | 46 | 47 | 47 | 217 |
| Social Security Administration: | | | | | | | |
| Amend welfare reform provisions to exempt disabled immigrants from Supplemental Security Income restrictions and extend eligibility for refugees and asylees | 224 | 1,707 | 1,824 | 2,096 | 1,907 | 2,184 | 9,718 |
| Test employment strategy for the disabled: | | | | | | | |
| Paygo | | -4 | -4 | -4 | -3 | -3 | -18 |
| Non-paygo | | | -5 | 1 | 7 | 13 | 16 |
| Subtotal, test employment strategy for the disabled | | -4 | -9 | -3 | 4 | 10 | -2 |
| Subtotal, Social Security Administration | 224 | 1,703 | 1,815 | 2,093 | 1,911 | 2,194 | 9,716 |

Table S-6. MANDATORY BUDGET PROPOSALS BY PROGRAM—Continued
(In millions of dollars)

| | Estimate | | | | | | Total 1998–2002 |
|---|------------|--------------|---------------|----------------|----------------|----------------|--------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Undistributed Offsetting Receipts: | | | | | | | |
| Effects of lower pay raise impact on agency payments to the civilian service retirement trust fund (non-paygo) | | 436 | 602 | 630 | 664 | 701 | 3,033 |
| Other proposals: | | | | | | | |
| Other paygo proposals | | 1 | 1 | 1 | 1 | 1 | 5 |
| Other non-paygo proposals (largely effects of pay raise) | | | -10 | -35 | -48 | -63 | -156 |
| Subtotal, other mandatory outlay proposals | 246 | 5,127 | 7,219 | 7,960 | 5,429 | -1,410 | 24,325 |
| Total, mandatory outlay proposals | 285 | 134 | -5,559 | -19,404 | -32,575 | -63,843 | -121,247 |
| Non-paygo | | 226 | 666 | 611 | -1,127 | -1,283 | -907 |
| Paygo: | | | | | | | |
| Mandatory | 285 | -92 | -6,225 | -20,015 | -31,448 | -62,560 | -120,340 |
| Paygo funding of discretionary spending that requires adjusting the discretionary caps (see below) | | 971 | 5,137 | 6,564 | 7,195 | 7,592 | 27,459 |
| Total, paygo proposals | 285 | 879 | -1,088 | -13,451 | -24,253 | -54,968 | -92,881 |
| Paygo funding of discretionary spending funded by governmental receipts that requires adjusting the discretionary caps: | | | | | | | |
| HHS: | | | | | | | |
| Increase spending from Food and Drug Administration user fees | | 237 | 252 | 267 | 282 | 297 | 1,335 |
| Labor: | | | | | | | |
| Increase spending from alien labor certification fee | | 25 | 50 | 50 | 50 | 50 | 225 |
| State: | | | | | | | |
| Increase spending from State immigration, passport and other fees | | 506 | 566 | 589 | 595 | 595 | 2,851 |
| Transportation: | | | | | | | |
| Increase spending from aviation fees | | 198 | 4,263 | 5,653 | 6,263 | 6,645 | 23,022 |
| National Transportation and Safety Board: | | | | | | | |
| Increase spending from user fees | | 5 | 6 | 5 | 5 | 5 | 26 |
| Total, paygo funding of discretionary spending funded by governmental receipts that requires adjusting the discretionary caps | | 971 | 5,137 | 6,564 | 7,195 | 7,592 | 27,459 |
| MEMORANDUM | | | | | | | |
| Welfare Reform proposals included above: | | | | | | | |
| Medicaid | 39 | 619 | 793 | 975 | 1,194 | 1,315 | 4,896 |
| Agriculture: Food Stamps | 362 | 836 | 659 | 600 | 405 | 835 | 3,335 |
| Social Security Administration: Supplementary Security Income | 224 | 1,707 | 1,824 | 2,096 | 1,907 | 2,184 | 9,718 |
| Total, Welfare Reform proposals | 625 | 3,162 | 3,276 | 3,671 | 3,506 | 4,334 | 17,949 |

Note: All savings are paygo, unless otherwise stated.

¹The budget includes a proposal to pay incentive payments to States that increase adoptions from the foster care system. The budget assumes incentive payments of up to \$108 million over 1999–2002 for these payments. It is anticipated that reduced foster care payments would offset the outlays from any incentives paid.

²Also affects Bureau of Land Management, Fish and Wildlife Services, and Forest Service. Current proposal would make available all new receipts to the collections agency (no net savings).

³Net Government savings is \$300 million less annually because of offsetting costs to the Medicaid program.

Table S-7. EFFECT OF PROPOSALS ON RECEIPTS
(In millions of dollars)

| | Estimate | | | | | | Total 1998- 2002 |
|---|----------|---------|---------|---------|---------|---------|------------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Provide tax relief and extend expiring provisions: | | | | | | | |
| Middle Class Bill of Rights: | | | | | | | |
| Provide tax credit for dependent children | -718 | -9,889 | -6,806 | -8,552 | -10,387 | -10,369 | -46,003 |
| Expand Individual Retirement Accounts (IRAs) | | -1,454 | -477 | -753 | -1,157 | -1,674 | -5,515 |
| Provide tax incentive for education and training | -84 | -4,044 | -6,199 | -7,848 | -8,632 | -9,386 | -36,109 |
| Subtotal, Middle Class Bill of Rights | -802 | -15,387 | -13,482 | -17,153 | -20,176 | -21,429 | -87,627 |
| Provide targeted welfare-to-work tax credit | | -68 | -137 | -163 | -122 | -61 | -551 |
| Provide capital gains exclusion on sale of principal residence | -71 | -288 | -301 | -284 | -268 | -249 | -1,390 |
| Establish DC tax incentive program | | -24 | -46 | -56 | -66 | -68 | -260 |
| Provide estate tax relief for small business | | -1 | -164 | -166 | -174 | -182 | -687 |
| Provide tax incentives for distressed areas | -40 | -424 | -500 | -502 | -469 | -410 | -2,305 |
| Provide tax credit for investment in community development financial institutions (CDFI) | | -2 | -5 | -7 | -9 | -11 | -34 |
| Toll statute of limitations for incapacitated taxpayers | | | | | -6 | -49 | -55 |
| Allow Foreign Sales Corporation (FSC) benefits for computer software licenses | -10 | -90 | -100 | -110 | -120 | -130 | -550 |
| Extend exclusion for employer-provided educational assistance | -82 | -645 | -670 | -758 | -247 | | -2,320 |
| Extend R&E tax credit | -430 | -787 | -540 | -234 | -111 | -41 | -1,713 |
| Extend orphan drug tax credit | -8 | -19 | -12 | -3 | -3 | -1 | -38 |
| Extend work opportunity tax credit | | -128 | -157 | -93 | -31 | -10 | -419 |
| Extend deduction for contributions of appreciated stock | | -34 | -38 | | | | -72 |
| Extend and modify Puerto Rico economic-activity tax credit | | -27 | -68 | -91 | -109 | -122 | -417 |
| Subtotal, Provide tax relief and extend expiring provisions | -1,443 | -17,924 | -16,220 | -19,620 | -21,911 | -22,763 | -98,438 |
| Eliminate unwarranted benefits and adopt other revenue measures: | | | | | | | |
| Deny interest deduction on certain debt instruments | 15 | 52 | 103 | 158 | 213 | 271 | 797 |
| Defer original issue discount deduction on convertible debt | | 12 | 21 | 32 | 43 | 52 | 160 |
| Limit dividends-received deduction (DRD): | | | | | | | |
| Reduce DRD to 50 percent | | 255 | 339 | 354 | 370 | 387 | 1,705 |
| Eliminate DRD for certain stock | | 13 | 23 | 36 | 49 | 63 | 184 |
| Modify holding period for DRD | | 36 | 26 | 27 | 28 | 29 | 146 |
| Interaction | | -8 | -8 | -8 | -9 | -9 | -42 |
| Extend pro-rata disallowance of tax-exempt interest to all corporations. | | 16 | 31 | 45 | 56 | 65 | 213 |
| Require average-cost basis for stocks, securities, etc. | | 638 | 601 | 594 | 589 | 589 | 3,011 |
| Require recognition of gain on certain stocks, indebtedness and partnership interests | | 38 | 61 | 65 | 71 | 76 | 311 |
| Change the treatment of gains and losses on extinguishment | | 6 | 6 | 6 | 7 | 7 | 32 |
| Require reasonable payment assumptions for interest accruals on certain debt instruments | | 79 | 234 | 288 | 289 | 207 | 1,097 |
| Require gain recognition for certain extraordinary dividends | 401 | 586 | 6 | 11 | 17 | 23 | 643 |
| Repeal percentage depletion for non-fuel minerals mined on Federal and formerly Federal lands | 8 | 89 | 92 | 94 | 96 | 97 | 468 |
| Modify loss carryback and carryforward rules | 5 | 144 | 617 | 798 | 690 | 629 | 2,878 |
| Treat certain preferred stock as "boot" | 25 | 145 | 163 | 172 | 180 | 144 | 804 |
| Repeal tax free conversions of large C corporations to S corporations | | 1 | 12 | 26 | 35 | 45 | 119 |

Table S-7. EFFECT OF PROPOSALS ON RECEIPTS—Continued
(In millions of dollars)

| | Estimate | | | | | | Total 1998– 2002 |
|---|------------|--------------|--------------|--------------|--------------|--------------|------------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Require gain recognition in certain distributions of controlled corporation stock | 10 | 62 | 67 | 71 | 73 | 76 | 349 |
| Reform treatment of certain stock transfers | 31 | 114 | 127 | 137 | 146 | 155 | 679 |
| Expand subpart F provisions regarding certain income | | 19 | 34 | 39 | 44 | 48 | 184 |
| Modify taxation of captive “insurance” companies | | 26 | 18 | 13 | 7 | 4 | 68 |
| Modify foreign tax credit carryback and carryforward rules | | 50 | 263 | 340 | 293 | 275 | 1,221 |
| Replace sales source rules with activity-based rules | | 891 | 1,474 | 1,555 | 1,750 | 1,855 | 7,525 |
| Modify rules relating to foreign oil and gas extraction income | | 4 | 59 | 97 | 104 | 107 | 371 |
| Phase out preferential tax deferral for certain large farm corporations required to use accrual accounting | 28 | 136 | 121 | 124 | 124 | 124 | 629 |
| Initiate Inventory reform: | | | | | | | |
| Repeal lower of cost or market method | 20 | 213 | 351 | 372 | 378 | 179 | 1,493 |
| Repeal components of cost method | 39 | 130 | 178 | 187 | 196 | 204 | 895 |
| Expand requirement that involuntarily converted property be replaced with property acquired from an unrelated party | | 2 | 4 | 5 | 8 | 10 | 29 |
| Place further restrictions on like-kind exchanges involving personal property | 2 | 7 | 12 | 17 | 23 | 29 | 88 |
| Require registration of certain corporate tax shelters | | 1 | 3 | 2 | 2 | 2 | 10 |
| Require reporting of payments to corporations rendering service to Federal agencies | | 1 | 7 | 21 | 45 | 77 | 151 |
| Increase penalties for failure to file correct information returns | | 3 | 16 | 21 | 24 | 26 | 90 |
| Tighten substantial understatement penalty for large corporations | | 24 | 40 | 41 | 35 | 29 | 169 |
| Repeal exemption for withholding on gambling winnings from bingo and keno in excess of \$5,000 | 1 | 17 | 4 | 1 | 1 | 1 | 24 |
| Require tax reporting for payments to attorneys | | | 3 | 3 | 2 | 2 | 10 |
| Extend oil spill excise tax ¹ | 26 | 222 | 224 | 228 | 230 | 231 | 1,135 |
| Impose excise taxes on kerosene as diesel fuel ¹ | 4 | 35 | 33 | 31 | 30 | 30 | 159 |
| Limit extension of tax credit for producing fuel from a nonconventional source | 14 | 64 | 96 | 99 | 101 | 102 | 462 |
| Extend and modify FUTA provisions: | | | | | | | |
| Extend FUTA surtax ¹ | | | 862 | 1,218 | 1,295 | 1,333 | 4,708 |
| Accelerate deposit of unemployment insurance taxes | | | | | | 1,320 | 1,320 |
| Subtotal, Eliminate unwarranted benefits | 629 | 4,123 | 6,323 | 7,320 | 7,635 | 8,894 | 34,295 |
| Other provisions that affect receipts: | | | | | | | |
| Extend corporate environmental tax ² | | 1,095 | 732 | 767 | 785 | 803 | 4,182 |
| Extend Superfund excise taxes ¹ | 110 | 661 | 675 | 687 | 697 | 708 | 3,428 |
| Extend LUST excise taxes ¹ | 16 | 120 | 126 | 128 | 131 | 134 | 639 |
| Extend aviation excise taxes/new user fee ^{1,3} | 2,291 | 5,017 | 6,678 | 6,647 | 6,824 | 7,007 | 32,173 |
| Extend GSP and modify other trade provisions ¹ | | -665 | -509 | -648 | -732 | -771 | -3,325 |
| Assess fees for examination of FDIC-insured banks and bank holding companies (receipt effect) ¹ | | 72 | 75 | 78 | 82 | 86 | 393 |
| Modify method of reimbursing Federal Reserve Banks (receipt effect) | | 122 | 125 | 129 | 132 | 136 | 644 |
| Establish IRS continuous levy | | 402 | 398 | 364 | 269 | 212 | 1,645 |
| Assess fees for NTSB aviation accident investigation activities ¹ | | 5 | 5 | 5 | 5 | 5 | 25 |
| Establish alien labor certification fee ¹ | | 19 | 37 | 37 | 37 | 37 | 167 |
| Exempt Federal vaccine purchases from the payment of vaccine excise taxes ¹ | | -72 | | | | | -72 |
| Extend and increase FDA user fees ¹ | | 178 | 189 | 200 | 211 | 223 | 1,001 |
| Initiate HCFA Medicare survey and certification fee ¹ | | 7 | 7 | 7 | 7 | 7 | 35 |

Table S-7. EFFECT OF PROPOSALS ON RECEIPTS—Continued
(In millions of dollars)

| | Estimate | | | | | | Total 1998– 2002 |
|---|--------------|---------------|---------------|---------------|---------------|---------------|------------------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | |
| Increase employee contributions to CSRS and FERS | | | 214 | 423 | 571 | 621 | 1,829 |
| Adjust Federal pay raise (receipt effect) | | -164 | -216 | -213 | -212 | -212 | -1,017 |
| Subtotal, Other provisions | 2,417 | 6,797 | 8,536 | 8,611 | 8,807 | 8,996 | 41,747 |
| Subtotal, Eliminate unwarranted benefits and other provisions that affect receipts | 3,046 | 10,920 | 14,859 | 15,931 | 16,442 | 17,890 | 76,042 |
| Total effect of proposals ¹ | 1,603 | -7,004 | -1,361 | -3,689 | -5,469 | -4,873 | -22,396 |
| (Paygo proposals) ¹ | 1,603 | -6,890 | -1,270 | -3,605 | -5,389 | -4,797 | -21,951 |
| (Non-Paygo proposals) ¹ | | -114 | -91 | -84 | -80 | -76 | -445 |

¹ Net of income offsets.

² Net of deductibility for income tax purposes.

³ The aviation excise taxes are proposed to be reinstated effective April 1, 1997. In addition, the Administration proposes that aviation excise taxes be repealed effective October 1, 1998 and replaced with cost-based user fees.

Table S-8. SUMMARY OF SUPPLEMENTAL AND RESCISSION PROPOSALS
(In millions of dollars)

| | Budget Authority | | | Outlays | | | | | |
|---|------------------|--------------|--------------|---------------|---------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Supplemental Increases in Discretionary Programs: | | | | | | | | | |
| Department of Agriculture | 106 | | | 91 | 9 | | | | |
| Department of Defense | 2,098 | | | 1,572 | 404 | 71 | | | |
| Department of Housing and Urban Development ... | 30 | | | | 3 | 21 | 6 | | |
| Department of Labor | | | | -45 | 30 | | 15 | | |
| Department of State | | | 921 | | | 921 | | | |
| Department of Transportation | | | | 47 | 168 | 52 | 15 | 9 | 8 |
| Other Independent Agencies | 20 | | | 18 | 2 | | | | |
| Subtotal, Supplemental Increases in Discretionary Programs | 2,254 | | 921 | 1,683 | 616 | 1,065 | 36 | 9 | 8 |
| Decreases in Discretionary Programs: | | | | | | | | | |
| Department of Agriculture | -50 | | | -28 | -18 | -3 | | | |
| Department of Defense | -4,872 | | | -2,314 | -1,333 | -825 | | | |
| Corps of Engineers—Civil | -50 | | | -30 | -20 | | | | |
| Department of Housing and Urban Development ... | -280 | | | -16 | -33 | -47 | -40 | -37 | -33 |
| Subtotal, Decreases in Discretionary Programs | -5,252 | | | -2,388 | -1,404 | -875 | -40 | -37 | -33 |
| Supplemental Increases in Mandatory Programs: | | | | | | | | | |
| Department of Transportation | 4 | | | 4 | | | | | |
| Department of Veterans Affairs | 753 | | | 753 | | | | | |
| Subtotal, Supplemental Increases in Mandatory Programs | 757 | | | 757 | | | | | |
| Total, All Proposals | -2,241 | | 921 | 52 | -788 | 190 | -4 | -28 | -25 |

**Table S-9. DISCRETIONARY PROPOSALS BY APPROPRIATIONS
SUBCOMMITTEE**
(In millions of dollars)

| Appropriations Subcommittee | 1996 Enacted | | 1997 Estimate | | 1998 Proposed | | Change: 1997 to 1998 | |
|---|----------------|----------------|----------------|----------------|----------------|----------------|-------------------------|---------------|
| | BA | Outlays | BA | Outlays | BA | Outlays | BA | Outlays |
| General Purpose Discretionary | | | | | | | | |
| Agriculture and Rural Development | 13,776 | 13,672 | 13,644 | 14,480 | 13,839 | 13,889 | 195 | -591 |
| Commerce, Justice, State, and the Judiciary | 23,876 | 23,962 | 25,191 | 25,232 | 26,362 | 26,455 | 1,171 | 1,223 |
| National Security | 242,556 | 243,254 | 238,967 | 242,835 | 243,290 | 238,581 | 4,323 | -4,254 |
| District of Columbia | 712 | 712 | 719 | 719 | 770 | 532 | 51 | -187 |
| Energy and Water Development | 19,624 | 21,603 | 19,919 | 21,275 | 23,000 | 19,677 | 3,081 | -1,598 |
| Foreign Operations | 12,331 | 12,600 | 12,244 | 13,194 | 16,846 | 13,165 | 4,602 | -29 |
| Interior and Related Agencies | 12,808 | 13,294 | 12,751 | 13,628 | 13,107 | 13,520 | 356 | -108 |
| Labor, HHS, and Education | 67,183 | 67,895 | 74,346 | 73,114 | 79,602 | 75,641 | 5,256 | 2,527 |
| Legislative | 2,121 | 2,161 | 2,169 | 2,247 | 2,386 | 2,373 | 217 | 126 |
| Military Construction | 11,150 | 10,511 | 9,984 | 10,920 | 8,383 | 9,521 | 1,601 | -1,399 |
| Transportation and Related Agencies | 12,573 | 35,916 | 12,735 | 35,505 | 12,416 | 35,729 | -319 | 224 |
| Treasury, Postal Service and General Government | 11,444 | 11,594 | 12,054 | 12,213 | 13,057 | 12,520 | 1,003 | 307 |
| Veterans Affairs, HUD, and Independent Agencies | 68,211 | 75,939 | 64,280 | 80,457 | 71,921 | 80,575 | 7,641 | 118 |
| Subtotal, General Purpose Discretionary | 498,366 | 533,114 | 499,004 | 545,820 | 524,980 | 542,178 | 25,976 | -3,642 |
| Violent Crime Reduction Trust Fund (VCRTF) | | | | | | | | |
| Commerce, Justice, State, and the Judiciary | 3,956 | 1,175 | 4,525 | 2,508 | 5,238 | 4,705 | 713 | 2,197 |
| Labor, HHS, and Education | 53 | 26 | 61 | 47 | 144 | 76 | 83 | 29 |
| Treasury, Postal Service, and General Government | 77 | 55 | 84 | 81 | 118 | 102 | 34 | 21 |
| Subtotal, VCRTF | 4,086 | 1,256 | 4,670 | 2,636 | 5,500 | 4,883 | 830 | 2,247 |
| Total, Discretionary | 502,452 | 534,370 | 503,674 | 548,456 | 530,479 | 547,061 | 26,805 | -1,395 |
| Memorandum: Amounts Excluded From Budget Resolution Allocations And Not Included Above | | | | | | | | |
| National Security | | | 2,078 | 1,567 | | 399 | | |
| Transportation and Related Agencies | | | 20 | 18 | | 2 | | |
| Total, Supplemental Requests for Emergency Funding | | | 2,098 | 1,585 | | 401 | | |

Summaries by Agency

SUMMARIES BY AGENCY

Table S-10. DISCRETIONARY BUDGET AUTHORITY BY AGENCY

(In billions of dollars)

| Agency | 1996 Actual | Estimate | | | | | |
|---|----------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Legislative Branch | 2.2 | 2.2 | 2.4 | 2.4 | 2.5 | 2.5 | 2.5 |
| The Judiciary | 2.8 | 3.0 | 3.4 | 3.5 | 3.6 | 3.7 | 3.8 |
| Executive Office Of the President | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Funds Appropriated to the President | 10.8 | 10.8 | 15.6 | 11.9 | 11.8 | 11.4 | 11.3 |
| Agriculture | 15.3 | 15.3 | 15.1 | 15.2 | 15.4 | 15.5 | 15.8 |
| Commerce | 3.7 | 3.8 | 4.2 | 4.9 | 6.1 | 4.0 | 4.0 |
| Defense—Military | 253.6 | 250.9 | 251.6 | 257.2 | 263.5 | 270.3 | 278.4 |
| Defense—Civil | 3.4 | 3.5 | 3.8 | 3.4 | 3.4 | 3.4 | 3.4 |
| Education | 21.4 | 26.2 | 29.1 | 29.8 | 30.5 | 31.1 | 31.5 |
| Energy | 16.4 | 16.5 | 19.2 | 17.6 | 16.7 | 16.3 | 15.8 |
| Health and Human Services | 33.2 | 34.1 | 36.3 | 36.6 | 36.8 | 37.1 | 37.4 |
| Housing and Urban Development | 21.7 | 19.3 | 24.8 | 28.4 | 30.3 | 31.7 | 33.0 |
| Interior | 7.1 | 6.9 | 7.4 | 7.4 | 7.6 | 7.5 | 7.5 |
| Justice | 14.6 | 16.3 | 17.1 | 17.8 | 16.8 | 17.0 | 17.5 |
| Labor | 9.4 | 10.2 | 10.8 | 10.6 | 10.6 | 10.7 | 10.9 |
| State | 4.7 | 4.8 | 5.1 | 5.8 | 4.9 | 5.0 | 5.0 |
| Transportation | 12.7 | 12.8 | 12.5 | 13.7 | 13.9 | 14.1 | 14.3 |
| Treasury | 10.4 | 10.6 | 11.8 | 11.8 | 11.4 | 11.6 | 11.8 |
| Veterans Affairs | 18.3 | 18.9 | 18.7 | 18.7 | 18.7 | 18.7 | 18.7 |
| Environmental Protection Agency | 6.5 | 6.8 | 7.6 | 7.7 | 7.1 | 7.2 | 7.3 |
| General Services Administration | 0.2 | 0.6 | 0.2 | 0.1 | 0.1 | 0.1 | 0.1 |
| National Aeronautics and Space Administration | 13.9 | 13.7 | 13.5 | 13.4 | 13.2 | 13.2 | 13.2 |
| Office of Personnel Management | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Small Business Administration | 0.8 | 0.9 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 |
| Social Security Administration | 5.0 | 5.6 | 5.6 | 5.5 | 5.5 | 5.5 | 5.5 |
| Other Independent Agencies | 14.0 | 11.7 | 13.6 | 10.8 | 10.9 | 10.7 | 10.7 |
| Total | 502.5 | 505.8 | 530.5 | 535.4 | 542.5 | 549.4 | 560.6 |

Table S-11. DISCRETIONARY OUTLAYS BY AGENCY
(In billions of dollars)

| Agency | 1996 Actual | Estimate | | | | | |
|---|----------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Legislative Branch | 2.2 | 2.3 | 2.4 | 2.4 | 2.5 | 2.5 | 2.5 |
| The Judiciary | 2.9 | 3.1 | 3.3 | 3.5 | 3.6 | 3.6 | 3.7 |
| Executive Office Of the President | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Funds Appropriated to the President | 11.4 | 11.8 | 11.9 | 11.7 | 11.8 | 11.6 | 11.6 |
| Agriculture | 15.1 | 16.2 | 15.2 | 15.2 | 15.2 | 15.4 | 15.6 |
| Commerce | 3.8 | 3.9 | 4.2 | 4.7 | 6.4 | 4.1 | 4.0 |
| Defense—Military | 253.7 | 255.2 | 248.4 | 250.1 | 255.9 | 256.9 | 262.3 |
| Defense—Civil | 3.8 | 3.7 | 3.5 | 3.4 | 3.4 | 3.4 | 3.4 |
| Education | 23.2 | 25.3 | 26.3 | 29.5 | 30.0 | 30.5 | 31.1 |
| Energy | 18.4 | 17.7 | 16.8 | 17.0 | 16.8 | 16.4 | 16.2 |
| Health and Human Services | 32.3 | 34.0 | 35.4 | 36.3 | 36.7 | 36.9 | 37.2 |
| Housing and Urban Development | 31.4 | 33.4 | 34.0 | 34.3 | 33.9 | 33.7 | 33.5 |
| Interior | 7.0 | 7.5 | 7.3 | 7.5 | 7.6 | 7.6 | 7.5 |
| Justice | 11.5 | 13.5 | 16.6 | 18.0 | 18.4 | 17.9 | 17.6 |
| Labor | 9.6 | 10.1 | 10.5 | 10.5 | 10.5 | 10.6 | 10.7 |
| State | 4.5 | 5.1 | 5.1 | 5.8 | 4.9 | 5.0 | 5.0 |
| Transportation | 36.3 | 35.9 | 36.0 | 36.1 | 36.1 | 36.4 | 36.8 |
| Treasury | 10.2 | 10.5 | 11.1 | 11.8 | 11.9 | 11.5 | 11.7 |
| Veterans Affairs | 18.1 | 19.0 | 18.6 | 18.7 | 18.7 | 18.7 | 18.7 |
| Environmental Protection Agency | 6.3 | 6.5 | 6.7 | 7.1 | 7.3 | 7.4 | 7.3 |
| General Services Administration | 0.7 | 0.9 | 0.4 | 0.3 | 0.2 | 0.1 | 0.1 |
| National Aeronautics and Space Administration | 13.9 | 13.7 | 13.6 | 13.5 | 13.2 | 13.2 | 13.2 |
| Office of Personnel Management | 0.1 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Small Business Administration | 0.9 | 0.8 | 0.8 | 0.7 | 0.7 | 0.7 | 0.7 |
| Social Security Administration | 4.6 | 5.6 | 5.7 | 5.6 | 5.6 | 5.5 | 5.5 |
| Other Independent Agencies | 12.2 | 13.9 | 13.4 | 13.2 | 12.0 | 10.9 | 10.8 |
| Total | 534.4 | 550.0 | 547.5 | 557.5 | 563.9 | 561.0 | 567.2 |

Table S-12. BUDGET AUTHORITY BY AGENCY

(In billions of dollars)

| Agency | 1996 Actual | Estimate | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Legislative Branch | 2.5 | 2.5 | 2.8 | 2.8 | 2.8 | 2.8 | 2.9 |
| The Judiciary | 3.2 | 3.4 | 3.8 | 3.9 | 4.0 | 4.1 | 4.2 |
| Executive Office Of the President | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Funds Appropriated to the President | 10.2 | 10.6 | 14.9 | 10.5 | 10.6 | 10.8 | 11.0 |
| Agriculture | 58.7 | 60.6 | 60.3 | 60.3 | 62.3 | 62.7 | 65.6 |
| Commerce | 3.6 | 3.7 | 4.2 | 4.8 | 6.1 | 4.0 | 3.9 |
| Defense—Military | 254.4 | 250.0 | 250.7 | 256.3 | 262.8 | 269.6 | 277.5 |
| Defense—Civil | 32.4 | 33.8 | 35.2 | 35.9 | 37.0 | 38.0 | 39.0 |
| Education | 29.1 | 29.4 | 39.5 | 36.4 | 37.0 | 37.7 | 37.4 |
| Energy | 14.1 | 14.2 | 17.0 | 15.5 | 14.6 | 14.0 | 11.4 |
| Health and Human Services | 318.5 | 357.3 | 370.0 | 396.3 | 414.3 | 439.2 | 463.1 |
| Housing and Urban Development | 21.1 | 19.4 | 23.0 | 28.7 | 30.6 | 31.1 | 31.8 |
| Interior | 7.2 | 7.1 | 7.3 | 7.3 | 7.4 | 7.3 | 7.3 |
| Justice | 15.2 | 17.4 | 17.8 | 18.4 | 17.4 | 17.7 | 18.2 |
| Labor | 33.4 | 34.4 | 37.5 | 39.0 | 40.3 | 40.3 | 41.5 |
| State | 5.1 | 5.2 | 5.5 | 6.3 | 5.5 | 5.6 | 5.6 |
| Transportation | 35.7 | 43.0 | 43.3 | 42.1 | 42.2 | 42.5 | 42.2 |
| Treasury | 365.8 | 382.6 | 392.9 | 399.6 | 401.4 | 404.4 | 404.9 |
| Veterans Affairs | 38.7 | 39.4 | 41.1 | 41.6 | 42.1 | 42.6 | 43.1 |
| Environmental Protection Agency | 6.3 | 6.6 | 7.7 | 7.8 | 7.2 | 7.2 | 7.3 |
| General Services Administration | 0.2 | 0.7 | 0.2 | 0.1 | 0.1 | 0.1 | 0.1 |
| National Aeronautics and Space Adminis- tration | 13.9 | 13.7 | 13.5 | 13.4 | 13.2 | 13.2 | 13.2 |
| Office of Personnel Management | 43.8 | 44.8 | 47.8 | 50.1 | 52.3 | 54.7 | 57.3 |
| Small Business Administration | 1.1 | 0.9 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 |
| Social Security Administration | 377.3 | 395.7 | 412.7 | 433.2 | 455.8 | 472.8 | 497.9 |
| On-Budget | (31.0) | (35.3) | (34.5) | (37.7) | (41.7) | (38.4) | (42.9) |
| Off-Budget | (346.3) | (360.3) | (378.2) | (395.5) | (414.2) | (434.4) | (455.0) |
| Other Independent Agencies | 24.3 | 27.0 | 25.8 | 23.5 | 28.4 | 30.4 | 31.9 |
| On-Budget | (20.9) | (19.0) | (20.9) | (22.1) | (27.2) | (28.0) | (28.6) |
| Off-Budget | (3.4) | (8.0) | (5.0) | (1.4) | (1.2) | (2.4) | (3.3) |
| Undistributed Offsetting Receipts | -135.0 | -150.5 | -165.7 | -157.4 | -164.6 | -173.8 | -197.0 |
| On-Budget | (-92.2) | (-102.8) | (-113.4) | (-100.5) | (-103.1) | (-107.5) | (-125.6) |
| Off-Budget | (-42.8) | (-47.7) | (-52.2) | (-56.9) | (-61.5) | (-66.2) | (-71.3) |
| Total | 1,581.1 | 1,652.9 | 1,709.5 | 1,777.4 | 1,831.7 | 1,880.0 | 1,922.3 |
| On-Budget | (1,274.1) | (1,332.3) | (1,378.6) | (1,437.3) | (1,477.9) | (1,509.4) | (1,535.3) |
| Off-Budget | (307.0) | (320.6) | (330.9) | (340.1) | (353.8) | (370.6) | (387.0) |

Table S-13. OUTLAYS BY AGENCY
(In billions of dollars)

| Agency | 1996 Actual | Estimate | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Legislative Branch | 2.3 | 2.5 | 2.8 | 2.7 | 2.7 | 2.8 | 2.8 |
| The Judiciary | 3.1 | 3.6 | 3.7 | 3.8 | 3.9 | 4.1 | 4.2 |
| Executive Office Of the President | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 |
| Funds Appropriated to the President | 9.7 | 9.7 | 10.2 | 10.4 | 10.6 | 10.5 | 10.6 |
| Agriculture | 54.3 | 57.0 | 58.8 | 58.0 | 59.7 | 59.7 | 61.6 |
| Commerce | 3.7 | 3.8 | 4.1 | 4.6 | 6.2 | 4.0 | 3.9 |
| Defense—Military | 253.3 | 254.3 | 247.5 | 249.3 | 255.2 | 256.2 | 261.4 |
| Defense—Civil | 32.5 | 33.9 | 34.8 | 35.8 | 36.9 | 38.0 | 39.0 |
| Education | 29.7 | 28.3 | 32.1 | 36.2 | 36.8 | 37.4 | 35.9 |
| Energy | 16.2 | 15.4 | 14.6 | 14.9 | 14.6 | 14.1 | 11.8 |
| Health and Human Services | 319.8 | 351.1 | 376.1 | 396.9 | 414.1 | 438.6 | 461.9 |
| Housing and Urban Development | 25.5 | 29.9 | 32.3 | 32.9 | 32.4 | 30.2 | 29.6 |
| Interior | 6.7 | 7.4 | 7.1 | 7.3 | 7.3 | 7.4 | 7.2 |
| Justice | 12.0 | 14.5 | 17.4 | 18.7 | 19.1 | 18.5 | 18.2 |
| Labor | 32.5 | 32.9 | 35.6 | 37.5 | 39.0 | 39.6 | 40.4 |
| State | 5.0 | 5.5 | 5.5 | 6.3 | 5.5 | 5.5 | 5.6 |
| Transportation | 38.8 | 38.4 | 38.5 | 38.5 | 38.4 | 38.4 | 38.2 |
| Treasury | 364.6 | 380.6 | 390.4 | 397.8 | 400.2 | 402.5 | 402.8 |
| Veterans Affairs | 36.9 | 39.6 | 40.9 | 41.9 | 43.8 | 41.1 | 43.3 |
| Environmental Protection Agency | 6.0 | 6.3 | 6.7 | 7.1 | 7.4 | 7.4 | 7.3 |
| General Services Administration | 0.7 | 1.2 | 0.5 | 0.3 | 0.2 | 0.1 | 0.1 |
| National Aeronautics and Space Adminis- tration | 13.9 | 13.7 | 13.6 | 13.5 | 13.2 | 13.2 | 13.2 |
| Office of Personnel Management | 42.9 | 44.8 | 46.5 | 48.6 | 50.7 | 53.0 | 55.7 |
| Small Business Administration | 0.9 | 0.5 | 0.1 | 0.2 | 0.5 | 0.6 | 0.6 |
| Social Security Administration | 375.2 | 395.9 | 413.0 | 432.0 | 454.0 | 470.9 | 495.9 |
| On-Budget | (31.4) | (35.2) | (36.3) | (38.1) | (41.7) | (38.4) | (42.9) |
| Off-Budget | (343.9) | (360.8) | (376.7) | (393.9) | (412.4) | (432.5) | (453.0) |
| Other Independent Agencies | 8.9 | 10.4 | 20.2 | 22.5 | 26.2 | 24.1 | 25.1 |
| On-Budget | (9.5) | (8.5) | (16.1) | (21.7) | (26.3) | (25.8) | (26.4) |
| Off-Budget | (-0.6) | (2.0) | (4.1) | (0.9) | (-0.2) | (-1.8) | (-1.3) |
| Undistributed Offsetting Receipts | -135.0 | -150.5 | -165.7 | -157.4 | -164.6 | -173.8 | -197.0 |
| On-Budget | (-92.2) | (-102.8) | (-113.4) | (-100.5) | (-103.1) | (-107.5) | (-125.6) |
| Off-Budget | (-42.8) | (-47.7) | (-52.2) | (-56.9) | (-61.5) | (-66.2) | (-71.3) |
| Total | 1,560.3 | 1,631.0 | 1,687.5 | 1,760.7 | 1,814.4 | 1,844.5 | 1,879.7 |
| On-Budget | (1,259.9) | (1,316.0) | (1,358.9) | (1,422.8) | (1,463.8) | (1,480.0) | (1,499.4) |
| Off-Budget | (300.5) | (315.0) | (328.6) | (337.9) | (350.7) | (364.5) | (380.3) |

Other Summary Tables

OTHER SUMMARY TABLES

Table S-14. RECEIPTS BY SOURCE—SUMMARY
(In millions of dollars)

| Source | 1996 Actual | Estimate | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | | 1997 | 1998 | 1998 | 2000 | 2001 | 2002 |
| Individual income taxes | 656,417 | 672,683 | 691,199 | 721,554 | 755,558 | 795,223 | 839,850 |
| Corporation income taxes | 171,824 | 176,199 | 189,662 | 199,555 | 212,046 | 220,521 | 227,844 |
| Social insurance taxes and con- tributions | 509,414 | 535,766 | 557,783 | 585,229 | 614,395 | 642,161 | 673,075 |
| (On-budget) | (141,922) | (146,863) | (152,839) | (160,033) | (167,499) | (174,544) | (183,210) |
| (Off-budget) | (367,492) | (388,903) | (404,944) | (425,196) | (446,896) | (467,617) | (489,865) |
| Excise taxes | 54,014 | 57,247 | 61,239 | 64,496 | 64,934 | 66,194 | 67,363 |
| Estate and gift taxes | 17,189 | 17,588 | 18,817 | 19,969 | 21,390 | 22,926 | 24,573 |
| Customs duties | 18,670 | 17,328 | 18,307 | 18,469 | 19,617 | 20,523 | 21,988 |
| Miscellaneous receipts | 25,534 | 28,614 | 29,835 | 34,048 | 39,364 | 40,799 | 41,993 |
| Total receipts | 1,453,062 | 1,505,425 | 1,566,842 | 1,643,320 | 1,727,304 | 1,808,347 | 1,896,686 |
| <i>On-budget</i> | <i>(1,085,570)</i> | <i>(1,116,522)</i> | <i>(1,161,899)</i> | <i>(1,218,124)</i> | <i>(1,280,409)</i> | <i>(1,340,730)</i> | <i>(1,406,821)</i> |
| <i>Off-budget</i> | <i>(367,492)</i> | <i>(388,903)</i> | <i>(404,944)</i> | <i>(425,196)</i> | <i>(446,896)</i> | <i>(467,617)</i> | <i>(489,865)</i> |

Table S-15. FEDERAL EMPLOYMENT IN THE EXECUTIVE BRANCH
(Civilian employment as measured by Full-Time Equivalents, in thousands)

| Agency | 1993 Base | Actual | | | | Estimate | | Change: 1993 base to 1998 | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------------------|---------------|
| | | 1993 | 1994 | 1995 | 1996 | 1997 | 1998 | FTE's | Percent |
| Cabinet agencies: | | | | | | | | | |
| Agriculture ¹ | 115.6 | 114.4 | 109.8 | 103.8 | 100.7 | 101.7 | 99.9 | -15.8 | -13.6% |
| Commerce | 36.7 | 36.1 | 36.0 | 35.3 | 33.8 | 34.9 | 38.3 | +1.6 | +4.3% |
| Defense-military functions | 931.3 | 931.8 | 868.3 | 821.7 | 778.9 | 760.0 | 733.2 | -198.1 | -21.3% |
| Education | 5.0 | 4.9 | 4.8 | 4.8 | 4.7 | 4.6 | 4.6 | -0.5 | -9.3% |
| Energy | 20.6 | 20.3 | 19.8 | 19.7 | 19.1 | 18.2 | 17.2 | -3.4 | -16.5% |
| Health and Human Services ¹ | 65.0 | 66.1 | 62.9 | 59.3 | 57.2 | 57.6 | 57.6 | -7.3 | -11.3% |
| Social Security Administration | 65.4 | 64.8 | 64.5 | 64.6 | 64.0 | 65.6 | 65.4 | | |
| Housing and Urban Development | 13.6 | 13.3 | 13.1 | 12.1 | 11.4 | 11.4 | 11.0 | -2.7 | -19.6% |
| Interior | 79.3 | 78.1 | 76.3 | 72.0 | 66.7 | 70.6 | 71.4 | -7.9 | -10.0% |
| Justice | 99.4 | 95.4 | 95.3 | 97.9 | 103.8 | 113.3 | 121.8 | +22.4 | +22.5% |
| Labor | 18.3 | 18.0 | 17.5 | 16.8 | 16.0 | 16.6 | 17.1 | -1.2 | -6.5% |
| State | 26.0 | 25.6 | 25.2 | 23.9 | 22.9 | 23.2 | 23.2 | -2.8 | -10.8% |
| Transportation | 70.3 | 69.1 | 66.4 | 63.2 | 62.4 | 64.0 | 64.8 | -5.5 | -7.8% |
| Treasury | 166.1 | 161.1 | 157.3 | 157.5 | 151.1 | 148.3 | 148.1 | -18.0 | -10.8% |
| Veterans Affairs ¹ | 232.4 | 234.2 | 233.1 | 228.5 | 221.9 | 215.5 | 210.6 | -21.8 | -9.4% |
| Other agencies (excluding Postal Service): | | | | | | | | | |
| Agency for International Development ¹ | 4.4 | 4.1 | 3.9 | 3.6 | 3.4 | 3.1 | 3.0 | -1.4 | -31.9% |
| Corps of Engineers | 29.2 | 28.4 | 27.9 | 27.7 | 27.1 | 27.1 | 26.4 | -2.8 | -9.7% |
| Environmental Protection Agency | 18.6 | 17.9 | 17.6 | 17.5 | 17.2 | 18.0 | 18.3 | -0.3 | -1.6% |
| EEOC | 2.9 | 2.8 | 2.8 | 2.8 | 2.7 | 2.7 | 2.7 | -0.2 | -6.2% |
| FEMA | 2.7 | 4.0 | 4.9 | 4.6 | 4.7 | 5.0 | 4.7 | +1.9 | +70.5% |
| FDIC/RTC | 21.6 | 21.9 | 20.0 | 15.7 | 11.8 | 9.4 | 7.8 | -13.8 | -64.0% |
| General Services Administration | 20.6 | 20.2 | 19.5 | 17.0 | 15.7 | 14.9 | 14.4 | -6.2 | -30.2% |
| NASA | 25.7 | 24.9 | 23.9 | 22.4 | 21.1 | 20.7 | 19.8 | -6.0 | -23.3% |
| National Archives and Records Admin. . | 2.8 | 2.6 | 2.6 | 2.5 | 2.5 | 2.5 | 2.5 | -0.2 | -8.6% |
| National Labor Relations Board | 2.1 | 2.1 | 2.1 | 2.0 | 1.9 | 2.0 | 2.0 | -0.1 | -6.0% |
| National Science Foundation | 1.3 | 1.2 | 1.2 | 1.2 | 1.3 | 1.3 | 1.2 | -0.1 | -8.2% |
| Nuclear Regulatory Commission | 3.4 | 3.4 | 3.3 | 3.2 | 3.1 | 3.1 | 3.0 | -0.4 | -12.1% |
| Office of Personnel Management | 6.2 | 5.9 | 5.3 | 4.2 | 3.4 | 3.4 | 3.3 | -3.0 | -47.6% |
| Panama Canal Commission | 8.7 | 8.5 | 8.5 | 8.8 | 9.0 | 10.2 | 10.3 | +1.6 | +18.5% |
| Peace Corps | 1.3 | 1.2 | 1.2 | 1.2 | 1.1 | 1.2 | 1.1 | -0.1 | -9.4% |
| Railroad Retirement Board | 1.9 | 1.8 | 1.7 | 1.6 | 1.5 | 1.4 | 1.3 | -0.5 | -29.0% |
| Securities and Exchange Commission ... | 2.7 | 2.7 | 2.7 | 2.7 | 2.8 | 2.8 | 2.8 | +0.1 | +2.1% |
| Small Business Administration | 4.0 | 5.6 | 6.3 | 5.7 | 4.8 | 4.6 | 4.6 | +0.6 | +15.2% |
| Smithsonian Institution | 5.9 | 5.5 | 5.4 | 5.3 | 5.1 | 5.3 | 5.3 | -0.6 | -10.4% |
| Tennessee Valley Authority | 19.1 | 17.3 | 18.6 | 16.6 | 16.0 | 15.7 | 15.6 | -3.6 | -18.8% |
| United States Information Agency | 8.7 | 8.3 | 8.1 | 7.7 | 7.0 | 7.0 | 6.9 | -1.8 | -20.9% |
| All other small agencies | 16.1 | 15.4 | 15.0 | 15.1 | 14.1 | 14.8 | 14.5 | -1.6 | -9.9% |
| Total, Executive Branch civilian em- ployment | 2,155.2 | 2,138.8 | 2,052.7 | 1,970.2 | 1,891.7 | 1,881.3 | 1,855.6 | -299.6 | -13.9% |
| Reduction from 1993 base | | -16.4 | -102.5 | -185.0 | -263.5 | -273.9 | -299.6 | | |
| Subtotal, Defense | 931.3 | 931.8 | 868.3 | 821.7 | 778.9 | 760.0 | 733.2 | -198.1 | -21.3% |
| Subtotal, non-defense | 1,223.9 | 1,207.1 | 1,184.4 | 1,148.4 | 1,112.8 | 1,121.2 | 1,122.4 | -101.5 | -8.3% |
| Status of Federal civilian employment relative to the FWRA: ² | | | | | | | | | |
| Total, Executive Branch employment | | | 2,052.7 | 1,970.2 | 1,891.7 | 1,881.3 | 1,855.6 | | |
| Less: FTEs exempt from FWRA | | | 5.7 | 5.7 | 7.6 | 7.9 | 5.6 | | |
| Total, Executive Branch subject to FWRA Ceiling | | | 2,047.0 | 1,964.4 | 1,884.1 | 1,873.3 | 1,850.0 | | |
| FWRA ceiling | | | 2,084.6 | 2,043.3 | 2,003.3 | 1,963.3 | 1,922.3 | | |
| Executive Branch employment relative to FWRA ceiling | | | -37.6 | -78.9 | -119.2 | -90.0 | -72.3 | | |

¹ The Departments of Agriculture, Health and Human Services, Veterans Affairs, and the Agency for International Development have components that are exempt from FTE controls. In 1998, Agriculture has 2,098 exemptions; HHS has 268 exemptions; Veterans Affairs has 3,200 exemptions and AID has 27 exemptions.

² FTE limitations are set for the Executive Branch in the Federal Workforce Restructuring Act of 1994 (P.L. 103-226) from 1994-99.

Table S-16. FEDERAL GOVERNMENT FINANCING AND DEBT ¹
(In billions of dollars)

| | 1996 Actual | Estimate | | | | | |
|--|----------------|----------|---------|---------|---------|---------|---------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Financing: | | | | | | | |
| Surplus or deficit (–) | –107.3 | –125.6 | –120.6 | –117.4 | –87.1 | –36.1 | 17.0 |
| (On-budget) | –174.3 | –199.5 | –197.0 | –204.7 | –183.3 | –139.2 | –92.5 |
| (Off-budget) | 67.0 | 73.9 | 76.4 | 87.3 | 96.2 | 103.1 | 109.5 |
| Means of financing other than borrowing from the public: | | | | | | | |
| Changes in: ² | | | | | | | |
| Treasury operating cash balance | –6.3 | 4.2 | | | | | |
| Checks outstanding, etc. ³ | –3.9 | –* | –1.4 | | | | |
| Deposit fund balances | –1.0 | 0.7 | –2.6 | | | | |
| Seigniorage on coins | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 | 0.6 |
| Less: Net financing disbursements: | | | | | | | |
| Direct loan financing accounts | –13.0 | –22.6 | –21.9 | –21.9 | –23.8 | –24.4 | –24.0 |
| Guaranteed loan financing accounts | 1.3 | –0.2 | 0.4 | 0.6 | 0.7 | 0.9 | 1.2 |
| Total, means of financing other than borrowing from the public | –22.3 | –17.2 | –24.9 | –20.7 | –22.4 | –22.8 | –22.1 |
| Total, requirement for borrowing from the public | –129.6 | –142.8 | –145.6 | –138.1 | –109.6 | –59.0 | –5.2 |
| Change in debt held by the public | 129.6 | 142.8 | 145.6 | 138.1 | 109.6 | 59.0 | 5.2 |
| Debt Outstanding, End of Year: | | | | | | | |
| Gross Federal debt: | | | | | | | |
| Debt issued by Treasury | 5,146.9 | 5,420.4 | 5,706.3 | 5,983.1 | 6,243.0 | 6,456.6 | 6,624.3 |
| Debt issued by other agencies | 35.1 | 33.3 | 29.9 | 29.5 | 29.0 | 28.7 | 28.2 |
| Total, gross Federal debt | 5,181.9 | 5,453.7 | 5,736.2 | 6,012.6 | 6,272.0 | 6,485.2 | 6,652.5 |
| Held by: | | | | | | | |
| Government accounts | 1,449.0 | 1,577.9 | 1,714.8 | 1,853.2 | 2,003.0 | 2,157.2 | 2,319.4 |
| The public | 3,733.0 | 3,875.8 | 4,021.4 | 4,159.4 | 4,269.0 | 4,328.0 | 4,333.1 |
| Federal Reserve Banks | 390.9 | | | | | | |
| Other | 3,342.0 | | | | | | |
| Debt Subject to Statutory Limitation, End of Year: | | | | | | | |
| Debt issued by Treasury | 5,146.9 | 5,420.4 | 5,706.3 | 5,983.1 | 6,243.0 | 6,456.6 | 6,624.3 |
| Less: Treasury debt not subject to limitation ⁴ | –15.5 | –15.5 | –15.5 | –15.5 | –15.5 | –15.5 | –15.5 |
| Agency debt subject to limitation | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 | 0.1 |
| Adjustment for discount and premium ⁵ | 5.8 | 5.8 | 5.8 | 5.8 | 5.8 | 5.8 | 5.8 |
| Total, debt subject to statutory limitation ⁶ | 5,137.2 | 5,410.7 | 5,696.6 | 5,973.4 | 6,233.3 | 6,446.9 | 6,614.7 |

* \$50 million or less.

¹ Treasury securities held by the public and zero-coupon bonds held by Government accounts are almost entirely measured at sales price plus amortized discount or less amortized premium. Agency debt is almost entirely measured at face value. Treasury securities in the Government account series are measured at face value less unrealized discount (if any).

² A decrease in the Treasury operating cash balance (which is an asset) would be a means of financing the deficit and therefore has a positive sign. An increase in checks outstanding or deposit fund balances (which are liabilities) would also be a means of financing the deficit and therefore also have a positive sign.

³ Besides checks outstanding, includes accrued interest payable on Treasury debt, miscellaneous liability accounts, allocations of special drawing rights, and, as an offset, cash and monetary assets other than the Treasury operating cash balance, miscellaneous asset accounts, and profit on sale of gold.

⁴ Consists primarily of Federal Financing Bank debt.

⁵ Consists of unamortized discount (less premium) on public issues of Treasury notes and bonds (other than zero-coupon bonds) and unrealized discount on Government account series securities.

⁶ The statutory debt limit is \$5,500 billion.

Table S-17. COMPARISON OF ECONOMIC ASSUMPTIONS
(Calendar years)

| | Projections | | | | | |
|--|-------------|------|------|------|------|------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 |
| Real GDP (chain-weighted):¹ | | | | | | |
| 1997 Mid-Session Review | 2.3 | 2.3 | 2.3 | 2.3 | 2.3 | 2.3 |
| CBO January ² | 2.1 | 2.1 | 2.2 | 2.2 | 2.1 | 2.1 |
| 1998 Budget | 2.0 | 2.0 | 2.3 | 2.3 | 2.3 | 2.3 |
| Chain-weighted GDP Price Index:¹ | | | | | | |
| 1997 Mid-Session Review | 2.7 | 2.7 | 2.7 | 2.7 | 2.7 | 2.7 |
| CBO January ² | 2.4 | 2.6 | 2.6 | 2.6 | 2.6 | 2.6 |
| 1998 Budget | 2.5 | 2.6 | 2.6 | 2.6 | 2.6 | 2.6 |
| Consumer Price Index (all-urban):¹ | | | | | | |
| 1997 Mid-Session Review | 2.8 | 2.8 | 2.8 | 2.8 | 2.8 | 2.8 |
| CBO January ² | 2.9 | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 |
| 1998 Budget | 2.6 | 2.7 | 2.7 | 2.7 | 2.7 | 2.7 |
| Unemployment rate:³ | | | | | | |
| 1997 Mid-Session Review | 5.7 | 5.7 | 5.7 | 5.7 | 5.7 | 5.7 |
| CBO January ² | 5.3 | 5.6 | 5.8 | 5.9 | 6.0 | 6.0 |
| 1998 Budget | 5.3 | 5.5 | 5.5 | 5.5 | 5.5 | 5.5 |
| Interest rates:³ | | | | | | |
| 91-day Treasury bills: | | | | | | |
| 1997 Mid-Session Review | 4.5 | 4.3 | 4.2 | 4.0 | 4.0 | 4.0 |
| CBO January ² | 5.0 | 5.0 | 4.6 | 4.2 | 3.9 | 3.9 |
| 1998 Budget | 5.0 | 4.7 | 4.4 | 4.2 | 4.0 | 4.0 |
| 10-year Treasury notes: | | | | | | |
| 1997 Mid-Session Review | 5.6 | 5.2 | 5.0 | 5.0 | 5.0 | 5.0 |
| CBO January ² | 6.2 | 6.1 | 5.8 | 5.5 | 5.5 | 5.5 |
| 1998 Budget | 6.1 | 5.9 | 5.5 | 5.3 | 5.1 | 5.1 |

¹ Percent change, fourth quarter over fourth quarter.

² Economic projections assuming balanced budget policy.

³ Annual averages, percent.