

Union Calendar No. 15

110TH CONGRESS
1ST SESSION

H. R. 363

[Report No. 110-39]

To authorize appropriations for basic research and research infrastructure in science and engineering, and for support of graduate fellowships, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

JANUARY 10, 2007

Mr. GORDON of Tennessee introduced the following bill; which was referred to the Committee on Science and Technology

MARCH 8, 2007

Additional sponsors: Mr. LIPINSKI, Mr. BAIRD, Ms. SLAUGHTER, Mr. HONDA, Mr. CLEAVER, Mr. VAN HOLLEN, Ms. HIRONO, Ms. MCCOLLUM of Minnesota, Mr. DAVIS of Alabama, Ms. JACKSON-LEE of Texas, Mr. WEXLER, Ms. GIFFORDS, Ms. MATSUI, Mr. MCNERNEY, Mr. COSTELLO, Mr. HINOJOSA, Mr. ABERCROMBIE, and Mr. CARNAHAN

MARCH 8, 2007

Reported with amendments, committed to the Committee of the Whole House on the State of the Union, and ordered to be printed

[Strike out all after the enacting clause and insert the part printed in *italic*]

[For text of introduced bill, see copy of bill as introduced on January 10, 2007]

A BILL

To authorize appropriations for basic research and research infrastructure in science and engineering, and for support of graduate fellowships, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 *This Act may be cited as the “Sowing the Seeds*
5 *Through Science and Engineering Research Act”.*

6 **SEC. 2. NATIONAL SCIENCE FOUNDATION EARLY CAREER**
7 **AWARDS FOR SCIENCE AND ENGINEERING**
8 **RESEARCHERS.**

9 (a) *IN GENERAL.*—*The Director of the National*
10 *Science Foundation shall carry out a program to award*
11 *grants to scientists and engineers at the early stage of their*
12 *careers at institutions of higher education and organiza-*
13 *tions described in subsection (c)(2) to conduct research in*
14 *fields relevant to the mission of the Foundation. The exist-*
15 *ing Faculty Early Career Development (CAREER) Pro-*
16 *gram may be designated as the mechanism for awarding*
17 *such grants.*

18 (b) *SIZE AND DURATION OF AWARD.*—*The duration*
19 *of awards under this section shall be 5 years, and the*
20 *amount per year shall be at least \$80,000.*

21 (c) *ELIGIBILITY.*—*Award recipients shall be individ-*
22 *uals who are employed in a tenure-track position as an as-*
23 *stant professor or equivalent title, or who hold an equiva-*
24 *lent position, at—*

1 (1) *an institution of higher education in the*
2 *United States; or*

3 (2) *an organization in the United States that is*
4 *a nonprofit, nondegree-granting research organization*
5 *such as a museum, observatory, or research labora-*
6 *tory.*

7 (d) *SELECTION.—Award recipients shall be selected on*
8 *a competitive, merit-reviewed basis.*

9 (e) *SELECTION PROCESS AND CRITERIA FOR*
10 *AWARDS.—An applicant seeking funding under this section*
11 *shall submit a proposal to the Director at such time, in*
12 *such manner, and containing such information as the Di-*
13 *rector may require. In evaluating the proposals submitted*
14 *under this section, the Director shall consider, at a min-*
15 *imum—*

16 (1) *the intellectual merit of the proposed work;*

17 (2) *the innovative or transformative nature of*
18 *the proposed research;*

19 (3) *the extent to which the proposal integrates re-*
20 *search and education, including undergraduate edu-*
21 *cation in science and engineering disciplines; and*

22 (4) *the potential of the applicant for leadership*
23 *at the frontiers of knowledge.*

24 (f) *AWARDS.—In awarding grants under this section,*
25 *the Director shall endeavor to ensure that the recipients are*

1 *from a variety of types of institutions of higher education*
2 *and nonprofit, nondegree-granting research organizations.*
3 *In support of this goal, the Director shall broadly dissemi-*
4 *nate information about when and how to apply for grants*
5 *under this section, including by conducting outreach to His-*
6 *torically Black Colleges and Universities that are part B*
7 *institutions as defined in section 322(2) of the Higher Edu-*
8 *cation Act of 1965 (20 U.S.C. 1061(2)) and minority insti-*
9 *tutions (as defined in section 365(3) of that Act (20 U.S.C.*
10 *1067k(3))).*

11 *(g) AUTHORIZATION OF APPROPRIATION.— For each*
12 *of the fiscal years 2008 through 2012, the Director shall*
13 *allocate at least 3.5 percent of funds appropriated to the*
14 *National Science Foundation for Research and Related Ac-*
15 *tivities to the grants program under this section.*

16 *(h) REPORT.—Not later than 6 months after the date*
17 *of enactment of this Act, the Director shall transmit to the*
18 *Committee on Science and Technology of the House of Rep-*
19 *resentatives and to the Committee on Commerce, Science,*
20 *and Transportation of the Senate a report describing the*
21 *distribution of the institutions from which individuals have*
22 *participated in the Faculty Early Career Development Pro-*
23 *gram since fiscal year 2001 among each of the categories*
24 *of institutions of higher education defined by the Carnegie*

1 *Foundation for the Advancement of Teaching and the orga-*
2 *nizations in subsection (c)(2).*

3 (i) *EVALUATION.*—*Not later than 2 years after the date*
4 *of enactment of this Act, the Director shall transmit to the*
5 *Committee on Science and Technology of the House of Rep-*
6 *resentatives and to the Committee on Commerce, Science,*
7 *and Transportation of the Senate a report evaluating the*
8 *impact of the program carried out under this section on*
9 *the ability of young faculty to compete for National Science*
10 *Foundation research grants.*

11 **SEC. 3. DEPARTMENT OF ENERGY EARLY CAREER AWARDS**
12 **FOR SCIENCE AND ENGINEERING RESEARCH-**
13 **ERS.**

14 (a) *IN GENERAL.*—*The Director of the Office of Science*
15 *of the Department of Energy shall carry out a program to*
16 *award grants to scientists and engineers at the early stage*
17 *of their careers at institutions of higher education and orga-*
18 *nizations described in subsection (c)(2) to conduct research*
19 *in fields relevant to the mission of the Department.*

20 (b) *SIZE AND DURATION OF AWARD.*—*The duration*
21 *of awards under this section shall be up to 5 years, and*
22 *the amount per year shall be at least \$80,000.*

23 (c) *ELIGIBILITY.*—*Award recipients shall be individ-*
24 *uals who are employed in a tenure-track position as an as-*

1 *sistant professor or equivalent title, or who hold an equiva-*
2 *lent position, at—*

3 *(1) an institution of higher education in the*
4 *United States; or*

5 *(2) an organization in the United States that is*
6 *a nonprofit, nondegree-granting research organization*
7 *such as a museum, observatory, or research labora-*
8 *tory.*

9 *(d) SELECTION.— Award recipients shall be selected*
10 *on a competitive, merit-reviewed basis.*

11 *(e) SELECTION PROCESS AND CRITERIA FOR*
12 *AWARDS.—An applicant seeking funding under this section*
13 *shall submit a proposal to the Director of the Office of*
14 *Science at such time, in such manner, and containing such*
15 *information as the Director may require. In evaluating the*
16 *proposals submitted under this section, the Director shall*
17 *consider, at a minimum—*

18 *(1) the intellectual merit of the proposed work;*

19 *(2) the innovative or transformative nature of*
20 *the proposed research;*

21 *(3) the extent to which the proposal integrates re-*
22 *search and education, including undergraduate edu-*
23 *cation in science and engineering disciplines; and*

24 *(4) the potential of the applicant for leadership*
25 *at the frontiers of knowledge.*

1 (f) *COLLABORATION WITH NATIONAL LABORA-*
2 *TORIES.*—*In awarding grants under this section, the Direc-*
3 *tor shall give priority to proposals in which the proposed*
4 *work includes collaboration with the Department of Energy*
5 *National Laboratories.*

6 (g) *AWARDS.*—*In awarding grants under this section,*
7 *the Director shall endeavor to ensure that the recipients are*
8 *from a variety of types of institutions of higher education*
9 *and nonprofit, nondegree-granting research organizations.*
10 *In support of this goal, the Director shall broadly dissemi-*
11 *nate information about when and how to apply for grants*
12 *under this section, including by conducting outreach to His-*
13 *torically Black Colleges and Universities that are part B*
14 *institutions as defined in section 322(2) of the Higher Edu-*
15 *cation Act of 1965 (20 U.S.C. 1061(2)) and minority insti-*
16 *tutions (as defined in section 365(3) of that Act (20 U.S.C.*
17 *1067k(3))).*

18 (h) *AUTHORIZATION OF APPROPRIATIONS.*—*There are*
19 *authorized to be appropriated to the Secretary of Energy*
20 *to carry out the Director's responsibilities under this section*
21 *\$25,000,000 for each of the fiscal years 2008 through 2012.*

22 (i) *REPORT ON RECRUITING AND RETAINING EARLY*
23 *CAREER SCIENCE AND ENGINEERING RESEARCHERS AT*
24 *THE NATIONAL LABORATORIES.*—*Not later than 3 months*
25 *after the date of enactment of this Act, the Director of the*

1 *Office of Science shall transmit to the Committee on Science*
2 *and Technology of the House of Representatives and to the*
3 *Committee on Energy and Natural Resources of the Senate*
4 *a report on efforts to recruit and retain young scientists*
5 *and engineers at the early stages of their careers at the De-*
6 *partment of Energy National Laboratories. The report shall*
7 *include—*

8 (1) *a description of Department of Energy and*
9 *National Laboratory policies and procedures, includ-*
10 *ing financial incentives, awards, promotions, time set*
11 *aside for independent research, access to equipment or*
12 *facilities, and other forms of recognition, designed to*
13 *attract and retain young scientists and engineers;*

14 (2) *an evaluation of the impact of these incen-*
15 *tives on the careers of young scientists and engineers*
16 *at Department of Energy National Laboratories, and*
17 *also on the quality of the research at the National*
18 *Laboratories and in Department of Energy programs;*

19 (3) *a description of what barriers, if any, exist*
20 *to efforts to recruit and retain young scientists and*
21 *engineers, including limited availability of full time*
22 *equivalent positions, legal and procedural require-*
23 *ments, and pay grading systems; and*

1 *priate, to individuals who develop one or more unique sci-*
2 *entific or engineering ideas in the national interest at the*
3 *time the innovation occurs.*

4 (b) *PURPOSE.*—*The awards under this section shall be*
5 *made to—*

6 (1) *stimulate scientific and engineering advances*
7 *in the national interest;*

8 (2) *illustrate the linkage between science and en-*
9 *gineering and national needs; and*

10 (3) *provide an example to students of the con-*
11 *tribution they could make to society by entering the*
12 *science and engineering profession.*

13 (c) *CITIZENSHIP.*—*An individual is not eligible to re-*
14 *ceive the award under this section unless at the time such*
15 *award is made the individual—*

16 (1) *is a citizen or other national of the United*
17 *States; or*

18 (2) *is an alien lawfully admitted to the United*
19 *States for permanent residence who—*

20 (A) *has filed an application for naturaliza-*
21 *tion in the manner prescribed by section 334 of*
22 *the Immigration and Nationality Act (8 U.S.C.*
23 *1445); and*

24 (B) *is not permanently ineligible to become*
25 *a citizen of the United States.*

1 (d) *PRESENTATION.*—*The presentation of the award*
2 *shall be made by the President with such ceremonies as he*
3 *may deem proper, including attendance by appropriate*
4 *Members of Congress.*

5 **SEC. 6. NATIONAL COORDINATION OFFICE FOR RESEARCH**
6 **INFRASTRUCTURE.**

7 (a) *IN GENERAL.*—*The Office of Science and Tech-*
8 *nology Policy shall establish a National Coordination Office*
9 *for Research Infrastructure. Such Office shall—*

10 (1) *identify and prioritize the deficiencies in re-*
11 *search facilities and major instrumentation located at*
12 *academic institutions and at national laboratories*
13 *that are available for use by academic researchers;*
14 *and*

15 (2) *institute and coordinate the planning by*
16 *Federal agencies for the acquisition, refurbishment,*
17 *and maintenance of research facilities and major in-*
18 *strumentation required to address the deficiencies*
19 *identified under paragraph (1).*

20 *In prioritizing the deficiencies identified under paragraph*
21 *(1), the Office shall consider research needs in areas rel-*
22 *evant to the Nation's economic competitiveness.*

23 (b) *STAFFING.*—*The Director of the Office of Science*
24 *and Technology Policy shall appoint individuals to serve*
25 *in the Office established under subsection (a) from among*

1 *the principal Federal agencies that support research in the*
2 *sciences, mathematics, and engineering, and shall at a min-*
3 *imum include individuals from the National Science Foun-*
4 *dation and the Department of Energy.*

5 *(c) REPORT.—The Director of the Office of Science and*
6 *Technology Policy shall provide annually a report to Con-*
7 *gress at the time of the President’s budget proposal—*

8 *(1) describing the research infrastructure needs*
9 *identified in accordance with subsection (a);*

10 *(2) listing research facilities projects and budget*
11 *proposals, by agency, for major instrumentation ac-*
12 *quisitions that are included in the President’s budget*
13 *proposal; and*

14 *(3) explaining how these facilities projects and*
15 *instrumentation acquisitions relate to the deficiencies*
16 *and priorities arrived at in accordance with sub-*
17 *section (a).*

18 **SEC. 7. RESEARCH ON INNOVATION AND INVENTIVENESS.**

19 *In carrying out its research programs on science policy*
20 *and on the science of learning, the National Science Foun-*
21 *dation may support research on the process of innovation*
22 *and the teaching of inventiveness.*

1 **SEC. 8. REPORT ON NATIONAL INSTITUTE OF STANDARDS**
2 **AND TECHNOLOGY EFFORTS TO RECRUIT**
3 **AND RETAIN EARLY CAREER SCIENCE AND**
4 **ENGINEERING RESEARCHERS.**

5 *Not later than 3 months after the date of enactment*
6 *of this Act, the Director of the National Institute of Stand-*
7 *ards and Technology shall transmit to the Committee on*
8 *Science and Technology of the House of Representatives and*
9 *to the Committee on Commerce, Science, and Transporta-*
10 *tion of the Senate a report on efforts to recruit and retain*
11 *young scientists and engineers at the early stages of their*
12 *careers at the National Institute of Standards and Tech-*
13 *nology laboratories and joint institutes. The report shall in-*
14 *clude—*

15 *(1) a description of National Institute of Stand-*
16 *ards and Technology policies and procedures, includ-*
17 *ing financial incentives, awards, promotions, time set*
18 *aside for independent research, access to equipment or*
19 *facilities, and other forms of recognition, designed to*
20 *attract and retain young scientists and engineers;*

21 *(2) an evaluation of the impact of these incen-*
22 *tives on the careers of young scientists and engineers*
23 *at the National Institute of Standards and Tech-*
24 *nology, and also on the quality of the research at the*
25 *National Institute of Standards and Technology's lab-*

1 *oratories and in the National Institute of Standards*
2 *and Technology’s programs;*

3 *(3) a description of what barriers, if any, exist*
4 *to efforts to recruit and retain young scientists and*
5 *engineers, including limited availability of full time*
6 *equivalent positions, legal and procedural require-*
7 *ments, and pay grading systems; and*

8 *(4) the amount of funding devoted to efforts to*
9 *recruit and retain young researchers and the source*
10 *of such funds.*

11 **SEC. 9. NASA’S CONTRIBUTION TO INNOVATION.**

12 *(a) SENSE OF THE CONGRESS.—It is the sense of the*
13 *Congress that—*

14 *(1) a balanced science program as authorized by*
15 *section 101(d) of the National Aeronautics and Space*
16 *Administration Authorization Act of 2005 (Public*
17 *Law 109–155) contributes significantly to innovation*
18 *in and the economic competitiveness of the United*
19 *States; and*

20 *(2) a robust National Aeronautics and Space*
21 *Administration, funded at the levels authorized under*
22 *sections 202 and 203 of that Act, would offer a bal-*
23 *ance among science, aeronautics, exploration, and*
24 *human space flight programs, all of which can attract*
25 *and employ scientists, engineers, and technicians*

1 *across a broad range of fields in science, technology,*
2 *mathematics, and engineering.*

3 *(b) PARTICIPATION IN INNOVATION AND COMPETITIVE-*
4 *NESS PROGRAMS.—The Administrator of the National Aer-*
5 *onautics and Space Administration shall fully participate*
6 *in any interagency efforts to promote innovation and eco-*
7 *nomics competitiveness through scientific research and devel-*
8 *opment within the spending levels cited in subsection (a).*

Amend the title so as to read: “A bill to authorize programs for support of the early career development of science and engineering researchers, and for support of graduate fellowships, and for other purposes.”.

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[Report No. 110-39]

A BILL

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